Reply to Referee #1

We are grateful to the Referee for their careful and positive evaluation of our manuscript. We are also grateful for the interesting comments and valuable suggestions which helped us to improve its content. We answer in the following to all the points they raised. We have also introduced all the changes requested by the Referee in the revised version of the manuscript.

Strengths

The manuscript report a theoretical investigation of the instability of arrays of quantized vortices appearing at the interface between two superfluid layers in relative motion. Recent theoretical [42, 44] and experimental [46] studies have explored these dynamics, uncovering intriguing connections to the well-known Kelvin-Helmholtz instability of a shear layer in irrotational inviscid fluids.

1) The authors address the problem by introducing a generalized point-vortex model to include massive vortex cores and dissipative processes and they show that these effects can lead to the partial or complete suppression of the instability.

2) The manuscript is well-written, scientifically sound and, in my opinion, holds significant interest for the community as it addresses the role played by effects which have yet to be fully understood in real superfluids.

Weaknesses

- (1) Several interesting aspects raised in the manuscript require further discussion.
- (2) A couple of technical points should be clarified (See report).

Report

The manuscript report a theoretical investigation of the instability of arrays of quantized vortices appearing at the interface between two superfluid layers in relative motion. Recent theoretical [42, 44] and experimental [46] studies have explored these dynamics, uncovering intriguing connections to the well-known Kelvin-Helmholtz instability of a shear layer in irrotational inviscid fluids. From a purely theoretical perspective, the problem of the stability of arrays of identical point vortices can be traced back to the works of Rosenhead and Havelock in 1931. More recently, Aref [43] expanded on these ideas, viewing the array as a discrete version of a vortex sheet, thereby establishing a direct conceptual connection with Kelvin-Helmholtz scenario.

Here, the authors address the problem of the stability of the shear layer at the interface between counter-propagating superfluids, demonstrating that massive vortex cores and dissipative processes, together with the proximity with the boundaries of the sample, are responsible for a partial or complete quenching of the instability. The analysis is based on a generalized point-vortex model, including the effects of a finite vortex-core mass and dissipation.

The manuscript is well-written, scientifically sound and, in my opinion, holds significant interest for the community as it addresses the role played by effects which have yet to be fully understood in real superfluids and may influence the stability properties of arrays of quantized vortices. For these reasons, I think that the work potentially deserves publication. However, some issues need to be addressed and clarified. These issues are reported below in the order they arise in the text, not by their importance.

Requested changes

1) In the introduction the authors state that "The kinematic viscosity μ introduces an ultraviolet cutoff that halts the runaway behaviour for small wavelengths (i.e. large q)". This is only partially correct. In classical hydrodynamics, an ultraviolet cutoff arises whenever a singular distribution of vorticity, i.e., a zero-thickness shear layer, is no longer an accurate description of the system (see, e.g. Ref. [5]). A more realistic approach is to consider a finite-width shear layer that continuously connects the two uniform flows. While it is true that viscous effects can make the zero-thickness shear-layer approximation less accurate, in general the presence of a finite-width shear layer is not related to viscosity. The description in terms of a vortex sheet always breaks down even in ideal fluids when the system is examined at sufficiently small scales. Moreover, an effective interface of finite width between counter-propagating superflows naturally arises also in Gross-Pitaevskii simulations, where the vortex-array instability rates actually agree with those of the Kelvin-Helmholtz instability of a finite-width shear layer (see [44]). I would thus generally refer to finite-width shear layer to introduce the ultraviolet cutoff, rather than to viscosity.

Reply to comment 1): We would like to thank the Referee for raising this subtle point. We agree with the Referee that the key role of a finite-width shear layer is missing in our sentence. We changed it following the Referee's suggestion, such that it is now clear how the presence of a finite width shear layer $\delta > 0$ introduces an ultraviolet cutoff $k \leq \delta^{-1}$. However, we do think viscosity plays an important role in setting up a finite boundary layer in a (classical) real fluid. A number of important textbooks in the field, in fact, introduce and analyze the concept of *boundary layer* in tight connection with viscosity: e.g. Batchelor, An introduction to Fluid Dynamics, Chapter 5; Charru, Hydrodynamic Instabilities, Chapter 5; Drazin Introduction to Hydrodynamic Stability, Chapter 8.

In a viscous flow, the no-slip boundary condition implies the existence of a thin *boundary layer* within which the velocity \boldsymbol{v} of the fluid must change from zero at the surface of a solid body to large values a short distance away from the surface. Within this layer the term $\mu \nabla^2 \boldsymbol{v}$ in the Navier-Stokes equation cannot be neglected.

A simple example can be found in Chapter 5 (see Sec. 5.5) of Choudhuri, "The Physics of Fluids and Plasmas", which considers a flow past a plane surface. The thickness δ of the boundary layer at a distance ℓ from the edge of the solid is approximately

$$\delta \simeq \sqrt{\frac{\mu\ell}{\Delta v}},\tag{R.1}$$

which vanishes in the absence of viscosity. The condition $\delta < \ell$ introduces a cut-off value for the wave number $k \sim \ell^{-1}$

$$k \lesssim \frac{\Delta v}{\mu},$$
 (R.2)

which agrees with the cut-off value shown in D. Tong's Lectures on Fluid Mechanics, Chapter 5. The main effect is that the discontinuity in the velocity profile at the interface has to be replaced by an appropriate boundary layer, that is indeed what the Referee correctly points out. Viscosity does play an important role within such a thin layer, where the initial velocity profile is smoothed.

We totally agree with the Referee that viscosity is not the only ingredient making up a finite boundary layer. Nonetheless, the specific value of the UV cut-off due to viscosity results in the quadratic scaling $\sigma^* \sim \Delta v^2$. Remarkably, the same scaling law characterizes the instability of an array of massless vortices, as we recall in paragraph 4 of the Introduction. Thus, we have rephrased that sentence in the following way:

In a realistic description, the two uniform flows are connected by a thin shear-layer that smooths out the velocity profile at the interface. It also introduces an ultra-violet cut-off q^* which halts the runaway behaviour for small wavelengths (i.e. large q) of the instability growth rate [5]. In real classical fluids with viscosity $\mu > 0$, one has $q^* \propto \Delta v/\mu$ and the maximum growth rate scales as $\sigma_c^* = \sigma_c(q^*) \propto \Delta v^2$.

2) "Zwierlein's group at MIT showed that a BEC subject to a synthetic magnetic field undergoes a snaking instability leading to a crystallization of the condensate in droplets separated by streets of quantized vortices." I'm not sure to understand the relation between snaking and shear flow instabilities. In dynamical system theory, "snaking" typically refers to a transverse modulational instability that distorts a dark soliton stripe or a deep depletion region, eventually leading to the breakup into vortex filaments or droplet pairs. While the formation of a vortex array may occasionally result from a snaking instability, this typically has nothing to do with any subsequent instability of the array, the presence of shear flow, or shear layer instabilities such as the Kelvin-Helmholtz instability. I would then ask the authors to clarify this issue or to remove the sentence.

Reply to comment 2): We understand the Referee's concerns about the pertinence of that work within our discussion. We originally mentioned that paper because it reports the formation of a vortex array between droplets arising from a snaking instability of the BEC. As correctly pointed out by the Referee, that mechanism is not directly related to vortex-row instabilities, which is not the focus of their study. However, the vortex array does arise from a sheared velocity profile in the rotating frame and so we think it is worth mentioning it. Nevertheless, we reduced the emphasis that we gave to that work.

3) The authors showed that introducing a finite core mass affects how the maximum instability growth rate depends on the number of vortices per unit length N_v/L . Specifically, its scaling law shifts from a quadratic to a linear behavior for large N_v . This is a very interesting point that deserves further discussion. For massless vortices, the quadratic scaling of the most unstable mode arises because according to (1) the instability growth rate follows $\sigma \propto q N_v/L$ and the wavenumber of the most unstable mode follows $q^* \propto N_v/L$ leading to $\sigma^* \propto (N_v/L)^2$, which remains valid even in the limit of infinite vortices. Note that a generic unstable mode q would exhibit a linear scaling with N_v/L . For massive vortices σ^* scales linearly with N_v . What about the other (unstable) modes? It would be interesting to show a dispersion relation (growth rate vs q for fixed N_v). Since the most unstable mode (and indeed all array modes) remains the same, I would expect a sublinear scaling for some of them.

Reply to comment 3): The change of scaling introduced by a finite core mass is a key result of our work and we are glad the Referee finds it very interesting.

In order to address the comment, we recall that in the case of a linear chain with a finite number of vortices $N_v = L/a$, there are N_v allowed discrete wave numbers, labelled as $q_j = \frac{2\pi j}{N_v a}$, $j = 0, 1, \ldots, N_v - 1$. For massless vortices, Eq. (1) gives the dispersion relation

$$\sigma_0(j) = \pi \kappa \left(\frac{N_v}{L}\right)^2 \frac{j}{N_v} \left(1 - \frac{j}{N_v}\right). \tag{R.3}$$

The most unstable mode $q_{j=N_v/2} = \frac{\pi N_v}{L}$ corresponds to the maximum instability growth rate $\sigma_0^* = \frac{\pi \kappa}{4} \left(\frac{N_v}{L}\right)^2$, which scales *quadratically* in N_v/L .

Notice that, differently from the most unstable one. a generic unstable mode $q_j = \frac{2\pi j}{L}$ does not scale with N_v/L at fixed N_v . For a large number of vortices, Eq. (R.3) scales like

$$\sigma_0(j) \underset{N_v \gg 1}{\sim} \frac{\pi\kappa}{L^2} N_v j, \tag{R.4}$$

which is linear in N_v for a generic j and becomes quadratic for $j = N_v/2$.

For massive vortices, the model developed in Sec. 2.1 leads to the dispersion relation

$$\sigma(j) = \frac{\kappa}{\sqrt{2\mathfrak{m}L^2}} \sqrt{-1 + \sqrt{1 + \left(\frac{2\mathfrak{m}L^2}{\kappa}\sigma_0(j)\right)^2}},\tag{R.5}$$

where $\mathfrak{m} = M_c/(m_a n_a L^2)$ is the (dimensionless) core mass. As expected, in the limit $\mathfrak{m} \to 0$ the dispersion relation correctly recovers the massless result $\sigma_0(j)$ in Eq. (R.3). The most unstable mode $j = N_v/2$ yields

a maximum gowth rate that, as given by Eq. (9) in the manuscript in the large- N_v limit, now scales *linearly* in N_v/L :

$$\sigma^* \simeq \frac{\kappa}{2} \sqrt{\frac{\pi}{\mathfrak{m}L^2}} \frac{N_v}{L}.$$
 (R.6)

It is worth noticing that the scaling of Eq. (R.5), that is

$$\sigma(j) \underset{N_v \gg 1}{\sim} \frac{\kappa}{L^2} \sqrt{\frac{\pi}{\mathfrak{m}}} N_v j, \qquad (R.7)$$

is sublinear in N_v for any mode $j \neq N_v/2$, as expected by the Referee.

Figure (R.1) shows the dispersion relations, i.e., the growth rate as a function of (discrete) wave numbers, for fixed and large N_v : on the left the massless result (R.3), on the right the massive one (R.5). The choice of equispaced values of N_v highlights the different spacings between the maximum growth rates, i.e. the maxima of different curves. In the massless case on the left, $\sigma^* \propto N_v^2$ and the spacing between the maxima of the curves increases with the number of vortices. In the massive case on the right instead, $\sigma^* \propto N_v$ and the spacing remains constant.



Figure R.1: Dispersion relation with fixed number of vortices for a row of massless (left panel) and massive (right) vortices.

In our opinion, we are not convinced that showing the dispersion relations in Fig. (R.1) would add useful insights to the reader. In particular, we believe that the important results are already presented in Fig. 2 and related discussion in the manuscript. In addition, we are not able to extract relevant information from the above graphs about the linear (massless vortex chain) and sublinear (massive) behaviour of the other unstable modes $j \neq N_v/2$. For these reasons, we prefer to leave Sec. 2.1 of our manuscript unaltered.

4) A discussion of dissipative effects in the massless point vortex model, also with derivation of Eq. 20, have been already reported in [46]. I would suggest the authors to refer to this work at page 8.

Reply to comment 4): We have added a reference to [46] just above Eq. (20) in the revised version of the manuscript.

5) In Sec. 5, the authors compare the predictions of their generalized point-vortex model with the recent results in [46]. This comparison provided an estimate for the mutual-friction coefficient α' around 0.75. This is an unusually high value with respect to what is commonly expected in the literature, although to my knowledge there are no direct measurements of this coefficient. While the coefficient here is basically phenomenological, it could be related to a number of phenomena, from the contribution of the Andreev-bound states and Iordanskii force to some unspecified technical effects. Have the authors any feeling about the physical origin of dominant effects here?

Reply to comment 5): There has been a large amount of studies on mutual friction in fermionic superfluids, nonetheless this topic is still very debated and controversial. For this reason, we prefer not to speculate about physical phenomena that might be responsible of such an unusual value of the coefficient α' . As the Referee correctly pointed out, our estimate is basically a phenomenological result that waits for confirmation or rejection by future experiments.

6) Pag 13:" ... while the mode q = 0 is always stable [i.e. $\sigma(q = 0) = 0$]." If σ here is the real part of the corresponding eigenvalue, then the mode is "marginally stable" and stability should be determined either numerically or going to second order in perturbations. I would tentatively suggest that ultimately, we might discover that it is unstable. Otherwise, I would expect that the system, when carefully prepared in the regular vortex necklace configuration, it would remain stable indefinitely. Is this the case?

Reply to comment 6): The system under consideration is invariant under rotation around the origin, and thus its total angular momentum is conserved. As a consequence, the matrix \mathbb{J} has always (at least) two precisely-null eigenvalues, which are the zero-energy modes associated to the spontaneous breaking of the continuous rotational symmetry. This is already present in the manuscript. We agree with the Referee that the mode q = 0 cannot be defined as stable, hence we slightly changed the sentence removing that term.

7) Page 19: "... it is not possible to rigorously perform the linear-stability analysis for a (either massless or massive) vortex necklace subject to frictional forces. The reason is that, in the presence of dissipation, regular vortex necklaces (see Sec. 3.2 and Sec. 4.1) no longer constitute stationary solutions of the associated dynamical systems.." I'm a bit puzzled about this point. It makes sense to perform stability analysis only on a solution of the system, either a fixed point or a limit cycle or a more complex attractor. If the regular vortex necklace isn't a solution in the presence of frictional forces, it implies that if we initialize the system in this configuration at t=0, the subsequent evolution won't be due to instability of the solution, but rather because this configuration isn't a solution of the system. I concur with the authors that adapting Eqs. (16)-(18) to the vortex necklace by setting $a = 2\pi r_0/N_v$ should give reasonable results, at least in some limits. However, from a conceptual standpoint, if the regular necklace is no longer a solution in the presence of these frictional forces, it implies that basically does not make sense to talk about instability of such a configuration. So either the instability no longer exists for circular geometries in the presence of frictional forces or that such forces should be modeled in a different way so to preserve the existence of the necklace solution. I think that the authors should clarify this point.

Reply to comment 7): The referee has touched on a very important and delicate aspect. We confirm that while in the *absence* of dissipation the rotating vortex necklace constitutes a fixed point in a suitable rotating reference frame, in the *presence* of dissipation this is not the case. Therefore, we agree with the referee that it might be inappropriate to investigate the linear stability of a system that it is not in a fixedpoint configuration. Our implicit assumption was that the breakdown of the vortex necklace is ruled by a Kelvin-Helmholtz like instability (and hence determined by σ^*) rather than by the intrinsic time evolution of an initial condition that is *not* a fixed-point configuration.

That being said, we definitely agree with the Referee that this is a very important point to discuss. On further consideration, one might argue that the instability growth rate of the rotating massive necklace in the presence of dissipation should be computed not within a linear-stability analysis framework, but by explicitly simulating the equations of motion with suitable (stochastic) initial conditions and then performing a suitable post-processing of the resulting trajectories.

To better clarify this point, we have modified the paragraph quoted by the Referee:

Unfortunately, it is not possible to rigorously perform the linear-stability analysis for a (either massless or massive) vortex necklace subject to frictional forces. The reason is that, in the presence of dissipation, regular vortex necklaces (see Sec. 3.2 and Sec. 4.1) no longer constitute stationary solutions of the associated dynamical systems. This circumstance prevents the application of the standard linear-stability-analysis machinery described in Sec. 3.3 and Sec. 4.2 and would require a systematic analysis of the early-time dynamics generated by the full set of equations of motion with suitable stochastic initial conditions. However, assuming that the breakdown of the necklace is only due to the Kelvin-Helmholtz mechanism, one can estimate the corresponding instability growth rate in the presence of dissipation adapting Eqs. (16)-(18)

and added an additional sentence in the Conclusions:

In conclusion, we have elucidated the role played by confinement, vortex mass and mutual friction on the dynamical instability of many-vortex systems. Further studies are needed to understand the role of mutual friction in the breakdown of rotating vortex necklaces. This should require the explicit solution of the full set of equations of motion with suitable stochastic initial conditions, thus providing a more reliable estimate of σ^* for the case of a rotating necklace in a dissipative superfluid. Moreover, it could be interesting to analyze other effects which may affect the Kelvin-Helmholtz instability, such as non-zero temperatures, unequal vortex-core mass [83], or the coupling of vortices to sound.

This is a very interesting future research line that might potentially contribute to explain the persistent mismatch between theory and experiment. We sincerely thank the Referee for this valuable suggestion.

Reply to Referee #2

We are grateful to the Referee for their careful and positive evaluation of our manuscript. We are also grateful for the interesting comments and valuable suggestions which helped us to improve its content. We answer in the following to all the points they raised. We have also introduced all the changes requested by the Referee in the revised version of the manuscript.

Strengths

The manuscript is clearly written and enjoyable reading, the results are sound and the work contains a solid body of interesting results.

Weaknesses

The manuscript deploys/introduces a Newtonian mass term in the point-vortex Lagrangian formulation as a starting point. The goal is clearly to relate the predictions of the model to experiments but the origin or the form of the mass term is not clearly explained or justified.

Report

The manuscript is of high quality and well suited to SciPost Physics. It easily meets criteria and expectations for this journal. I have provided specific comments in an annotated .pdf for the benefit of the authors. The comments do not challenge the correctness of the derivations but point out that the applicability of the obtained results in the context of experiments may be affected by the inherent relationship between the vortex mass and the size of the vortex core.

Requested changes

1) "by the presence of Andreev bound states localized at the vortex cores"

The same is true in Bose superfluids where kelvon bound states are localised at the vortex core also at zero temperature. This kelvon mode was early on called an "anomalous mode":

https://journals.aps.org/pra/pdf/10.1103/PhysRevA.56.587

https://journals.jps.jp/doi/10.1143/JPSJ.68.487

https://journals.aps.org/prl/pdf/10.1103/PhysRevLett.86.2704

https://journals.aps.org/pra/abstract/10.1103/PhysRevA.97.023609

Reply to comment 1): We thank the Referee for bringing to our attention this very relevant set of references, in particular Phys. Rev. A **97**, 023609 (2018). We have improved our introduction by mentioning the kelvon bound states localized at the vortex core. More specifically, we have replaced the sentence

Yet, many open questions remain about the detailed physical processes governing the breakdown of regular vortex arrays, especially in fermionic superfluids, where the motion of quantized vortices is further affected by the interactions with the gas of elementary excitations and by the presence of Andreev bound states localized at the vortex cores [47-51].

with the sentence

Yet, many open questions remain about the detailed physical processes governing the breakdown of regular vortex arrays. In Bose superfluids, the presence of kelvon bound states localized at the vortex core (also at zero temperature) is responsible for a non-zero vortex mass which influences the vortex motion [47-50]. Similarly, in fermionic superfluids the motion of quantized vortices is affected by the interactions with the gas of elementary excitations and by the presence of Andreev bound states localized at the vortex cores [51-55].

2) "As a result, superfluid vortices, despite their inherently quantum nature, can be effectively modeled as classical point-like particles"

The fact that a classical point vortex model works so well is not the consequence of reduced dimensionality. The 3D vortex filament model [71] is just as good under the assumptions of dilute vortex fluid in an incompressible background fluid.

It could be said that the very fact that the vorticity is quantised in superfluids is the reason why the point vortex/vortex filament models work so well (neither is very good in describing classical fluids because the vorticity is distributed across large areas/volumes). **Reply to comment 2):** We agree with the Referee that our sentence is misleading and that the fact that a classical point-vortex works is not due to the quasi-2D nature of the superfluid, but due to the incompressibility thereof. We have thus replaced the sentence

As a result, superfluid vortices, despite their inherently quantum nature, can be effectively modeled as classical point-like particles, simplifying the complex dynamics associated with their motion.

with the sentence:

When finite-compressibility effects can be neglected, and given their quantized vorticity, superfluid vortices can be effectively modeled as classical point-like particles (or as classical filaments in three-dimensional systems), simplifying the complex dynamics associated with their motion.

3) Eq. (4): This M_c term here replaces / corresponds to the gradient of density term in the exact vortex equation of motion, the last term of Eq.(9a) in:

https://journals.aps.org/pra/pdf/10.1103/PhysRevA.97.023617

I therefore think it would be important to connect this equation (4) explicitly to the equation (9a) in the aforementioned paper and discuss the relationship of the two formulations.

By setting the density gradient term in the aforementioned equation (9b) to zero, yields the "usual" point-vortex model ($M_c = 0$). By adding the M_c term in Eq.(4) then puts the density gradient term back into the equation but with a specific assumption that it would be directly proportional to the acceleration of the vortex position. Perhaps there are physical situations where this would be a valid assumption but it would be useful to see a discussion on this important point.

In the aforementioned Gross-Pitaevskii-Bogoliubov formulation the vortex has a well defined core structure that implicitly yields the vortex a mass. The larger the vortex core is the larger its mass is. Importantly, the filling of the vortex core by foreign substances does not affect the vortex motion explicitly, instead, they change the condensate density in the vicinity of the vortex phase singularity which responds to the local (background) condensate density gradient. This is important conceptual point returned to in the later comments.

Reply to comment 3): Eq. (9a) of [Phys. Rev. A **97**, 023617 (2018)],

$$\boldsymbol{v}_{v}(\boldsymbol{r}_{0}) = \frac{\hbar}{m} \left(\nabla \tilde{\phi} - \hat{\boldsymbol{\kappa}} \times \nabla \ln \tilde{\rho} \right) \Big|_{\boldsymbol{r}_{0}}, \qquad (R.8)$$

can indeed be compared with Eq. (4) of our manuscript

$$\frac{M_c}{\kappa m_a n_a} \ddot{\boldsymbol{r}}_j = -\dot{\boldsymbol{r}}_j \times \hat{z} + \frac{\kappa}{2\pi} \sum_{i \in \mathbb{Z} \setminus \{0\}} \frac{\boldsymbol{r}_j - \boldsymbol{r}_{j+i}}{|\boldsymbol{r}_j - \boldsymbol{r}_{j+i}|^2},\tag{R.9}$$

which can be rewritten in the following form:

$$M_c \boldsymbol{\ddot{r}}_i = \boldsymbol{F}_M \tag{R.10}$$

where

$$\boldsymbol{F}_{M} = n_{a}m_{a}\kappa(\boldsymbol{v}_{s} - \dot{\boldsymbol{r}}_{j}) \times \boldsymbol{\hat{z}}$$
(R.11)

and v_s is the superfluid velocity induced by all the vortices (except for the *j*-th vortex). Multiplying both sides times $\hat{z} \times$, one obtains:

$$M_c \,\hat{\boldsymbol{z}} \times \boldsymbol{\ddot{r}}_j = n_a m_a \kappa (\boldsymbol{v}_s - \boldsymbol{\dot{r}}_j) \tag{R.12}$$

which can be recast as:

$$\dot{\boldsymbol{r}}_j = \boldsymbol{v}_s - \frac{M_c}{n_a m_a \kappa} \hat{\boldsymbol{z}} \times \ddot{\boldsymbol{r}}_j. \tag{R.13}$$

The comparison of Eq. (R.8) and Eq. (R.13) seems to suggest that

$$\frac{M_c}{n_a m_a \kappa} \hat{\boldsymbol{z}} \times \ddot{\boldsymbol{r}}_j \stackrel{?}{=} \left(\frac{\kappa}{2\pi} \hat{\boldsymbol{z}} \times \nabla \ln \tilde{\rho} \right) \Big|_{\boldsymbol{r}_j}$$
(R.14)

and hence that the acceleration of massive vortices comes with a local density deformation and, viceversa, that density inhomogeneities may be the source of an intrinsic mass term. We find this possible connection very interesting and worth of further analysis. We sincerely thank the Referee for the very insightful suggestion. On the other hand, this analogy might not be perfect. In fact, Eq. (R.8) is still a *first*-order equation of motion since, at a given time, the velocity v_v only depends on the position r_0 of the vortex and not on the instantaneous acceleration. Conversely, Eq. (R.9) is manifestly a *second*-order equation of motion. In other words, the term proportional to $\nabla \ln \tilde{\rho}$ in Eq. (R.8) is not associated to a *vortex acceleration*.

We also appreciated the Referee's comment concerning the intrinsic mass of a vortex, i.e. originating from its core structure rather than by foreign substances. To improve our discussion and mention that M_c can be an intrinsic property of a vortex, we have added the following comment, in the Introduction:

Typical examples include tracer atoms in superfluid 4He [58,59], quasiparticle bound states both in fermionic [50,52–55] and bosonic [50] superfluids even at zero temperature, thermal atoms in atomic BECs [60], and atoms of a different species in two-component BECs [61–72].

Also, at the beginning of Sec. 2.1, we have added the following sentence:

As mentioned in the Introduction, in many real superfluid systems quantum vortices are often filled, either deliberately or accidentally, by massive particles, which provide them with an effective inertial mass. Interestingly, vortex mass can be an intrinsic property of a large class of quantum vortices, as it originates from kelvon modes or quasi-particle bound states localized in the vortex core [50].

4) "In the limit of massless cores we recover the result of Eq. (2)."

There appears to be a conceptual ambiguity regarding the classification / nomenclature of massless and massive vortices, see the next comment in detail.

Reply to comment 4): On this point we kindly disagree with the Referee. We believe that our terminology is unambiguous. Specifically, "massless" refers to a vanishing core mass $(M_c = 0)$, while "massive" indicates a finite core mass $(M_c > 0)$. This terminology allows us to distinguish previous theoretical models that neglected mass (e.g., [H. Aref, Journal of Fluid Mechanics **290**, 167 (1995)]) from our analysis, which explicitly incorporates a non-vanishing vortex mass $(M_c > 0)$, regardless of its microscopic origin.

Nevertheless, to make our statement more clear, we have added the detail " $M_c \rightarrow 0$ " in the sentence:

In the limit of massless cores $(M_c \rightarrow 0)$ we recover the result of Eq. (2).

5) Eq. (13): This effective frictional force involves an arbitrary parametrisation along two orthogonal directions. That is, attaching a 2D Cartesian coordinate system on the vortex is completely arbitrary and therefore the two friction coefficients can have wildly different values depending on the nature of the physical flow state. More on this point in the context of Eq.(19) below.

Reply to comment 5): We are not sure that we correctly understood the meaning of "arbitrary parametrisation along two orthogonal directions". In fact, we believe that the two orthogonal directions are uniquely determined by the direction of the vortex velocity \dot{r}_j (the velocity of the normal component being assumed to be zero, i.e. $v_n = 0$). Therefore, the force F_j^N can be decomposed in a component parallel to \dot{r}_j and a component perpendicular to it.

6) Eq. (54): As pointed out earlier, M_c and ξ^2 should have a well defined relationship rather than being independent parameters. Importantly, the limit $M_c = 0$ implies the unphysical situation of $\xi = 0$.

Reply to comment 6): We understand the Referee's observation, but we believe that the relationship between M_c and ξ depends on the technique used to study the problem. For example, using a time-dependent variational approximation that allows to derive an effective point-vortex model from the Gross-Pitaevskii Lagrangian (see, e.g. [A.Richaud, V.Penna, A.L. Fetter, Phys. Rev. A **103**, 023311 (2021)] and

references therein) ξ merely acts as a cut-off and is uncorrelated with the vortex mass. However, as already acknowledged in the reply to Comment 3, it is indeed true that the vortex-core shape (i.e. the presence of a term of the type $\nabla \ln \tilde{\rho}$), an element which is neglected by the aforementioned time-dependent variational approximation, may correspond to an intrinsic vortex mass. We are going to further investigate this possible analogy and we again thank the Referee for suggesting it.

18

Suppression of the superfluid Kelvin-Helmholtz instability due to massive vortex cores, friction and confinement

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Abstract

We characterize the dynamical instability responsible for the breakdown of regular rows and necklaces of quantized vortices that appear at the interface between two superfluids in relative motion. Making use of a generalized point-vortex model, we identify several mechanisms leading to the suppression of this instability. They include a non-zero mass of the vortex cores, dissipative processes resulting from the interaction between the vortices and the excitations of the superfluid, and the proximity of the vortex array to the sample boundaries. We show that massive vortex cores not only have a mitigating effect on the dynamical instability, but also change the associated scaling law and affect the direction along which it develops. The predictions of our massive and dissipative pointvortex model are eventually compared against recent experimental measurements of the maximum instability growth rate relevant to vortex necklaces in a cold-atom platform.

Contents

5 Comparison with experiments

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6 Conclusions

References

1 Introduction

The Kelvin-Helmholtz instability consists in the exponential amplification of infinitesimal fluctuations occurring at the interface between two fluid layers having a relative velocity Δv [1,2]. This process leads to the breakdown of the laminar flow and to the onset of vortical structures [3–5]. This hydrodynamic instability, well known in the classical context and often considered a precursor of turbulence [6–9], is observed in various natural phenomena, including cloud formation [10, 11], mixing of oceanic currents [12, 13], and even astrophysical systems [14], where it is suspected to be a trigger mechanism for pulsar glitches [15]. The growth rate of this instability is $\sigma_c(q) = q\Delta v/2$ [5, 16], q being the wavenumber of the perturbation. In a realistic description, the two uniform flows are connected by a thin shear-layer that smooths out the velocity profile at the interface. It also introduces an ultra-violet cut-off q^* which halts the runaway behaviour for small wavelengths (i.e. large q) of the instability growth rate [5]. In real classical fluids with viscosity $\mu > 0$, one has $q^* \propto \Delta v/\mu$ and the maximum growth rate scales as $\sigma_c^* = \sigma_c(q^*) \propto \Delta v^2$. The kinematic viscosity μ introduces an ultra-violet cutoff $q^* \propto \Delta v/\mu$ that halts the runaway behaviour for small wavelengths (i.e. large q). The maximum growth rate then scales as $\sigma_c^* = \sigma_c(q^*) \propto \Delta v^2$.

At low temperatures, some fluids display the remarkable property of superfluidity, i.e. they can flow with zero viscosity and dissipation. Superfluidity has been observed for example in liquid Helium-II [17], atomic Bose-Einstein condensates (BECs) [18], degenerate Fermi gases [19], and quantum fluids of light [20]. The macroscopic quantum behavior of all these fluids comes with several key distinctions with respect to their ordinary counterparts [21], such as the fact that vorticity exists only in the form of discrete filaments with quantized circulation.

A natural question is whether and to what extent the Kelvin-Helmholtz instability, explored and characterized rather exhaustively in classical fluids [5], has an analogue in superfluids, as it is the case for several well-known instabilities of ordinary fluids. For example, considerable attention has been devoted to the formation of von Kármán vortex streets in superfluid flows past obstacles [22–24], to the presence of boundary layers around the surfaces of moving objects [25], and to the Rayleigh-Taylor instability at the interface between two immiscible BECs [26–29]. As regards the Kelvin–Helmholtz instability, its first study in a superfluid system dates back to twenty years ago [30], where it was observed at the interface between ${}^{3}\text{He} - \text{A}$ and ${}^{3}\text{He} - \text{B}$ (see also the more recent Ref. [31]). Since then, a few theoretical and experimental works have followed, investigating its occurrence at the interface between a superfluid and a normal fluid [32, 33], or between two different superfluids [34–41].

Subsequently, the superfluid Kelvin-Helmholtz instability has been (numerically) demonstrated within a single-component superfluid [42], a setup devoid of the complications (e.g. buoyancy effects) related to multicomponent systems. The ingenious protocol that was proposed is based on a progressive reduction of a potential barrier separating two channels, which leads to the merging of two counterflowing portions of the same superfluid, and hence to the seeding of an array of quantized vortices at the interface. Such an array was reported to quickly break down as vortices precede to form increasingly larger clusters, mimicking the roll-up patches of vorticity, characteristic structures of the classical Kelvin-Helmholtz instability. Moreover, the instability growth rate σ^* associated to the break down of this vortex array displays the same quadratic scaling $\sigma^* \propto \Delta v^2$ [43] as its classical counterpart. The same configuration of a quantized vortex array at the interface between two counter-propagating superflows in a two-dimensional (2D) BEC has been recently studied more in detail in Ref. [44]. Combining Gross-Pitaevskii simulations with a Bogoliubov approach, their thorough numerical analysis showed the occurrence of instabilities of different nature depending on the flow-velocity regimes. The quantized version of the hydrodynamic Kelvin-Helmholtz instability pops out at moderate velocities, while it washes out at supersonic flow velocities where other mechanisms emerge due to the coupling to acoustic excitations.

Cold-atom platforms are ideal to shed light on the nature of instabilities in quantum fluids due to shear flow. Very recently, there appeared two notable experiments in this context. In the first one, Zwierlein's group at MIT showed that a BEC subject to a synthetic magnetic field undergoes a snaking instability leading to a crystallization of the condensate in droplets separated by streets of quantized vortices. Zwierlein's group at MIT showed the fragmentation of a rapidly rotating elongated BEC into an array of droplets, as a consequence of sheared velocity profile in the rotating frame [45]. In the second one More recently, Roati's group at LENS was able to characterize with unprecedented detail the Kelvin-Helmholtz instability in superfluid ⁶Li confined within an annular geometry [46].

Yet, many open questions remain about the detailed physical processes governing the breakdown of regular vortex arrays. In Bose superfluids, the presence of kelvon bound states localized at the vortex core (also at zero temperature) is responsible for a non-zero vortex mass which influences the vortex motion [47–50]. , especially in Similarly, in fermionic superfluids, where the motion of quantized vortices is affected by the interactions with the gas of elementary excitations and by the presence of Andreev bound states localized at the vortex cores [51–55]. This is the case, for instance, of the LENS experiment [46], where an instability growth rate ~ 3 times smaller than the one predicted by current theoretical models has been measured. They consider subsonic velocity differences where the Kelvin-Helmholtz instability is the dominant mechanism and its suppression cannot be ascribed to any coupling with acoustic excitations [44]. Given that, the observed mismatch has not received a theoretical explanation yet, hence it calls for further studies.

In this work, motivated by many state-of-the-art experimental facilities providing direct access to superfluid configurations with arbitrary geometries, we delve into the breakdown of regular rows and necklaces of quantized vortices due to the Kelvin-Helmholtz instability. On the one hand, we unify well-established results [43, 56, 57] relevant to point vortices in ideal fluids. On the other hand, we generalize them to test the robustness of the instability against the introduction of two classical ingredients that, while being often overlooked, are indeed present in most real superfluid systems. They are massive vortex cores and mutual friction arising from dissipative effects within the superfluid. In the framework of a suitably generalized point-vortex model, we carry out a detailed stability analysis of the shear layer present at the interface between counterflowing superfluids, demonstrating that massive vortex cores and dissipative processes, together with the proximity with the boundaries of the sample, are responsible for a partial or complete suppression of the superfluid Kelvin-Helmholtz instability. We also show that the presence of a finite core mass is responsible for a change of the asymptotic scaling of the instability growth rate, from quadratic to linear, i.e. $\sigma^* \propto \Delta v$. Whenever possible, our analysis is carried out in a fully analytical way, so to highlight the contribution that each of the aforementioned mechanisms has on the stabilization of quantized vortex arravs.

The structure of the manuscript is the following: in Sec. 2, we focus on the impact of a non-zero vortex-core inertial mass on the properties of regular vortex rows and show its rather general stabilizing effect. This analysis is motivated by the fact that, in many real superfluid systems, vortex cores are often filled, either accidentally or deliberately, by massive particles that provide the topological excitations themselves with a non-zero inertial mass. Typical examples include tracer atoms in superfluid ⁴He [58, 59], quasiparticle bound states both in fermionic [50, 52–55] and bosonic superfluids [50] even at zero temperature, thermal atoms in atomic BECs [60], and atoms of a different species in two-component BECs [61–72]. Moreover, we introduce dissipation into our analysis to make our point-vortex model as accurate as possible. It turns out that dissipative processes indeed have a stabilizing effect on vortex arrays.

In Sec. 3, we rigorously incorporate the presence of an annular-like superfluid domain, a geometry which supports the prototypical realization of superflows with periodic boundary conditions [42,46]. The narrowness of the annulus further suppresses the necklace instability.

Sec. 4 is devoted to the analysis of massive vortex necklaces. The presence of core mass not only stabilizes the system, but also determines a change of the asymptotic dependence of the maximum instability growth rate on the relative velocity at the interface between the two counterflows.

In Sec. 5, we compare the predictions of our massive and dissipative point-vortex model with the results of a recent experimental characterization of the superfluid Kelvin-Helmholtz instability in a cold-atom platform [46]. This comparison offers insights into a potential estimate for the yet-undetermined transverse mutual-friction coefficient α' , aiming at reconciling theoretical predictions with experimental observations. Finally, Sec. 6 is devoted to concluding remarks and future perspectives.

2 Vortex rows

When set into rotation, superfluids can host elementary excitations in the form of quantized vortices. The superfluid density is depleted in correspondence of the vortex cores, while the superfluid flow swirls around them. In quasi-two-dimensional (2D) configurations, the additional degrees of freedom associated to vortex-line bending become too high-lying in energy, and hence freeze out. When finite-compressibility effects can be neglected, and given their quantized vorticity, superfluid vortices can be effectively modeled as classical point-like particles (or as classical filaments in three-dimensional systems), simplifying the complex dynamics associated with their motion. As a result, superfluid vortices, despite their inherently quantum nature, can be effectively modeled as classical point-like particles, simplifying the complex dynamics associated with their motion. This modeling approach proves particularly valuable when considering the interactions among multiple vortices. According to the principle of superposition of potential flows, the instantaneous velocity of each quantum vortex corresponds to the vector sum of the velocities induced by all other vortices within the system. In the present work we employ such a method since we deal with quasi-2D superfluids. A straight array of equally spaced vortices, the so called "vortex row" (schematically represented in Fig. 1) constitutes a stationary, but unstable, configuration. As is well known [43], in fact, any perturbation of this regular arrangement is amplified with a characteristic rate

$$\sigma_0 = \frac{\kappa q}{2a} \left(1 - \frac{qa}{2\pi} \right),\tag{1}$$

where $\kappa = h/m_a$ is the quantum of circulation, m_a is the atomic mass of the superfluid, a is the intervortex distance, and q is the perturbation wavenumber. The maximum instability growth rate,

$$\sigma_0^* := \sigma_0(q^*) = \frac{\pi\kappa}{4a^2},$$
(2)

is the one associated to the most unstable mode $q^* = \pi/a$, which, in turn, corresponds to the minimum wavelength (2*a*) that the lattice can support. An equivalent formulation of Eq. (2),

 $\sigma_0^* = \pi \Delta v^2 / (4\kappa)$, is manifestly characterized by a *quadratic* dependence on the velocity difference $\Delta v = \kappa / a$ across the vortex row.

2.1 Instability of a massive vortex row

As mentioned in the Introduction, in many real superfluid systems quantum vortices are often filled, either deliberately or accidentally, by massive particles, which provide them with an effective inertial mass. Interestingly, vortex mass can be an intrinsic property of a large class of quantum vortices, as it originates from kelvon modes or quasi-particle bound states localized in the vortex core [50]. The ensuing kinetic-energy term, often overlooked by well-established theoretical models [43, 56, 57], can be easily introduced within the Lagrangian description of superfluid vortex dynamics [64, 66]. To capture the impact of a finite core inertial mass on the superfluid Kelvin-Helmholtz instability, we start in this section by computing the maximum instability growth rate relevant to a massive vortex row. Later in Sec. 4, then, we will develop a similar computation for a massive vortex necklace inside a planar annulus.

We consider a massive vortex row inside a superfluid with atomic mass m_a and 2D number density n_a . This configuration consists of a rectilinear regular chain of an infinite number of vortices. Each of them, labelled by the index $j \in \mathbb{Z}$, has the same quantum of circulation κ and hosts a core mass M_c . The Lagrangian of the system reads

$$\mathcal{L}_{\text{plane}} = \sum_{j \in \mathbb{Z}} \left[\frac{M_c}{2} \dot{\boldsymbol{r}}_j^2 + \frac{m_a n_a \kappa}{2} (\dot{\boldsymbol{r}}_j \times \boldsymbol{r}_j \cdot \hat{\boldsymbol{z}}) + \sum_{i=1}^{+\infty} \frac{m_a n_a \kappa^2}{2\pi} \ln\left(\frac{|\boldsymbol{r}_j - \boldsymbol{r}_{j+i}|}{\xi}\right) \right]. \tag{3}$$

A finite core mass introduces a Newtonian kinetic-energy term into the standard Lagrangian of a many-vortex system, which is made of both a minimal-coupling-like and a potential-energy term (ξ is a parameter having the dimensions of a length, typically of the order the core size, whose detailed value does not affect the equations of motion) [64, 66]. The relevant Euler-Lagrange equation for the *j*th vortex reads

$$\frac{M_c}{\kappa m_a n_a} \ddot{\boldsymbol{r}}_j = -\dot{\boldsymbol{r}}_j \times \hat{\boldsymbol{z}} + \frac{\kappa}{2\pi} \sum_{i \in \mathbb{Z} \setminus \{0\}} \frac{\boldsymbol{r}_j - \boldsymbol{r}_{j+i}}{|\boldsymbol{r}_j - \boldsymbol{r}_{j+i}|^2}.$$
(4)

These equations admit as stationary solution the regular vortex configuration

$$\boldsymbol{r}_{i}(t) = (a\,j,0) \quad \forall \, t. \tag{5}$$



Figure 1: Schematic illustration of an infinitely extended vortex row featuring an intervortex distance *a*. Each vortex hosts a core mass M_c . The red arrows represent displacement vectors $\boldsymbol{\epsilon}_i$, whose components are of the type $(-1)^j (\boldsymbol{\epsilon}_{\parallel}, \boldsymbol{\epsilon}_{\perp})$.

In the most unstable mode, as depicted in Fig. 1, all the vortices are displaced from their equilibrium position according to

$$(a(j\pm i),0) \quad \to \quad \left(a(j\pm i) + (-1)^i \epsilon_{\parallel}, (-1)^i \epsilon_{\perp}\right). \tag{6}$$

To develop the stability analysis of the fixed point (5), we linearize Eq. (4) with respect to the longitudinal (transverse) displacement ϵ_{\parallel} (ϵ_{\perp}). The resulting system of two coupled second-order differential equations

$$\frac{M_c}{\kappa m_a n_a} \ddot{\epsilon}_{\parallel} = -\dot{\epsilon}_{\perp} - \frac{\pi \kappa}{4a^2} \epsilon_{\parallel}
\frac{M_c}{\kappa m_a n_a} \ddot{\epsilon}_{\perp} = +\dot{\epsilon}_{\parallel} + \frac{\pi \kappa}{4a^2} \epsilon_{\perp}$$
(7)

admits solutions of the type $\epsilon_{\parallel}, \epsilon_{\perp} \sim e^{\lambda t}$ [73]. Among the possible values of λ , we focus on the one having the largest real part,

$$\sigma^* = \frac{\kappa m_a n_a}{M_c \sqrt{2}} \sqrt{-1 + \sqrt{1 + \left(\frac{M_c \pi}{2a^2 m_a n_a}\right)^2}},$$
(8)

as it constitutes the maximum instability growth rate. The latter quantity is shown in Fig. 2 as a function of the number of vortices N_{ν} contained in a length L, i.e. $N_{\nu} = L/a$. In the limit of massless cores ($M_c \rightarrow 0$) we recover the result of Eq. (2), i.e., $\sigma^* \propto a^{-2} \propto (N_{\nu}/L)^2 = (\Delta \nu/\kappa)^2$, where we recall that $\Delta \nu$ is the velocity difference across the vortex row. Such a quadratic dependence on $\Delta \nu$ is also found in the analysis of the classical Kelvin-Helmholtz instability, and keeps holding for small number N_{ν} of massive vortices. Remarkably, however, for large N_{ν} (i.e., small *a*) massive cores feature a maximum instability growth rate which is linear in N_{ν} :

$$\sigma^* \sim \sqrt{\frac{\pi n_a m_a}{M_c} \frac{\kappa}{2L} N_\nu},\tag{9}$$

or equivalently $\sigma^* \propto \Delta \nu$.



Figure 2: Dependence of the maximum instability growth rate σ^* , as given by Eq. (8), on the number of vortices N_v along a row of length $L = aN_v$, for different values of the core mass, parametrized by $\mathfrak{m}_r = M_c/(m_a n_a L^2)$. Stars denote the critical values (10) at which the scaling $\sigma^*(N_v)$ switches from quadratic to linear.

After Taylor-expanding Eq. (8) to second order in M_c , one obtains the critical value at which the scaling relation $\sigma^*(N_v)$ crosses over from quadratic to linear,

$$\tilde{N}_{\nu} = 2L \sqrt{\frac{m_a n_a}{\pi M_c}},\tag{10}$$

which is denoted by stars in Fig. 2. \tilde{N}_{ν} diverges in the limit of small values of M_c , recovering the asymptotic quadratic scaling $\sigma^*(N_{\nu})$ for massless vortices.

Somehow reminiscently, the dispersion $\omega(k)$ of low-momentum elementary excitations in a Bose gas switches from quadratic to linear when introducing interactions between the bosons. Both phenomena are non-perturbative, and may be seen as an occurrence of a *singular perturbation*. In the case of a Bose gas, interactions between bosons change the governing equation from linear (Schrödinger) to non-linear (Gross-Pitaevskii). In the present case, instead, the change of scaling of σ^* may be traced back to the fact that the the dynamical equation governing the vortex motion is of first order in the case of massless vortices, but it becomes a second order equation for massive ones.

2.2 Longitudinal and transverse instability

Introducing the vector $\boldsymbol{\epsilon} = (\epsilon_{\parallel}, \dot{\epsilon}_{\parallel}, \epsilon_{\perp}, \dot{\epsilon}_{\perp})^T$, the two second-order equations of motion (7) can be recast into four first-order ordinary differential equations, written in matrix form as $\dot{\boldsymbol{\epsilon}} = \mathbb{M}\boldsymbol{\epsilon}$. The maximum instability growth rate σ^* defined in Eq. (8) then corresponds to one of the eigenvalues of the 4 × 4 matrix \mathbb{M} [73], with associated eigenvector

$$\boldsymbol{\nu}_{\sigma^*} = \left(\frac{\kappa m_a n_a}{M_c} \sigma^*, \frac{\kappa m_a n_a}{M_c} \sigma^{*2}, -\left(\sigma^{*2} + \frac{m_a n_a \pi \kappa^2}{4a^2 M_c}\right), -\sigma^* \left(\sigma^{*2} + \frac{m_a n_a \pi \kappa^2}{4a^2 M_c}\right)\right)^T.$$
(11)

We focus in particular on the ratio between the longitudinal and transverse components of the displacement vector

$$\frac{\epsilon_{\parallel}}{\epsilon_{\perp}} = \frac{\nu_{\sigma^*,1}}{\nu_{\sigma^*,3}},\tag{12}$$

whose absolute value is shown in Fig. 3 as a function of the core mass M_c . In the massless case ($M_c = 0$), the most unstable mode has an equal longitudinal and transverse character, $|\epsilon_{\parallel}/\epsilon_{\perp}| = 1$. The ratio then monotonically decreases as the core mass is cranked up, meaning that the instability gets increasingly transverse.



Figure 3: Ratio between the amplitudes of the longitudinal and transverse displacements for the most unstable eigenmode as a function of the core mass.

2.3 Dissipation-induced suppression of the instability

The massive point vortex model (3) can be complemented to include dissipative processes that may hinder vortex motion. Surface tension and viscosity in classical fluids are two possible dissipation effects that reduce the growth rate, hence leading to a stabilization of the system. The dissipation channels that may open in superfluids have, instead, a different nature, as they can originate from a finite thermal component, density excitations [74] or Andreev vortex-bound states in the BCS regime [54, 55].

According to the dissipative point-vortex model proposed by Schwarz, Kopnin, Sonin and others [75–80], quantized vortices scatter the elementary excitations of the superfluid and, in the presence of a non-zero relative velocity between the vortex and the gas of elementary excitations, an effective frictional force

$$\boldsymbol{F}_{j}^{N} = \kappa m_{a} n_{a} \left[d_{\parallel} (\boldsymbol{\nu}_{n} - \dot{\boldsymbol{r}}_{j}) + d_{\perp} \hat{\boldsymbol{z}} \times (\boldsymbol{\nu}_{n} - \dot{\boldsymbol{r}}_{j}) \right]$$
(13)

acts on the vortex, v_n being the velocity of the normal fluid. In the following, we discuss the impact of this frictional force on the maximum instability growth rate σ^* associated to regular vortex configurations.

Assuming a vanishing average velocity of the normal component ($v_n = 0$), the equation of motion (4) for a massive vortex in an unbounded plane in presence of dissipation becomes

$$\frac{M_c}{\kappa m_a n_a} \ddot{r}_j = -d_{\parallel} \dot{r}_j + (1 - d_{\perp}) \hat{z} \times \dot{r}_j + \frac{\kappa}{2\pi} \sum_{i \in \mathbb{Z} \setminus \{0\}} \frac{r_j - r_{j+i}}{|r_j - r_{j+i}|^2}.$$
(14)

While the longitudinal frictional term (with coefficient d_{\parallel}) is generally positive and therefore acts to slow down vortex motion, the transverse one (with coefficient d_{\perp}) is typically negative and therefore strengthens the Lorentz-like force $\propto \hat{z} \times \dot{r}_j$. These equations are trivially satisfied by the stationary regular vortex row (5), because the latter is a static (or mechanical) equilibrium configuration, and hence it is not affected by the velocity-dependent dissipative force (13). For small oscillations around the equilibrium, linearizing Eq. (14) gives, in components:

$$\frac{M_c}{\kappa m_a n_a} \ddot{\epsilon}_{\parallel} = -d_{\parallel} \dot{\epsilon}_{\parallel} - (1 - d_{\perp}) \dot{\epsilon}_{\perp} - \sigma_0^* \epsilon_{\parallel}
\frac{M_c}{\kappa m_a n_a} \ddot{\epsilon}_{\perp} = -d_{\parallel} \dot{\epsilon}_{\perp} + (1 - d_{\perp}) \dot{\epsilon}_{\parallel} + \sigma_0^* \epsilon_{\perp},$$
(15)

where σ_0^* is the maximum instability growth rate for the massless and dissipationless case given in Eq. (2). The maximum instability growth rate σ^* of the system corresponds to the largest real part

$$\sigma^* = \max_{j \in [1,4]} \left\{ \operatorname{Re}(\lambda_j) \right\}$$
(16)

among the four solutions of the characteristic equation associated to Eqs. (15),

$$\lambda^{4} + 2\gamma d_{\parallel} \lambda^{3} + \gamma^{2} \left[d_{\parallel}^{2} + (1 - d_{\perp})^{2} \right] \lambda^{2} - \left(\gamma \sigma_{0}^{*} \right)^{2} = 0,$$
(17)

where $\gamma = \kappa m_a n_a / M_c$.

To understand the effect of dissipation in the *massless* case, one can set $M_c = 0$ directly in Eqs. (15). By doing so, the second time derivatives drop out and one is left with a first-order problem for the displacements. In this case, the characteristic equation (17) simplifies and one can analytically determine the maximum instability growth rate

$$\sigma^* = \frac{\sigma_0^*}{\sqrt{d_{\parallel}^2 + (1 - d_{\perp})^2}}.$$
(18)

The Taylor expansion $\sigma^* = \sigma_0^* (1 + d_{\perp} - d_{\parallel}^2/2 + ...)$ shows that, already in the massless case, non-zero mutual-friction coefficients $d_{\parallel} > 0$ and $d_{\perp} < 0$ cause a suppression of the system instability, with a first-order correction given by d_{\perp} , while d_{\parallel} enters only at second order. The rate given by Eq. (18) is plotted in the left panel of Fig. 4.

A similar contour plot is shown in the right panel of Fig. 4 for the general case of $M_c > 0$, where the growth rate (16) comes out as a numerical solution of Eq. (17). The steeper slopes



Figure 4: Contour plots of the maximum instability growth rate σ^* of a vortex row as a function of the friction coefficients d_{\parallel} and d_{\perp} . Left panel: plot of the massless result (18) normalized with respect to its dissipationless limit (2). Right panel: plot of the massive result (16) normalized with respect to its dissipationless limit (8).

of the contour lines between the two panels in Fig. 4 indicate that σ^* becomes more sensitive to d_{\parallel} in the presence of filled massive cores.

We conclude this section by observing that an alternative formulation of the mutual-friction force (13) acting on the *j*-th vortex is given by

$$\mathbf{F}_{j}^{N} = \kappa m_{a} n_{a} \left[\alpha (\mathbf{v}_{n} - \mathbf{v}_{s,j}) - \alpha' \hat{z} \times (\mathbf{v}_{n} - \mathbf{v}_{s,j}) \right],$$
(19)

where $v_{s,j}$ is the superfluid velocity in the neighborhood of the vortex [77,79], and the longitudinal and transverse friction coefficients α and α' are both typically positive. In this framework, as was shown in Ref. [46], the maximum instability growth rate (18) reads

$$\sigma^* = \sigma_0^* \sqrt{\alpha^2 + (1 - \alpha')^2}.$$
 (20)

The Taylor expansion $\sigma^* = \sigma_0^* (1 - \alpha' + \alpha^2/2 + ...)$ indicates an increase of the instability rate with longitudinal friction (controlled by α), which naively looks in contrast with what discussed above. However, as shown in Ref. [79], in the absence of mass, the friction coefficients $\{\alpha, \alpha'\}$ are related to $\{d_{\parallel}, d_{\perp}\}$ by the relations $\alpha = d_{\parallel}/[d_{\parallel}^2 + (1 - d_{\perp})^2]$, $\alpha' = 1 - (1 - d_{\perp})/[d_{\parallel}^2 + (1 - d_{\perp})^2]$, and using them, one may directly verify that Eq. (20) is completely equivalent to Eq. (18).

3 Massless vortex necklaces in annular superfluids

Vortex necklaces, also termed as vortex polygons, emerge at the interface between two counterflowing annular-like superfluids. The latter are particularly noteworthy for experimental protocols, as they lend themselves to implementing flows with periodic boundary conditions.

3.1 Point-vortex model

The dynamics of quantized vortices in a two-dimensional incompressible superfluid confined in an annular domain has been extensively studied in the recent Ref. [68] by means of a suitable

point-vortex model. While we refer the reader to that reference for an exhaustive derivation of such a model, in this section we review its main features.

The effective Lagrangian governing the dynamics of a system of N_v point vortices of positive unit charge in a superfluid of uniform two-dimensional number density n_a and atomic mass m_a confined in an annulus of inner radius R_1 and outer radius R_2 reads:

$$\mathcal{L}_{a} = \sum_{j=1}^{N_{\nu}} \left\{ \pi \hbar n_{a} \left(R_{2}^{2} - r_{j}^{2} \right) \dot{\theta}_{j} - \Phi_{j} - \sum_{k=1}^{N_{\nu}} {}^{\prime} V_{jk} \right\},$$
(21)

where

$$\Phi_j = \Phi(r_j) \equiv \frac{\pi \hbar^2 n_a}{m_a} \left[(1 - 2\mathfrak{n}_1) \ln\left(\frac{r_j}{R_2}\right) + \ln\left(\frac{2}{i} \frac{\vartheta_1\left(-i\ln\left(\frac{r_j}{R_2}\right), q\right)}{\vartheta_1'(0, q)}\right) \right]$$
(22)

is the one-vortex energy arising from the interaction of the vortex at $r_j = (r_j, \theta_j)$ with its infinitely many images, while the two-vortex energy

$$V_{jk} = V(\mathbf{r}_j, \mathbf{r}_k) \equiv \frac{\pi \hbar^2 n_a}{m_a} \operatorname{Re} \left\{ \ln \left[\frac{\vartheta_1 \left(\frac{1}{2} \left(\theta_j - \theta_k \right) - \frac{i}{2} \ln \left(\frac{r_j r_k}{R_2^2} \right), q \right)}{\vartheta_1 \left(\frac{1}{2} \left(\theta_j - \theta_k \right) - \frac{i}{2} \ln \left(\frac{r_j}{r_k} \right), q \right)} \right] \right\}$$
(23)

accounts for the interaction between vortices at position r_j and r_k , including all their images (the primed sum means that the terms k = j are omitted). The use of the Jacobi elliptic theta functions $\vartheta_1(z,q)$, which are integral functions of the complex variable z and which depend also on the geometric ratio $q \equiv R_1/R_2$, allows for an exact treatment of the infinitely many image vortices ensuing from the presence of the two circular boundaries. Moreover, $n_1 \in \mathbb{Z}$ denotes the number of quanta of circulation around the inner boundary of the annulus. Figure 5 illustrates the particular case of a many-vortex system ($N_v = 6$) characterized by a regular arrangement of the vortices, lying on a circle of radius r_0 at equal distance one from the other.

The system has two conserved quantities:

· the total energy

$$\mathcal{H}_{a} = \sum_{j=1}^{N_{\nu}} \left(\Phi_{j} + \sum_{k=1}^{N_{\nu}} {}^{\prime} V_{jk} \right)$$
(24)

which depends on the vortex positions $\{r_j\}$, but not on their velocities $\{\dot{r}_j\}$. This is a consequence of the first-order dynamics of 2D quantum vortices, whose *x* and *y* components play the role of canonically conjugate variables;

• the *z*-component of the angular momentum

$$L_{a}^{z} = \pi \hbar n_{a} \left(R_{2}^{2} - R_{1}^{2} \right) \mathfrak{n}_{1} + \pi \hbar n_{a} \sum_{j=1}^{N_{v}} \left(R_{2}^{2} - r_{j}^{2} \right)$$
(25)

which includes the constant contribution from the possible non-zero circulation \mathfrak{n}_1 around the inner boundary and the sum $\sum_{j=1}^{N_v} \partial \mathcal{L}_a / \partial \dot{\theta}_j$ of the canonical angular momenta associated to the N_v vortices. This integral of motion originates from the rotational invariance of the system.



Figure 5: Schematic representation of the physical system for $N_v = 6$ vortices forming a regular necklace with radius r_0 . The superfluid (light blue region) is confined in a two-dimensional annular domain having radii $R_1 < R_2$ and quantized flow circulation n_1 around the inner boundary. It hosts vortices with unit positive charge at positions $r_j = (r_j, \theta_j)$.

3.2 Necklace solutions

A notable class of solutions of the Euler-Lagrange equations associated to Lagrangian (21) corresponds to regular vortex necklaces. As pictorially represented in Fig. 5, these are vortex structures of the type $r_j(t) = r_0$ and $\theta_j(t) = 2\pi j/N_v + \Omega_{N_v}^0 t$, with $j = 1, 2, ..., N_v$, where

$$\Omega_{N_{\nu}}^{0}(r_{0}) = \frac{\hbar}{m_{a}r_{0}^{2}} \left[\mathfrak{n}_{1} - \frac{1}{2} + \frac{i}{2} \sum_{j=1}^{N_{\nu}} \frac{\vartheta_{1}'\left(\frac{\pi}{N_{\nu}}(1-j) - i\ln\left(\frac{r_{0}}{R_{2}}\right), q\right)}{\vartheta_{1}\left(\frac{\pi}{N_{\nu}}(1-j) - i\ln\left(\frac{r_{0}}{R_{2}}\right), q\right)} \right]$$
(26)

is the uniform-precession angular velocity of the whole system and r_0 is the radius of the N_{ν} vortex regular polygon. In Fig. 6, we plot Eq. (26) as a function of r_0 for different values of N_{ν} .

Equation (26) generalizes and unifies various well-known results:

• When $r_0 = \sqrt{R_1 R_2}$, it reduces to

$$\Omega_{N_{\nu}}^{0}\left(r_{0}=\sqrt{R_{1}R_{2}}\right)=\frac{\hbar}{m_{a}r_{0}^{2}}\left[n_{1}+\frac{N_{\nu}-1}{2}\right],$$
(27)

a formula that generalizes Eq. (B6) of Ref. [81], valid for a single vortex in an annulus, to the case of a N_{ν} -vortex necklace. In the special case of $\mathfrak{n}_1 = -N_{\nu}/2$, the aforementioned expression reads

$$\Omega_{N_{\nu}}^{0}\left(r_{0}=\sqrt{R_{1}R_{2}}\right)=-\frac{1}{2}\frac{\hbar}{m_{a}r_{0}^{2}}$$
(28)

and is therefore independent of the number of vortices.

• In the limit of an infinitely small internal boundary, it reduces to

$$\lim_{R_1 \to 0} \Omega_{N_{\nu}}^0 = \frac{\hbar}{m_a r_0^2} \left[\mathfrak{n}_1 + \frac{N_{\nu} - 1}{2} + N_{\nu} \frac{\left(\frac{r_0}{R_2}\right)^{2N_{\nu}}}{1 - \left(\frac{r_0}{R_2}\right)^{2N_{\nu}}} \right]$$
(29)



Figure 6: Precession frequency (26) as a function of r_0 for different values of N_v and $\mathfrak{n}_1 = -N_v/2$, with $R_2 = 4.5R_1$. The vertical red dashed line corresponds to the geometric mean $\sqrt{R_1R_2}$, while the horizontal red dashed line corresponds to Eq. (28). The blue-shaded rectangles correspond to regions which lie outside the annular domain.

and represents the precession frequency of an N_{ν} -vortex necklace in a disk of radius R_2 in the presence of an extra vortex of charge \mathfrak{n}_1 at the disk's center. For $\mathfrak{n}_1 = 0$ this formula corresponds to the massless limit of Eq. (5) of Ref. [69].

• Taking the additional limit $R_2 \rightarrow +\infty$, the latter expression reduces to

$$\lim_{R_2 \to +\infty} \lim_{R_1 \to 0} \Omega_{N_\nu}^0 = \frac{\hbar}{m_a r_0^2} \left[n_1 + \frac{N_\nu - 1}{2} \right]$$
(30)

which corresponds to Eq. (8) of Ref. [57], valid for a vortex necklace in the infinite plane.

Interestingly, Eq. (30) corresponds to Eq. (27), meaning that, when $r_0 = \sqrt{R_1R_2}$, the precession frequency of the necklace in the annulus corresponds to that of a necklace in the unbounded plane. In fact, this special value of r_0 is such that the image charges generated by the two circular boundaries yield a net vanishing effect on the real vortices.

3.3 Instability of a massless vortex necklace

To perform the linear-stability analysis of vortex-necklace configurations, it is convenient to start by rewriting the Lagrangian (21) in a reference frame rotating at angular frequency Ω . The coordinate transformation reads $r'_j = r_j$ and $\theta'_j = \theta_j - \Omega t$, where the primed variables are the ones in the rotating reference frame. The transformed Lagrangian

$$\mathcal{L}_{a}' = \sum_{j=1}^{N_{v}} \left\{ \pi \hbar n_{a} \left(R_{2}^{2} - r_{j}^{2} \right) \left(\dot{\theta}_{j}' + \Omega \right) - \Phi_{j} - \sum_{k=1}^{N_{v}} {}^{'} V_{jk} \right\}$$
(31)

is such that both Φ_j and V_{jk} are unaltered by the transformation. Comparing Eqs. (31) and (21), it is clear that the kinetic term $\mathcal{T}'_a = \pi \hbar n_a \sum_{j=1}^{N_v} (R_2^2 - r_j^2) \dot{\theta}'_j$ is formally unaltered, while the potential term is modified as follows:

$$\mathcal{H}_{a} \longrightarrow \mathcal{H}_{a}' = \sum_{j=1}^{N_{v}} \left(\Phi_{j} + \sum_{k=1}^{N_{v}} {}^{\prime} V_{jk} \right) - \Omega \sum_{j=1}^{N_{v}} \pi \hbar n_{a} (R_{2}^{2} - r_{j}^{2}).$$
(32)

Provided that Ω equals $\Omega_{N_{\nu}}$ [as given by Eq. (26)], the N_{ν} -vortex necklace constitutes a stationary configuration in the rotating reference frame.

We develop the linear-stability analysis according to the scheme described in Ref. [82], i.e. by diagonalizing the $2N_v \times 2N_v$ matrix

$$\mathbb{J} = t^{-1} \mathbb{S} \mathbb{H} \tag{33}$$

where

$$t = \left(\frac{\partial^2 \mathcal{T}'_a}{\partial r'_j \partial \dot{\theta}'_j}\right)_{\text{eq}}$$
(34)

is actually independent of j and

$$(\mathbb{H})_{i,j} = \left(\frac{\partial^2 \mathcal{H}'_a}{\partial D_i \,\partial D_j}\right)_{\text{eq}}$$
(35)

is the Hessian matrix associated to Hamiltonian (32) and evaluated at the regular-necklace configuration (hence the subscript "eq"). The vector $\boldsymbol{D} = \left(r'_1, ..., r'_{N_v}, \theta'_1, ..., \theta'_{N_v}\right)^T$ constitutes the full array of dynamical variables in the rotating reference frame (recall that $r_j \equiv r'_j$). Eventually, the antisymmetric matrix

$$S = \begin{pmatrix} 0_{N_{\nu}} & I_{N_{\nu}} \\ -I_{N_{\nu}} & 0_{N_{\nu}} \end{pmatrix}$$
(36)

encodes the Hamiltonian structure of the system, 0_{N_v} and I_{N_v} being, respectively, the zero- and the identity matrix of order N_v .

The $2N_{\nu}$ eigenvalues of \mathbb{J} are complex numbers, which we write as

$$\lambda_j = \sigma_j + i\,\omega_j. \tag{37}$$

As is well-known from the theory of Hamiltonian matrices, recall that also $-\lambda_j$, λ_j^* , and $-\lambda_j^*$ are eigenvalues of \mathbb{J} . Their imaginary parts are the frequencies of stable small oscillations around the necklace solution, while their real parts describe their instability. In the following, we will focus on the set of σ_j 's, which are often termed *instability growth rates*.

Figure 7 shows the instability growth rate σ on the wavenumber $q_j = 2\pi j/(N_v d_v)$, for different values of N_v (only one half of the full dispersion relation is shown, being it symmetric with respect to the most unstable wavenumber).

In all cases, the most unstable mode is the one associated to $q^* = q_{j=N_v/2} = \pi/d_v$, where $d_v \approx 2\pi r_0/N_v$ is the intervortex distance, while the mode q = 0 is always stable such that $\sigma(q=0) = 0$. The latter property is associated to the conservation of the total angular momentum, which follows from system rotational invariance. For $N_v \rightarrow +\infty$, the points collapse on Eq. (1), which was derived for straight vortex rows in Ref. [43]. The structure of the normal modes for $N_v = 6$ is illustrated in Fig. 8.

3.4 Boundary-induced stabilization of the necklace

The presence of the annulus boundaries contributes to stabilize a vortex necklace. Indeed, in Fig. 9 we show the maximum instability growth rate $\sigma^* := \max_{j \in [1, 2N_v]} \{\sigma_j\}$ [where the σ_j 's are given by Eq. (37)] as a function of the annulus width $\Delta R = R_2 - R_1$.

One can appreciate that, for any N_{ν} , the necklace becomes stable on narrow enough annuli. The reason can be ascribed to the competition between two different length scales: the typical intervortex distance $d_{\nu} \approx 2\pi r_0/N_{\nu}$ and the distance between the vortices and the boundaries,



Figure 7: Dependence of the instability growth rates σ [corresponding to the real parts of eigenvalues (37)] on the eigenmode wavenumber q for different values of N_{ν} . Results obtained for necklaces of radius $r_0 = \sqrt{R_1 R_2}$ and $n_1 = -N_{\nu}/2$. The black solid line corresponds to Eq. (1).



Figure 8: Structure of the 4 independent eigenmodes associated to a 6-vortex necklace. Panels correspond to the allowed wavenumbers q = 0, 1, 2, 3 (from left to right). Red arrows represent the relevant displacement vectors. The first panel shows the stable mode, while the last one is the most unstable (i.e., the one with $q = q^*$).

which is of the order ΔR . In the limit of a fat annulus, $\Delta R \gg d_{\nu}$, the dynamics of each vortex is mainly determined by the remaining $N_{\nu} - 1$ physical vortices, while, in the opposite limit of a thin annulus $\Delta R \ll d_{\nu}$ such dynamics is mainly determined by the image vortices. The critical condition corresponding to the cross-over between the two aforementioned regimes is

$$\Delta R_c = d_v = \frac{2\pi r_0}{N_v}.$$
(38)

Moreover, if the annulus width ΔR tends to infinity, the maximum instability growth rate tends to

$$\lim_{\Delta R \to +\infty} \sigma^* = \frac{\hbar}{8m_a r_0^2} N_\nu \sqrt{N_\nu^2 - 8(N_\nu - 1 + 2\mathfrak{n}_1)}.$$
(39)

Setting $n_1 = 0$, this formula yields the known result for a regular vortex polygon on an unbounded plane [Eq. (5.14b) of Ref. [43]]. Notice the scaling $\sigma^* \sim N_{\nu}^2$ for large values of N_{ν} .



Figure 9: Dependence of the maximum instability growth rate σ^* on the annulus width $\Delta R = R_2 - R_1$ for necklaces with N_v vortices, at fixed $r_0 = \sqrt{R_1R_2}$ and $n_1 = -N_v/2$. Vortex necklaces are more stable in the presence of narrower annuli. The thick dots represent the limit of large-width annuli, Eq. (39), while the black line is the result for a straight chain, Eq. (2). The sketches below the plot show three geometric configurations for a 6-vortex necklace.

4 Massive vortex necklaces in annular superfluids

In this section we show that also a non-zero core mass tends to stabilize a vortex necklace on an annular domain, thus unifying and generalizing the analyses developed in Secs. 2 and 3.

4.1 Hamiltonian description

When vortex cores have a mass M_c , the ensuing inertial contribution to the dynamics of each vortex can be easily introduced in the Lagrangian model [64,66,68], so that Eq. (21) modifies as follows

$$\mathcal{L}(\{r_j, \theta_j\}, \{\dot{r}_j, \dot{\theta}_j\}) = \sum_{j=1}^{N_{\nu}} \left\{ \frac{M_c}{2} \left(\dot{r}_j^2 + r_j^2 \dot{\theta}_j^2 \right) + \pi \hbar n_a \left(R_2^2 - r_j^2 \right) \dot{\theta}_j - \Phi_j - \sum_{k=1}^{N_{\nu}} V_{jk} \right\}.$$
(40)

To carry out the linear-stability analysis, it is convenient to resort to an equivalent Hamiltonian description, where the total energy

$$\mathcal{H} = \sum_{j=1}^{N_{\nu}} \left\{ \frac{p_{r_j}^2}{2M_c} + \frac{\left[p_{\theta_j} - \pi \hbar n_a \left(R_2^2 - r_j^2\right)\right]^2}{2M_c r_j^2} + \Phi_j + \sum_{k=1}^{N_{\nu}} V_{jk} \right\}$$
(41)

can be obtained upon a standard Legendre transform of Lagrangian (40) and constitutes the massive version of Hamiltonian (24). Notice that, if compared to the latter, Hamiltonian (41) depends on twice as many dynamical variables, since the $2N_v$ independent canonical momenta

$$p_{r_j} = \frac{\partial \mathcal{L}}{\partial \dot{r}_j} = M_c \dot{r}_j \tag{42}$$

$$p_{\theta_j} = \frac{\partial \mathcal{L}}{\partial \dot{\theta}_j} = M_c r_j^2 \dot{\theta}_j + \pi \hbar n_a \left(R_2^2 - r_j^2 \right)$$
(43)

are unlocked by the introduction of a non-zero M_c [66].

The notable class of solutions described in Sec. 3.2 and corresponding to regular vortex necklaces is, in general, modified, as the core inertial mass results in an additional centrifugal force acting on each vortex. After defining the total mass of the superfluid component $M_a = \pi \left(R_2^2 - R_1^2\right) n_a m_a$, and introducing the mass ratio $\mathfrak{m}_n = M_c/M_a$ (the subscript *n* stands for "necklace"), one can compute the precession frequency

$$\Omega_{N_{\nu}}(r_{0}) = \frac{\hbar}{m_{a}\left(R_{2}^{2} - R_{1}^{2}\right)} \frac{1}{\mathfrak{m}_{n}} \left(1 - \sqrt{1 - 2\mathfrak{m}_{n}\frac{m_{a}\left(R_{2}^{2} - R_{1}^{2}\right)}{\hbar}\Omega_{N_{\nu}}^{0}(r_{0})}\right)$$
(44)

of the massive N_{ν} -vortex necklace. This expression involves the precession frequency $\Omega_{N_{\nu}}^{0}$ of the massless vortex necklace, Eq. (26), and reduces to it in the limit $\mathfrak{m}_{n} \to 0$.

4.2 Core-mass-induced suppression of the instability

We show now that the presence of a finite mass filling the cores strongly affects the stability properties of vortex necklaces against perturbation.

To develop the linear-stability analysis, we preliminary rewrite Hamiltonian (41) in a (primed) reference frame rotating at frequency $\Omega_{N_{\nu}}$ with respect to the (unprimed) laboratory frame according to the standard transformation

$$\mathcal{H}(\{\boldsymbol{r}_{j}\},\{\boldsymbol{p}_{j}\}) \longrightarrow \mathcal{H}'(\{\boldsymbol{r}_{j}'\},\{\boldsymbol{p}_{j}'\}) = \mathcal{H}(\{\boldsymbol{r}_{j}'\},\{\boldsymbol{p}_{j}'\}) - \Omega_{N_{v}} \sum_{j=1}^{N_{v}} (\boldsymbol{r}_{j}' \times \boldsymbol{p}_{j}') \cdot \hat{\boldsymbol{z}}$$
(45)

(recall that $r_j \equiv r'_j$ by definition). Then, one computes the matrix $\mathbb{J} = \mathbb{SH}$, where \mathbb{H} is the Hessian matrix associated to Hamiltonian (45) and evaluates it at the rotating regular-necklace configuration, which constitutes a fixed-point (hence the subscript "eq") when observed from the rotating reference frame. Notice that, as opposed to the scheme illustrated in Sec. 3.3 and to Eq. (35), the vector

$$\boldsymbol{D} = \left(r'_{1}, ..., r'_{N_{\nu}}, \theta'_{1}, ..., \theta'_{N_{\nu}}, p'_{r_{1}}, ..., p'_{r_{N_{\nu}}}, p'_{\theta_{1}}, ..., p'_{\theta_{N_{\nu}}}\right)^{T},$$
(46)

now includes twice as many dynamical variables, because the introduction of core mass doubles the dimension of the associated phase space. The antisymmetric matrix S is the $4N_v \times 4N_v$ version of Eq. (36). The $4N_v$ eigenvalues of J are of the type

$$\lambda_i = \sigma_i + i\,\omega_i,\tag{47}$$

and they determine the stability of the regular massive N_{ν} -vortex necklace. As discussed in Sec. 3.4, we are primarily interested in

$$\sigma^* := \max_{j \in [1, 4N_\nu]} \left\{ \sigma_j \right\},\tag{48}$$

as it is the rate that characterizes the breakdown of the necklace structure upon perturbation.

Quite generally, the core mass tends to *stabilize* the necklaces, i.e. the maximum instability growth rate σ^* is smaller in the presence of core mass. In the left panel of Fig. 10 we illustrate this effect for necklaces featuring different values of M_c .



Figure 10: Maximum instability growth rate σ^* (normalized to its value σ_0^* at zero mass) for a massive vortex necklace of radius $r_0 = \sqrt{R_1R_2}$ inside an annulus with radii $R_2 = 4.5R_1$. Left: dependence of σ^* , as defined in Eq. (48), on the vortex core mass M_c , for different number of vortices N_v . Right: dependence of σ^* , as given by Eq. (49), on the parameter $\mathfrak{m}N_v^2$.

One can observe that the suppression of the instability is more effective for larger values of N_{ν} . Additional insights into the physical mechanism responsible for this suppression can be obtained adapting Eq. (8), rigorously valid for an infinite vortex row, to the case of a N_{ν} -vortex necklace of radius r_0 . This is obtained via the substitution $a = 2\pi r_0/N_{\nu}$, yielding the following maximum instability growth rate for a massive necklace:

$$\sigma^* = \sigma_0^* \frac{r_0^2}{R_2^2 - R_1^2} \frac{8\sqrt{2}}{\mathfrak{m}_n N_\nu^2} \sqrt{-1 + \sqrt{1 + \left(\frac{\mathfrak{m}_n N_\nu^2}{8} \frac{R_2^2 - R_1^2}{r_0^2}\right)^2}}$$
(49)

This relation, illustrated in Fig. 11 as a function of the number of vortices (solid lines), well captures the results of the full numerical linear-stability analysis (dots) for a vortex necklace in an annular domain.

Interestingly, the quantity $\mathfrak{m}_n N_v^2$ emerges as a universal parameter in the above Eq. (49). In fact, the different curves in the left panel of Fig. 10 eventually collapse onto a single curve $\sigma^*(\mathfrak{m}_n N_v^2)$ in the right panel.

As already shown in Sec. 2.1, the introduction of a non-zero core mass modifies the asymptotic behaviour of $\sigma^*(N_v)$: the *quadratic* scaling law

$$\sigma^* = \frac{\kappa}{16\pi r_0^2} N_v^2 \tag{50}$$

characterizing the well-known massless scenario gives way to the linear dependence

$$\sigma^* \sim \sqrt{\frac{m_a n_a}{M_c \pi}} \frac{\kappa}{4r_0} N_\nu. \tag{51}$$

Comparing Eqs. (50) and (51), one can easily determine the critical value

$$\tilde{N}_{\nu} = 4\sqrt{\frac{\pi m_a n_a r_0^2}{M_c}}$$
(52)

below (above) which the dependence of σ^* on N_{ν} is quadratic (linear), and observe that, for $M_c \rightarrow 0^+$, it diverges, a circumstance which confirms the asymptotic quadratic dependence

characterizing massless vortices (see Fig. 11). This change of scaling law can be equivalently formulated in terms of the velocity difference

$$\Delta v = \frac{\kappa}{2\pi r_0} N_v \tag{53}$$

at the interface between the two counter-propagating flows. One can verify, in fact, that the well-known quadratic scaling $\sigma^* \propto \Delta v^2$ associated to Eq. (50) and typical of the classical [5, 16] as well as of the superfluid Kelvin-Helmholtz instability [43, 46] is replaced by the linear scaling $\sigma^* \propto \Delta v$ associated to Eq. (51).



Figure 11: Dependence of the maximum instability growth rate σ^* on the number of vortices N_v for different values of the core mass M_c . Dots correspond to the results of the full linear-stability analysis of a vortex necklace in an annular domain [see Eq. (48)], while solid lines corresponds to the predictions of Eq. (8) adapted to the case of vortex necklaces. Stars denote the critical values (52) at which the scaling $\sigma^*(N_v)$ crosses over from quadratic to linear.

4.3 Azimuthal and radial instability

Both the radial (δr_j) and the azimuthal $(r_0 \delta \theta_j)$ displacements of the *j*th vortex with respect to their respective equilibrium values diverge as ~ $e^{\sigma^* t}$ in the neighbourhood of the fixed-point configuration. Moreover, as previously discussed, the vortex-necklace instability can be further characterized through the ratio $\epsilon_{\parallel}/\epsilon_{\perp} := r_0 \delta \theta_j / \delta r_j$. Such a quantity is easily computed from the entries of the eigenvector associated to σ^* , along the same lines as Eqs. (11, 12). The (absolute value of) ratio $\epsilon_{\parallel}/\epsilon_{\perp}$ is shown in Fig. 12 as a function of the number of vortices in the necklace, for different values of the core mass. In the massless case (uppermost curve), the quantity saturates to 1 for a large number of vortices, meaning that the perturbation has equal radial and azimuthal components. The larger the core mass, the smaller the ratio, signaling that the radial character of the instability prevails. This scenario, showing that the finite core mass enhances the transverse nature of the instability, is consistent with the one discussed in relation to the linear vortex row (see Sec. 2.2 and, in particular, Fig. 3).

5 Comparison with experiments

Recently, the superfluid Kelvin-Helmholtz instability has been observed with unprecedented accuracy in an atomic superfluid of ⁶Li [46]. Upon imprinting two counter-rotating flows with tunable relative velocity, the LENS group characterized the development of an ordered vortex



Figure 12: Ratio between the amplitude of the tangential displacement and that of the radial displacement for the most unstable eigenmode. Upon increasing the core mass M_c , the instability, in the limit of large N_v , becomes increasingly radial, i.e. transverse to the necklace.

necklace and its subsequent breakdown due to the onset of instability. In this section, we compare their experimental measurements, performed across different regimes, ranging from weakly-interacting bosonic (BEC side) to strongly-correlated fermionic pair condensate (BCS side), with the predictions of our dissipative and massive point-vortex model.

Unfortunately, it is not possible to rigorously perform the linear-stability analysis for a (either massless or massive) vortex necklace subject to frictional forces. The reason is that, in the presence of dissipation, regular vortex necklaces (see Sec. 3.2 and Sec. 4.1) no longer constitute stationary solutions of the associated dynamical systems, **a**. This circumstance which prevents the application of the standard linear-stability-analysis machinery described in Sec. 3.3 and Sec. 4.2 and would require a systematic analysis of the early-time dynamics generated by the full set of equations of motion with suitable stochastic initial conditions. However, assuming that the breakdown of the necklace is only due to the Kelvin-Helmholtz mechanism, one can estimate the corresponding instability growth rate in the presence of dissipation the problem of introducing dissipation into model (40) can be bypassed by adapting Eqs. (16)-(18), obtained for a vortex row, to the case of a vortex necklace. This can be done upon the substitution $a = 2\pi r_0/N_v$ and, on the basis of what was discussed in Sec. 4.2 (and illustrated in Fig. 11), the resulting equations are expected to well capture the properties of the actual vortex necklace.

The outcomes of this analysis are shown in Fig. 13 as a function of the (squared) velocity difference (53) at the interface between the two counter-rotating flows. According to the results of Ref. [54] (see, in particular, panel 1h), we took $\alpha = 0.01$ as a realistic estimate of the longitudinal mutual-friction coefficient. Moreover, one can introduce the parameter

$$f = \frac{M_c}{\pi \xi^2 m_a n_a},\tag{54}$$

representing the filling fraction of a vortex core of radius $\xi \sim 0.75 \ \mu$ m, that, for this specific experimental platform, has two natural bounds: 0 (completely empty core), and 1 (density of quasi-particle bound states equal to the superfluid density).

As visible in the figure, the transverse mutual-friction coefficient α' can indeed cause a significant suppression of the instability. While experimental data on this coefficient are currently lacking, our findings suggest that the measured values of σ^* , significantly smaller than the expected one [see Eq. (2)], would be reproduced if $\alpha' \approx 0.75$.

Moreover, for the current system, the presence of core mass up to f = 1 appears to have a negligible impact on the relation $\sigma^*(\Delta v^2)$. We have verified that the core-mass-induced suppression of such scaling indeed occurs, but only at much larger ($f \sim 50$), and therefore unrealistic, values of the filling fraction (54). The filling fraction f = 1 corresponds to the tiny mass ratio $M_c/M_a \simeq 10^{-4}$, thus explaining the absence of a visible effect on σ^* in Fig. 13. We would mention that the filling of vortex cores can substantially increase in the deep BCS regime, where a larger number of quasi-particle bound states could provide the vortices with a non-negligible core mass (up to $M_c/M_a \simeq 10^{-2}$). Also, in a very recent study [41] by An et al., it was found that in the onset of the superfluid Kelvin-Helmholtz instability at the interface of two distinct superfluids, vortices within each superfluid are populated by particles from the other superfluid. Consequently, all vortices in that system are endowed with a sizable inertial mass (and hence to a filling fraction f significantly larger than zero).



Figure 13: Dependence of the maximum instability growth rate σ^* on the velocity difference at the interface between two counter rotating flows. The gray dashed line corresponds to Eq. (2), written in terms of Δv . Filled blue circles, orange squares, and green diamonds represent the experimental measurements reported by the LENS group for an atomic superfluid of ⁶Li atoms (data extracted from Fig. 3f of Ref. [46]). The green and the red solid lines represent the predictions of our generalized point-vortex model, for $\alpha' = 0.10$ and $\alpha' = 0.75$, respectively. In both cases we assumed $\alpha = 0.01$, a value which is compatible with the results reported in Fig. 1h of Ref. [54]. There is no visible difference between the predictions for f = 0 (solid lines) [see Eq. (20)] and the predictions for f = 1 (open triangles) [see Eq. (16)].

In summary, we have shown that the introduction of core mass and dissipative effects into the point-vortex model is, in general, responsible for a stabilization of vortex necklaces. From a quantitative perspective the main role seems to be played by the transverse mutual-friction coefficient α' .

6 Conclusions

In this work, we analyzed the stability of vortex rows and vortex necklaces which typically appear at the interface between two superflows having a non-zero relative velocity (hence the analogy with the well-known classical Kelvin-Helmholtz instability). Previous theoretical works [43, 56, 57] have quantified the instability growth rate for certain noteworthy classes of point-vortex structures in ideal fluids. However, none of these works considered the additional effects, such as finite vortex core mass and dissipation, which are often present in real superfluid systems and may influence the breakdown of such structures.

In Sec. 2, we studied the effect of a finite vortex core mass on the linear-stability analysis of

vortex rows. This is motivated by the fact that, in many real experimental platforms, quantum vortices are often filled by massive particles, either deliberately or accidentally. We showed that, in general, vortex rows exhibit increased resilience to the onset of dynamical instabilities when core mass is considered. Interestingly, the introduction of a finite core mass affects the dependence of the maximum instability growth rate (σ^*) on the number of vortices per unit length (N_v/L), as its scaling law changes from a quadratic to linear behaviour. Moreover, we pointed out that, while in the massless case the instability develops along the longitudinal and the transverse direction in equal measure, in the presence of massive cores, vortices depart from their regular configuration mainly in a transverse fashion. We have also introduced an additional and physically-relevant process into our linear-stability analysis, dissipation, and highlighted its stabilizing effect on vortex rows. Surprisingly, our analysis revealed that the suppression of the instability is mainly due to d_{\perp} , while it only moderately depends on d_{\parallel} .

In Sec. 3, building upon the model that we introduced to investigate the dynamics of manyvortex systems in annular domains [68], we analyzed the (in)stability properties of vortex necklaces, showing that they can be even stabilized if confined in narrow annular domains. This detailed analysis is motivated by the fact that narrow annuli and, more in general, narrow channels along which superfluids flow, constitute the typical setups used to investigate the development of the superfluid Kelvin-Helmholtz instability [42, 44, 46].

In Sec. 4, we delved into the influence of a finite vortex core mass on the stability properties of vortex necklaces. Our investigation revealed a significant role played by the inertia of vortex cores in suppressing the necklace instability. This phenomenon came with other notable changes, including a shift in the asymptotic scaling of $\sigma^*(N_{\nu})$ from quadratic to linear, and a transformation of the most unstable eigenmode towards increased transverse behavior.

In conclusion, we have elucidated the role played by confinement, vortex mass and mutual friction on the dynamical instability of many-vortex systems. Further studies are needed to understand the role of mutual friction in the breakdown of rotating vortex necklaces. This should require the explicit solution of the full set of equations of motion with suitable stochastic initial conditions, thus providing a more reliable estimate of σ^* for the case of a rotating necklace in a dissipative superfluid. Moreover, it could be interesting to analyze other effects which may affect the Kelvin-Helmholtz instability, such as non-zero temperatures, unequal vortex-core mass [83], or the coupling of vortices to sound.

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