

# HFLAV $\tau$ branching fractions fit and measurements of $|V_{us}|$ with $\tau$ lepton data

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## Abstract

We report the status of the Heavy Flavour Averaging Group (HFLAV) averages of the  $\tau$  lepton measurements. We then update the latest published HFLAV global fit of the  $\tau$  lepton branching fractions (Spring 2017) with recent results by *BABAR*. We use the fit results to update the Cabibbo-Kobayashi-Maskawa (CKM) matrix element  $|V_{us}|$  measurements with the  $\tau$  branching fractions. We combine the direct  $\tau$  branching fraction measurements with indirect predictions using kaon branching fractions measurements to improve the determination of  $|V_{us}|$  using  $\tau$  branching fractions. The  $|V_{us}|$  determinations based on the inclusive branching fraction of  $\tau$  to strange final states are about  $3\sigma$  lower than the  $|V_{us}|$  determination from the CKM matrix unitarity.

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## 1 Introduction

The  $\tau$  subgroup of the Heavy Flavour Averaging Group (HFLAV) provides a global fit of the  $\tau$  branching fractions, the lepton universality tests and the  $|V_{us}|$  determination based on

$\tau$  measurements. The latest published report for the  $\tau$  lepton is labelled ‘‘Spring 2017’’ [1]. A version of the HFLAV  $\tau$  branching fractions fit with unitarity constraint is published on the Review of Particle Physics [2] (RPP). There are additional minor differences between the two fits [1, 3]. The fit results are used to test lepton universality and to compute  $|V_{us}|$  [1].

The HFLAV-Tau group collects and combines also a list of upper limits set by searches of lepton-flavour-violating  $\tau$  decays [1].

In the following, we update the HFLAV-Tau global fit inputs with two *BABAR* measurements that became public in 2018 [4, 5] and we update the  $|V_{us}|$  determinations based on  $\tau$  data. The new results have a negligible effect on the lepton universality tests.

Finally, we add to the fit input measurements of three  $\tau$  branching fractions that are indirectly determined using measurements of kaon branching fractions [6], in order to improve the precision on  $|V_{us}|$ .

## 2 New $\tau$ branching fraction measurements

Since the last HFLAV report, *BABAR* published [4] a measurement of

$$B(\tau^- \rightarrow K^- K^0 \nu_\tau) = (14.78 \pm 0.22 \pm 0.40) \cdot 10^{-4}$$

and presented [5] preliminary measurements of

$$\begin{aligned} B(\tau^- \rightarrow K^- \nu_\tau) &= (7.174 \pm 0.033 \pm 0.213) \cdot 10^{-3} , \\ B(\tau^- \rightarrow K^- \pi^0 \nu_\tau) &= (5.054 \pm 0.021 \pm 0.148) \cdot 10^{-3} , \\ B(\tau^- \rightarrow K^- 2\pi^0 \nu_\tau \text{ (ex. } K^0)) &= (6.151 \pm 0.117 \pm 0.338) \cdot 10^{-4} , \\ B(\tau^- \rightarrow K^- 3\pi^0 \nu_\tau \text{ (ex. } K^0, \eta)) &= (1.246 \pm 0.164 \pm 0.238) \cdot 10^{-4} , \\ B(\tau^- \rightarrow \pi^- 3\pi^0 \nu_\tau \text{ (ex. } K^0, \eta)) &= (1.168 \pm 0.006 \pm 0.038) \cdot 10^{-2} , \\ B(\tau^- \rightarrow \pi^- 4\pi^0 \nu_\tau \text{ (ex. } K^0, \eta)) &= (9.020 \pm 0.400 \pm 0.652) \cdot 10^{-4} . \end{aligned}$$

## 3 $|V_{us}|$ determination including the 2018 *BABAR* results

We add the measurements listed in the previous section to the HFLAV-Tau global fit, removing a former *BABAR* measurement of  $B(\tau^- \rightarrow K^- \pi^0 \nu_\tau)$  [7] that has been superseded [5]. The new measurements of the branching fractions  $\tau$  decaying to a kaon and 0–4  $\pi^0$ ’s improve the experimental resolution on several modes that most contribute to the uncertainty on  $|V_{us}|$ .

We compute  $|V_{us}|_{\tau s}$  using the total branching fraction of the  $\tau$  to strange final states following Ref. [8]:

$$|V_{us}|_{\tau s} = \sqrt{R_s / \left[ \frac{R_{VA}}{|V_{ud}|^2} - \delta R_{\text{theory}} \right]} = 0.2195 \pm 0.0019 ,$$

where  $|V_{ud}| = 0.97420 \pm 0.00021$  [9],  $R_s$  and  $R_{VA}$  are the  $\tau$  hadronic partial widths to strange and to non-strange hadronic final states ( $\Gamma_s$  and  $\Gamma_{\text{had}}$ ) divided by the universality-improved branching fraction  $B(\tau \rightarrow e \nu \bar{\nu}) = B_e^{\text{uni}} = (17.815 \pm 0.023)\%$  [1, 3], and the SU(3)-breaking term  $\delta R_{\text{theory}} = 0.242 \pm 0.033$  is computed using inputs from Ref. [8] and  $m_s = (95.00 \pm 6.70)$  MeV [2] (the uncertainties on  $m_s$  have been symmetrized).

We compute also

$$|V_{us}|_{\tau K/\pi} = |V_{ud}| \frac{f_\pi}{f_K} \frac{1 - m_\pi^2/m_\tau^2}{1 - m_K^2/m_\tau^2} \sqrt{\frac{B(\tau^- \rightarrow K^- \nu_\tau)}{B(\tau^- \rightarrow \pi^- \nu_\tau)} \frac{1}{R_{\tau K/\tau\pi}}} = 0.2241 \pm 0.0016 ,$$

where  $f_K/f_\pi = 1.1930 \pm 0.0030$  from the FLAG 2016 Lattice averages with  $N_f = 2 + 1 + 1$  [10–13] (the same value persists in the FLAG 2017 web update). The radiative correction term is  $R_{\tau K/\tau\pi} = 0.9930 \pm 0.0035$  [1], and the other parameters are taken from the Review of Particle Physics (RPP) 2018 [2].

Averaging the two above  $|V_{us}|$  determinations, we obtain  $|V_{us}|_\tau = 0.2223 \pm 0.0014$ .

## 4 $\tau$ branching fraction predictions from kaon measurements

Assuming the validity of the Standard Model (SM), three  $\tau$  branching fractions have been computed using the precisely measured  $K_{\ell 2}$  and  $K_{\ell 3}$  branching fractions and the measured  $\tau^- \rightarrow (K\pi)^- \nu_\tau$  spectra [6]:

$$\begin{aligned} B(\tau^- \rightarrow K^- \nu_\tau) &= (0.713 \pm 0.003)\% , \\ B(\tau^- \rightarrow K^- \pi^0 \nu_\tau) &= (0.471 \pm 0.018)\% , \\ B(\tau^- \rightarrow K^0 \pi^- \nu_\tau) &= (0.857 \pm 0.030)\% . \end{aligned}$$

The uncertainties on the last two results are fully correlated. It has been observed [6, 14] that all the above indirect values are higher than the corresponding directly measured  $\tau$  branching fractions. If the indirect values replace the direct ones,  $|V_{us}| = 0.2207 \pm 0.027$  [6].

We add the kaon-indirect determinations of the three above  $\tau$  branching fractions to the data set used in the previous section in order to obtain improved calculations of  $|V_{us}|$ :

$$\begin{aligned} |V_{us}|_{\tau s} &= 0.2202 \pm 0.0018 , \\ |V_{us}|_{\tau K/\pi} &= 0.22596 \pm 0.00099 , \\ |V_{us}|_\tau &= 0.22475 \pm 0.00089 . \end{aligned}$$

## 5 Consistency of $|V_{us}|$ with the CKM matrix unitarity

Assuming the CKM matrix unitarity,

$$|V_{us}|_{\text{uni}} = \sqrt{1 - |V_{ud}|^2 - |V_{ub}|^2} = 0.22565 \pm 0.00089 ,$$

using  $|V_{ud}| = 0.97420 \pm 0.00021$  [9] and  $|V_{ub}| = (0.3940 \pm 0.0360) \cdot 10^{-2}$  [2]. Table 1 summarizes the residuals, expressed as numbers of standard deviations, of the above mentioned  $|V_{us}|$  determinations with respect to the  $|V_{us}|$  computation from the CKM matrix unitarity.  $|V_{us}|$  computed with the  $\tau$ -inclusive method is significantly lower, but the significance of the discrepancy is mildly reduced alongside a mild progress in the experimental resolution.

## 6 Conclusions

Figure 1 reports the  $|V_{us}|_{\tau s}$  determinations described above, a determination of  $|V_{us}|_{\tau s}$  obtained replacing some  $\tau$  branching fractions measurements with the indirect predictions

Table 1: Deviations of  $|V_{us}|$  computed with  $\tau$  data with respect to  $|V_{us}|$  obtained with CKM unitarity. The second and third row use the  $|V_{us}|$  determinations performed above.

	$\Delta  V_{us} _{\tau s}$ [ $\sigma$ ]	$\Delta  V_{us} _{\tau K/\pi}$ [ $\sigma$ ]	$\Delta  V_{us} _{\tau}$ [ $\sigma$ ]
HFLAV Spring 2017	-3.0	-1.0	-2.3
HFLAV + <i>BABAR</i> 2018	-2.9	-0.8	-2.0
HFLAV + <i>BABAR</i> + kaon predictions	-2.7	0.2	-0.7

based on kaon branching fractions [6], and other more complex determinations that use the  $\tau$  spectral functions [15] and Lattice QCD techniques [16]. Updates on the last two determinations have been presented at the Tau 2018 workshop [17]. The last four determinations use an older and in some cases partial set of experimental  $\tau$  branching fractions measurements.

The  $\tau$  based  $|V_{us}|$  determinations use the  $|V_{ud}|$  measurements as input. The dependence on  $|V_{ud}|$  is however very small, and there is in first approximation negligible correlation between  $|V_{us}|$  and  $|V_{ud}|$  when doing a simultaneous fit. Figure 2 shows the results of a  $|V_{ud}|-|V_{us}|$  simultaneous fit on the  $\tau$  measurements corresponding to the HFLAV Spring 2017 fit and the *BABAR* 2018 results. The fit results are:

$$\begin{aligned}
 |V_{ud}| &= 0.97420 \pm 0.00021 \\
 |V_{us}| &= 0.2223 \pm 0.0014 \\
 |V_{ud}|-|V_{us}| \text{ correlation} &= 0.035
 \end{aligned}$$

Tables 2 and 3 report the contributions to the  $|V_{us}|_{\tau s}$  uncertainty before and after the *BABAR* 2018 results. The largest contributions come from the  $\tau$  branching fractions to strange final states and from the theory. The *BABAR* 2018 measurements reduced significantly several large contributions. High multiplicity  $\tau$  decays to strange final states dominate the  $|V_{us}|_{\tau s}$  uncertainty. The Belle II super flavour factory will offer the opportunity to improve the experimental precision on the  $\tau$  strange branching fractions. More precise  $\tau$  branching fractions and spectral function measurements will help improving also the theory uncertainty.

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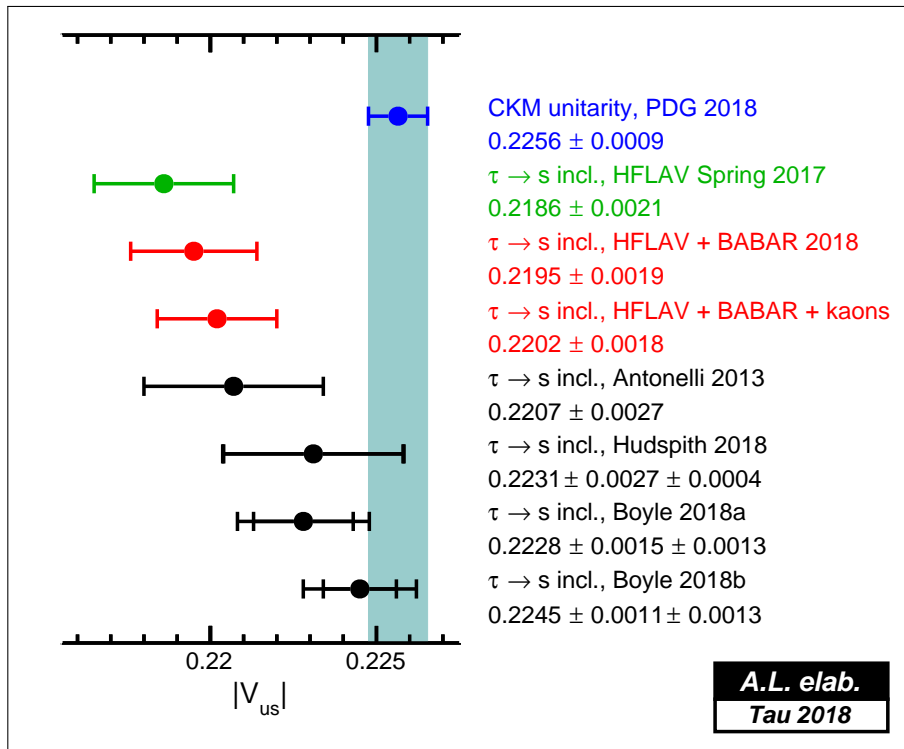


Figure 1:  $|V_{us}|_{\tau s}$  determinations obtained in this document, from the top:  $|V_{us}|_{\text{uni}}$ ,  $|V_{us}|_{\tau s}$  with the HFLAV Spring 2017 fit, after adding the *BABAR* 2018 data, after adding both the *BABAR* 2018 and the kaon indirect predictions, from Ref. [6], from Ref. [15], and two determinations from Ref. [16].

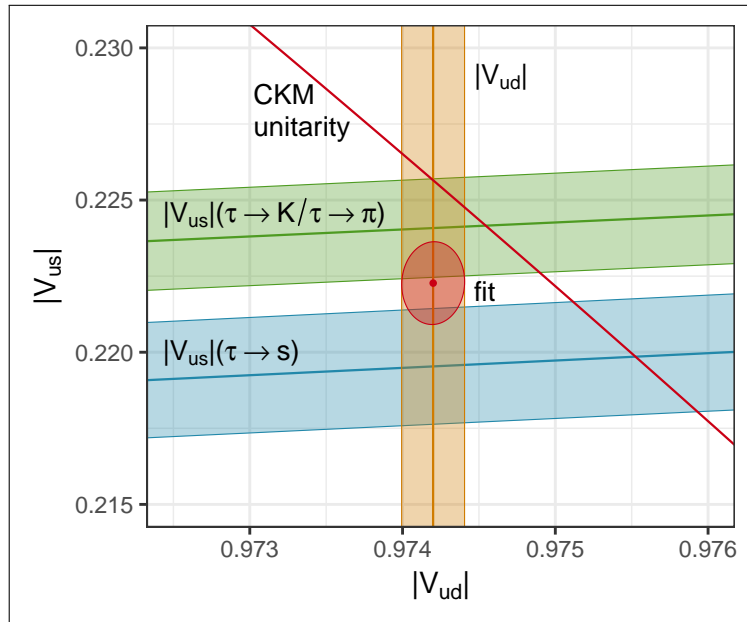


Figure 2: Results of a  $|V_{ud}|-|V_{us}|$  simultaneous fit. The bands describe the constraints corresponding to the  $|V_{ud}|$  measurement, the  $|V_{us}|_{\tau s}$  and the  $|V_{us}|_{\tau K/\pi}$  determinations that use the  $\tau$  measurements. The oblique line corresponds to the CKM matrix unitarity constraint. The ellipse corresponds to  $1\sigma$  uncertainty on the  $|V_{ud}|$  and  $|V_{us}|$  fit results.

Table 2: Contributions to the  $|V_{us}|_{\tau_s}$  uncertainty in percent before the *BABAR* 2018 results.

$\pi^- \bar{K}^0 \pi^0 \pi^0 \nu_\tau$ (ex. $K^0$ )	0.3963	
$K^- 2\pi^0 \nu_\tau$ (ex. $K^0$ )	0.3789	
$K^- 3\pi^0 \nu_\tau$ (ex. $K^0, \eta$ )	0.3714	
$\bar{K}^0 h^- h^- h^+ \nu_\tau$	0.3478	
$K^- \pi^0 \nu_\tau$	0.2561	
$K^- \pi^- \pi^+ \pi^0 \nu_\tau$ (ex. $K^0, \omega, \eta$ )	0.2456	
$\pi^- \bar{K}^0 \nu_\tau$	0.2424	
$\pi^- \bar{K}^0 \pi^0 \nu_\tau$	0.2219	
$K^- \nu_\tau$	0.1646	
$K^- \omega \nu_\tau$	0.1585	
$K^- \pi^- \pi^+ \nu_\tau$ (ex. $K^0, \omega$ )	0.1157	
$\pi^- \bar{K}^0 \eta \nu_\tau$	0.0256	
$K^- \pi^0 \eta \nu_\tau$	0.0200	
$K^- \eta \nu_\tau$	0.0138	
$K^- \phi \nu_\tau$ ( $\phi \rightarrow K^+ K^-$ )	0.0138	
$K^- \phi \nu_\tau$ ( $\phi \rightarrow K_S^0 K_L^0$ )	0.0096	
$K^- 2\pi^- 2\pi^+ \nu_\tau$ (ex. $K^0$ )	0.0021	
$K^- 2\pi^- 2\pi^+ \pi^0 \nu_\tau$ (ex. $K^0$ )	0.0010	
$\tau \rightarrow$ non-strange	0.0896	
$B_e^{\text{univ}}$	0.0045	
theory	0.4861	

Table 3: Contributions to the  $|V_{us}|_{\tau_s}$  uncertainty in percent after the *BABAR* 2018 results.

$\pi^- \bar{K}^0 \pi^0 \pi^0 \nu_\tau$ (ex. $K^0$ )	0.3931	
$\bar{K}^0 h^- h^- h^+ \nu_\tau$	0.3450	
$K^- \pi^- \pi^+ \pi^0 \nu_\tau$ (ex. $K^0, \omega, \eta$ )	0.2436	
$\pi^- \bar{K}^0 \nu_\tau$	0.2372	
$\pi^- \bar{K}^0 \pi^0 \nu_\tau$	0.2200	
$K^- \omega \nu_\tau$	0.1572	
$K^- \pi^0 \nu_\tau$	0.1554	
$K^- \nu_\tau$	0.1459	
$K^- \pi^- \pi^+ \nu_\tau$ (ex. $K^0, \omega$ )	0.1147	
$K^- 2\pi^0 \nu_\tau$ (ex. $K^0$ )	0.0460	
$K^- 3\pi^0 \nu_\tau$ (ex. $K^0, \eta$ )	0.0449	
$\pi^- \bar{K}^0 \eta \nu_\tau$	0.0254	
$K^- \pi^0 \eta \nu_\tau$	0.0198	
$K^- \eta \nu_\tau$	0.0137	
$K^- \phi \nu_\tau$ ( $\phi \rightarrow K^+ K^-$ )	0.0136	
$K^- \phi \nu_\tau$ ( $\phi \rightarrow K_S^0 K_L^0$ )	0.0095	
$K^- 2\pi^- 2\pi^+ \nu_\tau$ (ex. $K^0$ )	0.0021	
$K^- 2\pi^- 2\pi^+ \pi^0 \nu_\tau$ (ex. $K^0$ )	0.0010	
$\tau \rightarrow$ non-strange	0.0855	
$B_e^{\text{univ}}$	0.0045	
theory	0.4863	

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