1	muCool: muon cooling for high-brightness μ^+ beams
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8 Abstract

A number of experiments with muons are limited by the poor phase space quality of the 9 muon beams currently available. The muCool project aims at developing a phase-space 10 cooling method to transform a surface μ^+ beam with 4 MeV energy and 1 cm size into 11 a slow muon beam with eV energy and 1 mm size. In this process the phase space is 12 reduced by a factor of $10^9 - 10^{10}$ with efficiencies of $2 \cdot 10^{-5} - 2 \cdot 10^{-4}$. The beam is then 13 re-accelerated to keV-MeV energies. Such a beam opens up new avenues for research in 14 fundamental particle physics with muons and muonium atoms as well as in the field of 15 μ SR spectroscopy. 16

17 30.1 Introduction

Precision experiments with muons [1] require muon beams with large rates and low energy. 18 Thus experiments often make use of secondary beam lines with large acceptance tuned to 19 transport surface muons with momentum p = 29 MeV/c (equivalent to 4 MeV kinetic energy). 20 These μ^+ are copiously created by π^+ stopping close to the surface of the pion production 21 target. Muons of lower momenta, from π^+ decaying below the surface of the target, can also 22 be extracted from the production target. However, because of the momentum straggling in 23 the target, the intensity of these sub-surface muon beams decreases rapidly with momentum 24 $(p^{3.5}$ -dependence [2]). 25

The large area of the production target, the scattering in the target, and the large acceptance of the secondary beamline result in muon beams with poor phase space quality $(\sigma_{x,y} \approx 10 \text{ mm}, \theta_{x,y} \approx 100 \text{ mrad})$ [3–5]. The muCool project aims to improve the phase-space quality of these secondary μ^+ beams by a factor of $10^9 - 10^{10}$ while reducing the efficiency by only $2 \cdot 10^{-5} - 2 \cdot 10^{-4}$, transforming a standard secondary μ^+ beam into a sub-mm keV beam.

31 30.2 The muCool compression scheme

In the proposed muCool scheme [6], a surface muon beam propagating in the -z-direction is slowed down in a He gas target featuring a strong electric (*E*) field inside a strong magnetic (*B*) field as shown in Figure 30.1. In the slowing-down process, the muon energy is rapidly reduced to the eV range where the E-field becomes important. The E-field, in conjunction with the B-field and gas density gradients, leads to drifting of the slowed-down muons drastically reducing their initially large spatial extent. In this drift process in the gas, the muons are guided into a sub-mm spot.



Figure 30.1: Schematic diagram of the muCool device. A surface muon beam is stopped in a cryogenic He gas target with a vertical temperature gradient inside a 5 T field. The extent of the stopped muons is reduced first in the transverse (y), then in the longitudinal (z) direction using a complex arrangement of E-field and gas density gradient. The compressed muon beam is then extracted through an orifice into vacuum and re-accelerated along the *z*-axis.

The drift velocity of the μ^+ in a gas with E- and B-fields is given by [7]

$$\vec{v}_{D} = \frac{\mu |\vec{E}|}{1 + \omega^{2} / v^{2}} \left[\hat{E} + \frac{\omega}{v} \hat{E} \times \hat{B} + \frac{\omega^{2}}{v^{2}} \left(\hat{E} \cdot \hat{B} \right) \hat{B} \right].$$
(30.1)

In this equation μ is the muon mobility, $\omega = eB/m$ the cyclotron frequency of the muon, ν the average μ^+ —He collision rate, and \hat{E} and \hat{B} the unit vectors of the electric and magnetic fields, respectively.

The spatial extent of the muon stop distribution decreases by making \vec{v}_D position-dependent, so that μ^+ stopped at different locations in the target drift in different directions, and converge to a small spot. This can be achieved by applying a complex E-field pointing in different directions at different positions, and by making the collision frequency ν position-dependent through a height-dependent gas density.

The muCool setup is conceived as a sequence of stages having various density and electric field conditions. In the first stage, which is at cryogenic temperatures, the muon beam is stopped and compressed in *y*-direction (transverse compression). In the second stage, which is at room temperature, the muon beam is compressed in *z*-direction (longitudinal direction). In the third stage, the muons are extracted from the gas target into vacuum, re-accelerated in -z-direction, and extracted from the B-field.

The 4-MeV μ^+ beam with $\sigma_{x,v} \approx 10$ mm is degraded in a moderator and then stopped 54 in the first stage of the muCool target containing the He gas at cryogenic temperatures and 55 10 mbar pressure. In this first stage, the third term in (30.1) is zero because $\vec{E} = (E_x, E_y, 0)$, 56 with $E_x = E_y \approx 1 \text{ kV/cm}$, is perpendicular to the B-field $\vec{B} = (0, 0, -|B|)$ and at 45° with respect 57 to the x-axis. The peculiarity of this stage is the presence of a strong temperature gradient 58 in vertical direction from about 4 K to 12 K as shown in Figure 30.1. At lower densities (top 59 part of the target) the collision frequency $\nu \approx 3$ GHz is smaller than the cyclotron frequency 60 $\omega \approx 4$ GHz and therefore \vec{v}_D is dominated by the $\hat{E} \times \hat{B}$ term in (30.1). Hence, the muons that 61 are stopped in the top part of the target move downwards (in -y-direction) while drifting in 62 +x-direction. By contrast, at larger densities (bottom part of the target) the collision frequency 63 $v \approx 55$ GHz is larger than the cyclotron frequency ω . Therefore, \vec{v}_D in this region is dominated 64 by the first term in (30.1), resulting in a drift velocity approximately in the \hat{E} direction, so that 65 muons stopped in the lower part of the target move upwards (in +y-direction) while drifting 66 in +x-direction. Combining these considerations, we see that the first stage is used to stop the 67 muons and to compress the vertical extension of the large stopping distribution. 68

The μ^+ drifting in x-direction then enter into the second stage, which is at room tempera-69 ture and has a field $\vec{E} = (0, E_y, \pm E_z)$, with $E_y = 2E_z = 0.1$ kV/cm, with a strong *z*-component 70 pointing towards z = 0. Because v is small at room temperature, the μ^+ motion in this stage is 71 dominated by the third term of (30.1) resulting in a fast reduction of the longitudinal extent. 72 During this fast compression, the E_{ν} -component (see $\hat{E} \times \hat{B}$ term in (30.1)) drifts the μ^+ in 73 x-direction towards the extraction stage. From there, the compressed beam can be extracted 74 though a small orifice into vacuum, and moved quickly into a region of low gas pressure where 75 re-acceleration can occur. Finally the beam needs to be extracted from the solenoid through 76 an iron grid that terminates the magnetic field lines. 77

78 30.3 Demonstration of transverse and longitudinal compression

To demonstrate transverse compression, a cryogenic target as sketched in Figure 30.2 (left) was 79 constructed, capable of sustaining the needed temperature gradient in vertical direction [8]. 80 The target walls were lined with conducting tracks held at high voltage to define a homo-81 geneous electric field at 45° angle w.r.t. the x-axis. A 13 MeV/c sub-surface muon beam 82 was injected into the target and the slowed down muons drifted in the x-direction towards 83 the tip of the target, while being compressed in y-direction by the combined action of the E-84 and B-fields, as well as the density gradient. A simulation of the μ^+ trajectories is shown in 85 Figure 30.2 (middle). To study the μ^+ motion, a system of plastic scintillators detecting the 86 positrons from muon decay was placed around the target. The recorded time spectra (see Fig-87 ure 30.2 (right)) of these detectors were compared to simulations and good agreement was 88 found [8,9].



Figure 30.2: (Left) Sketch of the target used to test the transverse compression. (Middle) GEANT4 simulation of muon trajectories starting at $x \approx -15$ mm and drifting with time in +*x*-direction while compressing in the *y*-direction. The approximate positions of two plastic scintillators (red, blue) used to measure decay positrons are indicated. (Right) Measured and simulated time spectra for the two plastic scintillators indicated in the middle panel. The time zero is given by a counter detecting the muon entering the target. The counts are lifetime compensated, i.e., divided by $e^{-t/2.2 \mu s}$.

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To test the longitudinal compression, a room temperature target as sketched in Figure 30.390 (left) has been constructed with a wall-lining defining E-field with components in z- and y-91 direction [10, 11]. A 10 MeV/c muon beam was injected and slowed down in the elongated 92 target. Muons drifted towards the target mid-plane at z = 0 using the z-components of the E-93 field while the y-component drifted the μ^+ in the x-direction. Such behavior is demonstrated 94 by the simulated trajectories in Figure 30.3 (middle). A scintillator telescope (T1&T2), visible 95 in Figure 30.3 (left) is used to measure the μ^+ accumulating around z = 0. The measured 96 time spectrum given in red in Figure 30.3 (right) shows that muons can be attracted in a short 97

time to the z = 0 plane. Also in this case, good agreement between simulated and measured time spectra has been observed [11].



Figure 30.3: (Left) Sketch of the setup used to test the longitudinal compression. The scintillators T1 and T2 in coincidence constrain the μ^+ accumulating to $z \approx 0$. The blue curve indicates the region of acceptance for coincident events. (Middle) Simulated μ^+ trajectories. (Right) Measured and simulated time spectra for negative HV (red), positive HV (green) and no HV (black) at the target mid plane. The counts are lifetime compensated.

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Summarizing, both transverse and longitudinal compression have been tested independently [9]. The observed time spectra for various experimental conditions behave as expected from simulations, validating the simulations, in particular the assumed cross sections obtained from scaling of proton data [9].

¹⁰⁴ **30.4** Shortcut: the mixed transverse-longitudinal compression

According to the scheme of Figure 30.1 the next step would be to develop a target where the transverse compression stage is followed by a longitudinal compression stage. For this purpose, a connection between the cryogenic and the room temperature parts must be realized, with a short μ^+ transit time. To avoid this challenge, a cryogenic target has been developed, in

which both transverse and longitudinal compression occur simultaneously [9]. Such a mixed



Figure 30.4: (Left) Sketch of the mixed transverse-longitudinal compression target with a vertical density gradient, E_x and E_y components as in the transverse compression target, and an E_z component pointing to the target mid-plane at z = 0. (Right) Sketch of the muon trajectories in the mixed-compression target.

110 transverse-longitudinal compression target can be realized by adding a longitudinal compo-

nent to the E-field of the transverse target (see Figure 30.4 (left)). The resulting μ^+ motion in this target is sketched in Figure 30.4 (right).

Targets based on this concept have been simulated, developed and commissioned. The measured performance confirms the validity of this approach and of the simulations. They show that in the target a muon stop distribution with volume $\Delta x \times \Delta y \times \Delta z = 10 \times 10 \times 50 \text{ mm}^3$ can be transformed within about 5 μ s into a beam drifting in *x*-direction in the He gas with 10 eV kinetic energy and capable of passing an aperture of $\Delta y \times \Delta z = 1 \times 1 \text{ mm}^2$ size with efficiency larger that 50% (excluding muon decay losses).

The simplicity of this target and the shortening of the total (transverse + longitudinal) compression time is a major advantage of this configuration compared to the original proposal [6]. Its major downside is the shorter active region in z-direction which is limited by the time needed for the longitudinal compression at the much higher gas density compared to the scheme in the original proposal with longitudinal compression at room temperature.

124 30.5 Vacuum extraction and re-acceleration

The mixed-compression target can be modified to allow μ^+ extraction from the gas target 125 into "vacuum" through an orifice of about 1 mm diameter. To compensate for the He atoms 126 leaving the target through the same orifice, new He gas has to be continuously injected into the 127 system. We plan to inject the He gas right at the orifice, perpendicular to the μ^+ motion (see 128 Figure 30.5 (left)), so that the injected gas acts as a barrier for the target gas. The injected gas 129 needs to be efficiently evacuated through a system of differentially pumped regions, so that 130 the μ^+ leaving the target experience a rapid decrease of the collision rates with the He atoms, 131 which is necessary to maintain a good beam quality. 132

We plan to define the electric field for the μ^+ transport out of the target by adding six 133 electrodes at the tip of the target as shown in Figure 30.5. The two electrodes at z = 0, 134 connected to the high-voltages HV2 and HV5, define an E-field pointing in y-direction to drift 135 the muons in +x-direction from the gas target to the re-acceleration region. The other two 136 pairs of electrodes connected to the high-voltages HV3,6 and HV1,4 are kept at a slightly 137 larger potential compared to HV2 and HV5 to define a V-shaped potential in z-direction with 138 minimum at z = 0. This V-shaped potential confines the μ^+ around $z \approx 0$ while they drift in 139 +x-direction from the target to the re-acceleration region. A small electrode could be located 140 in the re-acceleration region acting as a pulsed gate: for a short time it cancels one side of the 141 V-shape potential barrier so that μ^+ in the gate region can escape the confinement and be re-142 accelerated in -z-direction to a kinetic energy given by HV2 \simeq HV5 \approx 10 kV. Switching of this 143 gate-electrode with high repetition rates up to about 1 MHz is needed to minimize losses in the 144 accumulation and re-acceleration processes. Alternatively, the muon could be re-accelerated 145 in -z-direction in a continuous way (without any pulsed gate) simply by modifying the six 146 electrodes so that at a position along the x-axis (with sufficiently good vacuum conditions) 147 one side of the V-shaped confinement (in -z-direction) is absent. 148

149 **30.6** The new beam

The muCool target transforms an input beam of 4 MeV energy beam, 1 cm diameter, 100 mrad 150 divergence and 500 keV energy spread into a beam moving in He gas with 10 eV energy 151 and about 1 mm diameter. Using the already-commissioned mixed-compression target, this 152 transformation occurs within 5 μ s with efficiencies of 90%, for a target with an active region 153 of 50 mm length. This observed performance can be used to estimate the total conversion 154 efficiency from muons entering the He gas target at 4 MeV energy to muons exiting the B-field 155 of the solenoid with a kinetic energy of about 10 keV. Several other losses occurring prior or 156 after the muon compression in the gas target have to be included as summarized in Table 30.1. 157



Figure 30.5: Schematic diagram (not to scale) of the baseline setup performing mixed longitudinal-transverse compression followed by vacuum extraction and reacceleration. The electrodes are in red, the He gas flow is indicated by blue arrows, and muon trajectories are sketched in green. (Left) In the *xy*-plane the compression in vertical direction and the drift in *x*-direction is visible. In the orifice region, an E-field in *y*-direction, defined by the electrodes HV2 and HV5, is used to extract the muons from the gas target and to guide them in +*x*-direction due to the $\vec{E} \times \vec{B}$ drift. The electrode shown in orange is used as a pulsed gate to accelerate the muons. (Right) Similar to left panel, but for the *xz*-plane where the longitudinal compression and the re-acceleration in -z direction are well visible.

As can be seen from this table, the total baseline (that assumes the commissioned gas target as a reference point) compression efficiency is estimated to be $7.5 \cdot 10^{-5}$. Note that this efficiency does not include possible losses at the incoupling of the solenoid and also does not account for the transverse (geometrical) acceptance of the gas target.

A muCool setup with this baseline efficiency applied to the $\pi E5$ beamline delivering surface 162 μ^+ at a rate of $2.1 \cdot 10^8$ s⁻¹ (for the "slanted" target and 2.0 mA proton current), can yield a keV-163 energy beam with a rate of $2 \cdot 10^4$ s⁻¹ and small phase space (40 mm mrad at 10 keV). Here, we 164 assumed 25% incoupling losses due to reflections at the solenoid and transverse acceptance 165 of the muCool target. Applied to the envisioned High Intensity Muon Beam HiMB, delivering 166 μ^+ with a rate of $1 \cdot 10^{10}$ s⁻¹, a muCool output rate of $3 \cdot 10^5$ s⁻¹ could be reached, provided 167 the operational stability of the target is not disrupted by the higher degree of ionization of the 168 high intensity muon beam (we assume 60% in-coupling losses). 169

The above-described baseline compression efficiency can be improved by extending the 170 active region in z-direction, by increasing the longitudinal E-field strength, and by decreasing 171 the gas temperature. At the cost of additional complexity, the stopping probability can be 172 greatly increased by using a target with multiple active regions in z-direction, each having its 173 own extraction orifice. In this case, the various beams exiting the target at different z-positions 174 but same x- and y-positions, can be merged in the re-acceleration process into a single beam. 175 The original scheme of Figure 30.1 can also be used to significantly extend the active region 176 in z-direction. A moderate increase by a factor of 2 of the baseline efficiency would result in 177 competitive beam rates of $4 \cdot 10^4$ s⁻¹ and $5 \cdot 10^5$ s⁻¹ when applying the muCool setup to the 178 $\pi E5$ and the HiMB, respectively. 179

Efficiency	
$6 \cdot 10^{-3}$	Stopping probability in He gas within the active region of the target
$1\cdot 10^{-1}$	Compression towards the orifice including muon decay losses (within 5 μ s)
$6\cdot10^{-1}$	Extraction from the orifice
$4\cdot 10^{-1}$	Drift from orifice to re-acceleration region (in about 2 μ s)
$8\cdot 10^{-1}$	Muon decay from re-acceleration region to iron grid
$7\cdot 10^{-1}$	Transmission through iron grid terminating the B-field
$7.5 \cdot 10^{-5}$	Total baseline compression efficiency

Table 30.1: Estimate of the muCool baseline efficiency using the commissioned mixed-compression target as a reference point for the compression towards the orifice. We thus assume here a target having an active region of 50 mm length operated at 10 mbar pressure with a 6-20 K temperature gradient. The stopping probability of 0.6% has been simulated assuming a surface muon beam with 10% (FWHM) momentum bite. A 3% (FWHM) momentum bite would increase the stopping probability to 1.6%. All the other entries have only been estimated and depend strongly on the upcoming R&D results.

180 30.7 Selected possible applications

This new beam opens the way for next generation experiments with muons where the reducedphase-space is of great advantage.

The search for a muon EDM represents a well motivated channel for physics beyond the 183 Standard Model [12]. While muon EDM searches with a sensitivity of 10^{-21} e cm are ongoing 184 at Fermilab and J-PARC as a "by-product" of their efforts to measure the muon g-2 [13], a 185 muon EDM experiment has been proposed at PSI based on a frozen-spin technique applied 186 to a compact muon storage ring [14]. Preliminary studies show that a sensitivity of $6 \cdot 10^{-23}$ 187 e cm could be reached in the PSI experiment using the μ E1 beam at 125 MeV/c delivering 188 $2 \cdot 10^8 \ \mu^+/s$. Because of the small phase space acceptance of the storage ring, the coupling 189 efficiency for the μ E1 beam is only $2.5 \cdot 10^{-4}$ so that only $5 \cdot 10^4 \ \mu^+/s$ are stored in orbit. The 190 muCool beam with a rate $5\cdot 10^5~{
m s}^{-1}$ accelerated to 125 MeV/c or 200 MeV/c would result in 191 a larger rate of stored muons as it avoids the coupling losses into the storage ring due to its 192 small phase space. 193

The muCool beam can also greatly improve μ SR investigations of sub-mm samples. Because the pile-up effects in the typically 10 μ s-long observation time window become increasingly unsustainable for rates exceeding $5 \cdot 10^4 \text{ s}^{-1}$, the full HiMB-muCool potential could be exploited by switching the keV-energy sub-mm beam between several μ SR instruments operating simultaneously.

¹⁹⁹ Muon to vacuum-muonium conversion is very efficient for keV-energy muons [15]. Hence, ²⁰⁰ the sub-mm muCool beam at keV-energy could be converted into a high-brightness muonium ²⁰¹ source. This novel muonium source could be exploited to improve on the precision of muonium ²⁰² spectroscopy by orders of magnitude (e.g. the 1S-2S with a relative accuracy of 10^{-12} [16]), ²⁰³ and could be used to study the influence of gravity on the muonium to investigate the grav-²⁰⁴ itational interaction of antimatter and second generation leptons in the earth's gravitational ²⁰⁵ field [17].

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