Beryllium-9 in Cluster Effective Field Theory

Elena Filandri^{1,2*}, Paolo Andreatta¹, Carlo A. Manzata¹, Chen Ji³, W. Leidemann^{1,2} and G. Orlandini^{1,2}

1 Università di Trento, 38123 Trento, Italy

 2 INFN-TIFPA Trento Institute of Fundamental Physics and Applications, Via Sommarive, 14, 38123 Povo-Trento, Italy
 3 Key Laboratory of Quark and Lepton Physics (MOE) and Institute of Particle Physics, Central China Normal University, Wuhan 430079, China

 * elena.filandri@unitn.it

October 14, 2019



Proceedings for the 24th edition of European Few Body Conference, Surrey, UK, 2-4 September 2019

Abstract

We study the ⁹Be ground-state energy with non-local αn and $\alpha \alpha$ potentials derived from Cluster Effective Field Theory. The short-distance dependence of the interaction is regulated with a momentum cutoff. The potential parameters are fitted to reproduce scattering length and effective range. We implement such potential models in a Non-Symmetrized Hyperspherical Harmonics (NSHH) code in momentum space. In addition we calculate ground-state energies of various alpha nuclei. Work is in progress in view of the calculation of the photodisintegration of ⁹Be with the Lorentz Integral Transform (LIT) method.

Introduction

The idea of alpha clustering has a long history back to 1930s [1]. By observing alpha decay from nuclei, physicists speculated that they are made up of alpha particles. Nowadays there are many experimental evidences for the alpha clustering in nuclei. We mention for example, the ⁸Be decay in two alpha particles, the observation of ¹²C Hoyle state as well as the observation of other systems [1] predicted by the Ikeda diagram. Furthermore some of the recent experimental studies strongly support the alpha cluster structure in ⁵⁶Ni [2] and in the ground state of ⁴⁰Ca [3].

In this context our purpose is to describe these cluster nuclei and some reactions of astrophysical interest, specializing in very low energies, where clusters of nucleons behave coherently. In this work we focus on the Borromean system provided by the nucleus of ⁹Be which shows a separation of scales at low energy. For E < 20 MeV the dynamics describing the cluster configuration is insensitive to the internal dynamics of the α particles. Therefore, in order to describe this system, we can use a three-body approach with interactions among nucleon and alpha particles. The cluster approach is not new for the study of ⁹Be. The same technique of clustering was employed by Efros *et al.* in [4], where this nucleus is described as an $\alpha \alpha n$ system and a calculation of the ground state has been made using phenomenological local potentials. Within the same three-body approach, another

calculation by Casal *et al.* [5] was performed, where also a phenomenological three-body force was introduced.

In this work instead non-local potentials derived from Cluster effective field theory [6,7], with a more solid theoretical background, are used. The final goal of this project will be to employ these potentials in the calculation of the photodisintegration of ⁹Be by the LIT method [8]. This reaction is particularly interesting since the inverse reaction represents an alternative to the triple alpha process in the formation of 12 C in supernovae events. This paper will be organized as follows. In Section 2 we will introduce the Cluster effective field theory and the potentials used, in Sec. 3 we will present our results and in Sec. 4 the conclusions.

Cluster Effective Field Theory

In nuclear physics in general nucleons are used as effective degree of freedom, however this is not the only possible choice. In particular, many nuclei present the peculiar property for which the probability distribution of the valence neutrons extends well beyond that of the core and they are called halo nuclei. Others have some parts of the system which can be seen as separated subsystems. In this work we study the Borromean system provided by the nucleus of ⁹Be. The energy needed in order to separate the system into the three effective degrees of freedom is ~ 1.572 MeV, while the proton separation energy of ⁴He is $S_p(^4\text{He}) \sim 19.813$ MeV. Comparing these two energies values, one can already see a separation of scales, needed for an Effective Field Theory approach [6,7]. The two types of subsystems of ⁹Be are the $\alpha\alpha$ pair and the α n one. The $\alpha\alpha$ interaction is dominated by the ¹S₀ resonant state, while the αn system has a resonance in the ${}^2P_{\frac{3}{2}}$ partial wave at low energies.

Another feature required for an effective theory approach is the power counting. For the $n\alpha$ case, from a physical interpretation one would expect that the two scales are given by $M_{lo} = \sqrt{2\mu_{\alpha n}Q_{\alpha decay}}({}^{5}\text{He}) \approx 30 \text{ MeV}, M_{hi} = \sqrt{2\mu_{\alpha n}S_{p}}({}^{4}\text{He}) \approx 140 \text{ MeV}$. The chosen power counting should also reproduce the known resonance of the system at low energy $\sim M_{lo}$, therefore we need to keep the scattering length term and the effective range one to be of the same order at M_{lo} to guarantee a resonance pole in the T-matrix. We adopt the following power counting [7]:

$$\frac{1}{a_1} \sim M_{lo}^2 M_{hi} , \quad r_1 \sim M_{hi} , \qquad (1)$$

 a_1 being the scattering length and r_1 the effective range. Hence, using experimental values for a_1 and r_1 , we get $M_{lo} \approx 50$ MeV and $M_{hi} \approx 170$ MeV.

In the $\alpha\alpha$ case we have three different scales of interest $M_{lo} = \sqrt{2\mu_{\alpha\alpha}Q_{\alpha decay}}(^{8}\text{Be}) \approx 20$ MeV, $M_{hi} = \sqrt{2\mu_{\alpha\alpha}S_{p}}(^{4}\text{He}) \approx 260$ MeV and the Coulomb one $k_{C} = 4\alpha\mu_{\alpha\alpha}$. In a similar way to the previous case, but with the following power counting

$$a_0 \sim \frac{M_{hi}^2}{M_{lo}^3}, \quad r_0 \sim \frac{1}{3k_C} \sim \frac{1}{M_{hi}},$$
 (2)

and using again the experimental values, we obtain $M_{lo} \approx 20$ MeV and $M_{hi} \approx 170$ MeV. Therefore, we perform an EFT expansion up to NLO with a precision given in the αn case by $O\left(\frac{M_{lo,\alpha n}}{M_{hi,\alpha n}}\right) \sim 0.3$, while in the $\alpha \alpha$ one has $O\left(\frac{M_{lo,\alpha \alpha}}{M_{hi,\alpha \alpha}}\right) \sim 0.1$. Moreover in order to evaluate the range of validity of our EFT, we should also consider the breakdown scale of $\alpha \alpha n$ system. Since we consider a three-body problem we have to take the strictest constraint $M_{hi} = min\{M_{hi,\alpha n}, M_{hi,\alpha \alpha}\}$.

The Potential

At low energies, one can describe the short-range interaction between two particles with a potential in momentum space of the form,

$$V(\mathbf{p}, \mathbf{p}') = \sum_{i,j=0}^{1} p^{2i} \lambda_{ij} p'^{2j},$$
(3)

where p and p' are the two-body relative momenta and we have introduced the matrix

$$\lambda = \begin{pmatrix} \lambda_0 & 0\\ 0 & \lambda_1 \end{pmatrix}. \tag{4}$$

One can, in general, expand a potential in partial-wave components by defining

$$V_l(p,p') = \frac{1}{2} \int_{-1}^{1} \langle \boldsymbol{p} | V | \boldsymbol{p}' \rangle P_l(\hat{\boldsymbol{p}} \cdot \hat{\boldsymbol{p}}') d(\hat{\boldsymbol{p}} \cdot \hat{\boldsymbol{p}}'), \qquad (5)$$

$$V(\boldsymbol{p}, \boldsymbol{p}') = \langle \boldsymbol{p} | V | \boldsymbol{p}' \rangle = \sum_{l=0}^{\infty} (2l+1) V_l(p, p') P_l(\hat{\boldsymbol{p}} \cdot \hat{\boldsymbol{p}}'), \qquad (6)$$

where P_l is the *l*-th Legendre polynomial.

In particular, for a potential dominated by a specific partial wave l one has

$$V(\boldsymbol{p}, \boldsymbol{p}') = p^{l} p'^{l} g(p) g(p') \sum_{i,j=0}^{1} p^{2i} \lambda_{ij} p'^{2j} (2l+1) P_{l}(\hat{\boldsymbol{p}} \cdot \hat{\boldsymbol{p}}'), \qquad (7)$$

where the λ matrix is defined as in (4).

The potential $V(\mathbf{p}, \mathbf{p}')$ is modified by introducing the function g(p), which regulates the short-distance dependence of the interaction, such that g(p=0) = 1 and $g(p \to \infty) = 0$. The two indices *i* and *j*, in principle, could be larger than 1, but we are limiting them in order to get a phase shift expansion up to the effective range order. This leads for the on-shell T-matrix to the following relation

$$\frac{k^{2l+1}}{T_l^{on}(E)} = -\frac{\mu}{2\pi} \left(\frac{1}{\alpha_l} + \frac{1}{2} r_{e,l} k^2 - i k^{2l+1} \right) + O(k^3)$$
(8)

with the scattering length α_l and the effective range $r_{e,l}$.

The partial wave expansion shown here is important in the study of ⁹Be and ¹²C nuclei since, as already mentioned, the two interactions have a dominant wave according to the used power counting. Thus one needs to find in both cases explicit expressions for the coefficients λ_0 and λ_1 in terms of the scattering length and effective range, with a dependence on the cutoff Λ necessary to take care of the ultraviolet divergences. The coefficients for the potential were found by expanding the Lippmann-Schwinger equation in partial waves in a similar manner to (6):

$$T(\boldsymbol{p}, \boldsymbol{p}') = \sum_{l=0}^{\infty} (2l+1)T_l(p, p')P_l(\hat{\boldsymbol{p}} \cdot \hat{\boldsymbol{p}}')$$
(9)

where

$$T_l(p, p') = p^l p'^l g(p) g(p') \sum_{i,j=0}^{1} p^{2i} \tau_{ij}(E) p'^{2j}.$$
(10)

SciPost Physics Proceedings

What differs between our two cases is how the Lippmann-Schwinger equation is generated. In the αn case the Lippmann-Schwinger equation takes the form

$$T(\boldsymbol{p}, \boldsymbol{p}') = V(\boldsymbol{p}, \boldsymbol{p}') + \int \frac{d\boldsymbol{q}}{(2\pi)^3} V(\boldsymbol{p}, \boldsymbol{q}) \frac{1}{E - \frac{q^2}{2\mu_{\alpha n}} + i\epsilon} T(\boldsymbol{q}, \boldsymbol{p}'), \qquad (11)$$

where $E = k^2/(2\mu_{\alpha n})$. In the $\alpha \alpha$ case, instead, one has to consider also the presence of the long range Coulomb interaction. Then the T-matrix can be separated as follows

$$T(\boldsymbol{p}, \boldsymbol{p}') = T_C(\boldsymbol{p}, \boldsymbol{p}') + T_{SC}(\boldsymbol{p}, \boldsymbol{p}'), \qquad (12)$$

where the $T_C(\mathbf{p}, \mathbf{p}')$ is the pure Coulomb one. The latter satisfies the following equation

$$T_{SC}(\boldsymbol{p}, \boldsymbol{p}') = \langle \psi_{\boldsymbol{p}}^{(-)} | V_S | \psi_{\boldsymbol{p}}^{(+)} \rangle - 2\mu_{\alpha\alpha} \int \frac{d\boldsymbol{p}''}{(2\pi)^3} \langle \psi_{\boldsymbol{p}'}^{(-)} | V_S G_C^+ | \psi_{\boldsymbol{p}''}^{(-)} \rangle \frac{T_{SC}(\boldsymbol{p}, \boldsymbol{p}'')}{p^2 - k^2 + i\epsilon}, \qquad (13)$$

where $G_C^{(\pm)}$ is the Coulomb Green's function and $|\psi_{\mathbf{p}}^{(\pm)}\rangle = [1 + G_C^{(\pm)}V_C]|\mathbf{p}\rangle$. In a next step the partial wave decomposition as in (7) has been used in order to

In a next step the partial wave decomposition as in (7) has been used in order to solve the Lippmann-Schwinger equation. The resulting expressions are expanded in k^2/Λ^2 and evaluated for the relevant partial waves. After the addition of the necessary cutoff, $g(k) = e^{-(\frac{k}{\Lambda})^{2m}}$, $m \in \mathbb{Z}$, one finds in both cases quadratic equations, leading to two sets of solutions for λ_0 and λ_1 , one with a positive and one with a negative λ_0 . In the αn case we choose the set of more natural size. In the $\alpha \alpha$ case, instead, both sets of parameters are of a rather natural size and therefore we study them both.

In Fig. 1 we show the cutoff dependence for the αn phase shift. For cutoffs between 200 and 300 MeV one finds a good agreement with experimental data. In the inset of the figure one sees that the total cross section correctly reproduces the ${}^{2}P_{3/2}$ resonance at $E_{R} = Q_{\alpha decay}({}^{5}\text{He}) = 0.798 \text{ MeV}$ with a width of 0.648 MeV [9].

In Figure 2 we show our results for the $\alpha\alpha$ phase shift with a cutoff of 100 MeV in comparison with experimental data and with another theoretical result, where a different halo EFT expansion has been employed [10]. One sees that our EFT expansion leads to a good description of the experimental data in the whole considered energy range, whereas the results of [10] have a less correct energy dependence beyond 2.5 MeV. Here it is worthwhile to mention that the phase shift results for both sets of solutions for the parameters λ_0 and λ_1 are practically identical.

In addition, we would like to point out that for both $\alpha \alpha$ and αn , a Wigner bound [11] exists. It limits the cutoffs up to $\Lambda_{\alpha n}^{MAX} = 340 \text{MeV}$ and $\Lambda_{\alpha \alpha}^{MAX} = 230 \text{MeV}$.

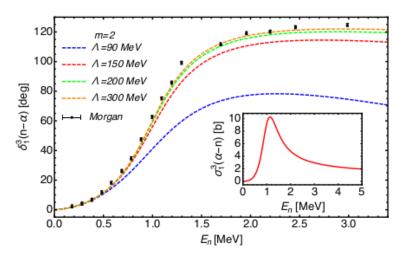


Figure 1: Phase shifts $\delta_{13}(E_n)(l = 1, J = 3/2)$ with experimental data from Morgan and Walter [12] and in the inset the cross-section $\sigma_{13}(E_n)$ obtained with $\Lambda = 300$ MeV.

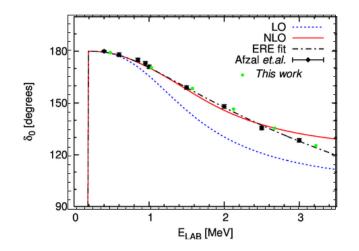


Figure 2: $\alpha\alpha$ scattering phase shift δ_0 (l = 0, j = 0) with cutoff $\Lambda = 100$ MeV in comparison with experimental data from Azfal et al. [13] and with another Halo EFT calculation [10] in lowest order (LO) and Next-to-leading order (NLO). Also shown the fit to the experimental data (ERE fit).

Results

In order to obtain the ground state of the studied nuclei, we diagonalize the Hamiltonian on a nonsymmetrized hyperspherical harmonics (NSHH) basis in momentum space. The NSHH approach is based on the use of the hyperspherical harmonics basis without previous symmetrization [14–16]. Since the potentials that are used in this work are interactions born in momentum space, we chose to work with a NSHH basis in this space. HH calculations have been already carried out in momentum space [17], however with a symmetrized basis. Furthermore, in that work the momentum space HH basis was obtained from a Fourier transform of the coordinate space HH basis. Following such an approach there is an increase in complexity for the momentum space hyperradial part,

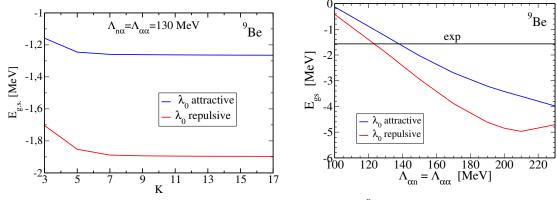


Figure 3: Convergence of ⁹Be ground state energy with $\Lambda_{\alpha\alpha} = \Lambda_{\alpha n} = 130$ MeV.

Figure 4: ⁹Be ground state energy in function of the cutoff. Here, we use the same value for $\Lambda_{\alpha n}$ and $\Lambda_{\alpha \alpha}$.

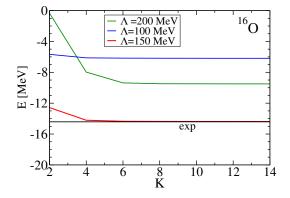


Figure 5: Convergence of ¹⁶O ground state energy for different values of Λ .

since the Fourier transform of the Laguerre polynomial is a more complicated hypergeometric function that requires an enormous amount of precision in the integrations as the required number of polynomials necessary for convergence rises. In our work, instead, a system of coordinates that is completely born in momentum space is used. It is generated in analogy to its coordinate space counterpart, namely by taking Laguerre polynomials for the hypermomentum part.

In Figure 3 we show the convergence of ⁹Be ground-state energy in function of the HH quantum number K, where both cutoffs are set equal to 130 MeV. The first feature that one notes is the rapid convergence. In fact, thanks to the softness of our Halo EFT potentials, one reaches quite a good convergence already at K = 11. Furthermore, one sees that the parameter set with a positive λ_0 leads to about 0.5 MeV less binding. In Fig. 4 we show the cutoff dependence of the ground-state energy choosing $\Lambda_{\alpha n} = \Lambda_{\alpha \alpha}$. Here one needs to take into account that the ⁹Be binding energy is given by only 1.572 MeV, since one has to correct for the binding energies of the two α -particles. The figure shows that one obtains for some combinations of cutoff values and λ_i solutions the experimental energy. Moreover, one notes that the ⁹Be ground-state energy exhibits a relatively strong variation, between 0 and -5 MeV, due to the cutoff value. This dependence is probably caused by the lack of a three-body force.

Now we turn to the discussion of the considered α -nuclei. Also for these nuclei we find a rather rapid HH convergence of the various ground-state energies. In Fig. 5 we show as example ¹⁶O.

In Fig. 6 we illustrate the cutoff dependence of the ground state energies for the α -

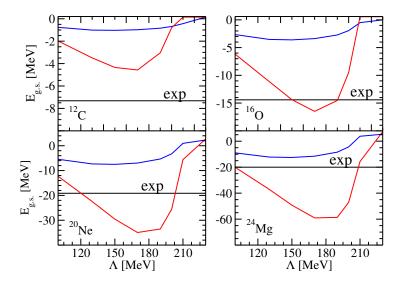


Figure 6: Cutoff dependence of ground-state energies of various α -nuclei with HH quantum number K equal to 12 (¹²C,¹⁶O) and 8 (²⁰Ne, ²⁴Mg). Blue curves: λ_0 actractive; red curves: λ_0 repulsive.

nuclei. Again one has quite a large variation of energies. These results further support the need for a three-body force in our Halo EFT approach. It is interesting to notice, however, that, except for the case of 12 C, one can find cutoff values that reproduce the experimental energy.

Conclusions

In this work we present a study of the ground-state energies of ⁹Be and various α -nuclei with non-local αn and $\alpha \alpha$ interactions derived from Cluster Effective Field Theory [6, 7]. The potentials are regularized by a Gaussian cutoff which takes care of ultraviolet divergences of the interaction. The potential parameters are fitted in order to reproduce a correct on-shell *T*-matrix up to the effective range order. The calculation of the various ground-state energies is carried out by diagonalizing the Hamiltonian on an NSHH basis in momentum space. We obtain in general a rather strong cutoff dependence. However, we are able to reproduce the experimental ground-state energies for selected cutoff values for all of the studied nuclei, but for ¹²C. The strong cutoff dependence and the case of ¹²C indicate the lack of three-body forces. Therefore, in future, we plan to extend our Halo EFT approach by including such many-body forces.

Another possible future project is the study of ⁹Be photodisintegration. As it was stated in the introduction, the photodisintegration of ⁹Be is an interesting reaction because it is the inverse process of $\alpha + \alpha + n \rightarrow$ ⁹Be+ γ , the first step in Carbon-12 production through the $\alpha \alpha n$ chain, which could be in the event of a supernova an important contribution to carbon nucleosynthesis. In order to study this process we plan to calculate the ⁹Be photoabsorption cross section via the Lorentz integral transform approach [8].

References

- M. Freer and A. C. Merchant, J. Phys. G: Nucl. Part. Phys. 23, 261-323 (1997) doi:10.1088/0954-3899/23/3/002 and references therein.
- [2] H. Akimune et al., J. Phys.: Conf. Ser. 436, 012010 (2013) doi:10.1088/1742-6596/436/1/012010.
- [3] A.A. Cowley, J. Phys.: Conf. Ser. 436, 012011 (2013) doi:10.1088/1742-6596/436/1/012011.
- [4] V.D. Efros, H. Oberhummer, A. Pushkin and I.J. Thompson, Eur. Phys. J. A 1, 447-453, (1998) doi:10.1007/s100500050079.
- [5] J. Casal, M. Rodríguez-Gallardo, J. M. Arias and I. J. Thompson, Phys. Rev. C 90, 044304 (2014) doi:10.1103/PhysRevC.90.044304.
- [6] C.A. Bertulani, H. W. Hammer and U. van Kolck, Nucl. Phys. A **712**, 37 (2002), doi:10.1016/S0375-9474(02)01270-8;
 R. Higa, H. W. Hammer and U. van Kolck, Nucl. Phys. A **809**, 171 (2008),doi:10.1016/j.nuclphysa.2008.06.003.
- [7] P. Bedaque, H.-W. Hammer and U. van Kolck, Phys. Lett. B 569, 159 (2003) doi:10.1016/j.physletb.2003.07.049.
- [8] V.D Efros, W. Leidemann and G. Orlandini, Phys. Lett. B 338,130 (1994) doi:10.1016/0370-2693(94)91355-2.
- [9] D. Tilley et al., Nucl. Phys. A **708**,3 (2002) doi:10.1016/S0375-9474(02)00597-3.
- [10] R. Higa et al., Nucl. Phys. A **809**, 171–188 (2008) doi:10.1016/j.nuclphysa.2008.06.003.
- [11] E. P. Wigner, Phys. Rev. 98, 145-147 (1955) doi:10.1103/PhysRev.98.145.
- [12] G. L. Morgan and R. L. Walter, Phys. Rev. 168, 1114 (1968) doi:10.1103/PhysRev.168.1114.
- [13] S. A. Afzal, A. A. Z. Ahmad and S. Ali, Rev. Mod. Phys. 41, 247 (1969) doi:10.1103/RevModPhys.41.247.
- M. Gattobigio, A. Kievsky and M. Viviani, Phys. Rev. C 83, 024001 (2011) doi:10.1103/PhysRevC.83.024001;
 S.Deflorian, N. Barnea, W. Leidemann and G. Orlandini, Few-Body Syst. 54, 1879 (2013) doi:10.1007/s00601-013-0717-y.
- [15] Fabrizio Ferrari Ruffino, PhD thesis (Università di Trento, 2017) http://eprints-phd. biblio.unitn.it/2071/1/Ferrari_PhD.pdf.
- [16] Paolo Andreatta, PhD thesis (Università di Trento, 2019) http://eprints-phd.biblio. unitn.it/3725/1/Tesi.pdf.
- [17] M. Viviani et. al, Few-Body Systems **39**, 159-176 (2006) doi:10.1007/s00601-006-0158y.