# Non-Standard Neutrino Interactions and Neutral Gauge Bosons

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# <sup>1</sup> Abstract

We investigate Non-Standard Neutrino Interactions (NSI) arising from a flavor-2 sensitive Z' boson of a new U(1)' symmetry. We compare the limits from neu-3 trino oscillations, coherent elastic neutrino-nucleus scattering, and Z' searches 4 at different beam and collider experiments for a variety of straightforward 5 anomaly-free U(1)' models generated by linear combinations of B - L and 6 lepton-family-number differences  $L_{\alpha} - L_{\beta}$ . Depending on the flavor structure 7 of those models it is easily possible to avoid NSI signals in long-baseline neu-8 trino oscillation experiments or change the relative importance of the various 9 experimental searches. We also point out that kinetic Z-Z' mixing gives van-10 ishing NSI in long-baseline experiments if a direct coupling between the  $U(1)^{\prime}$ 11 gauge boson and matter is absent. In contrast, Z-Z' mass mixing generates 12 such NSI, which in turn means that there is a Higgs multiplet charged under 13 both the Standard Model and the new U(1)' symmetry. 14

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### <sup>28</sup> Introduction

The precision era of neutrino physics implies that small effects beyond the standard 29 paradigm of three massive neutrinos may be detected. In particular new physics with 30 a non-trivial flavor structure deserves careful consideration since it will modify neutrino 31 oscillation probabilities in matter and may hinder our abilities to determine the unknown 32 neutrino parameters at upcoming neutrino oscillation facilities, as discussed in Refs. [1–7]. 33 The effects of Non-Standard neutrino Interactions (NSI) on low-energy observables are tra-34 ditionally parametrized by an effective Lagrangian that describes couplings of neutrinos 35 to quarks or electrons via [8–11] 36

$$\mathcal{L}_{\text{eff}} \propto \epsilon^{f}_{\alpha\beta} \left( \bar{\nu}_{\alpha} \gamma_{\mu} \nu_{\beta} \right) \left( \bar{f} \gamma^{\mu} f \right) \quad \text{with } f = e, u, d. \tag{1}$$

This effective interaction is clearly not  $SU(2)_L \times U(1)_Y$  gauge invariant, begging the 37 question how this Lagrangian is generated in a complete theory and what the mass scale 38 of that theory is. The scale is of particular relevance for phenomenological studies since 39 only processes with a momentum transfer smaller than the mass of the new physics can be 40 described accurately by Eq. (1). Comparing NSI limits to other experimental data that 41 probes much higher momentum transfers then typically requires a discussion of the full 42 UV-complete theory. Several approaches have been followed in the literature to generate 43 and study the interactions of Eq. (1) [12–21], here we discuss the origin of non-standard 44 interactions in flavor-sensitive U(1)' models [7,22–29]. The presence of additional Abelian 45 symmetries is quite natural and can, for example, be motivated by Grand Unified Theories, 46 string constructions, solutions to the hierarchy problem or extra dimensional models, see 47 Ref. [30] for details and references. 48

We assume here the presence of a flavor-sensitive gauged U(1)'. In these theories the 49 Z' belonging to the U(1)' is integrated out and generates the effective NSI Lagrangian 50 Eq. (1).<sup>1</sup> Limits on the strength of the interaction can be translated into limits on the Z'51 mass and gauge coupling. Those limits have to be compared with direct beam and collider 52 searches, as well as neutrino-electron and elastic coherent neutrino-nucleus scattering 53 results. In our discussion we will refer to the low-energy four-fermion operators and their 54 impact on neutrino oscillations as NSI, while we discuss all observables with non-vanishing 55 momentum transfer in terms of the high-energy U(1)'. This is the preferable notation for 56 NSI mediated by rather light particles for which the effective NSI Lagrangian fails to 57 describe all the relevant phenomenology. 58

The necessary ingredients for Z'-induced NSI are Z' couplings to matter, i.e. elec-59 trons, protons or neutrons, as well as non-universal couplings to neutrinos. Neutrino 60 oscillations would not be affected by flavor-universal NSI,  $\epsilon \propto 1$ , so NSI are actually a 61 probe of *lepton non-universality*. This is interesting in view of the accumulating hints for 62 lepton non-universality in B meson decays (see Ref. [32] for a recent overview). While 63 we will not attempt to make a direct connection between NSI and these tantalizing hints 64 for new physics, it should be kept in mind as a motivation. The NSI model-building 65 challenge is then to find realistic U(1)' models with lepton non-universal Z' couplings. 66 As is well known, the classical Standard Model (SM) Lagrangian already contains the 67 global symmetry  $U(1)_B \times U(1)_{L_e} \times U(1)_{L_{\mu}} \times U(1)_{L_{\tau}}$  associated with conserved baryon 68 and lepton numbers. A simple extension of the SM by three right-handed neutrinos 69

<sup>&</sup>lt;sup>1</sup>The current-current structure of Eq. (1) for neutrino-quark scattering could also be induced by leptoquarks. The leptoquark Yukawa couplings automatically bring the desired lepton non-universality, but typically also lead to lepton-flavor and even baryon-number violation, which forces them to be very weakly coupled. While it is possible to eliminate some of the undesired couplings by means of a (flavor) symmetry [31], we will not pursue this direction here.

<sup>70</sup> – which are in any case useful to generate neutrino masses – allows one to promote <sup>71</sup>  $U(1)_{B-L} \times U(1)_{L\mu-L_{\tau}} \times U(1)_{L\mu-L_{e}}$  or any subgroup thereof to a local gauge symme-<sup>72</sup> try [33]. We will focus on simple  $U(1)_X$  subgroups, which are hence generated by

$$X = r_{BL}(B - L) + r_{\mu\tau}(L_{\mu} - L_{\tau}) + r_{\mu e}(L_{\mu} - L_{e})$$
<sup>(2)</sup>

for arbitrary real coefficients  $r_x$  [33] (see also Refs. [34–38]), potentially including Z-Z'73 mixing. We stress that these  $U(1)_X$  models are anomaly free and UV-complete, allowing 74 us to reliably compare limits from NSI and other experiments. In their simplest form these 75 models are also safe from proton decay and lepton flavor violation without the need for 76 any fine-tuning, and can furthermore accommodate neutrino masses via a seesaw mecha-77 nism [33, 38]. This makes them perfect benchmark models for NSI, ideal to illustrate the 78 importance of neutrino-oscillation limits compared to e.g. neutrino scattering constraints. 79 While Z' bosons and NSI have been considered before [7, 22, 23, 25-27, 29], our work is 80 distinct due to the following aspects: we stress the importance of whether the Z' couples 81 directly to matter particles (i.e. electrons, up- and down-quarks), or whether it couples to 82 matter only via Z-Z' mixing. We demonstrate that in the latter case Z-Z' mass mixing 83 is required to generate observable NSI in long-baseline oscillation experiments, implying 84 non-trivial Higgs phenomenology. This is because mass mixing requires a Higgs multi-85 plet which is charged under both the U(1)' and SM gauge groups. Working with simple 86 anomaly-free U(1)' symmetries we furthermore stress the importance of the flavor struc-87 ture of the underlying models, which strongly influences the size of the limits (via the 88 sign of the generated  $\epsilon$ ), as well as the importance of other constraints on the Z' mass 89 and gauge coupling. We also demonstrate that within simple UV-complete models it is 90 possible to make terrestrial neutrino oscillation experiments insensitive to NSI, such that 91 only scattering or collider limits apply. 92

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The paper is organized as follows: In Section 2 we introduce the formalism of NSI and summarize current limits from neutrino oscillations. The interplay of the flavor structure of the  $\epsilon$  is stressed by comparing COHERENT limits in different cases. Section 3 deals with the calculation of NSI operators when Z' bosons are integrated out, with particular focus on whether kinetic or mass mixing is present. Specific examples from explicit models, which are anomaly-free when only right-handed neutrinos are introduced, are given. We conclude in Section 4.

### <sup>101</sup> Non-Standard Neutrino Interactions: Formalism and Limits

<sup>102</sup> NSI relevant for neutrino propagation in matter are usually described by the effective
 <sup>103</sup> Lagrangian

$$\mathcal{L}_{\text{eff}} = -2\sqrt{2}G_F \,\epsilon^{f\,X}_{\alpha\beta} \left(\bar{\nu}_{\alpha}\gamma_{\mu}P_L\nu_{\beta}\right) \left(\bar{f}\gamma^{\mu}P_Xf\right),\tag{3}$$

where X = L, R depends on the chirality of the interaction with  $P_{L,R} = \frac{1}{2}(1 \mp \gamma_5)$  and  $f \in \{e, u, d\}$  encodes the coupling to matter;  $2\sqrt{2}G_F \simeq (174 \text{ GeV})^{-2}$  is a normalization factor that makes  $\epsilon$  dimensionless. Relevant for neutrino oscillation experiments is only the vector part

$$\epsilon^{f}_{\alpha\beta} \equiv \epsilon^{f\,L}_{\alpha\beta} + \epsilon^{f\,R}_{\alpha\beta} \,, \tag{4}$$

<sup>108</sup> because this induces coherent forward scattering of neutrinos in unpolarized matter. For <sup>109</sup> non-trivial flavor structures,  $\epsilon \not\propto 1$ , this modifies neutrino propagation and oscillation <sup>110</sup> in the Sun and Earth. In the following, we will denote this oscillation effect of the La-<sup>111</sup> grangian in Eq. (3) as NSI, in contrast to various other places where the Lagrangian and

f	$\epsilon^f_{ee} - \epsilon^f_{\mu\mu}$	$\epsilon^f_{ au au} - \epsilon^f_{\mu\mu}$
u	[-0.020, +0.456]	[-0.005, +0.130]
d	[-0.027, +0.474]	[-0.005, +0.095]
p	[-0.041, +1.312]	[-0.015, +0.426]
n	[-0.114, +1.499]	[-0.015, +0.222]
p+n	[-0.038, +0.707]	[-0.008, +0.180]

Table 1:  $2\sigma$  bounds on the diagonal NSI  $\epsilon_{\ell\ell}^f - \epsilon_{\mu\mu}^f$  assuming scattering on the fermions  $f \in \{u, d, p, n, p+n\}$  from neutrino oscillation data assuming LMA, as derived in Ref. [40].

its UV-complete realization may show up. Limits on NSI parameters can be obtained by
fitting neutrino oscillation data, which is modified due to the additional Hermitian matter
potential in flavor space

$$H_{\text{mat}} = \sqrt{2}G_F N_e(x) \begin{pmatrix} 1 + \epsilon_{ee}(x) & \epsilon_{e\mu}(x) & \epsilon_{e\tau}(x) \\ \epsilon^*_{e\mu}(x) & \epsilon_{\mu\mu}(x) & \epsilon_{\mu\tau}(x) \\ \epsilon^*_{e\tau}(x) & \epsilon^*_{\mu\tau}(x) & \epsilon_{\tau\tau}(x) \end{pmatrix},$$
(5)

with normalized NSI  $\epsilon_{\alpha\beta} = \sum_{f} \frac{N_f(x)}{N_e(x)} \epsilon_{\alpha\beta}^f$  and position-dependent fermion densities  $N_f(x)$ .<sup>2</sup> Since neutrino oscillations are not sensitive to a matter potential  $H_{\text{mat}} \propto 1$ , one can 115 116 constrain only two diagonal entries, usually written in the form of differences as  $\epsilon_{ee} - \epsilon_{\mu\mu}$ 117 and  $\epsilon_{\tau\tau} - \epsilon_{\mu\mu}$ . Limits are typically obtained assuming a neutrino scattering only off one 118 species  $f \in \{e, u, d\}$ . Recently, Ref. [40] has generalized this approach to allow for an 119 arbitrary linear combination of up- and down-quark NSI, which in particular includes the 120 case of scattering off protons  $(f = p; \epsilon^p_{\alpha\beta} \equiv 2\epsilon^u_{\alpha\beta} + \epsilon^d_{\alpha\beta})$  or neutrons  $(f = n; \epsilon^n_{\alpha\beta} \equiv$ 121  $\epsilon^{u}_{\alpha\beta} + 2\epsilon^{d}_{\alpha\beta}$ ). Limits on the diagonal NSI from oscillation data are given in Tab. 1, derived under the Large Mixing Angle (LMA) assumption for  $\theta_{12}$  [40].<sup>3</sup> Three combinations will 122 123 turn out to be of particular interest for our study: (i) p + n, (ii) n, and (iii) p. The combination p+n corresponds to NSI couplings  $-2\sqrt{2}G_F \epsilon_{\alpha\beta}^{p+n} (\bar{\nu}_{\alpha}\gamma_{\mu}P_L\nu_{\beta}) j_B^{\mu}$  to the baryon 124 125 current 126

$$j_B^{\mu} = \frac{1}{3} \sum_{q} \overline{q} \gamma^{\mu} q \supset \overline{p} \gamma^{\mu} p + \overline{n} \gamma^{\mu} n , \qquad (6)$$

from which we can obtain the relation with  $\epsilon^{u,d}$  via  $\epsilon^{p+n}_{\alpha\beta} \equiv (\epsilon^p_{\alpha\beta} + \epsilon^n_{\alpha\beta})/2 = (3\epsilon^u_{\alpha\beta} + 3\epsilon^d_{\alpha\beta})/2$ . Pure neutron NSI are realized if the couplings to protons and electrons cancel in matter, a situation we will encounter for instance in Sec. 3.2. Pure coupling to protons, on the other hand, can under certain assumptions be used as a proxy for electron NSI.<sup>4</sup>

<sup>131</sup> NSI mediated by a new neutral vector boson Z' with coupling strength g' and mass <sup>132</sup>  $M_{Z'}$  are generically of the form  $\epsilon \sim (2\sqrt{2}G_F)^{-1}(g'/M_{Z'})^2$ , even if the Z' mass is tiny. The

<sup>&</sup>lt;sup>2</sup>Crossing through electrically neutral matter consisting of protons, neutrons and electrons, coherent forward scattering picks up NSI effects proportional to the number densities:  $\epsilon_{\alpha\beta}^{\text{Matter}} = \epsilon_{\alpha\beta}^e + \epsilon_{\alpha\beta}^p + Y_n^{\text{Matter}} \epsilon_{\alpha\beta}^n$ , where  $Y_n^{\text{Matter}} = n_n/n_e$  is the ratio of neutron and electron number densities. For Earth matter,  $Y_n^{\text{Earth}} = 1.051$  on average [39].

<sup>&</sup>lt;sup>3</sup>See e.g. Refs. [5,7] for recent discussions on the LMA-Dark solution.

<sup>&</sup>lt;sup>4</sup> Limits on  $\epsilon^p$  are not equivalent to  $\epsilon^e$  despite the same electron and proton abundance in electrically neutral matter because they modify the neutrino detection process differently [40]. However, in the models considered in the following neutrino–electron scattering provides an independent constraint on the strength of the interaction which restricts the new-physics impact on the neutrino detection process in oscillations experiments such as Super-Kamiokande substantially. We stress that this is only an estimate and encourage a dedicated analysis of the interplay of  $\epsilon^e$  and  $\epsilon^q$ . A summary of independent constraints on NSI from electrons  $\epsilon^e_{\alpha\beta}$  which do not come from a global fit can be found in Ref. [11].

values of Tab. 1 then correspond to scales  $M_{Z'}/g'$  from 140 GeV to 2.5 TeV, depending on 133  $\alpha, \beta, f$ , and the sign of the coefficient. These have to be compared to limits from other 134 processes, e.g. resonance searches for Z' at the LHC or meson decays. Among the various 135 processes which could be used to test a Z', neutrino scattering off electrons [41, 42] or 136 nucleons [27] has the greatest similarity to NSI and the main difference between scattering 137 experiments and NSI constraints is the momentum transfer: neutrino oscillations probe 138 zero-momentum forward scattering and thus give limits on  $M_{Z'}/q'$  that are independent 139 of  $M_{Z'}$  [25]. In contrast, the observations of neutrino scattering off quarks and electrons 140 always requires a non-vanishing momentum transfer. Neutrino-electron scattering exper-141 iments are sensitive to  $\mathcal{O}(1 \,\mathrm{MeV})$  momentum transfer while Coherent Elastic  $\nu$ -Nucleus 142 Scattering (CE $\nu$ NS), which has been measured by COHERENT [43] recently, currently 143 allows to probe a momentum transfer q of the order of  $\sim 50 \,\mathrm{MeV}$ . Future data from CO-144 HERENT and other experiments such as CONUS [44] will further improve this probe [7]. 145 With initial neutrinos of flavor  $\alpha$  (that is  $\alpha = e$  for experiments with reactor neutrinos 146 such as CONUS and  $\alpha = e, \mu$  for experiments with pion beams such as COHERENT), the 147 cross section for  $CE\nu NS$  on a nucleus *i* with  $Z_i$  protons and  $N_i$  neutrons is proportional 148 to the effective charge-squared 149

$$\tilde{Q}_{i,\alpha}^{2} \equiv \left[N_{i}\left(-\frac{1}{2}+\epsilon_{\alpha\alpha}^{n}\right)+Z_{i}\left(\frac{1}{2}-2s_{W}^{2}+\epsilon_{\alpha\alpha}^{p}\right)\right]^{2}+\sum_{\beta\neq\alpha}\left[N_{i}\epsilon_{\alpha\beta}^{n}+Z_{i}\epsilon_{\alpha\beta}^{p}\right]^{2},\qquad(7)$$

assuming real NSI for simplicity. Due to the short neutrino propagation length one can neglect neutrino oscillations here. The COHERENT [43] experiment uses neutrinos from pion decay at rest, scattering on cesium and iodine, which leads to an expression for the number of  $CE\nu NS$  events

$$N_{\rm CE\nu NS} \propto \sum_{i \in \{\rm Cs, I\}} \left[ f_{\nu_e} \tilde{Q}_{i,e}^2 + (f_{\nu_\mu} + f_{\overline{\nu}_\mu}) \tilde{Q}_{i,\mu}^2 \right],\tag{8}$$

with  $f_{\nu_e} = 0.31$ ,  $f_{\nu_{\mu}} = 0.19$ , and  $f_{\overline{\nu}_{\mu}} = 0.50$  as appropriate neutrino-flavor fractions for 154 COHERENT. Note that experiments with reactor neutrinos such as CONUS are only sen-155 sitive to  $\tilde{Q}_{i,e}^2$ . CE $\nu$ NS is obviously sensitive to different NSI combinations than oscillation 156 data and therefore perfectly complementary. To assess NSI limits from COHERENT we 157 follow Refs. [40, 43, 45] and construct a  $\chi^2(\epsilon)$  function that is marginalized over system-158 atic nuisance parameters.<sup>5</sup> Compared to oscillation-based limits on NSI, the limits from 159 scattering experiments always imply a non-zero momentum exchange q, which has to be 160 taken into account in NSI realizations with light mediators. Specifically for Z' models, the 161 above expression is only valid for  $M_{Z'} \gg q \simeq 10 \,\mathrm{MeV}$ , otherwise there is a suppression of 162 the form  $\epsilon \to \epsilon M_{Z'}^2/q^2$  [25]. In addition, neutrino scattering experiments are also sensitive 163 to  $\epsilon_{\alpha\beta} \propto \delta_{\alpha\beta}$  and are therefore invaluable as a probe of new flavor-universal interactions. 164 As examples we consider diagonal muon- and electron-neutrino NSI that come from 165 scattering on baryons, i.e.  $\epsilon^{p+n}$ . Setting  $\epsilon_{\tau\tau} = 0$  implies a strong bound from oscillation 166 data due to the stringent constraint on  $|\epsilon_{\tau\tau} - \epsilon_{\mu\mu}|$  (Tab. 1), so that COHERENT limits 167 are weaker (Fig. 1 (left)). Setting on the other hand  $\epsilon_{\tau\tau} = \epsilon_{\mu\mu}$  completely eliminates one 168 of the two diagonal NSI constraints from oscillation data and thus renders COHERENT 169 crucial to constrain the parameter space (Fig. 1 (right)). Although counterintuitive due to 170 the absence of tau-neutrinos in the experiment, the COHERENT limits are particularly 171 important for  $\epsilon_{\tau\tau} \neq 0$ , because this can weaken the strong oscillation constraints. As we 172 will see in the following, COHERENT is indeed mainly relevant for simple Z' models with 173  $\epsilon_{\tau\tau} \sim \epsilon_{\mu\mu}.$ 174

<sup>&</sup>lt;sup>5</sup>See also Refs. [46–51] for discussions of NSI at coherent scattering experiments.

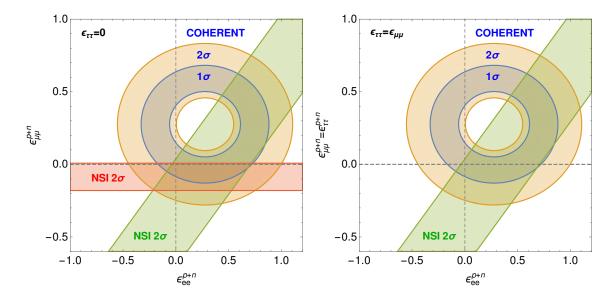


Figure 1: Allowed regions for diagonal muon- and electron-neutrino NSI coupled to baryon number, assuming  $\epsilon_{\tau\tau} = 0$  (left) and  $\epsilon_{\tau\tau} = \epsilon_{\mu\mu}$  (right).

One lesson learned so far is that a possible underlying flavor structure of the  $\epsilon_{\alpha\beta}$ strongly influences which experiment is most sensitive to them.

# <sup>177</sup> Calculating NSI Operators from Z' Bosons

A particularly popular class of NSI realizations uses new neutral gauge bosons Z' as t-178 channel mediators in neutrino scattering. Here we will derive the general expressions for  $\epsilon$ 179 in terms of the Z' couplings and then discuss the simplest possible UV-complete scenarios. 180 In addition to the direct coupling of the new U(1)' gauge boson to SM fermions we will also 181 allow for mixing between the Z' and the Z and start with the most general Lagrangian de-182 scribing the mixing. The formalism for Z-Z' mixing [52,53] has been frequently discussed 183 in the literature, see for example Refs. [30, 54].<sup>6</sup> The Lagrangian contains a term with the 184 usual SM expressions, the Z' part, and a term describing kinetic and mass mixing: 185

$$\mathcal{L}_{\rm SM} = -\frac{1}{4} \hat{B}_{\mu\nu} \hat{B}^{\mu\nu} - \frac{1}{4} \hat{W}^a_{\mu\nu} \hat{W}^{a\mu\nu} + \frac{1}{2} \hat{M}^2_Z \hat{Z}_\mu \hat{Z}^\mu - \frac{\hat{e}}{\hat{c}_W} j^\mu_Y \hat{B}_\mu - \frac{\hat{e}}{\hat{s}_W} j^a_W \hat{W}^a_\mu, 
\mathcal{L}_{Z'} = -\frac{1}{4} \hat{Z}'_{\mu\nu} \hat{Z}'^{\mu\nu} + \frac{1}{2} \hat{M}'^2_Z \hat{Z}'_\mu \hat{Z}'^\mu - \hat{g}' j'^\mu \hat{Z}'_\mu,$$

$$\mathcal{L}_{\rm mix} = -\frac{\sin\chi}{2} \hat{Z}'^{\mu\nu} \hat{B}_{\mu\nu} + \delta \hat{M}^2 \hat{Z}'_\mu \hat{Z}^\mu.$$
(9)

Hatted fields indicate here that those fields have neither canonical kinetic nor mass terms. The two Abelian gauge bosons  $\hat{B}$  and  $\hat{Z}'$  couple to each other via the term  $\hat{Z}'^{\mu\nu}\hat{B}_{\mu\nu}$ , which induces kinetic mixing of  $\hat{Z}'$  with the other gauge bosons [52]. It is allowed by the gauge symmetry and hence should be expected. Even if zero at some scale, this term is generated at loop level if there are particles charged under hypercharge and U(1)' [53]. Tree-level mass mixing via the term  $\delta \hat{M}^2 \hat{Z}'_{\mu} \hat{Z}^{\mu}$  requires that there is a scalar with a nonzero vacuum expectation value (VEV) charged under the SM and U(1)'.

 $<sup>^6\</sup>mathrm{An}$  analysis for Z--Z'--Z'' mixing was performed in Ref. [55].

<sup>193</sup> The currents are defined as

$$j_Y^{\mu} = -\frac{1}{2} \sum_{\ell=e,\mu,\tau} \left[ \overline{L}_{\ell} \gamma^{\mu} L_{\ell} + 2 \,\overline{\ell}_R \gamma^{\mu} \ell_R \right] + \frac{1}{6} \sum_{\text{quarks}} \left[ \overline{Q}_L \gamma^{\mu} Q_L + 4 \,\overline{u}_R \gamma^{\mu} u_R - 2 \,\overline{d}_R \gamma^{\mu} d_R \right],$$

$$j_W^{a\mu} = \sum_{\ell=e,\mu,\tau} \overline{L}_{\ell} \gamma^{\mu} \frac{\sigma^a}{2} L_{\ell} + \sum_{\text{quarks}} \overline{Q}_L \gamma^{\mu} \frac{\sigma^a}{2} Q_L \,, \tag{10}$$

with the left-handed SU(2)-doublets  $Q_L$  and  $L_\ell$  and the Pauli matrices  $\sigma^a$ . The final electric current after electroweak symmetry breaking is given as  $j_{\rm EM} \equiv j_W^3 + j_Y$  and the weak neutral current is  $j_{\rm NC} \equiv 2j_W^3 - 2\hat{s}_W^2 j_{\rm EM}$ . The new neutral current j' of the U(1)'is left unspecified here, but has to contain flavor *non-universal* neutrino interactions in order to generate NSI:

$$j'_{\mu} \supset \sum_{\alpha,\beta} q_{\alpha\beta} \overline{\nu}_{\alpha} \gamma_{\mu} P_L \nu_{\beta} , \qquad (11)$$

with some flavor-dependent coupling matrix  $q \neq 1$ . Below we will consider some simple models that lead to such couplings.

After diagonalization, the physical massive gauge bosons  $Z_{1,2}$  and the massless photon couple to a linear combination of j',  $j_{\rm NC}$  and  $j_{\rm EM}$ :

$$\mathcal{L}_{\text{int}} = -\left(\begin{array}{cc} ej_{\text{EM}}, & \frac{e}{2\hat{s}_W\hat{c}_W}j_{\text{NC}}, & g'j'\end{array}\right) \left(\begin{array}{cc} 1 & a_1 & a_2\\ 0 & b_1 & b_2\\ 0 & d_1 & d_2\end{array}\right) \left(\begin{array}{c} A\\ Z_1\\ Z_2\end{array}\right).$$
(12)

203 Here the entries of the matrix are

$$a_{1} = -\hat{c}_{W} \sin \xi \tan \chi,$$

$$b_{1} = \cos \xi + \hat{s}_{W} \sin \xi \tan \chi,$$

$$d_{1} = \frac{\sin \xi}{\cos \chi},$$

$$a_{2} = -\hat{c}_{W} \cos \xi \tan \chi,$$

$$b_{2} = \hat{s}_{W} \cos \xi \tan \chi - \sin \xi,$$

$$d_{2} = \frac{\cos \xi}{\cos \chi}.$$
(13)

The angles  $\chi$  and  $\xi$  in the above expressions come from diagonalizing the kinetic and the mass terms of the massive gauge bosons Z and Z', respectively. The diagonalization of the mass matrix is achieved via

$$\begin{pmatrix} \cos\xi & \sin\xi \\ -\sin\xi & \cos\xi \end{pmatrix} \begin{pmatrix} a & b \\ b & c \end{pmatrix} \begin{pmatrix} \cos\xi & -\sin\xi \\ \sin\xi & \cos\xi \end{pmatrix} = \begin{pmatrix} M_1^2 & 0 \\ 0 & M_2^2 \end{pmatrix} \equiv \begin{pmatrix} M_Z^2 & 0 \\ 0 & M_{Z'}^2 \end{pmatrix},$$
(14)

207 where

$$\tan 2\xi = \frac{2b}{a-c} \text{ with } \begin{cases} a = \hat{M}_Z^2, \\ b = \hat{s}_W \tan \chi \hat{M}_Z^2 + \frac{\delta \hat{M}^2}{\cos \chi}, \\ c = \frac{1}{\cos^2 \chi} \left( \hat{M}_Z^2 \hat{s}_W^2 \sin^2 \chi + 2\hat{s}_W \sin \chi \delta \hat{M}^2 + \hat{M}_{Z'}^2 \right). \end{cases}$$
(15)

At energies  $E \ll M_{1,2}$ , one can integrate out the  $Z_1$  and  $Z_2$  bosons to obtain the following effective operators:

$$\mathcal{L}_{\text{eff}} = -\sum_{i=1,2} \frac{1}{2M_i^2} \left( e j_{\text{EM}} a_i + \frac{e}{2\hat{s}_W \hat{c}_W} j_{\text{NC}} b_i + g' j' d_i \right)^2.$$
(16)

If more Z' bosons are present, the sum would extend over all their mass states [55]. Note that  $\hat{s}_W$  reduces to the known weak angle  $\sin \theta_W$  for small Z-Z' mixing angle  $\xi$  [54].

<sup>212</sup> Comparing the effective Lagrangian from Eq. (16) with the NSI operators in Eqs. (3,4) <sup>213</sup> gives from the mixed  $j'-j_{\rm EM}$  and  $j'-j_{\rm NC}$  terms the following NSI coefficients for coupling <sup>214</sup> to electrons, up- and down-quarks:

$$\epsilon_{\alpha\beta}^{e} = \sum_{i=1,2} q_{\alpha\beta} \frac{g'd_{i}}{\sqrt{2}M_{i}^{2}G_{F}} \left( -ea_{i} + \frac{eb_{i}}{2s_{W}c_{W}} \left( -\frac{1}{2} + 2s_{W}^{2} \right) + g'd_{i} \frac{\partial j'_{\alpha}}{\partial \overline{e}\gamma_{\alpha}e} \right),$$

$$\epsilon_{\alpha\beta}^{u} = \sum_{i=1,2} q_{\alpha\beta} \frac{g'd_{i}}{\sqrt{2}M_{i}^{2}G_{F}} \left( \frac{2}{3}ea_{i} + \frac{eb_{i}}{2s_{W}c_{W}} \left( \frac{1}{2} - \frac{4}{3}s_{W}^{2} \right) + g'd_{i} \frac{\partial j'_{\alpha}}{\partial \overline{u}\gamma_{\alpha}u} \right),$$

$$\epsilon_{\alpha\beta}^{d} = \sum_{i=1,2} q_{\alpha\beta} \frac{g'd_{i}}{\sqrt{2}M_{i}^{2}G_{F}} \left( -\frac{1}{3}ea_{i} + \frac{eb_{i}}{2s_{W}c_{W}} \left( -\frac{1}{2} + \frac{2}{3}s_{W}^{2} \right) + g'd_{i} \frac{\partial j'_{\alpha}}{\partial \overline{d}\gamma_{\alpha}d} \right).$$

$$(17)$$

The origin of the  $a_i$  ( $b_i$ ) terms from the electric and neutral currents is obvious, whereas the  $d_i$  terms take into account that the Z' might have direct couplings to matter particles (i.e. first generation charged fermions) even in the absence of Z-Z' mixing. Later we will consider cases with and without direct couplings to matter particles.

Forward scattering of neutrinos in matter corresponds to zero momentum exchange, 219 so the above expressions are valid even for very light Z' masses, contrary to e.g. neutrino 220 scattering in COHERENT. Note however that Z' masses below ~ 5 MeV are strongly 221 disfavored by cosmology, in particular the number of relativistic degrees of freedom  $N_{\rm eff}$ , 222 unless the coupling is made tiny [56–58]. One can still consider minuscule g' and Z' mass 223 with  $M_{Z'}/g' \sim 100 \,\text{GeV}$  so as to evade  $N_{\text{eff}}$  constraints and still have testable NSI [59], 224 but this typically requires an analysis in terms of long-range potentials [60–62] instead of 225 the contact interactions of Eq. (3) and will not be considered here. 226

### <sup>227</sup> NSI without Z-Z' mixing

Let us first consider the case of vanishing Z-Z' mixing,  $\xi = \chi = 0$ , which simplifies Eq. (17) 228 substantially. We must then find a Z' that has couplings to matter particles as well as 229 non-universal neutrino couplings. Flavor-violating neutrino couplings  $\overline{\nu}_{\alpha} \hat{Z}' P_L \nu_{\beta \neq \alpha}$  are 230 typically difficult to obtain and often, but not always, run into problems with constraints 231 from charged-lepton flavor violation (LFV) [11, 27]. We will therefore focus on flavor-232 diagonal neutrino couplings in the following, which are much easier to obtain. This is also 233 motivated by the recent hints for lepton-flavor non-universality in B-meson decays, which 234 can be explained with models that typically give at least diagonal NSI. 235

There is a very simple class of Z' models that lead to diagonal NSI that will be the focus of this work. We use the fact that, introducing only right-handed neutrinos to the particle content of the SM, the most general anomaly-free  $U(1)_X$  symmetry is generated by Eq. (2),

$$X = r_{BL}(B - L) + r_{\mu\tau}(L_{\mu} - L_{\tau}) + r_{\mu e}(L_{\mu} - L_{e})$$

for arbitrary real coefficients  $r_x$  [33] (see also Refs. [34–38]). This gives the current  $j'_{\alpha} = \sum_f X(f)\overline{f}\gamma_{\alpha}f$ , which is vector-like for all charged particles. The first term in Eq. (2) can couple the Z' to matter even in the absence of Z-Z' mixing, while the last two terms induce the neutrino-flavor non-universality necessary for NSI, to be discussed below. Aside from being anomaly-free, the above symmetries can also easily accommodate the observed pattern of neutrino masses and mixing. The key point is that one can break the  $U(1)_X$ symmetry using only electroweak singlets which then generate a non-trivial right-handed 247 neutrino Majorana mass matrix that leads to the seesaw mechanism [33]. Despite our 248 flavor symmetry we therefore do not have to worry about LFV, as these effects are still 249 heavily suppressed.

Assuming negligible Z-Z' mixing, the effective Lagrangian from Eq. (16) becomes very simple:

$$\mathcal{L}_{\text{eff}} = -\frac{(g')^2}{2M_{Z'}^2} j'_{\alpha} j'^{\alpha}$$
  

$$\supset -\frac{(g')^2}{M_{Z'}^2} [r_{BL}(\overline{p}\gamma^{\alpha}p + \overline{n}\gamma^{\alpha}n) - (r_{BL} + r_{\mu e})\overline{e}\gamma^{\alpha}e]$$
  

$$\times [-(r_{BL} + r_{\mu e})\overline{\nu}_e\gamma_{\alpha}P_L\nu_e - (r_{BL} - r_{\mu e} - r_{\mu\tau})\overline{\nu}_{\mu}\gamma_{\alpha}P_L\nu_{\mu} - (r_{BL} + r_{\mu\tau})\overline{\nu}_{\tau}\gamma_{\alpha}P_L\nu_{\tau}]$$
(18)

where we used the new-physics current generated by Eq. (2) and only kept the terms relevant for NSI. The NSI coefficients with coupling to baryons then take the form

$$\epsilon_{ee}^{p,n} - \epsilon_{\mu\mu}^{p,n} = -\frac{(g')^2}{2\sqrt{2}G_F M_{Z'}^2} r_{BL} (2r_{\mu e} + r_{\mu\tau}), \qquad (19)$$

$$\epsilon_{\tau\tau}^{p,n} - \epsilon_{\mu\mu}^{p,n} = -\frac{(g')^2}{2\sqrt{2}G_F M_{Z'}^2} r_{BL} (2r_{\mu\tau} + r_{\mu e}), \qquad (20)$$

<sup>254</sup> and similar for those with electrons

$$\epsilon_{ee}^{e} - \epsilon_{\mu\mu}^{e} = + \frac{(g')^2}{2\sqrt{2}G_F M_{Z'}^2} (r_{BL} + r_{\mu e})(2r_{\mu e} + r_{\mu\tau}), \qquad (21)$$

$$\epsilon^{e}_{\tau\tau} - \epsilon^{e}_{\mu\mu} = + \frac{(g')^2}{2\sqrt{2}G_F M_{Z'}^2} (r_{BL} + r_{\mu e})(2r_{\mu\tau} + r_{\mu e}) \,. \tag{22}$$

Neutral matter necessarily contains an equal number of protons and electrons, so the relevant combination is actually the sum  $\epsilon^p + \epsilon^e$ :

$$(\epsilon_{ee}^{p} + \epsilon_{ee}^{e}) - (\epsilon_{\mu\mu}^{p} + \epsilon_{\mu\mu}^{e}) = + \frac{(g')^{2}}{2\sqrt{2}G_{F}M_{Z'}^{2}}r_{\mu e}(2r_{\mu e} + r_{\mu\tau}), \qquad (23)$$

$$(\epsilon^{p}_{\tau\tau} + \epsilon^{e}_{\tau\tau}) - (\epsilon^{p}_{\mu\mu} + \epsilon^{e}_{\mu\mu}) = + \frac{(g')^{2}}{2\sqrt{2}G_{F}M_{Z'}^{2}}r_{\mu e}(2r_{\mu\tau} + r_{\mu e}).$$
(24)

Non-vanishing NSI in neutrino oscillations without Z-Z' mixing thus require either  $r_{BL} \neq 0$  in order to generate a coupling to neutrons or  $r_{\mu e} \neq 0$  in order to couple to electrons. Naturally, the phenomenology of a Z' depends sensitively on the SM fermions it couples to. In the following we will go through the basic simple coupling structures which arise in this class of U(1)' groups. We first introduce the various experimental probes and then discuss how these compare to the limits on the NSI derived from neutrino oscillations.<sup>7</sup>

Before moving on let us briefly discuss the possibility of realizing the LMA-Dark [63] solution within our U(1)' framework. As is well known, neutrino oscillations in the presence of NSI contain a generalized mass-ordering degeneracy [64–67] that in principle allows for large  $\epsilon$  if the neutrino mixing parameters take on different values from the non-NSI LMA scenario. This LMA-Dark region of parameter space requires a large  $\epsilon_{ee} - \epsilon_{\mu\mu} = -\mathcal{O}(1)$ but all other NSI much smaller in magnitude, currently compatible with zero [40]. In our U(1)' models the condition  $|\epsilon_{\tau\tau} - \epsilon_{\mu\mu}| \ll |\epsilon_{ee} - \epsilon_{\mu\mu}|$  essentially requires that muons and

<sup>&</sup>lt;sup>7</sup>See e.g. Ref. [42] for a discussion of future limits on some of the models under study here.

taus carry the same U(1)' charge, which translates into  $r_{\mu\tau} = -r_{\mu e}/2$  above. The only non-vanishing NSI are then

$$(\epsilon_{ee}^{p} + \epsilon_{ee}^{e}) - (\epsilon_{\mu\mu}^{p} + \epsilon_{\mu\mu}^{e}) = +\frac{3(g')^{2}}{4\sqrt{2}G_{F}M_{Z'}^{2}}r_{\mu e}^{2}, \qquad (25)$$

$$\epsilon_{ee}^n - \epsilon_{\mu\mu}^n = -\frac{3(g')^2}{4\sqrt{2}G_F M_{Z'}^2} r_{\mu e} r_{BL} \,. \tag{26}$$

The proton plus electron NSI are strictly positive and thus incapable of realizing the 272 LMA-Dark solution; the neutron NSI on the other hand can be negative and even dom-273 inant over the proton plus electron NSI by choosing  $|r_{\mu e}| \ll |r_{BL}|$ . It has however been 274 shown in Ref. [40] that neutron NSI by themselves ( $\eta = \pm 90^{\circ}$  in their notation) do not 275 admit the LMA-Dark solution. This can be easily understood from the highly varying 276 neutron-to-proton density inside the Sun, which explicitly breaks the generalized mass-277 ordering degeneracy and thus distinguishes between LMA-Dark and LMA [65], the latter 278 providing a significantly better fit [40]. As a result, none of our simple U(1)' models can 279 accommodate the LMA-Dark solution, and so we will not discuss it further. Note that 280 this conclusion remains true if we allow for Z-Z' mixing, because this can at best generate 281 neutron NSI as we will see below. 282

#### 283 Electrophobic NSI

Coming back to the LMA scenario, an interesting special case arises for  $r_{\mu e} = -r_{BL} \neq 0$ . This assignment of the charges eliminates the coupling to electrons and thus leads to NSI that are generated by the baryon density (i.e. by protons plus neutrons). This simply corresponds to a  $U(1)_X$  symmetry generated by  $X = B - 2L_{\mu} - L_{\tau} + r_{\mu\tau}(L_{\mu} - L_{\tau})$ .

Irrespective of the flavor of the leptonic interactions these U(1)' can be probed by 288 purely baryonic processes. In the presence of a light new resonance with a mass below 289 the QCD scale the scattering rates between baryons are modified. The most stringent 290 limits come from measurements of neutron-lead scattering [68, 69]. In addition, a light 291 Z' could play a role in meson decays. For  $M_{Z'} \lesssim m_{\pi^0}$  the strongest limits come from 292  $\pi^0 \rightarrow \gamma + \text{invisible}$ , while at higher masses the production of additional hadrons via the 293 Z' can be constrained by a close scrutiny of  $\eta$ ,  $\eta'$ ,  $\Psi$  or  $\Upsilon$  decays [25]. Limits derived 294 from these observables can be applied to all U(1)' groups that include a coupling to the 295 baryonic current, see for example Fig. 2. 296

The leptonic couplings of the Z' lead to additional observables which can be used to constrain the interaction strength. On the one hand, couplings to  $\tau$  leptons are hard to constrain for Z's in the mass range considered here. The short lifetime and large mass of the  $\tau$  prevents a detailed scrutiny of its interaction in low-energy experiments such that we need to rely on the baryonic probes mentioned previously. One of the few relevant  $\tau$  constraint comes from the one-loop vertex correction to the  $Z\tau\tau$  and  $Z\nu_{\tau}\nu_{\tau}$  couplings, which for  $M_{Z'} \ll M_Z$  are given by

$$\frac{g_{V,A}}{g_{V,A}^{\rm SM}} \simeq 1 + \frac{(X(\tau)g')^2}{(4\pi)^2} \left[\frac{\pi^2}{3} - \frac{7}{2} - 3\log\left(\frac{M_{Z'}^2}{M_Z^2}\right) - \log^2\left(\frac{M_{Z'}^2}{M_Z^2}\right) - 3i\pi - 2i\pi\log\left(\frac{M_{Z'}^2}{M_Z^2}\right)\right],\tag{27}$$

with  $X(\tau)$  the  $U(1)_X$  charge of the tau. The Z' corrections suppress the Z couplings to taus, which have been precisely measured at LEP [72]. We show the naive  $2\sigma$  constraint from the axial  $Z\tau\tau$  coupling,  $|g_A - g_A^{\text{SM}}| < 2 \times 0.00064$  in Fig. 2. While stronger than most  $U(1)_B$  limits for  $M_{Z'} \sim \text{GeV}$ , these limits will not be relevant for  $U(1)_X$  models with muon or electron couplings, which are strongly constrained by other observables.

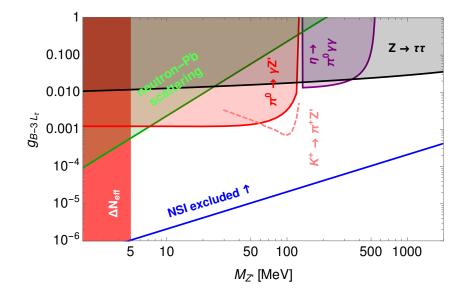


Figure 2: Limits on  $U(1)_{B-3L_{\tau}}$  gauge coupling and Z' mass from Refs. [27, 70] together with the strong NSI constraint (blue). For limits that include (radiative) kinetic mixing, see Ref. [71].

Muons, for example, allow for precision experiments. Rare neutrino-induced processes 309 such as neutrino trident production, which has been measured by the CCFR experi-310 ment [73], can test the interaction between neutrinos and muons [74]. As is well known, 311 a light Z' can alleviate the tension between the SM prediction and the measured value of 312 the anomalous magnetic moment of the muon  $(g-2)_{\mu}$ . The parameter space in which the 313 tension is reduced to  $2\sigma$  (1 $\sigma$ ) is indicated by the dark (light) green band in Fig. 3. In the 314 region above the green band  $(g-2)_{\mu}$  is dominated by the new-physics contribution while 315  $(g-2)_{\mu}$  asymptotes to the SM value below the green band. Since the new physics can 316 drive the expected anomalous magnetic moment further away from the measurement than 317 the SM a large fraction of the upper region is disfavored compared to the lower regions. 318 We omit this constraint in the figure since this regions is already in tension with CCFR. 319 Additional constraints on a light mediator coupling of muons can be derived from searches 320 for  $e^+e^- \to \mu^+\mu^- Z'$  in four-muon final states at BaBar [75]. This search is sensitive down 321 to the two-muon threshold and excludes  $g' \gtrsim 10^{-3}$  for  $M_{Z'} \simeq 200$  MeV. Finally, there are 322 also constraints from cosmology which are largely insensitive to the details of the particle-323 physics model. A light Z' can be produced copiously in the early Universe if coupled to 324 light SM fermions, even if just to neutrinos. Bosons with mass below  $M_{Z'} \lesssim 5 \,\mathrm{MeV}$  then 325 either contribute themselves to the relativistic degrees of freedom  $N_{\text{eff}}$  at the time of Big 326 Bang nucleosynthesis [56], or heat up the decoupled neutrino bath via  $Z' \to \nu \nu$  [57,58], 327 putting strong constraints on our models. 328

The relevant NSI limits from a global fit to neutrino oscillation data can be readily read off from Tab. 1. We give the three most extreme cases for  $r_{\mu\tau}$  in Tab. 2 which also illustrates the importance of the NSI sign:

• For  $B - 3L_{\tau}$  [76–78], corresponding to  $r_{\mu\tau} = 2$ , we obtain negative NSI coefficients, which are much more constrained than positive NSI. As a result, NSI impose a very strong constraint  $M_{Z'}/|g'| > 4.8$  TeV on this scenario, to be compared to extremely weak limits from other experiments (see Fig. 2). This is the scenario where neutrino oscillations are most important. COHERENT does not set a limit here because it does not involve tau neutrinos.

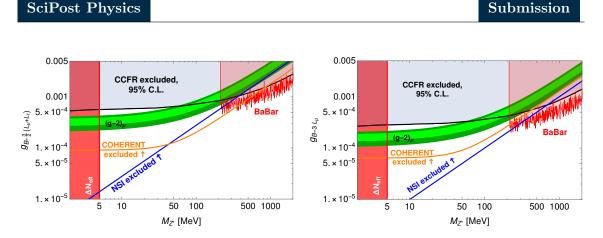


Figure 3: Constraints on  $U(1)_{B-\frac{3}{2}(L_{\mu}+L_{\tau})}$  (left) and  $U(1)_{B-3L_{\mu}}$  (right) together with the  $2\sigma$  NSI bound from neutrino oscillations (Tab. 2) and the  $2\sigma$  constraint from COHERENT. Also shown is the preferred region to resolve the muon's (g-2) at 1 and  $2\sigma$  in green and exclusions from  $\Delta N_{\text{eff}}$ , BaBar [75] and neutrino trident production in CCFR [73,74].

$U(1)_X$	$\epsilon_{ee}^{p+n} - \epsilon_{\mu\mu}^{p+n}$	$\epsilon_{\tau\tau}^{p+n} - \epsilon_{\mu\mu}^{p+n}$	$M_{Z'}/ g' $
$B-3L_{\tau}$	0	$-\frac{3(g')^2}{\sqrt{2}G_F M_{Z'}^2}$	$> 4.8 \mathrm{TeV}$
$B - \frac{3}{2}(L_{\mu} + L_{\tau})$	$+rac{3(g')^2}{2\sqrt{2}G_F M_{Z'}^2}$	0	$> 360{ m GeV}$
$B - 3L_{\mu}$	$+ \frac{3(g')^2}{\sqrt{2}G_F M_{Z'}^2}$	$+rac{3(g')^2}{\sqrt{2}G_F M_{Z'}^2}$	$> 1.0 \mathrm{TeV}$

Table 2: Examples for NSI from electrophobic anomaly-free  $U(1)_X$  without Z-Z' mass mixing, as well as the NSI limit [40] on the Z' mass and coupling. See Figs. 2 and 3 for additional limits on the parameter space.

•  $B - \frac{3}{2}(L_{\mu} + L_{\tau})$  [79], corresponding to  $r_{\mu\tau} = 1/2$ , gives positive NSI and a rather weak limit of  $M_{Z'}/|g'| > 360 \text{ GeV}$ . Thanks to the condition  $\epsilon_{\tau\tau} = \epsilon_{\mu\mu}$ , COHERENT can give better constraints than oscillation data (Fig. 1) and in fact provides the best limit for 40 MeV  $< M_{Z'} < 800$  MeV, but is overpowered at higher masses by BaBar [75] and neutrino trident production as measured by CCFR [73, 74] (see Fig. 3). At no point can one resolve the longstanding  $(g - 2)_{\mu}$  anomaly [80].

•  $B - 3L_{\mu}$  [81], corresponding to  $r_{\mu\tau} = -1$ , only gives  $\epsilon_{\mu\mu}$  and a rather strong limit  $M_{Z'}/|g'| > 1$  TeV from neutrino oscillations, which is however weaker than neutrinotrident limits if  $M_{Z'} > 700$  MeV (see Fig. 3). As expected from Fig. 1, COHERENT is currently not competitive with oscillation constraints here.

As can be seen, the bounds on hadronic interactions of a Z' are weaker than those arising from interactions with muons. Consequently, we only show the hadronic limits in Fig. 2 and focus on the other constraints in Fig. 3. In all these cases neutrino oscillations provide the strongest limits for light Z',  $M_{Z'} = \mathcal{O}(1-100)$  MeV, and NSI with a strength that might impair future neutrino oscillation experiments can not be excluded.

### 353 Electrophilic NSI

Moving on from the electrophobic NSI to Z' scenarios with electron couplings, we again focus on some simple examples to illustrate the different possibilities. Prime examples for relevant  $U(1)_X$  generators that lead to  $\epsilon^e$  are  $B - 3L_e$  [82],  $L_e - L_{\mu}$  [83,84], and  $L_e - L_{\tau}$ , collected in Tab. 3.

$U(1)_X$	$\epsilon_{ee}^{e+p} - \epsilon_{\mu\mu}^{e+p}$	$\epsilon_{ee}^n - \epsilon_{\mu\mu}^n$	$M_{Z'}/ g' $ (TEXONO)	$M_{Z'}/ g' $ (NSI)
$B - 3L_e$	$+rac{3(g')^2}{\sqrt{2}G_F M_{Z'}^2}$	$-\frac{3(g')^2}{2\sqrt{2}G_F M_{Z'}^2}$	$> 2 \mathrm{TeV}$	$> 0.2 \mathrm{TeV}$
$U(1)_X$	$\epsilon^e_{ee} - \epsilon^e_{\mu\mu}$	$\epsilon^e_{ au au} - \epsilon^e_{\mu\mu}$	$M_{Z'}/ g' $ (TEXONO)	$M_{Z'}/ g' $ (NSI)
$L_e - L_\mu$	$+\frac{(g')^2}{\sqrt{2}G_F M_{z'}^2}$	$+ \frac{(g')^2}{2\sqrt{2}G_F M_{Z'}^2}$	$> 0.7 \mathrm{TeV}$	$> 0.3{ m TeV}$
$L_e - L_{\tau}$	$+ \frac{(g')^2}{2\sqrt{2}G_F M_{Z'}^2}$	$-\frac{(g')^2}{2\sqrt{2}G_F M_{Z'}^2}$	$> 0.7{ m TeV}$	$> 1.4\mathrm{TeV}$

Table 3: Examples for NSI from electrophilic anomaly-free  $U(1)_X$  without Z-Z' mass mixing, as well as the TEXONO  $e^{-\nu}$ -scattering limit [85] on the Z' mass and coupling and approximate NSI constraints.

Models with couplings between neutrinos and electrons allow for additional ways to 358 test the U(1)'. First of all, this coupling directly modifies the scattering of neutrinos 359 off electrons. The best limits on the contribution of a light Z' to  $\nu - e$  scattering come 360 from a reanalysis [41,85] of data collected during the TEXONO-CsI run [86]. In addition, 361 bounds on new interactions with electrons can be derived from positron-electron collisions. 362 The best limits in the mass range of interest here come from the BaBar search for dark 363 photons [87]. When translated into the parameters of the Z' model considered here these 364 limits exclude  $g' \gtrsim 10^{-4}$  in a wide range of masses, see e.g. Fig. 4. In addition, there are 365 constraints on light Z' from beam-dump experiments. These bounds can be translated to 366 a given Z' model once the couplings and Z' branching ratios are known [88]. We use the 367 code Darkcast [71] to translate the relevant beam-dump limits [89–95] to the  $B - 3L_e$ 368 model, see Fig. 4. 369

Since there is no recent analysis of global neutrino oscillation data for NSI that come 370 from the electron density, we have to make some approximations. In principle, the electron 371 matter density and the proton matter density are identical; one is therefore tempted to 372 assume that the limits on proton NSI are the same as those on electron NSI. However, 373 one has to keep in mind that interactions with electrons will not only affect the matter 374 potential (i.e. neutrino propagation) but also the neutrino *detection* process and so bounds 375 of  $\epsilon^p$  are not strictly identical to bounds on  $\epsilon^e$ . Nevertheless, the independent bounds on 376 the interaction of Z' with electrons mentioned above ensure that the neutrino detection 377 process is basically unaffected by new physics. In the following we will hence assume that 378 the limits on proton NSI from the global fit of Ref. [40] are a good proxy for the electron 379 NSI. 380

Now we can use the limits from Tab. 1 to constrain straightforwardly  $L_e - L_{\mu,\tau}$ . For 381  $L_e - L_\mu$  the best NSI limit comes from  $\epsilon^e_{\tau\tau} - \epsilon^e_{\mu\mu}$  and gives  $M_{Z'}/|g'| > 0.3$  TeV, a factor of 382 two weaker than the TEXONO limit (Tab. 3). For  $L_e - L_\tau$  the best NSI limit also comes 383 from the  $\epsilon^{e}_{\tau\tau} - \epsilon^{e}_{\mu\mu}$  entry, but is much stronger due to the opposite sign compared to  $L_e - L_{\mu}$ ; 384 the limit reads  $M_{Z'}/|g'| > 1.4 \text{ TeV}$  and is thus a factor two stronger than TEXONO's. 385 This once again illustrates the importance of the NSI sign and the complementarity of 386 the different experiments and observables. Current and future limits in the  $M_{Z'}-g'$  plane 387 for these two scenarios (without the NSI bounds) can be found in Ref. [42]. In the last 388 example,  $B - 3L_e$ , we only generate the  $\epsilon_{ee} - \epsilon_{\mu\mu}$  NSI combination, but with contributions 389 from electron, protons, and neutrons of the form  $\epsilon^n/\epsilon^{e+p} = -1/2$ . Overall this leads to 390 positive  $\epsilon_{ee} - \epsilon_{\mu\mu}$  which is then only weakly constrained,  $M_{Z'}/|g'| > 0.2 \text{ TeV}$ , so that 391 TEXONO is more relevant. We strongly encourage a global analysis of  $\epsilon^e$  NSI seeing as 392 they give crucial limits on the parameter space of flavored gauge bosons. Of our three 393 examples, only  $B - 3L_e$  can lead to  $CE\nu NS$ , but this process does not give better limits 394 than TEXONO (Fig. 4). 395

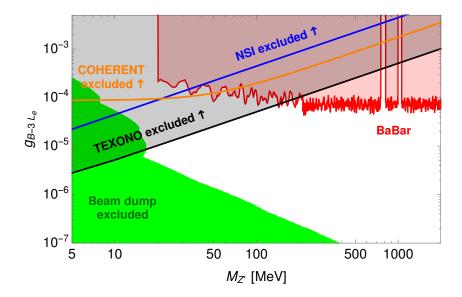


Figure 4: Constraints on  $U(1)_{B-3L_e}$  from beam dumps and BaBar (adapted from Refs. [71, 88]) together with COHERENT and TEXONO (2 $\sigma$ ) neutrino scattering bounds [41,42,85,88] as well as approximate NSI constraints.

Going back to the effective Lagrangian (18) one can find another interesting limit 396 around  $r_{\mu e} \simeq +r_{BL} \neq 0$ , as this would imply a vanishing  $\epsilon^p + \epsilon^e + \epsilon^n$  in matter with equal 397 number of protons, neutrons, and electrons. This relation is approximately satisfied inside 398 Earth, which would then be insensitive to this kind of NSI, all the while one could still 399 have large effects in *solar* neutrino oscillations. This corresponds to the case  $\eta \simeq -44^{\circ}$ 400 analyzed in Ref. [40], where it was shown that this scenario indeed severely weakens NSI 401 constraints. Analogously, one can easily imagine a scenario with non-vanishing NSI inside 402 Earth but with  $\epsilon \simeq 0$  at one specific radius inside the Sun, once again covered in Ref. [40]. 403 This again weakens the NSI bounds and makes other experimental probes, such as neutrino 404 scattering off electrons and nucleons, more important. 405

We see again, now more explicitly within UV-complete models, that the flavor structure is crucial to determine which experimental approach can provide the best limits on the model.

### 409 NSI with Z-Z' mixing

In the cases discussed above, the Z' already had couplings to matter particles u, d, e, allowing for NSI without the need for Z-Z' mixing. To see the effect of Z-Z' mixing, let us consider a simple  $U(1)_X$  under which no matter particles are charged. As is obvious from Eq. (2), this singles out  $U(1)_{L_{\mu}-L_{\tau}}$  [83,84,96]. Starting from Eq. (17) it is instructive to obtain the NSI coefficients for protons and neutrons instead of quarks:

$$\epsilon_{\alpha\beta}^{n} = \sum_{i=1,2} q_{\alpha\beta} \frac{eg'd_{i}}{\sqrt{2}M_{i}^{2}G_{F}} \frac{b_{i}}{2s_{W}c_{W}} \left(-\frac{1}{2}\right),$$

$$\epsilon_{\alpha\beta}^{p} = \sum_{i=1,2} q_{\alpha\beta} \frac{eg'd_{i}}{\sqrt{2}M_{i}^{2}G_{F}} \left(a_{i} + \frac{b_{i}}{2s_{W}c_{W}} \left(\frac{1}{2} - 2s_{W}^{2}\right)\right),$$

$$\epsilon_{\alpha\beta}^{e} = \sum_{i=1,2} q_{\alpha\beta} \frac{eg'd_{i}}{\sqrt{2}M_{i}^{2}G_{F}} \left(-a_{i} - \frac{b_{i}}{2s_{W}c_{W}} \left(\frac{1}{2} - 2s_{W}^{2}\right)\right),$$
(28)

where now q = diag(0, 1, -1) due to the  $U(1)_{L_{\mu}-L_{\tau}}$  coupling. Interestingly, proton and electron NSI cancel each other exactly in electrically neutral matter:

$$\epsilon^p_{\alpha\beta} + \epsilon^e_{\alpha\beta} = 0.$$
 (29)

<sup>417</sup> Note that this result is independent of  $L_{\mu} - L_{\tau}$ , and holds for any U(1)' model one may <sup>418</sup> imagine that has Z-Z' mixing but no direct coupling to electrons, up- or down-quarks. <sup>419</sup> Therefore, if the NSI-matter couplings come from Z-Z' mixing, the only effects are from <sup>420</sup> coupling to *neutrons* [22], and the limits can be read off Table 1.

Let us take a closer look at the neutron part. An important combination of parameters in the previous expressions is the sum over  $b_i d_i/M_i^2$ . Using Eqs. (12-14), we can rewrite it as follows:

$$\sum_{i=1,2} \frac{d_i b_i}{M_i^2} = \frac{1}{c_{\chi}} \left[ c_{\xi} s_{\xi} \left( \frac{1}{M_1^2} - \frac{1}{M_2^2} \right) + s_W t_{\chi} \left( \frac{s_{\xi}^2}{M_1^2} + \frac{c_{\xi}^2}{M_2^2} \right) \right]$$

$$= \frac{\delta \hat{M}^2}{(\delta \hat{M}^2)^2 - \hat{M}_{Z'}^2 \hat{M}_Z^2}$$

$$= -\frac{\delta \hat{M}^2}{M_1^2 M_2^2 c_{\chi}^2}.$$
(30)

Hence, if there is no, or sufficiently suppressed, mass mixing  $\delta \hat{M}^2$ , no NSI effects will 424 be generated in neutrino oscillations. In particular, kinetic mixing cannot by itself lead 425 to such NSI, even if the Z' has non-universal couplings to neutrinos; mass mixing is 426 required, which is a much bigger model-building challenge. Kinetic mixing will of course 427 still lead to effects in neutrino scattering experiments, with the best constraint coming 428 from Borexino [97,98] rather than COHERENT [99]. Below we will focus on the opposite 420 case where kinetic mixing is absent but mass mixing is present and can thus lead to NSI. 430 Using Eq. (30), the final NSI for the  $L_{\mu} - L_{\tau}$  plus mass mixing case are 431

$$\epsilon_{\tau\tau}^{n} - \epsilon_{\mu\mu}^{n} = 2(\epsilon_{ee}^{n} - \epsilon_{\mu\mu}^{n}) = -2\frac{eg'}{4\sqrt{2}G_F s_W c_W}\frac{\delta \hat{M}^2}{M_Z^2 M_{Z'}^2 c_\chi^2},$$
(31)

where we denote  $M_{1,2} \to M_{Z,Z'}$ . These NSI are best constrained by the  $\tau\tau - \mu\mu$  NSI:  $\epsilon_{\tau\tau}^n - \epsilon_{\mu\mu}^n \in [-0.015, +0.222]$  (see Tab. 1). It is clear from the above expression that the NSI now depend on more parameters of the new physics sector and knowledge of g' and  $M_{Z'}$  is no longer sufficient to predict  $\epsilon_{\alpha\beta}^n$ . Similarly, the neutrino–nucleus scattering cross section tested by COHERENT is sensitive to the Z-Z' mixing parameter. As expected from Fig. 1, however, the current COHERENT limit is weaker than the NSI limit due to  $\epsilon_{\mu\mu} = -\epsilon_{\tau\tau}$ .

439 Using the (small) Z-Z' mixing angle  $\xi$  from Eq. (15) the NSI can be expressed as

$$\epsilon_{\tau\tau}^n - \epsilon_{\mu\mu}^n = 2(\epsilon_{ee}^n - \epsilon_{\mu\mu}^n) \simeq -0.04 \left(\frac{550 \,\text{GeV}}{M_{Z'}/g'}\right) \left(\frac{1 \,\text{TeV}}{M_{Z'}/\xi}\right) \left(1 - \frac{M_{Z'}^2}{M_Z^2}\right),\tag{32}$$

showing explicitly that NSI are the result of a cross-coupling of the  $L_{\mu} - L_{\tau}$  current g'j'and the neutral current  $\xi j_{\rm NC}$ . The former is only weakly constrained due to the absence of first-generation particles in j', illustrated in Fig. 5. For light Z', values  $M_{Z'}/g' \sim 10 \,{\rm GeV}$ are possible, whereas heavier Z' are constrained conservatively by CCFR [73] as  $M_{Z'}/g' \gtrsim$  $550 \,{\rm GeV}$  [74].

The Z' coupling to the non-conserved neutral current  $\xi j_{\rm NC}$  on the other hand gives potentially strong constraints. The most generally applicable bounds are due to additional

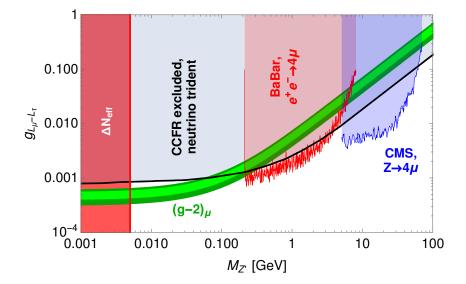


Figure 5: Constraints on  $U(1)_{L_{\mu}-L_{\tau}}$  without any Z-Z' mixing. Shown are the preferred region to resolve the muon's (g-2) at 1 and  $2\sigma$  in green and exclusions from  $\Delta N_{\text{eff}}$  [57,58], BaBar [75], CMS [100], and neutrino trident production in CCFR [73,74].

parity violation and lead to  $M_{Z'}/\xi \gtrsim 1$  TeV with little dependence on the details of the UV-447 completion of the mass-mixing [101–103]. In addition, processes that are sensitive to the 448 emission of the longitudinal Z' are naively expected to receive a  $1/M_{Z'}$  enhanced amplitude 449 and, therefore, meson decays such as  $K \to \pi Z'$  and  $B \to K Z'$  promise strong constraints. 450 However, a certain amount of care is required when dealing with these constraints. In a 451 theory with only mass mixing added to the SM the amplitude is divergent [103]. In the 452 full UV-theory this divergence is canceled by the new physics omitted in the low energy 453 theory and the divergence is replaced by a term  $\propto \log(\Lambda^2/M_W^2)$ , where  $\Lambda$  is the mass scale 454 of the additional degrees of freedom. It has been shown that this estimate reproduces the 455 full result of an exemplary UV-completion well provided that no cancellations occur [103]. 456 In this case  $K \to \pi Z'$  gives a limit  $M_{Z'}/\xi \gtrsim 10^3$  TeV for  $M_{Z'} < 100$  MeV and the CHARM 457 beam-dump gives  $\xi < 10^{-8}$  for MeV  $\langle M_{Z'} < 350$  MeV. This indicates that the induced 458 NSI will most likely be severely suppressed for light Z' but we would like to caution that 459 the final answer to this question cannot be given in a model-independent fashion. We note 460 in particular that the  $(g-2)_{\mu}$ -motivated region of parameter space cannot give large NSI. 461 Taken together with the constraints from Fig. 5 we see that the largest NSI in this 462 model can be achieved with a Z' with mass either in the very narrow region around 5 GeV 463 (slightly above the  $B \to KZ'$  threshold [103] and below the  $Z \to 4\mu$  sensitivity (Fig. 5), 464 although the latter can most likely be pushed down to close this gap) or above  $\sim 60 \,\mathrm{GeV}$ 465 (above rare-decay thresholds), giving NSI as large as a few percent (Eq. (32)). Depending 466 on the sign of  $q'\xi$  this can already be in violation with the global-fit constraints of Tab. 1. 467 However, for such an electroweak-scale Z' above  $\sim 60 \,\text{GeV}$  one does not just have rare-468 decay constraints [103] but also direct searches at colliders, e.g. in dilepton channels. From 469 the LHC these are typically only given for Z' masses above 150 GeV (see e.g. Ref. [104]), 470 leaving a gap of currently weakly constrained parameter space [105]. If future neutrino 471 data ever hints at a large  $\epsilon_{\tau\tau}^n - \epsilon_{\mu\mu}^n$  then a dedicated search for ~ 60–150 GeV-scale Z' 472 would be highly desirable. 473

A74 As we have seen above, the NSI discussion does not depend on the UV-origin of the Z-A75 Z' mass-mixing angle  $\xi$ , although some of the constraints on  $\xi$  do. Let us briefly mention A76 other implications of the UV completion. Z-Z' mass mixing unavoidably requires a new scalar that carries both  $L_{\mu} - L_{\tau}$  and electroweak charge, the simplest example being an additional scalar doublet  $\phi'$  with the same hypercharge as the lepton doublet and  $L_{\mu} - L_{\tau}$ charge  $q_{\phi'}$ . This gives [30]

$$\delta \hat{M}^2 = \frac{eg' q_{\phi'}}{s_W c_W} \langle \phi' \rangle^2 \,, \tag{33}$$

480 and hence

$$\epsilon_{\tau\tau}^n - \epsilon_{\mu\mu}^n = 2(\epsilon_{ee}^n - \epsilon_{\mu\mu}^n) = -\frac{1}{2\sqrt{2}G_F} \left(\frac{eg'}{s_W c_W}\right)^2 \frac{q_{\phi'} \langle \phi' \rangle^2}{M_Z^2 M_{Z'}^2 c_\chi^2}.$$
(34)

The vacuum expectation value  $\langle \phi' \rangle$  cannot be the only contribution to  $M_{Z'}$ , so additional 481 electroweak singlets with  $L_{\mu} - L_{\tau}$  charge are required [22,106]. The value of  $q_{\phi'}$  determines 482 additional signatures that go beyond the simple Z-Z' mass mixing relevant for NSI. For 483 example, in models with  $q_{\phi'} = \pm 1$  off-diagonal terms in the charged lepton mass matrix are 484 allowed which induce LFV decays in the sectors  $\mu \to e$  (such as  $\mu \to e\gamma$ ,  $\mu \to e$  conversion 485 in nuclei) or  $\tau \to e$  (such as  $\tau \to e\gamma$ ,  $\tau \to 3e$ ) [22]; in models with  $q_{\phi'} = \pm 2$  on the other 486 hand the structure is such that LFV can appear in the tau-mu sector, e.g. in  $\tau \to \mu \gamma$  or 487  $h \to \mu \tau$  [106]. Other assignments of  $q_{\phi'}$  will not have any impact on LFV and essentially 488 look like a type-I 2HDM. Since these signatures depend additionally on the scalar mixing 489 angle(s) and the scalar mass spectrum, it is difficult to make definite predictions. 490

### 491 Conclusions

The origin of NSI may be a flavor-sensitive U(1)'. Such scenarios face a number of 492 constraints from beam, neutrino scattering and of course oscillation measurements. We 493 demonstrated in this paper that it is quite easy to obtain large *diagonal* NSI in anomaly-494 free U(1)' models. The models we studied are very well motivated as they are anomaly-free 495 when only right-handed neutrinos are introduced to the particle content of the SM. Neu-496 trino oscillations can often place the strongest constraints on such models if the Z' is 497 in the 10–100 MeV region. These arguably simplest realizations of NSI lead to neutrino 498 scattering off neutrons, protons and electrons in specific combinations. 499

<sup>500</sup> Some of our key messages may be formulated as follows:

501 502	• Large diagonal NSI coefficients are possible via a light Z' from an anomaly-free $U(1)_X$ with $X = r_{BL}(B-L) + r_{\mu\tau}(L_{\mu} - L_{\tau}) + r_{\mu e}(L_{\mu} - L_{e}).$
503 504	• Instead of analyzing NSI for up- and down-quarks one should rather use protons and neutrons as the natural basis.
505 506 507	• The sign of the NSI is fixed by the $U(1)_X$ , as is which linear combination of $e, p$ , and $n$ is relevant for the model. NSI effects in long-baseline experiments can be easily avoided.
508 509 510	• For light $Z'$ one has to carefully distinguish between NSI in oscillations (i.e. for- ward scattering) and scattering off electrons or nucleons with non-zero momentum transfer.
511 512	• NSI and neutrino scattering limits (both $\nu - e$ and (coherent) $\nu - q$ ) are complementary and depend strongly on X.
513	• <i>Kinetic</i> mixing is not relevant for NSI, but for all other probes.

• If the  $U(1)_X$  does not couple to first generation charged fermions, electron and proton <sup>515</sup> NSI cancel each other exactly, and Z-Z' mass mixing is required to generate effects <sup>516</sup> on neutrons. This mass mixing requires a Higgs multiplet charged under the SM and <sup>517</sup> U(1)' symmetries, and thus in principle testable non-standard Higgs phenomenology.

<sup>518</sup> NSI effects in neutrino oscillations were shown here to be connected to various exper-<sup>519</sup> imental probes beyond long-baseline or solar neutrino experiments, and surely a broad <sup>520</sup> approach to disentangle their origin will become necessary if any sign of those effects were <sup>521</sup> to be found. On the other hand, well-motivated Z' models were shown to generate NSI <sup>522</sup> effects in oscillations, and should be taken into account when limits on those models are <sup>523</sup> discussed.

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