Three-dimensional sculpting of laser beams

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Abstract

We demonstrate three-dimensional sculpting of laser beams using two-dimensional holograms. Without relying on initial guesses of the analytic properties or the Fourier transform of the desired light field, we show that an improved numerical phase retrieval algorithm can produce continuous three-dimensional intensity distributions of arbitrary shapes. We benchmark our algorithm against optical bottle beams and double-helix beams and then show the extension to complex optical structures.

1 Introduction

Holographic beam shaping has developed into a powerful technique wherever laser light needs to be tailored to the special requirements of its respective application. The ability to engineer the spatial intensity profile of a light field has empowered novel and sophisticated methods of microscopy, optical trapping and optical manipulation. For example, absorptive microparticles have been confined in single-beam optical bottles \cite{1} or colloidal spheres have been steered along curved trajectories with Airy beams \cite{2}. Equally, beam shaping has served to achieve single-beam, three-dimensional imaging utilizing engineered point spread functions in super-resolution microscopy \cite{3,4}.

However, the creation of advanced light fields with arbitrary three-dimensional intensity distribution remains a challenging problem. Commonly they are created from analytic solutions or closed-form expressions for the electric field (rather than the intensity), thereby restricting the set of realizable beams. For instance, the abruptly autofocussing beams derived from the Airy solution \cite{5} can form three-dimensional structures \cite{6} or even single-beam optical bottles \cite{7}. These approaches have in common that either the exact desired optical field or its Fourier transform have to be known, which is much more restrictive than specifying the intensity distribution. Often this requires simplifying assumptions such as cylindrical symmetry \cite{8,9} or an analytic mode basis \cite{3}. Therefore, the properties of beams created with the aforementioned approaches are intrinsically limited.

Numerical approaches using iterative projection algorithms have already established arbitrary two-dimensional beam shaping with remarkable capabilities \cite{10}. Demanding light to form a continuous three-dimensional structure of pre-designed arbitrary intensity profile on the other hand still remains a challenging goal to accomplish. There are existing approaches to create a stack of multiple two-dimensional patterns at different distances along a propagating beam \cite{11}, nevertheless the intensity between these discrete planes evolves randomly. Gaining control over the field evolution between the target layers marks an important progress in order to achieve truly arbitrary three-dimensional beam shaping capabilities.

In this paper, we demonstrate spatially continuous three-dimensional intensity sculpting using an improved numerical phase retrieval. The appeal of this approach is based
on its overall simplicity while allowing for high flexibility. We show that our approach
cannot only reproduce complex beams but it is even capable of modifying their beam
profile during propagation in a predictable manner. We demonstrate our approach at
the examples of a single-beam optical bottle [9] and a rotating double-helix point spread
function [3] without providing any analytical input. We then show that the methodology
can be extended beyond cylindrical symmetry and beyond simple scaling transformations.

2 Experimental setup and volumetric phase retrieval

The experimental setup (see Figure 1) composes of a spatial light modulator at location
\( z = 0 \), which is illuminated by a collimated Gaussian laser beam of waist \( w_0 = 6.3 \text{mm} \) and
a wavelength of \( \lambda = 735 \text{nm} \). The phase-only spatial light modulator [12] imprints a phase
pattern \( \phi_{\text{SLM}} \) onto the Gaussian beam. The beam after phase modulation is imaged by
a thin lens (\( f = 250 \text{mm} \)) in a 2\( f \)-configuration onto the focal plane \( P_{2f} \), which projects
the Fourier transform of the front focal plane \( P_0 \) onto \( P_{2f} \). We compensate aberrations
from non-perfect optical elements, including the spatial light modulator itself, by a Shack-
Hartmann wavefront correction algorithm [13]. The sculpted intensity is measured with a
CCD camera mounted on a linear translation stage in several target planes \( P_j \), covering
\( \Delta z \in (-12, 12) \text{mm} \) around the focal plane \( P_{2f} \).

![Figure 1: Working principle of the setup with the spatial light modulator located at \( P_0 \).](image)

Cylindrical coordinate system in red (\( z \)-axis coincides with optical axis). (a) cubic phase
pattern displayed on the spatial light modulator to control the beam around the back focal
plane \( P_{2f} \) sampled at \( P_j \) to form an Airy beam. (b) ray simulation of 2\( f \)-setup with phase
(a) applied.

The complex transfer functions of Fourier optics provide a full description of linear
optical systems [14]. Based on this foundation, phase retrieval algorithms calculate a two-
dimensional phase corresponding to a target intensity distribution for a given incident
field [15]. To obtain intensity control over a single target plane \( P_j \) the phase \( \phi_{\text{SLM}} \) is optimi-
zed by iterative projection between the incident plane and the target plane. Applying
constraints in the target plane \( P_{2f} \) and in the front focal plane \( P_0 \) guides the optimization
towards the target intensity. These constraints are implied by the available intensity and
the desired target intensity. However, the solutions are not necessarily unique.

Describing the propagation characteristics of an optical beam in a finite volume requires
volumetric intensity information. We obtain this information by sampling the beam’s in-
tensity at discrete planes \( P_j \) around the focal plane \( P_{2f} \). The phase \( \phi_{\text{SLM}} \) is then calculated
with a Gerchberg-Saxton based phase retrieval algorithm [11]. An important subtlety of
this algorithm design is that there is no cross-talk between adjacent target planes \( P_j \) and
$P_{j+1}$. Hence, in each iteration the algorithm solves for all $P_j$ individually and performs a weighted average on the back projected fields at $P_0$. This may lead to a randomly evolving intra-plane intensity \cite{11}, which is not suitable for the creation of optical beams.

![Image](image.png)

Figure 2: Adaptive real-space target sampling: Creating an overlap between adjacent target planes to avoid random evolution. Solid black lines indicate the sample planes $P_j$ with binary target (blue). The sample planes are distanced such that adjacent planes share some overlap (shaded red). The numerical phase retrieval algorithm is guided to the continuous structure (solid red line).

Realizing continuously evolving patterns requires an adjusted target design compensating the algorithms mentioned behavior. We have found that the random evolution between adjacent planes can be removed by a proper target sampling. A great discrepancy in the target beam profile between adjacent planes result in ambiguous solutions for the intra-plane field. Hence, choosing an adaptive real-space target sampling, tailored to the requested beam, guides the algorithm to converge towards a continuous solution.

To influence the optimization as discussed, we choose the target beam sampling such that the intensity at sample plane $P_j$ propagated to $P_{j+1}$ and the intensity at $P_{j+1}$ share an overlap. However, this requirement is not yet strict enough: we have found that we specifically need to create the overlap at the edge of the beam profile. Intuitively, this can be understood as a series of apertures so closely stacked, that the individual rays form the desired contour. Figure 2 illustrates this concept. A two-dimensional bottle beam is formed from a small number of binary beam samples. Ensuring an overlap at the beams edge between adjacent sample planes leads to unambiguous paths for the intra-plane field. This intuitive geometric interpretation also serves to determine the required minimal number of sample planes $N$ and their positions $z_j$. Of course the target beam could be sampled at a much higher rate. Deducing the minimal required $N$ optimizes the computational complexity still ensuring continuous beam evolution.

A common issue with numerical optimization in general is the stagnation in local minima, which applies as well to numerical phase retrieval. A well chosen initial field, i.e., an initial phase guess $\phi_{0, SLM}$, can serve to improve convergence and avoid stagnation. There are multiple approaches to a find an initial phase guess, but due to the huge diversity of the considered targets we choose a random superposition algorithm \cite{11}. This algorithm propagates the three-dimensional target field back to the incident plane $P_0$ and performs a weighted average on the back-propagated fields.
Figure 3: Experimental and numerical results for a single-beam optical bottle. (a) numerically obtained phase pattern, (b)-(g) transverse intensity at the planes indicated in (h) (dotted lines). (h) intensity in the $y=0$-plane including the pre-designed theoretical shape (dashed lines) and its numerically simulated counterpart (i).

3 Results

3.1 Optical bottle and helix beams: Benchmark

A benchmark for arbitrary three-dimensional beam shaping by numerical phase retrieval is the creation of optical beams for which either analytical or closed-form expressions already exist, without actually using this knowledge.

The single-beam optical bottle, for instance, can be realized as a superposition of Laguerre-Gaussian modes [16]. Characteristically, this beam transforms from a bright spot to a homogeneous ring and back to a spot when moving through its focus. Advances in caustic beam engineering have established optical bottles composed of circular auto(de)focusing Airy beams [17] or convex trajectories [9,18].

As mentioned in the previous section, the number of sampling planes $N$ and their positions need to be derived from the target beam. The bottle beam’s annulus cross-section evolves on a spheroidal trajectory, given in polar coordinates by

$$r(z) = \sqrt{(r_{\text{max}} - r_0)^2 - (z - \bar{z})^2} - r_0.$$  \hspace{1cm} (1)

Here, $r_{\text{max}}$ denotes the maximal radius of the bottle beam centered at $z = \bar{z}$, while $r_0$ is a radial offset. The length $L$ and the maximal radius $r_{\text{max}}$ are the bottle beams characteristic parameters. Hence, we choose the radial offset $r_0$ such that $r(\pm L/2) = 0$.

Consequently, the center of the spheroidal surface is located at $(r_0, \bar{z})$. To ensure an overlap of the intensities in consecutive planes at $z_j$ and $z_{j+1}$, we sample the bottle beam at the positions $z_j$ such that

$$\Delta r = r(z_j) - r(z_{j+1}) = \text{const} \leq w_a,$$  \hspace{1cm} (2)
where \( w_0 \) indicates the annulus’ width. This results in the minimal number of sample planes \( N \geq \frac{r_{\text{max}}}{w_0} \) to achieve some overlap between adjacent planes. The target planes’ positions \( z_j \) can be obtained from the inverse function of equation \( 1 \) and \( r_j = \frac{r_{\text{max}}}{n} \) with \( n \in [0, N] \).

The initial phase guess \( \phi_{\text{SLM}}^0 \) is constructed from the obtained target intensities \( T_j(\vec{r}) \) at \( z_j \) by the random superposition algorithm \([11]\). This applies to all beams in this paper.

Starting from \( \phi_{\text{SLM}}^0 \) the phase retrieval algorithm calculates the phase pattern \( \phi_{\text{SLM}} \) in Figure 3(a).

In Figure 3 we show our experimental results for a bottle beam with \( r_{\text{max}}=110 \mu m \) recovered from \( N=15 \) target layers. As desired, the created bottle beam encloses a volume void of any light and the pre-designed trajectory matches the experimental data. The achieved contrast between the bottles surface and its inner region is suitable for manipulation and trapping applications. Apart from a weak intensity asymmetry \((z \leftrightarrow -z)\) our result is consistent with bottle beams created from caustic engineering \([9]\). The creation of various bottle beams within a feasible parameter space \((L \in (14, 54), z_{\text{max}} \in (5, 13), w_0, \max)\) offers a first impression of the flexibility of the presented approach.

As a second benchmark, we consider the double-helix point spread function commonly used in super-resolution microscopy \([1]\). Similar to the optical bottle beam the double helix point spread function can also be described and created by a superposition of Laguerre-Gaussian modes \([19]\) or Bessel beams \([20]\).

Since this pattern deviates substantially from the bottle beam discussed earlier, we need to deduce \( N \) and the \( z_j \) again. The two Gaussian spots are designed to rotate rigidly on a helical trajectory \( r(z) = r_{\text{rot}} = \text{const} \), which implies equidistantly spaced \( z_j \) along the pattern length \( L \). The crucial part about this pattern is the rotation \( \phi(z) \). To ensure the overlap between spots of adjacent target planes, we need to fulfill \( \Delta \phi \leq \arcsin \left( \frac{w_{\text{spot}}}{r_{\text{rot}}} \right) \), where \( w_{\text{spot}} \) denotes the spots radius.

The phase pattern obtained from the numerical phase retrieval is shown in Figure 4(b). It shows very similar structures to the analytical phase of the Laguerre-Gaussian superposition \([3]\). Most intensity of the helix beam is concentrated in the two Gaussian spots. Figures 4(b)-(g) depict the rigid rotation of the equidistant spots. The entire beam propagates shape-invariant throughout the considered volume. Notably our result is obtained without an initial phase guess assuming a Laguerre-Gaussian superposition.

The investigated helix beam can be classified as a beam with radially self-accelerating intensity \([21]\). Hence, there exist a rotating reference frame, in which the beam propagates quasi-nondiffractive. Nondiffractive beams are resilient to small perturbations \([21, 22]\). This is valid for perturbations smaller or comparably sized to the characteristic beam size, which is the Gaussian spots’ waist in our case. Due to their robustness such beams are suitable for many applications where propagation does not take place in vacuum. To prove the quasi-nondiffractive nature of the helix beam, we verify the self-healing after an opaque obstacle. The self-healing properties of the generated beam are tested by a small opaque object placed in the beam path to block one of the two rotating spots near the first target plane \( z_0 \). The original beam profile was recovered shortly after the obstacle.

The presented results show that our numerical approach is capable of complex beam reconstructions, even when starting from a randomized initial phase guess. A proper target design can overcome the random evolution between discrete sampling planes leading to continuously evolving beams. Moreover, it is possible to reproduce beams that exhibit quasi-nondiffractive propagation. Transverse and longitudinal scaling of the created beams can be easily achieved by altering the target beam profile.
3.2 Realizing arbitrary beam shaping in three dimensions

We now show that numerical phase retrieval combined with adaptive target sampling provides access to arbitrary three-dimensional intensity sculpting. Not being bound by analytic expressions enables us to create new types of beams with tailored propagation and symmetry properties.

The creation of optical bottles with the discussed analytic approaches commonly exploits its cylindrical symmetry, solving for a trajectory $r(z)$ to calculate a phase $\phi_{\text{SLM}}(r)$ \cite{9}. After the benchmarks in the previous section we go a step further and create a structured intensity surface of the optical bottle beam, that does not obey cylindrical symmetry. To accomplish this we do explicitly not use the bottle beam phase as an initial guess but instead we design a new target beam with the desired properties and apply the phase retrieval algorithm to the adaptively sampled target. The designed surface is structured with a periodic azimuthal intensity gradient and still envelopes a volume of vanishing intensity. It is possible to create this type of beam with our approach. However, the created azimuthal intensity gradient is of static nature, meaning it does not change when moving through the focus. Additionally adding a rotation to the azimuthal gradient also breaks the symmetry with respect to the focal plane. Although the intensity gradient rotates similarly to the Gaussian spots of the helix beam, these are different types of beams. The spheroidal surface beam emerges from a bright spot, forms an structured annulus and collapses again into a spot, while the rotating helix beam propagates shape invariant throughout all $P_j$. The minimal number of sample planes required for the demanded rotation is lower than the original $N$ derived for a bottle beam with comparable $r_{\text{max}}$. 

Figure 4: Experimental and numerical results for two Gaussian spots rotating rigidly on a helical trajectory covering a total rotation of $\Delta \phi = \pi$: (a) calculated phase pattern for counter-clockwise rotation, (b)-(g) transverse intensity at planes indicated with dotted lines in (h). (h) Experimental integrated intensity $\int I(x, y, z)dy$ and (i) numerical counterpart with theoretical trajectory projected onto the $y = 0$ plane (dashed and dashdotted lines).
Therefore we do not need to adjust the sampling here.

A typical result for an optical beam with a rigidly rotating structured spheroidal surface is shown in Figure 5. As demanded, the beam exhibits a periodic azimuthal structure that rotates during propagation. Figure 5(h) illustrates the evolution of the beam profile, which is still continuous despite the substantial complexity increase compared to the benchmark beams. The requested symmetry properties are also fulfilled. Being capable of shaping a beam to this extent separates our approach from techniques that exploit the beam symmetry for simplifying assumptions.

Figure 5: Experimental and numerical results for a single-beam optical bottle with a rotating periodic transverse intensity gradient. (a) numerically obtained phase pattern, (b)-(g) transverse intensity at the planes indicated in (h) (dotted lines) and indicated rotation in (b). (h) Experimental integrated intensity $\int I(x, y, z)dy$ and (i) numerical counterpart with the theoretical trajectories of the intensity maxima projected onto the $y = 0$ plane (dashed lines).

The second example is a generalization of the double-helix beam. It is known that altering the individual contributions of a Laguerre-Gaussian superposition yields different rotation rates $\frac{\partial \phi}{\partial z}$ and beam profiles [3, 19]. Yet, the Gaussian spots of the double-helix point spread function propagate on a trajectory with a circular cross-section (see Figure 4). We now demonstrate that we can vary this cross-section from a circle to a polygon going beyond the Laguerre-Gauss superposition. As the trajectory (along the $z$–direction) of the Gaussian spots composing the intensity pattern is no longer rotational symmetric around the optical axis, the distance between the two Gaussian spots changes with the propagation distance. Due to its application in super-resolution microscopy the rotation rate of the double helix point spread function is usually fixed to $\frac{\partial \phi}{\partial z} = \frac{\pi}{L}$. Similar to the Laguerre-Gaussian superposition, we can continuously adjust this rotation rate. To show this we increase the rotation rate by a factor of two, in addition to the varied cross-section. Regarding the target sampling, we describe the polygonal cross-section in polar...
coordinates, which leads to

\[ r(\varphi) = r_{\text{max}} \cdot \frac{\cos \left( \frac{\varphi}{n} \right)}{\cos \left( \varphi - \frac{2\pi}{n} \left\lfloor \frac{n\varphi + \pi}{2\pi} \right\rfloor \right)} \]  

(3)

where \( n \) denoted the polygon order. To circumvent the complicated calculation of an inverse function we employ an equidistant sampling and estimate the minimal number of sample planes from the upper limit \( \max r(\varphi) = r_{\text{max}} \).

Figure 6: Experimental and numerical results for two Gaussian spots rotating rigidly on a triangle trajectory covering a total rotation angle of \( \Delta \varphi = 2\pi \): (a) calculated phase for a clockwise rotation, (b)-(g) transverse intensity at planes indicated in (h) with the pre-designed triangular cross-section in (b). (h) experimental integrated intensity \( \int I(x, y, z) \, dy \) and (i) numerical counterpart with theoretical trajectory projected onto the \( y = 0 \) plane (dashed and dotted lines).

Typical results for a pair of spots moving on a triangular trajectory are shown in Figure 6. Again the experimental measurements in Figure 6(h) coincides with the numerical simulations 6(i) and the varying distance between the two Gaussian spots can be observed clearly. Due to the increased rotation rate a full period of the circulation around the optical axis is visible now. The challenging sections of this beam are located at the corners of the polygon. Although we used a equidistant sampling, the spots’ propagation around the polygons corners suffices to recognize the altered cross-section. As well as the structured intensity surface, this beam serves very well to highlight the performance and functionality of our approach compared to established techniques. The additional effort associated with altering the cross-section and rotation rate is negligible compared to the creation of conventional helix beams.

The presented beam shaping operations should be understood as examples, representing only a subset of potential diversification. All patterns created in this paper show that a proper target sampling is key to obtain continuously evolving optical beams when using numerical phase retrieval in three dimensions. Although the considered three-dimensional
beam profiles are of complex nature, numerical simulation and experimental measurements coincide remarkably well, emphasizing the achievable predictability and control over the beam propagation.

4 Conclusion and Outlook

In this paper, we have shown that the three-dimensional intensity distributions of complex beams can be created by means of numerical phase retrieval. Our approach is capable of producing these optical beams with pre-designed non-trivially evolving transverse profiles without sacrificing the patterns fidelity. We have shown that our approach can reproduce light patterns of different approaches. In addition, we have successfully created new complex beams that have not been generated by conventional techniques, showcasing the considerable sculpting possibilities of our approach.

The requested target beam properties can be directly applied in real-space targets instead of tracking down their origin to the original beam or the generating phase pattern. These large degrees of freedom increase the applicability of advanced tailored optical fields. Furthermore, dynamic manipulation can be achieved by sequences of phase pattern only limited by the spatial light modulators pixel refresh rate. The remaining intensity inhomogeneities along the propagation trajectory may be compensated by additional amplitude control of the incident field [18].

Numerical phase retrieval for three-dimensional beam shaping may open the door to novel optical potentials build on top of already existing classes of optical beams. In the future our method could help to launch new developments in various fields: quantum gases confined to spatially curved potentials, particle manipulation and guiding along arbitrary trajectories or laser writing of new types of structures could be achieved adopting our approach. Given the flexibility and simplicity of the presented approach, it may be a valuable tool for applications, wherever precisely controlled optical potentials are essential.

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