

Three-dimensional sculpting of laser beams

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1 Abstract

2 **We demonstrate three-dimensional sculpting of laser beams using two-dimensional**
3 **holograms. Without relying on initial guesses of the analytic properties or the**
4 **Fourier transform of the desired light field, we show that an improved numeri-**
5 **cal phase retrieval algorithm can produce continuous three-dimensional inten-**
6 **sity distributions of arbitrary shapes. We benchmark our algorithm against**
7 **optical bottle beams and double-helix beams and then show the extension to**
8 **complex optical structures.**

9 1 Introduction

10 Holographic beam shaping has developed into a powerful technique wherever laser light
11 needs to be tailored to the special requirements of its respective application. The ability
12 to engineer the spatial intensity profile of a light field has empowered novel and sophis-
13 ticated methods of microscopy, optical trapping and optical manipulation. For example,
14 absorptive microparticles have been confined in single-beam optical bottles [1] or colloidal
15 spheres have been steered along curved trajectories with Airy beams [2]. Equally, beam
16 shaping has served to achieve single-beam, three-dimensional imaging utilizing engineered
17 point spread functions in super-resolution microscopy [3, 4].

18 However, the creation of advanced light fields with arbitrary three-dimensional inten-
19 sity distribution remains a challenging problem. Commonly they are created from ana-
20 lytic solutions or closed-form expressions for the electric field (rather than the intensity),
21 thereby restricting the set of realizable beams. For instance, the abruptly autofocussing
22 beams derived from the Airy solution [5] can form three-dimensional structures [6] or even
23 single-beam optical bottles [7]. These approaches have in common that either the exact
24 desired optical field or its Fourier transform have to be known, which is much more restric-
25 tive than specifying the intensity distribution. Often this requires simplifying assumptions
26 such as cylindrical symmetry [8, 9] or an analytic mode basis [3]. Therefore, the properties
27 of beams created with the aforementioned approaches are intrinsically limited.

28 Numerical approaches using iterative projection algorithms have already established
29 arbitrary two-dimensional beam shaping with remarkable capabilities [10]. Demanding
30 light to form a continuous three-dimensional structure of pre-designed arbitrary intensity
31 profile on the other hand still remains a challenging goal to accomplish. There are existing
32 approaches to create a stack of multiple two-dimensional patterns at different distances
33 along a propagating beam [11], nevertheless the intensity between these discrete planes
34 evolves randomly. Gaining control over the field evolution between the target layers marks
35 an important progress in order to achieve truly arbitrary three-dimensional beam shaping
36 capabilities.

37 In this paper, we demonstrate spatially continuous three-dimensional intensity sculpt-
38 ing using an improved numerical phase retrieval. The appeal of this approach is based

39 on its overall simplicity while allowing for high flexibility. We show that our approach
 40 cannot only reproduce complex beams but it is even capable of modifying their beam
 41 profile during propagation in a predictable manner. We demonstrate our approach at
 42 the examples of a single-beam optical bottle [9] and a rotating double-helix point spread
 43 function [3] without providing any analytical input. We then show that the methodology
 44 can be extended beyond cylindrical symmetry and beyond simple scaling transformations.

45 2 Experimental setup and volumetric phase retrieval

46 The experimental setup (see Figure 1) composes of a spatial light modulator at location
 47 $z = 0$, which is illuminated by a collimated Gaussian laser beam of waist $w_0=6.3\text{mm}$ and
 48 a wavelength of $\lambda=735\text{nm}$. The phase-only spatial light modulator [12] imprints a phase
 49 pattern ϕ_{SLM} onto the Gaussian beam. The beam after phase modulation is imaged by
 50 a thin lens ($f=250\text{mm}$) in a $2f$ -configuration onto the focal plane P_{2f} , which projects
 51 the Fourier transform of the front focal plane P_0 onto P_{2f} . We compensate aberrations
 52 from non-perfect optical elements, including the spatial light modulator itself, by a Shack-
 53 Hartmann wavefront correction algorithm [13]. The sculpted intensity is measured with a
 54 CCD camera mounted on a linear translation stage in several target planes P_j , covering
 55 $\Delta z \in (-12, 12)\text{mm}$ around the focal plane P_{2f} .

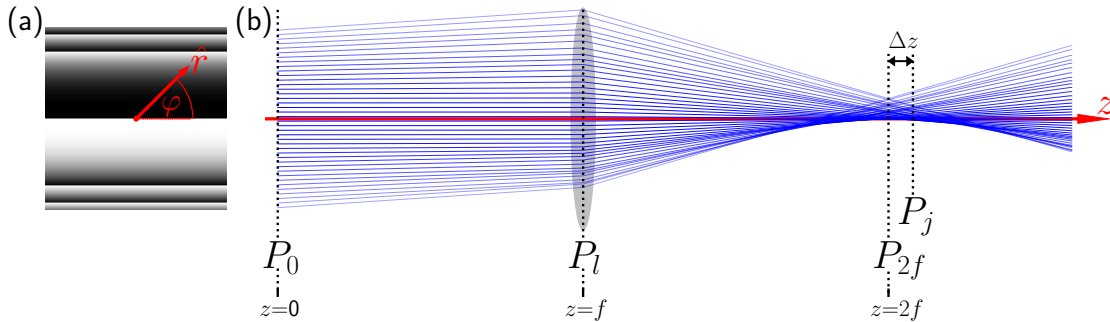


Figure 1: Working principle of the setup with the spatial light modulator located at P_0 . Cylindrical coordinate system in red (z -axis coincides with optical axis). (a) cubic phase pattern displayed on the spatial light modulator to control the beam around the back focal plane P_{2f} sampled at P_j to form an Airy beam. (b) ray simulation of $2f$ -setup with phase (a) applied.

56 The complex transfer functions of Fourier optics provide a full description of linear
 57 optical systems [14]. Based on this foundation, phase retrieval algorithms calculate a two-
 58 dimensional phase corresponding to a target intensity distribution for a given incident
 59 field [15]. To obtain intensity control over a single target plane P_j the phase ϕ_{SLM} is opti-
 60 mized by iterative projection between the incident plane and the target plane. Applying
 61 constraints in the target plane P_{2f} and in the front focal plane P_0 guides the optimization
 62 towards the target intensity. These constraints are implied by the available intensity and
 63 the desired target intensity. However, the solutions are not necessarily unique.

64 Describing the propagation characteristics of an optical beam in a finite volume requires
 65 volumetric intensity information. We obtain this information by sampling the beam's in-
 66 tensity at discrete planes P_j around the focal plane P_{2f} . The phase ϕ_{SLM} is then calculated
 67 with a Gerchberg-Saxton based phase retrieval algorithm [11]. An important subtlety of
 68 this algorithm design is that there is no cross-talk between adjacent target planes P_j and

69 P_{j+1} . Hence, in each iteration the algorithm solves for all P_j individually and performs a
 70 weighted average on the back projected fields at P_0 . This may lead to a randomly evolving
 71 intra-plane intensity [11], which is not suitable for the creation of optical beams.

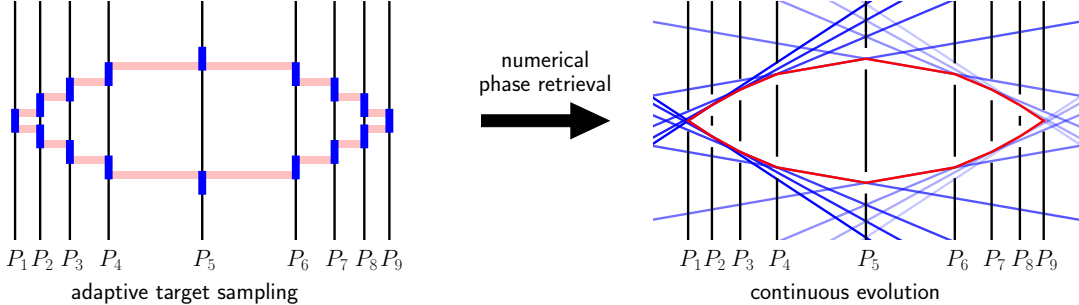


Figure 2: Adaptive real-space target sampling: Creating an overlap between adjacent target planes to avoid random evolution. Solid black lines indicate the sample planes P_j with binary target (blue). The sample planes are distanced such that adjacent planes share some overlap (shaded red). The numerical phase retrieval algorithm is guided to the continuous structure (solid red line).

72 Realizing continuously evolving patterns requires an adjusted target design compen-
 73 sating the algorithms mentioned behavior. We have found that the random evolution
 74 between adjacent planes can be removed by a proper target sampling. A great discrep-
 75 ancy in the target beam profile between adjacent planes result in ambiguous solutions for
 76 the intra-plane field. Hence, choosing an adaptive real-space target sampling, tailored to
 77 the requested beam, guides the algorithm to converge towards a continuous solution.

78 To influence the optimization as discussed, we choose the target beam sampling such
 79 that the intensity at sample plane P_j propagated to P_{j+1} and the intensity at P_{j+1} share
 80 an overlap. However, this requirement is not yet strict enough: we have found that we
 81 specifically need to create the overlap at the edge of the beam profile. Intuitively, this
 82 can be understood as a series of apertures so closely stacked, that the individual rays
 83 form the desired contour. Figure 2 illustrates this concept. A two-dimensional bottle
 84 beam is formed from a small number of binary beam samples. Ensuring an overlap at
 85 the beams edge between adjacent sample planes leads to unambiguous paths for the intra-
 86 plane field. This intuitive geometric interpretation also serves to determine the required
 87 minimal number of sample planes N and their positions z_j . Of course the target beam
 88 could be sampled at a much higher rate. Deducing the minimal required N optimizes the
 89 computational complexity still ensuring continuous beam evolution.

90 A common issue with numerical optimization in general is the stagnation in local
 91 minima, which applies as well to numerical phase retrieval. A well chosen initial field, i.e.,
 92 an initial phase guess ϕ_{SLM}^0 , can serve to improve convergence and avoid stagnation. There
 93 are multiple approaches to a find an initial phase guess, but due to the huge diversity of
 94 the considered targets we choose a random superposition algorithm [11]. This algorithm
 95 propagates the three-dimensional target field back to the incident plane P_0 and performs
 96 a weighted average on the back-propagated fields.

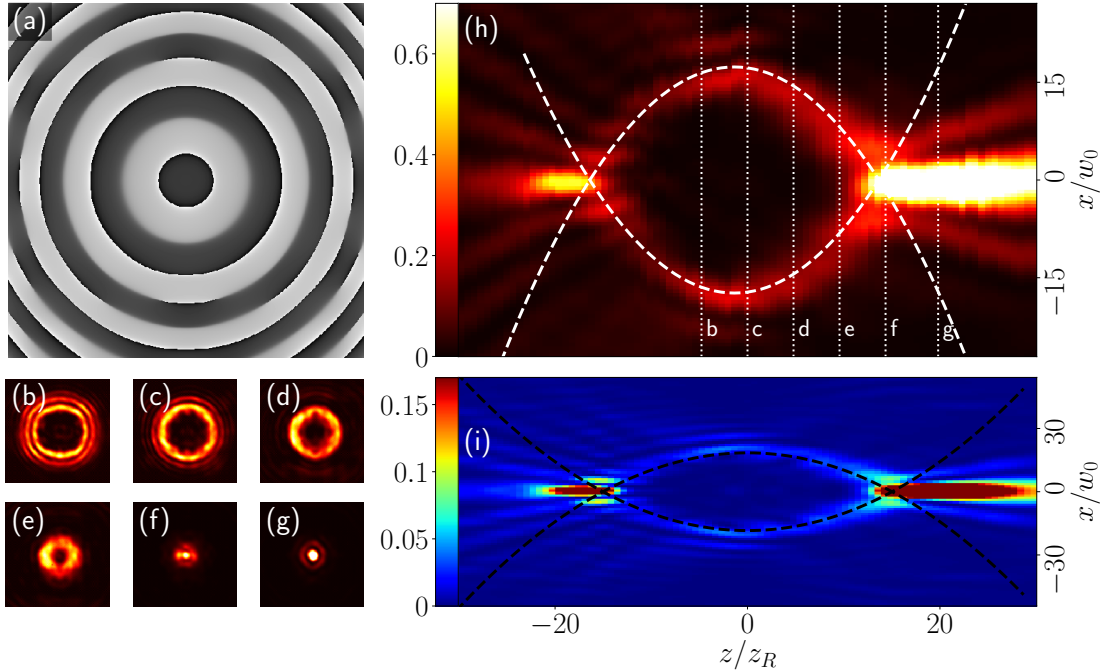


Figure 3: Experimental and numerical results for a single-beam optical bottle. (a) numerically obtained phase pattern, (b)-(g) transverse intensity at the planes indicated in (h) (dotted lines). (h) intensity in the $y=0$ -plane including the pre-designed theoretical shape (dashed lines) and its numerically simulated counterpart (i).

97 3 Results

98 3.1 Optical bottle and helix beams: Benchmark

99 A benchmark for arbitrary three-dimensional beam shaping by numerical phase retrieval is
 100 the creation of optical beams for which either analytical or closed-form expressions already
 101 exist, without actually using this knowledge.

102 The single-beam optical bottle, for instance, can be realized as a superposition of
 103 Laguerre-Gaussian modes [16]. Characteristically, this beam transforms from a bright
 104 spot to a homogeneous ring and back to a spot when moving through its focus. Ad-
 105 vances in caustic beam engineering have established optical bottles composed of circular
 106 auto(de)focusing Airy beams [17] or convex trajectories [9, 18].

107 As mentioned in the previous section, the number of sampling planes N and their
 108 positions need to be derived from the target beam. The bottle beam's annulus cross-
 109 section evolves on a spheroidal trajectory, given in polar coordinates by

$$r(z) = \sqrt{(r_{\max} - r_0)^2 - (z - \bar{z})^2} - r_0. \quad (1)$$

110 Here, r_{\max} denotes the maximal radius of the bottle beam centered at $z = \bar{z}$, while
 111 r_0 is a radial offset. The length L and the maximal radius r_{\max} are the bottle beams
 112 characteristic parameters. Hence, we choose the radial offset r_0 such that $r(\pm L/2) = 0$.
 113 Consequently, the center of the spheroidal surface is located at (r_0, \bar{z}) . To ensure an
 114 overlap of the intensities in consecutive planes at z_j and z_{j+1} , we sample the bottle beam
 115 at the positions z_j such that

$$\Delta r = r(z_j) - r(z_{j+1}) = \text{const} \leq w_a, \quad (2)$$

116 where w_a indicates the annulus' width. This results in the minimal number of sample
 117 planes $N \geq \frac{r_{\max}}{w_a}$ to achieve some overlap between adjacent planes. The target planes'
 118 positions z_j can be obtained from the inverse function of equation 1 and $r_j = \frac{r_{\max}}{n}$ with
 119 $n \in [0, N]$.

120 The initial phase guess ϕ_{SLM}^0 is constructed from the obtained target intensities $T_j(\vec{r})$
 121 at z_j by the random superposition algorithm [11]. This applies to all beams in this paper.
 122 Starting from ϕ_{SLM}^0 the phase retrieval algorithm calculates the phase pattern ϕ_{SLM} in
 123 Figure 3(a).

124 In Figure 3, we show our experimental results for a bottle beam with $r_{\max}=110\mu\text{m}$ re-
 125 covered from $N=15$ target layers. As desired, the created bottle beam encloses a volume
 126 void of any light and the pre-designed trajectory matches the experimental data. The
 127 achieved contrast between the bottles surface and its inner region is suitable for manipu-
 128 lation and trapping applications. Apart from a weak intensity asymmetry ($z \leftrightarrow -z$) our
 129 result is consistent with bottle beams created from caustic engineering [9]. The creation of
 130 various bottle beams within a feasible parameter space ($L \in (14, 54) z_R$, $r_{\max} \in (5, 13) w_0$,
 131 maximal aspect ratio 80:1, where z_R and w_0 denote the Rayleigh length and waist of the
 132 unmodulated beam) offers a first impression of the flexibility of the presented approach.

133 As a second benchmark, we consider the double-helix point spread function commonly
 134 used in super-resolution microscopy [4]. Similar to the optical bottle beam the double helix
 135 point spread function can also be described and created by a superposition of Laguerre-
 136 Gaussian modes [19] or Bessel beams [20].

137 Since this pattern deviates substantially from the bottle beam discussed earlier, we
 138 need to deduce N and the z_j again. The two Gaussian spots are designed to rotate rigidly
 139 on a helical trajectory $r(z) = r_{\text{rot}} = \text{const}$, which implies equidistantly spaced z_j along the
 140 pattern length L . The crucial part about this pattern is the rotation $\varphi(z)$. To ensure the
 141 overlap between spots of adjacent target planes, we need to fulfill $\Delta\varphi \leq \arcsin\left(\frac{w_{\text{spot}}}{r_{\text{rot}}}\right)$,
 142 where w_{spot} denotes the spots radius.

143 The phase pattern obtained from the numerical phase retrieval is shown in Figure
 144 4(b). It shows very similar structures to the analytical phase of the Laguerre-Gaussian
 145 superposition [3]. Most intensity of the helix beam is concentrated in the two Gaussian
 146 spots. Figures 4(b)-(g) depict the rigid rotation of the equidistant spots. The entire
 147 beam propagates shape-invariant throughout the considered volume. Notably our result
 148 is obtained without an initial phase guess assuming a Laguerre-Gaussian superposition.

149 The investigated helix beam can be classified as a beam with radially self-accelerating
 150 intensity [21]. Hence, there exist a rotating reference frame, in which the beam propagates
 151 quasi-nondiffractive. Nondiffractive beams are resilient to small perturbations [21, 22].
 152 This is valid for perturbations smaller or comparably sized to the characteristic beam
 153 size, which is the Gaussian spots' waist in our case. Due to their robustness such beams
 154 are suitable for many applications where propagation does not take place in vacuum. To
 155 prove the quasi-nondiffractive nature of the helix beam, we verify the self-healing after an
 156 opaque obstacle. The self-healing properties of the generated beam are tested by a small
 157 opaque object placed in the beam path to block one of the two rotating spots near the
 158 first target plane z_0 . The original beam profile was recovered shortly after the obstacle.

159 The presented results show that our numerical approach is capable of complex beam
 160 reconstructions, even when starting from a randomized initial phase guess. A proper target
 161 design can overcome the random evolution between discrete sampling planes leading to
 162 continuously evolving beams. Moreover, it is possible to reproduce beams that exhibit
 163 quasi-nondiffractive propagation. Transverse and longitudinal scaling of the created beams
 164 can be easily achieved by altering the target beam profile.

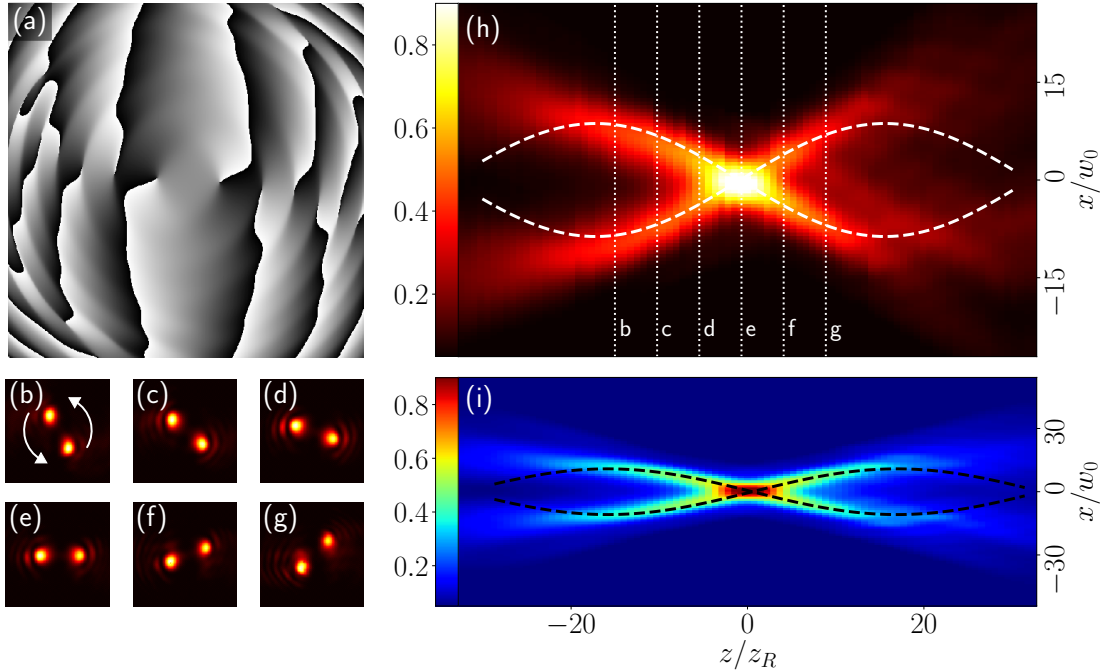


Figure 4: Experimental and numerical results for two Gaussian spots rotating rigidly on a helical trajectory covering a total rotation of $\Delta\varphi = \pi$: (a) calculated phase pattern for counter-clockwise rotation, (b)-(g) transverse intensity at planes indicated with dotted lines in (h). (h) Experimental integrated intensity $\int I(x, y, z) dy$ and (i) numerical counterpart with theoretical trajectory projected onto the $y = 0$ plane (dashed and dashdotted lines).

165 3.2 Realizing arbitrary beam shaping in three dimensions

166 We now show that numerical phase retrieval combined with adaptive target sampling
 167 provides access to arbitrary three-dimensional intensity sculpting. Not being bound by
 168 analytic expressions enables us to create new types of beams with tailored propagation
 169 and symmetry properties.

170 The creation of optical bottles with the discussed analytic approaches commonly ex-
 171 ploits its cylindrical symmetry, solving for a trajectory $r(z)$ to calculate a phase $\phi_{\text{SLM}}(r)$
 172 [9]. After the benchmarks in the previous section we go a step further and create a struc-
 173 tured intensity surface of the optical bottle beam, that does not obey cylindrical symmetry.
 174 To accomplish this we do explicitly not use the bottle beam phase as an initial guess but
 175 instead we design a new target beam with the desired properties and apply the phase
 176 retrieval algorithm to the adaptively sampled target. The designed surface is structured
 177 with a periodic azimuthal intensity gradient and still envelopes a volume of vanishing in-
 178 tensity. It is possible to create this type of beam with our approach. However, the created
 179 azimuthal intensity gradient is of static nature, meaning it does not change when moving
 180 through the focus. Additionally adding a rotation to the azimuthal gradient also breaks
 181 the symmetry with respect to the focal plane. Although the intensity gradient rotates
 182 similarly to the Gaussian spots of the helix beam, these are different types of beams.
 183 The spheroidal surface beam emerges from a bright spot, forms an structured annulus
 184 and collapses again into a spot, while the rotating helix beam propagates shape invari-
 185 ant throughout all P_j . The minimal number of sample planes required for the demanded
 186 rotation is lower than the original N derived for a bottle beam with comparable r_{max} .

187 Therefore we do not need to adjust the sampling here.

188 A typical result for an optical beam with a rigidly rotating structured spheroidal surface
 189 is shown in Figure 5. As demanded, the beam exhibits a periodic azimuthal structure that
 190 rotates during propagation. Figure 5(h) illustrates the evolution of the beam profile, which
 191 is still continuous despite the substantial complexity increase compared to the benchmark
 192 beams. The requested symmetry properties are also fulfilled. Being capable of shaping
 193 a beam to this extent separates our approach from techniques that exploit the beam
 194 symmetry for simplifying assumptions.

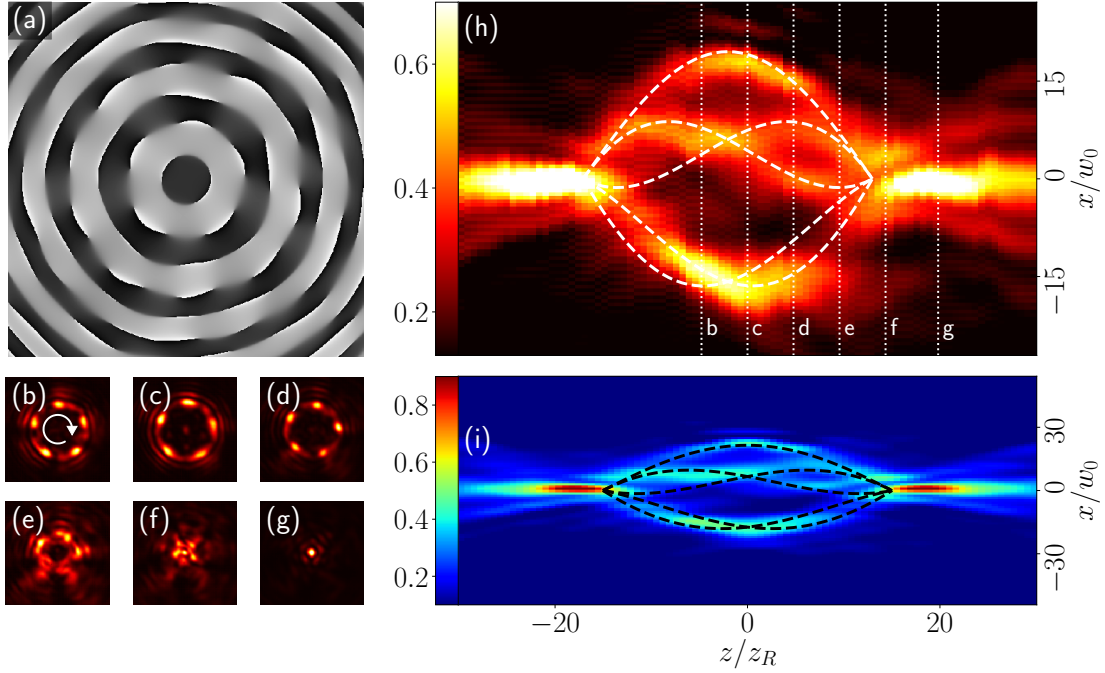


Figure 5: Experimental and numerical results for a single-beam optical bottle with a rotating periodic transverse intensity gradient. (a) numerically obtained phase pattern, (b)-(g) transverse intensity at the planes indicated in (h) (dotted lines) and indicated rotation in (b). (h) Experimental integrated intensity $\int I(x, y, z) dy$ and (i) numerical counterpart with the theoretical trajectories of the intensity maxima projected onto the $y = 0$ plane (dashed lines).

195 The second example is a generalization of the double-helix beam. It is known that
 196 altering the individual contributions of a Laguerre-Gaussian superposition yields different
 197 rotation rates $\frac{\partial \varphi}{\partial z}$ and beam profiles [3, 19]. Yet, the Gaussian spots of the double-helix
 198 point spread function propagate on a trajectory with a circular cross-section (see Figure
 199 4). We now demonstrate that we can vary this cross-section from a circle to a polygon
 200 going beyond the Laguerre-Gauss superposition. As the trajectory (along the z -direction)
 201 of the Gaussian spots composing the intensity pattern is no longer rotational symmetric
 202 around the optical axis, the distance between the two Gaussian spots changes with the
 203 propagation distance. Due to its application in super-resolution microscopy the rotation
 204 rate of the double helix point spread function is usually fixed to $\frac{\partial \varphi}{\partial z} = \frac{\pi}{L}$. Similar to
 205 the Laguerre-Gaussian superposition, we can continuously adjust this rotation rate. To
 206 show this we increase the rotation rate by a factor of two, in addition to the varied cross-
 207 section. Regarding the target sampling, we describe the polygonal cross-section in polar

208 coordinates, which leads to

$$r(\varphi) = r_{\max} \cdot \frac{\cos\left(\frac{\pi}{n}\right)}{\cos\left(\varphi - \frac{2\pi}{n} \left\lfloor \frac{n\varphi + \pi}{2\pi} \right\rfloor\right)} \quad (3)$$

209 where n denoted the polygon order. To circumvent the complicated calculation of a inverse
 210 function we employ a equidistant sampling and estimate the minimal number of sample
 211 planes from the upper limit $\max[r(\varphi)] = r_{\max}$.

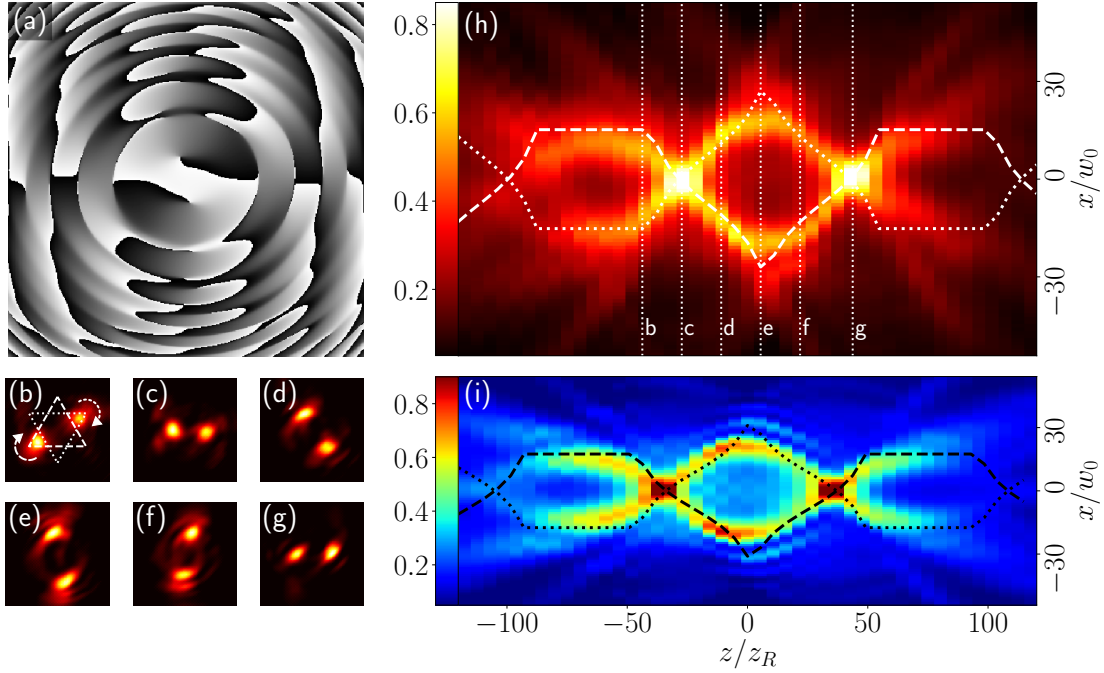


Figure 6: Experimental and numerical results for two Gaussian spots rotating rigidly on a triangle trajectory covering a total rotation angle of $\Delta\varphi = 2\pi$: (a) calculated phase for a clockwise rotation, (b)-(g) transverse intensity at planes indicated in (h) with the pre-designed triangular cross-section in (b). (h) experimental integrated intensity $\int I(x, y, z) dy$ and (i) numerical counterpart with theoretical trajectory projected onto the $y = 0$ plane (dashed and dotted lines).

212 Typical results for a pair of spots moving on a triangular trajectory are shown in Figure
 213 6. Again the experimental measurements in Figure 6(h) coincides with the numerical
 214 simulations 6(i) and the varying distance between the two Gaussian spots can be observed
 215 clearly. Due to the increased rotation rate a full period of the circulation around the
 216 optical axis is visible now. The challenging sections of this beam are located at the
 217 corners of the polygon. Although we used a equidistant sampling, the spots' propagation
 218 around the polygons corners suffices to recognize the altered cross-section. As well as the
 219 structured intensity surface, this beam serves very well to highlight the performance and
 220 functionality of our approach compared to established techniques. The additional effort
 221 associated with altering the cross-section and rotation rate is negligible compared to the
 222 creation of conventional helix beams.

223 The presented beam shaping operations should be understood as examples, represent-
 224 ing only a subset of potential diversification. All patterns created in this paper show that
 225 a proper target sampling is key to obtain continuously evolving optical beams when using
 226 numerical phase retrieval in three dimensions. Although the considered three-dimensional

227 beam profiles are of complex nature, numerical simulation and experimental measurements
228 coincide remarkably well, emphasizing the achievable predictability and control over the
229 beam propagation.

230 4 Conclusion and Outlook

231 In this paper, we have shown that the three-dimensional intensity distributions of complex
232 beams can be created by means of numerical phase retrieval. Our approach is capable of
233 producing these optical beams with pre-designed non-trivially evolving transverse profiles
234 without sacrificing the patterns fidelity. We have shown that our approach can repro-
235 duce light patterns of different approaches. In addition, we have successfully created new
236 complex beams that have not been generated by conventional techniques, showcasing the
237 considerable sculpting possibilities of our approach.

238 The requested target beam properties can be directly applied in real-space targets in-
239 stead of tracking down their origin to the original beam or the generating phase pattern.
240 These large degrees of freedom increase the applicability of advanced tailored optical fields.
241 Furthermore, dynamic manipulation can be achieved by sequences of phase pattern only
242 limited by the spatial light modulators pixel refresh rate. The remaining intensity inhom-
243ogeneities along the propagation trajectory may be compensated by additional amplitude
244 control of the incident field [18].

245 Numerical phase retrieval for three-dimensional beam shaping may open the door to
246 novel optical potentials build on top of already existing classes of optical beams. In the
247 future our method could help to launch new developments in various fields: quantum gases
248 confined to spatially curved potentials, particle manipulation and guiding along arbitrary
249 trajectories or laser writing of new types of structures could be achieved adopting our
250 approach. Given the flexibility and simplicity of the presented approach, it may be a
251 valuable tool for applications, wherever precisely controlled optical potentials are essential.

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