

Fluctuations of work in realistic equilibrium states of quantum systems with conserved quantities

J. Mur-Petit^{1*}, A. Relaño^{2†}, R. A. Molina³ and D. Jaksch^{1,4}

¹ Clarendon Laboratory, University of Oxford, Parks Road, Oxford OX1 3PU, United Kingdom

² Departamento de Estructura de la Materia, Física Térmica y Electrónica, and GISC, Universidad Complutense de Madrid, Av. Complutense s/n, 28040 Madrid, Spain

³ Instituto de Estructura de la Materia, IEM-CSIC, Serrano 123, 28006 Madrid, Spain

⁴ Centre for Quantum Technologies, National University of Singapore, 3 Science Drive 2, 117543 Singapore

* jordi.murpetit@physics.ox.ac.uk † armando.relano@fis.ucm.es

October 18, 2019



Proceedings for the 24th edition of European Few Body Conference, Surrey, UK, 2-4 September 2019

1 Abstract

2 The out-of-equilibrium dynamics of quantum systems is one of the most fascinating
3 problems in physics, with outstanding open questions on issues such as relaxation to
4 equilibrium. An area of particular interest concerns few-body systems, where quan-
5 tum and thermal fluctuations are expected to be especially relevant. In this contribu-
6 tion, we present numerical results demonstrating the impact of conserved quantities (or
7 ‘charges’) in the outcomes of out-of-equilibrium measurements starting from realistic
8 equilibrium states on a few-body system implementing the Dicke model.

10 Contents

11	1 Introduction	2
12	2 Review of generalized quantum fluctuation relations	3
13	3 Testing the generalized QFRs with an imperfect GGE	4
14	3.1 Dicke model	4
15	3.2 Numerical results	5
16	4 Conclusion	6
17	References	8

19

1 Introduction

Understanding how a generic (many-body) physical system evolves in time from an arbitrary initial state and relaxes (or not) to an equilibrium state is a fundamental problem underlying questions from the cooling of neutron stars to the design of materials that quickly remove excess heat from computing chips in cell phones.

In classical physics, conservation laws (e.g., on energy, momentum, angular momentum) can severely constrain the phase space available to the system, thus enabling to make precise predictions on some of these questions. In quantum physics, conservation laws play a similarly strong role. This was strikingly demonstrated in the quantum Newton's cradle experiment [1]. In this experiment, a one-dimensional (1D) gas of strongly-interacting bosons in a harmonic trap was initialized in a highly-non-equilibrium state, and observed not to relax even after a long time evolution (hundreds of trap periods, which sets the natural timescale of the problem and imply thousands of atomic collisions). This behaviour is understood by noting that the systems, in the limit of infinitely-strong interactions, is best described as a Tonks-Girardeau gas [2, 3], which is an integrable model, i.e., it features an extensive number of *conserved charges*. These are operators, \hat{M}_k , that commute with the system's Hamiltonian, $[\hat{H}, \hat{M}_k] = 0$ ($k = 1, \dots, N_{\text{cons}}$). In this limit of strong interactions, one can calculate the expectation values of few-body observables after relaxation by describing the relaxed state of the system by a generalization of the Gibbs ensemble (GGE) [4], see Eq. (1). In the conditions of isolation in which the experiment occurs, the system is unable to change the value of these charges, which effectively precludes relaxation to a Gibbs equilibrium state [1, 4].

More recently, Schmiedmayer *et al.* have presented a series of experiments on similar 1D Bose gases [5–8] (see also [9, 10]). By subjecting the system to quenches, they explored the emergence, at long but intermediate timescales, of a (pre-)thermalised state, which is determined by the values of the conserved charges at the start of the evolution. These experiments brought to light the need to include information on the charges in the description of the *equilibrium* state of a quantum many-body system, when the system's Hamiltonian supports them. These findings are in agreement with very general theoretical principles from quantum thermodynamics [4, 11, 12], that demand that the equilibrium state of such a system be described by a density matrix of the form of the generalised Gibbs ensemble (GGE), namely

$$\rho_{\text{GGE}} = \exp\left(-\beta\hat{H} - \sum_k \beta_k \hat{M}_k\right) / Z_{\text{GGE}}, \quad (1)$$

$$Z_{\text{GGE}} \equiv Z_{\text{GGE}}(\hat{H}, \beta, \{\hat{M}_k, \beta_k\}) = \text{tr} \left[\exp\left(-\beta\hat{H} - \sum_k \beta_k \hat{M}_k\right) \right]. \quad (2)$$

Here, β is the usual inverse temperature, while $\{\beta_k\} (k = 1, \dots, N_{\text{cons}})$ are called generalised inverse temperatures.

The fact that the equilibrium state is of the GGE form has implications for the expectation values of measurements done on the system in equilibrium, as has been extensively analysed with numerical simulations on a range of models [4, 13–17]. It is more difficult to make generic statements on the implications of the charges on *non-equilibrium* measurements of a quantum many-body system. A milestone result in classical non-equilibrium thermodynamics is the discovery of exact relations between equilibrium and non-equilibrium measurements, starting with the theorems on the large fluctuations of entropy production in fluids under shear stress [18–20], and including the Jarzynski equation between work and free energy [21].

Several authors have derived analogous relations, dubbed *quantum fluctuations relations* (QFRs), for closed quantum systems, assuming their state at the start of the process is of the

62 standard Gibbs form:

$$\rho_{\text{Gibbs}} = \exp(-\beta\hat{H})/Z, \quad Z \equiv Z(\hat{H}, \beta) = \text{tr}[\exp(-\beta\hat{H})]. \quad (3)$$

63 More recently, the present authors have generalised these QFRs to the case that the equilibrium
64 state is of the GGE form and for an arbitrary number of charges for the initial and final states,
65 thus notably expanding the range of non-equilibrium problems that can be tackled [22]. In
66 particular, our formalism is explicitly able to deal with processes where the number of charges
67 of the initial and final Hamiltonians differ (cf. [23]), and thus enables one to address funda-
68 mental open questions on the thermalization of integrable systems when perturbed away from
69 integrability [1, 5, 7, 24, 25].

70 An important question that remained unanswered in [22] was: how sensitive are the gen-
71 eralised QFRs to the initial state not being a perfect GGE? In other words: if we have a system
72 with charges, and can only generate an imperfect equilibrium state that is only approximately
73 given by Eq. (1), will non-equilibrium measurements be able to distinguish this from a ‘simple’
74 Gibbs state (3)? In this contribution, we provide numerical evidence supporting an affirmative
75 answer to this question.

76 2 Review of generalized quantum fluctuation relations

77 We start by briefly reviewing the main results in Ref. [22], in particular the generalised ver-
78 sions of the quantum Jarzynski [26–28] and Tasaki-Crooks [29] relations. In analogy to the
79 derivations of the standard QFRs [26–29], we consider an initial equilibrium state. In agree-
80 ment with Jaynes’ information-theory formulation of statistical mechanics, if the Hamiltonian
81 features some charges \hat{M}_k , this initial equilibrium state will be of the GGE form (1), with the set
82 of generalised inverse temperatures $\vec{\beta} = \{\beta, \{\beta_k\}\}$ determined by requiring that the following
83 equalities on expectation values are satisfied:

$$\text{tr}[\rho_{\text{GGE}}(\vec{\beta})\hat{H}] = \bar{E} \quad (4)$$

$$\text{tr}[\rho_{\text{GGE}}(\vec{\beta})\hat{M}_k] = \bar{M}_k, \quad k = 1, \dots, N_{\text{cons}}. \quad (5)$$

84 Here, \bar{E} is the energy of the initial state, and \bar{M}_k the expectation value of operator \hat{M}_k in the
85 initial state.

86 We then submit the system to an out-of-equilibrium process by changing its Hamiltonian
87 from the initial value \hat{H} to some new final Hamiltonian \hat{H}' . In general, we expect the set of
88 operators that commute with \hat{H}' to be different from that of charges of \hat{H} , and we label the
89 latter \hat{M}'_k , $[\hat{H}', \hat{M}'_k] = 0$ ($k = 1, \dots, N'_{\text{cons}}$).

90 To quantify the amount of energy, and the energy fluctuations, imparted on the system
91 by this process, we consider a generalised version of the two-energy-projection measurement
92 (TPM) protocol [30], as introduced in [22]:

- 93 1. At time $t = 0$, we project the initial state onto the basis of eigenstates of the initial
94 Hamiltonian, $|n\rangle$, with the spectral decomposition of the Hamiltonian $\hat{H}|n\rangle = E_n|n\rangle$,
95 and the charges, $\hat{M}_k|n\rangle = M_{k,i_k}|n\rangle$. We obtain a definite value for the energy, $\mathcal{E}_{\text{ini}} \in \{E_n\}$,
96 and the other charges, $\mu_{k,\text{ini}} \in \{M_{k,i_k}\}$ ($k = 1, \dots, N'_{\text{cons}}$).
- 97 2. Next, we drive the system out of equilibrium by steering its Hamiltonian, $\hat{H} \mapsto \hat{H}(t)$, for
98 times $0 < t < t_{\text{fin}}$. This driving defines a unitary time-evolution operator $U(t)$ that is the
99 solution of $i\hbar\partial_t U(t) = \hat{H}(t)U(t)$, with $U(0) = \mathbb{I}$, the identity operator in the system’s
100 Hilbert space.

101 3. Finally, at time $t = t_{\text{fin}}$, we project the system on the eigenbasis of the final Hamiltonian,
 102 $\hat{H}' = \hat{H}(t_{\text{fin}})$, $|m'\rangle$, with the spectral decomposition $\hat{H}' |m'\rangle = E'_m |m'\rangle$, and the corre-
 103 sponding charges, $\hat{M}'_k |m'\rangle = M'_{k,i_k} |m'\rangle$. This gives definite values for the final energy,
 104 $\mathcal{E}_{\text{fin}} \in \{E'_m\}$, and the other charges, $\mu_{k,\text{fin}} \in \{M'_{k,i_k}\}$ ($k = 1, \dots, N'_{\text{cons}}$).

105 Together with this ‘forward’ (FW) protocol, we consider a twin protocol, that starts at time
 106 $t = 0$ with the system in the GGE equilibrium state of the Hamiltonian \hat{H}' and changes it
 107 into \hat{H} following the time-reversed evolution, i.e., with the unitary $U^{-1}(t)$. Note that the
 108 initial state of this ‘backward’ (BW) protocol will have associated in general a different set of
 109 generalised inverse temperatures, $\vec{\beta}' = \{\beta', \{\beta'_k\}\}$. We define the work, w , and generalised
 110 work, \mathcal{W} , done on the system after a single run of these protocols as:

$$w = \mathcal{E}_{\text{fin}} - \mathcal{E}_{\text{ini}} \quad (6)$$

$$\mathcal{W} = \left(\beta' \mathcal{E}_{\text{fin}} + \sum_k \beta'_k \mu_{k,\text{fin}} \right) - \left(\beta \mathcal{E}_{\text{ini}} + \sum_k \beta_k \mu_{k,\text{ini}} \right) \quad (7)$$

111 These are stochastic quantities, as they depend on the result of projective measurements at
 112 the start and end of the process. The Tasaki-Crooks relation [29] is the following relationship
 113 between the probability distribution functions (PDFs) of the variable w in the FW and BW
 114 processes:

$$\frac{P_{\text{FW}}(w)}{P_{\text{BW}}(-w)} e^{-\beta w} = \frac{Z(\hat{H}', \beta')}{Z(\hat{H}, \beta)} \equiv \exp(-\beta \Delta F), \quad (8)$$

115 where $\Delta F = Z(\hat{H}', \beta)/Z(\hat{H}, \beta)$ is the difference in free energies between the two *equilibrium*
 116 states, with the partition functions defined as in Eq. (3). By multiplying both sides of (8) by
 117 $P_{\text{BW}}(-w)$ and integrating over w one retrieves the quantum Jarzynski equality [26–28]:

$$\langle \exp(-\beta w) \rangle = \exp(-\beta \Delta F) \quad (9)$$

118 where $\langle \cdot \rangle$ stands for an average over many runs of the protocol. Eqs. (8) and (9) hold when
 119 the initial state is of the form of a Gibbs state, Eq. (3).

120 In Ref. [22] we have shown that when the initial state is of the form of the GGE form,
 121 Eq. (3), the PDF of of generalised work, \mathcal{W} , satisfies instead a generalised Tasaki-Crooks rela-
 122 tion that reads:

$$\frac{P_{\text{FW}}(\mathcal{W})}{P_{\text{BW}}(-\mathcal{W})} e^{-\mathcal{W}} = \frac{Z_{\text{GGE}}(\hat{H}', \beta', \hat{M}'_k, \beta'_k)}{Z_{\text{GGE}}(\hat{H}, \beta, \hat{M}_k, \beta_k)} \equiv \exp(-\Delta \mathcal{F}), \quad (10)$$

123 with the partition functions in the GGE, Z_{GGE} , defined in (1), and $\Delta \mathcal{F} = \mathcal{F}' - \mathcal{F}$ the differ-
 124 ence in generalised (dimensionless) free energy functions, $\mathcal{F} = -\ln Z_{\text{GGE}}$ and $\mathcal{F}' = -\ln Z'_{\text{GGE}}$.
 125 Analogously to above, if we multiply both sides of Eq. (10) by $P_{\text{BW}}(-\mathcal{W})$ and integrate over
 126 \mathcal{W} , we obtain the following equality:

$$\langle \exp(-\mathcal{W}) \rangle = \exp(-\Delta \mathcal{F}). \quad (11)$$

127 This is the generalised quantum Jarzynski equality [22].

128 3 Testing the generalized QFRs with an imperfect GGE

129 3.1 Dicke model

130 In Ref. [22] we presented extensive numerical results testing both the standard, Eqs. (8)
 131 and (9), and generalised QFRs, Eqs. (10) and (11). We found that when the initial state

of either one or both initial equilibrium states in the FW and BW processes is not of the Gibbs form but a GGE, the standard relations fail, while the generalised ones are satisfied perfectly.

Here, we consider a more general question, which is to what extent it is necessary for the system to be in a perfect GGE equilibrium state for the generalised QFRs to provide a good prediction for the statistics of generalised work in out-of-equilibrium processes.

To this end, following Ref. [22], we consider a system composed of N two-level systems, with energy splitting ω_{at} , coupled with equal strength g to a bosonic field of frequency ω_{b} , i.e., the N -particle Dicke model [31–33]. We write the Hamiltonian describing this system in the form [34–37]:

$$H = \hbar\omega_{\text{b}}\hat{b}^\dagger\hat{b} + \hbar\omega_{\text{at}}\hat{J}_z + \frac{2g}{\sqrt{N}}\left[(1-\alpha)(\hat{J}_+\hat{b} + \hat{J}_-\hat{b}^\dagger) + \alpha(\hat{J}_+\hat{b}^\dagger + \hat{J}_-\hat{b})\right] \quad (12)$$

where \hat{b}^\dagger and \hat{b} are the operators creating and annihilating excitations in the bosonic field, and \hat{J}_s ($s = z, +, -$) are Schwinger spin operators describing the collective internal state of the two-level systems, with $J = N/2$. This model was introduced to describe the coupling of atoms to light fields [31]. More recently, it has been implemented in systems of trapped ions [37].

In Eq. (12) we have introduced g , the coupling strength between two-level systems and the boson field, and the parameter $0 \leq \alpha \leq 1$. When $\alpha = 0$ or $\alpha = 1$, the Dicke Hamiltonian reduces to the Tavis-Cummings model, which is integrable and has an additional conserved quantity, the total number of excitations in the system, $\hat{M}_k = \hat{J} + \hat{J}_z + \hat{b}^\dagger\hat{b}$, see; otherwise, for $0 < \alpha < 1$, the model is in the chaotic regime [22, 34–36, 38]. Thus, we can analyse the behaviour of this system in the integrable and non-integrable limits simply by considering cases with $\alpha \in \{0, 1\}$ and $\alpha \notin \{0, 1\}$, respectively. In Ref. [22] we have discussed how this tuning can be accomplished in trapped-ion setups by controlling the intensity of the light fields implementing the red- and blue-sideband transitions with respect to the centre-of-mass mode, that plays the role of the bosonic field, \hat{b} .

3.2 Numerical results

Our numerical studies testing the standard and generalised QFRS in Ref. [22] were obtained assuming that the system is initially equilibrated, and hence perfectly described by either a Gibbs, with inverse temperature β , or a GGE density matrix, with two generalised temperatures, β and β_M . A recent work by one of us [38] shows that the usual concept of thermalisation—the equivalence between microcanonical ensemble and long-time averages of physical observables—is not always enough to guarantee the applicability of standard quantum fluctuation relations. Here, we show that our generalised QFRs are robust and provide a good description of non-equilibrium processes starting from real equilibrium states in integrable systems.

To tackle this question we design the following protocol:

1. We start from a thermal Gibbs state, with $\beta = 0.02$, in a chaotic configuration of the Dicke model, with $\alpha = 1/2$ and $g = \epsilon_0$, being ϵ_0 the energy scale of the problem.¹
2. We perform the forward protocol directly quenching the system onto an integrable configuration, with $\alpha = 0$ and $g = 6\epsilon_0$.

¹In our numerical calculations, we set $N = 7$, $\hbar\omega_{\text{b}} = 3\epsilon_0$, $\hbar\omega_{\text{at}} = 10\epsilon_0$, and include up to $n = 800$ in the bosonic field. As the dimension of the bosonic Hilbert space is actually infinite, this high number has been chosen to guarantee that all the Fock states with non-zero occupation probability are included in our simulations. In an experimental implementation of the Dicke model with trapped ions [22, 37, 39], the energy scale can be fixed to be of the order of the trapping frequency, $\epsilon_0 = h \times 1$ MHz (with h Planck's constant) [37, 39–41].

170 3. We perform the backward protocol from the resulting state².

171 We calculate statistics of work for the forward process —i.e., the PDFs $P_{\text{FW}}(w)$ and $P_{\text{FW}}(\mathcal{W})$ —
 172 from steps 1-2, and for the backward process from steps 2-3. We compare these statistics of
 173 work with two reference distributions: a GGE with the values β and β_M obtained from least-
 174 square fits of the actual time-evolved state after step 2 to the expected values of $\langle \hat{H} \rangle$ and $\langle \hat{M} \rangle$;
 175 and a standard Gibbs ensemble, with β obtained from a least-square fit to the expected value
 176 of $\langle \hat{H} \rangle$.

177 It is worth noting that this protocol challenges our QFRs in the most demanding scenario.
 178 When describing the initial equilibrium state by means of a GGE, both the number of conserved
 179 charges and the values of the generalised temperatures are different from the ones in the state
 180 from which the forward protocol starts. In the other case, when a standard Gibbs ensemble is
 181 taken as a reference, the number of charges is the same —just the Hamiltonian itself—, but
 182 the values of the temperatures are different.

183 Results are summarized in Fig. 1. Panels (a) and (c) show that the equilibrium state after
 184 the forward protocol is pretty well described by means of a GGE with $\beta = 2.76 \cdot 10^{-3}$ and
 185 $\beta_M = 1.41 \cdot 10^{-1}$ (see the caption for more details), and poorly described by means of a stan-
 186 dard Gibbs ensemble with $\beta = 6.02 \cdot 10^{-3}$. As the quench ends in an integrable configuration,
 187 the role of the conserved charge \hat{M} is essential to properly describe the equilibrium state.

188 Fig. 1(b) and (d) summarize the results testing the Tasaki-Crooks relation and its gener-
 189 alised version. Fig. 1(b) shows that the generalised version, Eq. (10), accounts for the statistics
 190 of the generalised work, \mathcal{W} , with high precision. Only two points around $\mathcal{W} \approx 1.2$ are overes-
 191 timated by the formula. This reinforces the former conclusion stating that the GGE provides
 192 a very accurate picture of the state after the forward part of the protocol. Our results point
 193 out that this is true, not only for expectation values of physical observables in equilibrium, but
 194 also for the statistics of work and other conserved charges in non-equilibrium processes.

195 In contrast to this, Fig. 1(d) clearly shows that the standard version of the Tasaki-Crooks
 196 relation, Eq. (8), fails to account for the statistics of work. This fact is directly linked to the
 197 results shown in Fig. 1(c): As the occupation probabilities after the forward part of the protocol
 198 are not well described by a standard Gibbs ensemble, the statistics of work resulting from such
 199 a state does not follow the standard Tasaki-Crooks relation.

200 4 Conclusion

201 In summary, we have presented generalized versions of the Tasaki-Crooks and Jarzynski quan-
 202 tum fluctuation relations, that are suitable to study the out-of-equilibrium dynamics of systems
 203 with an arbitrary, possibly time-dependent, number of charges [22]. These exact relations as-
 204 sume that the state of the quantum system at the start of the out-of-equilibrium process is
 205 of the form of the generalized Gibbs ensemble, in accordance with very general principles of
 206 quantum statistical mechanics.

207 In this contribution, we have tested the validity of our generalised QFRs [22] to a more
 208 stringent test by considering a more realistic situation, in which the system is not allowed an
 209 infinite time to relax to its equilibrium state in contact to baths. Our robust numerical cal-
 210 culations support that, when the Hamiltonian describing the system has conserved charges,

²To be sure that we start from an equilibrium state, we must let the system relax in the final Hamiltonian, $\alpha = 0$ and $g = 6\epsilon_0$, before starting the backward part of the protocol. However, this relaxation time is irrelevant for our numerical simulation. All our results are based on the two-projective measurement scheme. Hence, if the actual state of the system at a certain time t is $|\Psi(t)\rangle = \sum_n C_n(t) |\Phi_n\rangle$, where $|\Phi_n\rangle$ are the eigenfunction of the Hamiltonian, only the square moduli of the coefficients, $|C_n|^2$, are relevant. Therefore, the dephasing introduced by the relaxation procedure does not play any role in the results.

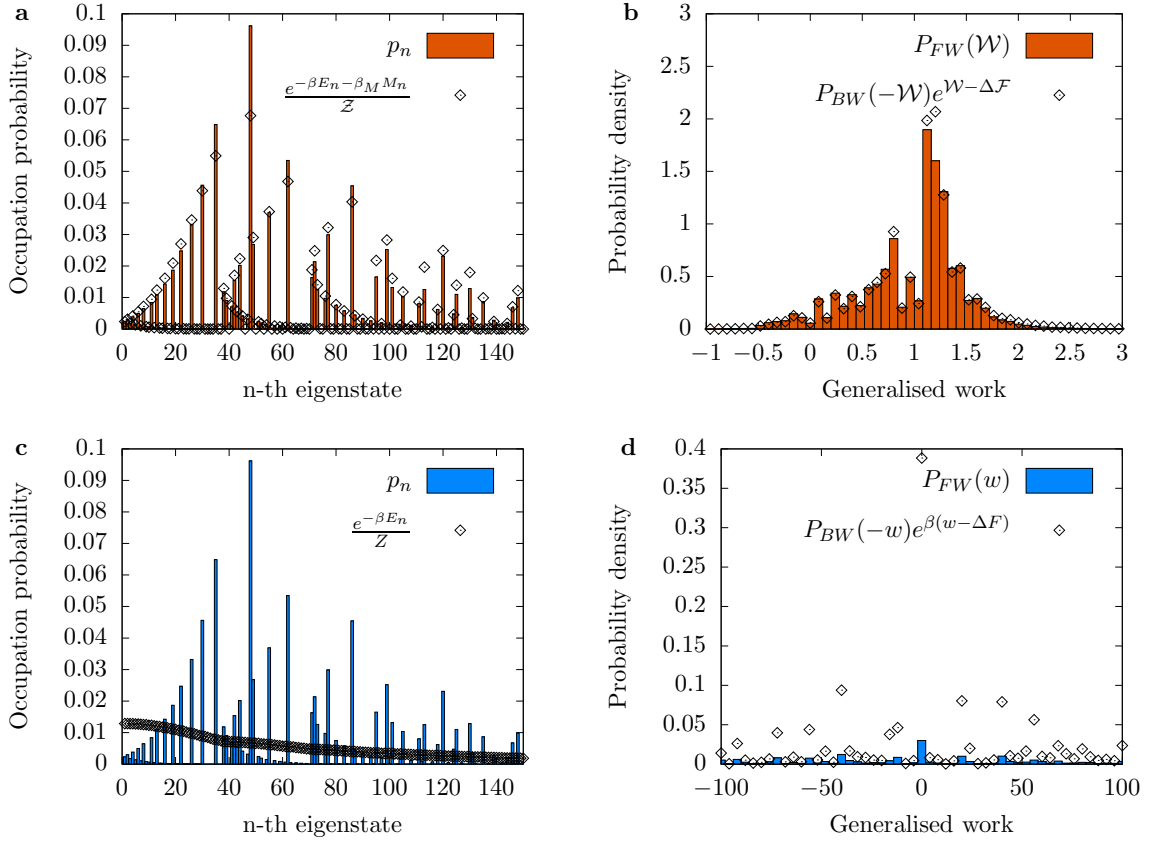


Figure 1: Panels (a) and (c) compare the numerical results for the occupation numbers in the state after the forward quench (solid histograms) with the reference distributions (diamonds). In panel (a), the reference distribution is a GGE with $\beta = 2.76 \cdot 10^{-3}$ and $\beta_M = 1.41 \cdot 10^{-1}$ (values obtained from a least-square fit to the values of $\langle \hat{H} \rangle$ and $\langle \hat{M} \rangle$). In panel (c), the reference distribution is a standard Gibbs with $\beta = 6.02 \cdot 10^{-3}$ (value obtained from a least-square fit to the value of $\langle \hat{H} \rangle$). Panels (b) and (d) show the results for the (generalised version of) the Tasaki-Crooks theorem. Results for the forward distributions are displayed with solid histograms, and results for the backwards, together with the factors $e^{W-\Delta F}$ or $e^{\beta(w-\Delta F)}$, with diamonds. Panel (b) refers to the GGE case, and panel (d) to the standard Gibbs ensemble.

211 the statistics of work produced by a non-equilibrium process that starts from such a realistic
 212 equilibrium state cannot be described by using the standard QFRs (which disregard the ef-
 213 fect of charges). On the contrary, work statistics is accurately described by our generalised
 214 QFRs, Eqs. (10)-(11). This points to the importance of the role of charges in realistic non-
 215 equilibrium processes, such as equilibration in quasi-integrable systems [24], and dissipation
 216 and relaxation in driven systems with conservation laws [42, 43]. A case of particular theoret-
 217 ical interest for future exploration arises when the charges supported by the Hamiltonian do
 218 not commute with each other [25, 44–47]. Our results also call attention to the relevance of
 219 charges in the work statistics of realistic cyclic processes where the system is driven to an inter-
 220 mediate state with charges, an issue that may be exploited to design more efficient quantum
 221 engines [48–51].

222 Acknowledgements

223 We acknowledge useful discussions with B. Buča, J. Dukelsky, J. J. García-Ripoll, D. Lucas, and
224 K. Thirumalai.

225 **Funding information** This work was supported by EPSRC Grant No. EP/P01058X/1 (QSUM),
226 EU H2020 Collaborative project QuProCS (Grant Agreement No. 641277), the European Re-
227 search Council under the EU's Seventh Framework Programme (FP7/2007-2013)/ERC Grant
228 Agreement No. 319286 (Q-MAC), Spain's Grants Nos. FIS2015-63770-P (MINECO/FEDER)
229 and PGC2018-094180-B-I100 (MCIU/AEI/FEDER, EU), CAM/FEDER Project No. S2018/TCS-
230 4342 (QUITEMAD-CM) and CSIC Research Platform PTI-001.

231 References

- 232 [1] T. Kinoshita, T. Wenger and D. S. Weiss, *A quantum Newton's cradle*, Nature **440**(7086),
233 900 (2006), doi:[10.1038/nature04693](https://doi.org/10.1038/nature04693).
- 234 [2] M. Girardeau, *Relationship between systems of impenetrable bosons and fermions in one*
235 *dimension*, Journal of Mathematical Physics **1**(6), 516 (1960), doi:[10.1063/1.1703687](https://doi.org/10.1063/1.1703687).
- 236 [3] M. A. Cazalilla, R. Citro, T. Giamarchi, E. Orignac and M. Rigol, *One dimensional bosons:*
237 *From condensed matter systems to ultracold gases*, Rev. Mod. Phys. **83**(4), 1405 (2011),
238 doi:[10.1103/RevModPhys.83.1405](https://doi.org/10.1103/RevModPhys.83.1405).
- 239 [4] M. Rigol, V. Dunjko, V. Yurovsky and M. Olshanii, *Relaxation in a completely inte-*
240 *grable many-body quantum system: An ab initio study of the dynamics of the highly*
241 *excited states of 1D lattice hard-core bosons*, Phys. Rev. Lett. **98**(5), 050405 (2007),
242 doi:[10.1103/PhysRevLett.98.050405](https://doi.org/10.1103/PhysRevLett.98.050405).
- 243 [5] M. Gring, M. Kuhnert, T. Langen, T. Kitagawa, B. Rauer, M. Schreitl, I. Mazets, D. A.
244 Smith, E. Demler and J. Schmiedmayer, *Relaxation and prethermalization in an isolated*
245 *quantum system.*, Science **337**(6100), 1318 (2012), doi:[10.1126/science.1224953](https://doi.org/10.1126/science.1224953).
- 246 [6] T. Langen, R. Geiger, M. Kuhnert, B. Rauer and J. Schmiedmayer, *Local emergence of*
247 *thermal correlations in an isolated quantum many-body system*, Nature Physics **9**(10),
248 640 (2013), doi:[10.1038/nphys2739](https://doi.org/10.1038/nphys2739).
- 249 [7] T. Langen, S. Erne, R. Geiger, B. Rauer, T. Schweigler, M. Kuhnert, W. Rohringer, I. E.
250 Mazets, T. Gasenzer and J. Schmiedmayer, *Experimental observation of a generalized*
251 *Gibbs ensemble*, Science **348**(6231), 207 (2015), doi:[10.1126/science.1257026](https://doi.org/10.1126/science.1257026).
- 252 [8] T. Langen, T. Gasenzer and J. Schmiedmayer, *Prethermalization and universal dynam-*
253 *ics in near-integrable quantum systems*, Journal of Statistical Mechanics: Theory and
254 Experiment **2016**(6), 064009 (2016), doi:[10.1088/1742-5468/2016/06/064009](https://doi.org/10.1088/1742-5468/2016/06/064009).
- 255 [9] S. Trotzky, Y.-A. Chen, A. Flesch, I. P. McCulloch, U. Schollwöck, J. Eisert and
256 I. Bloch, *Probing the relaxation towards equilibrium in an isolated strongly correlated*
257 *one-dimensional Bose gas*, Nature Phys. **8**(4), 325 (2012), doi:[10.1038/nphys2232](https://doi.org/10.1038/nphys2232).
- 258 [10] M. Cheneau, P. Barmettler, D. Poletti, M. Endres, P. Schauß, T. Fukuhara, C. Gross,
259 I. Bloch, C. Kollath and S. Kuhr, *Light-cone-like spreading of correlations in a quantum*
260 *many-body system*, Nature **481**(7382), 484 (2012), doi:[10.1038/nature10748](https://doi.org/10.1038/nature10748).

- 261 [11] M. Rigol, V. Dunjko and M. Olshanii, *Thermalization and its mechanism for generic isolated*
262 *quantum systems*, Nature **452**(7189), 854 (2008), doi:[10.1038/nature06838](https://doi.org/10.1038/nature06838).
- 263 [12] L. D'Alessio, Y. Kafri, A. Polkovnikov and M. Rigol, *From quantum chaos and eigenstate*
264 *thermalization to statistical mechanics and thermodynamics*, Advances in Physics **65**(3),
265 239 (2016), doi:[10.1080/00018732.2016.1198134](https://doi.org/10.1080/00018732.2016.1198134).
- 266 [13] M. Kollar and M. Eckstein, *Relaxation of a one-dimensional Mott insulator after an inter-*
267 *action quench*, Phys. Rev. A **78**, 013626 (2008), doi:[10.1103/PhysRevA.78.013626](https://doi.org/10.1103/PhysRevA.78.013626).
- 268 [14] P. Calabrese, F. H. L. Essler and M. Fagotti, *Quantum quench in the transverse-field Ising*
269 *chain*, Phys. Rev. Lett. **106**, 227203 (2011), doi:[10.1103/PhysRevLett.106.227203](https://doi.org/10.1103/PhysRevLett.106.227203).
- 270 [15] M. A. Cazalilla, A. Iucci and M.-C. Chung, *Thermalization and quantum*
271 *correlations in exactly solvable models*, Phys. Rev. E **85**, 011133 (2012),
272 doi:[10.1103/PhysRevE.85.011133](https://doi.org/10.1103/PhysRevE.85.011133).
- 273 [16] J. S. Caux and F. H. L. Essler, *Time evolution of local observables after*
274 *quenching to an integrable model*, Phys. Rev. Lett. **110**(25), 257203 (2013),
275 doi:[10.1103/PhysRevLett.110.257203](https://doi.org/10.1103/PhysRevLett.110.257203).
- 276 [17] E. Ilievski, J. De Nardis, B. Wouters, J.-S. Caux, F. H. L. Essler and T. Prosen, *Complete*
277 *generalized Gibbs ensembles in an interacting theory*, Phys. Rev. Lett. **115**, 157201 (2015),
278 doi:[10.1103/PhysRevLett.115.157201](https://doi.org/10.1103/PhysRevLett.115.157201).
- 279 [18] D. J. Evans, E. G. D. Cohen and G. P. Morriss, *Probability of second law violations in shear-*
280 *ing steady states*, Phys. Rev. Lett. **71**, 2401 (1993), doi:[10.1103/PhysRevLett.71.2401](https://doi.org/10.1103/PhysRevLett.71.2401).
- 281 [19] D. J. Evans and D. J. Searles, *Equilibrium microstates which generate second law violating*
282 *steady states*, Phys. Rev. E **50**, 1645 (1994), doi:[10.1103/PhysRevE.50.1645](https://doi.org/10.1103/PhysRevE.50.1645).
- 283 [20] G. Gallavotti and E. G. D. Cohen, *Dynamical ensembles in nonequilibrium statistical me-*
284 *chanics*, Phys. Rev. Lett. **74**, 2694 (1995), doi:[10.1103/PhysRevLett.74.2694](https://doi.org/10.1103/PhysRevLett.74.2694).
- 285 [21] C. Jarzynski, *Nonequilibrium equality for free energy differences*, Phys. Rev. Lett. **78**, 2690
286 (1997), doi:[10.1103/PhysRevLett.78.2690](https://doi.org/10.1103/PhysRevLett.78.2690).
- 287 [22] J. Mur-Petit, A. Relaño, R. A. Molina and D. Jaksch, *Revealing missing charges*
288 *with generalised quantum fluctuation relations*, Nature Commun. **9**(1), 2006 (2018),
289 doi:[10.1038/s41467-018-04407-1](https://doi.org/10.1038/s41467-018-04407-1).
- 290 [23] J. M. Hickey and S. Genway, *Fluctuation theorems and the generalized Gibbs ensemble in in-*
291 *tegrable systems.*, Phys. Rev. E **90**(2), 022107 (2014), doi:[10.1103/PhysRevE.90.022107](https://doi.org/10.1103/PhysRevE.90.022107).
- 292 [24] T. Goldfriend and J. Kurchan, *Equilibration of quasi-integrable systems*, Phys. Rev. E
293 **99**(2), 022146 (2019), doi:[10.1103/PhysRevE.99.022146](https://doi.org/10.1103/PhysRevE.99.022146).
- 294 [25] N. Yunger Halpern, M. E. Beverland and A. Kalev, *Equilibration to the non-Abelian thermal*
295 *state in quantum many-body physics* (2019), <http://arxiv.org/abs/1906.09227>.
- 296 [26] J. Kurchan, *A Quantum Fluctuation Theorem* (2000), [http://arxiv.org/abs/cond-mat/](http://arxiv.org/abs/cond-mat/0007360)
297 [0007360](http://arxiv.org/abs/cond-mat/0007360).
- 298 [27] H. Tasaki, *Statistical mechanical derivation of the second law of thermodynamics* (2000),
299 <http://arxiv.org/abs/cond-mat/0009206>.

- 300 [28] S. Yukawa, *A Quantum Analogue of the Jarzynski Equality*, Journal of the Physical Society
301 of Japan **69**(8), 2367 (2000), doi:[10.1143/JPSJ.69.2367](https://doi.org/10.1143/JPSJ.69.2367).
- 302 [29] H. Tasaki, *Jarzynski Relations for Quantum Systems and Some Applications* (2000), <http://arxiv.org/abs/cond-mat/0009244>.
303
- 304 [30] P. Talkner, E. Lutz and P. Hänggi, *Fluctuation theorems: work is not an observable.*, Phys.
305 Rev. E **75**, 050102 (2007), doi:[10.1103/PhysRevE.75.050102](https://doi.org/10.1103/PhysRevE.75.050102).
- 306 [31] R. H. Dicke, *Coherence in spontaneous radiation processes*, Phys. Rev. **93**(1), 99 (1954),
307 doi:[10.1103/PhysRev.93.99](https://doi.org/10.1103/PhysRev.93.99).
- 308 [32] B. M. Garraway, *The Dicke model in quantum optics: Dicke model revisited*, Philosophical
309 Transactions of the Royal Society A: Mathematical, Physical and Engineering Sciences
310 **369**(1939), 1137 (2011), doi:[10.1098/rsta.2010.0333](https://doi.org/10.1098/rsta.2010.0333).
- 311 [33] P. Kirton, M. M. Roses, J. Keeling and E. G. Dalla Torre, *Introduction to the Dicke Model:
312 From Equilibrium to Nonequilibrium, and Vice Versa*, Advanced Quantum Technologies
313 **2**(1-2), 1800043 (2019), doi:[10.1002/qute.201800043](https://doi.org/10.1002/qute.201800043).
- 314 [34] C. Emary and T. Brandes, *Quantum chaos triggered by precursors of a quan-
315 tum phase transition: the Dicke model*, Phys. Rev. Lett. **90**(4), 044101 (2003),
316 doi:[10.1103/PhysRevLett.90.044101](https://doi.org/10.1103/PhysRevLett.90.044101).
- 317 [35] C. Emary and T. Brandes, *Chaos and the quantum phase transition in the Dicke model*,
318 Phys. Rev. E **67**(6), 066203 (2003), doi:[10.1103/PhysRevE.67.066203](https://doi.org/10.1103/PhysRevE.67.066203).
- 319 [36] A. Relaño, M. A. Bastarrachea-Magnani and S. Lerma-Hernández, *Approximated in-
320 tegrability of the Dicke model*, EPL **116**(5), 50005 (2016), doi:[10.1209/0295-
321 5075/116/50005](https://doi.org/10.1209/0295-5075/116/50005).
- 322 [37] D. Kienzler, H. Y. Lo, V. Negnevitsky, C. Flühmann, M. Marinelli and J. P. Home, *Quantum
323 harmonic oscillator state control in a squeezed Fock basis*, Phys. Rev. Lett. **119**(3), 033602
324 (2017), doi:[10.1103/PhysRevLett.119.033602](https://doi.org/10.1103/PhysRevLett.119.033602).
- 325 [38] A. Relaño, *Anomalous thermalization in quantum collective models*, Phys. Rev. Lett.
326 **121**(3), 030602 (2018), doi:[10.1103/PhysRevLett.121.030602](https://doi.org/10.1103/PhysRevLett.121.030602).
- 327 [39] S. An, J.-N. Zhang, M. Um, D. Lv, Y. Lu, J. Zhang, Z.-Q. Yin, H. T. Quan and K. Kim,
328 *Experimental test of the quantum Jarzynski equality with a trapped-ion system*, Nature
329 Phys. **11**(2), 193 (2015), doi:[10.1038/nphys3197](https://doi.org/10.1038/nphys3197).
- 330 [40] J. Benhelm, G. Kirchmair, C. F. Roos and R. Blatt, *Experimental quantum-
331 information processing with $^{43}\text{C}^+$ ions*, Phys. Rev. A **77**(6), 062306 (2008),
332 doi:[10.1103/PhysRevA.77.062306](https://doi.org/10.1103/PhysRevA.77.062306).
- 333 [41] T. P. Harty, D. T. C. Allcock, C. J. Ballance, L. Guidoni, H. A. Janacek, N. M.
334 Linke, D. N. Stacey and D. M. Lucas, *High-fidelity preparation, gates, memory, and
335 readout of a trapped-ion quantum bit*, Phys. Rev. Lett. **113**(22), 220501 (2014),
336 doi:[10.1103/PhysRevLett.113.220501](https://doi.org/10.1103/PhysRevLett.113.220501).
- 337 [42] J. Tindall, B. Buča, J. R. Coulthard and D. Jaksch, *Heating-induced long-range
338 η pairing in the Hubbard model*, Phys. Rev. Lett. **123**(3), 030603 (2019),
339 doi:[10.1103/physrevlett.123.030603](https://doi.org/10.1103/physrevlett.123.030603).

- 340 [43] B. B. Wei, *Relations between dissipated work and Rényi divergences in the generalized Gibbs*
341 *ensemble*, Phys. Rev. A **97**(4), 042132 (2018), doi:[10.1103/PhysRevA.97.042132](https://doi.org/10.1103/PhysRevA.97.042132).
- 342 [44] N. Yunger Halpern, P. Faist, J. Oppenheim and A. Winter, *Microcanonical and resource-*
343 *theoretic derivations of the non-Abelian thermal state*, Nature Commun. **7**, 12051 (2016),
344 doi:[10.1038/ncomms12051](https://doi.org/10.1038/ncomms12051).
- 345 [45] Y. Guryanova, S. Popescu, A. J. Short, R. Silva and P. Skrzypczyk, *Thermodynamics of*
346 *quantum systems with multiple conserved quantities*, Nature Commun. **7**, 12049 (2016),
347 doi:[10.1038/ncomms12049](https://doi.org/10.1038/ncomms12049).
- 348 [46] M. Lostaglio, D. Jennings and T. Rudolph, *Thermodynamic resource theories, non-*
349 *commutativity and maximum entropy principles*, New J. Phys. **19**, 043008 (2017),
350 doi:[10.1088/1367-2630/aa617f](https://doi.org/10.1088/1367-2630/aa617f).
- 351 [47] Z. Zhang, J. Tindall, J. Mur-Petit, D. Jaksch and B. Buča, *Stationary state degeneracy of*
352 *open quantum systems with non-Abelian symmetries*, To be submitted (2019).
- 353 [48] M. Esposito, M. A. Ochoa and M. Galperin, *Efficiency fluctuations in quantum thermoelec-*
354 *tric devices*, Phys. Rev. B **91**(11), 115417 (2015), doi:[10.1103/PhysRevB.91.115417](https://doi.org/10.1103/PhysRevB.91.115417).
- 355 [49] R. Kosloff and A. Levy, *Quantum heat engines and refrigerators: continuous devices*, Annual
356 Review of Physical Chemistry **65**, 365 (2014), doi:[10.1146/annurev-physchem-040513-](https://doi.org/10.1146/annurev-physchem-040513-103724)
357 [103724](https://doi.org/10.1146/annurev-physchem-040513-103724).
- 358 [50] J. M. R. Parrondo, J. M. Horowitz and T. Sagawa, *Thermodynamics of information*, Nature
359 Phys. **11**(2), 131 (2015), doi:[10.1038/nphys3230](https://doi.org/10.1038/nphys3230).
- 360 [51] N. Yunger Halpern, C. D. White, S. Gopalakrishnan and G. Refael, *Quan-*
361 *tum engine based on many-body localization*, Phys. Rev. B **99**, 024203 (2019),
362 doi:[10.1103/PhysRevB.99.024203](https://doi.org/10.1103/PhysRevB.99.024203).