

# Thermodynamic limit and boundary energy of the spin-1 Heisenberg chain with non-diagonal boundary fields

Zhihan Zheng<sup>1,2</sup>, Pei Sun<sup>1,2</sup>, Xiaotian Xu<sup>1,2\*</sup>, Tao Yang<sup>1,2,3,4</sup>, Junpeng Cao<sup>4,5,6,7</sup>, Wen-Li Yang<sup>1,2,3,4</sup>

**1** Institute of Modern Physics, Northwest University, Xi'an 710127, China

**2** Shaanxi Key Laboratory for Theoretical Physics Frontiers, Xi'an 710127, China

**3** School of Physics, Northwest University, Xi'an 710127, China

**4** Peng Huanwu Center for Fundamental Theory, Xi'an 710127, China

**5** Beijing National Laboratory for Condensed Matter Physics, Institute of Physics, Chinese Academy of Sciences, Beijing 100190, China

**6** Songshan Lake Materials Laboratory, Dongguan, Guangdong 523808, China

**7** School of Physical Sciences, University of Chinese Academy of Sciences, Beijing 100049, China

\*xtxu@nwu.edu.cn

## 1 Abstract

**2** The thermodynamic limit and boundary energy of the isotropic spin-1 Heisenberg chain with non-diagonal boundary fields are studied. **3** The finite size scaling properties of the inhomogeneous term in the  $T - Q$  relation at the ground **4** state are calculated by the density matrix renormalization group. Based on **5** our findings, the boundary energy of the system in the thermodynamic limit **6** can be obtained from Bethe ansatz equations of a related model with parallel **7** boundary fields. These results can be generalized to the  $SU(2)$  symmetric high **8** spin Heisenberg model directly. **9**

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## 20 1 Introduction

**21** The study of quantum integrable models is an interesting subject in the fields of cold **22** atoms, quantum field theory, condensed matter physics and statistic mechanics [1–5]. The

spin-1/2 Heisenberg model can effectively quantify the spin-exchanging interaction and plays an important role in the quantum magnetism and many-body theory. By using the Bethe ansatz method, the one-dimensional (1D) spin-1/2 Heisenberg model can be solved exactly [6]. The typical spin-exchanging couplings in the 1D spin-1 system are characterized by the bilinear biquadratic model, where the Hamiltonian reads

$$H = \sum_{k=1}^N \left[ J_1 \vec{S}_k \cdot \vec{S}_{k+1} + J_2 (\vec{S}_k \cdot \vec{S}_{k+1})^2 \right]. \quad (1)$$

Here  $\vec{S}_k(S_k^x, S_k^y, S_k^z)$  is the spin-1 operator at site  $k$ ,  $N$  is the number of sites, and the periodic boundary condition gives  $\vec{S}_{N+1} = \vec{S}_1$ . If  $J_2/J_1 = 1$ , the system (1) has the  $SU(3)$  symmetry and is integrable. If  $J_2/J_1 = -1$ , the  $SU(2)$  symmetry exists, and the system is known as the Zamalodchikov-Fateev (ZF) model [7]. The Bethe ansatz solution and thermodynamic properties of the ZF model are studied by Takhtajan [8] and Babujian [9,10]. If  $J_2 = 0$ , the system is no longer integrable. Starting from the nonlinear sigma model, Haldane conjectures that the excitation of the system has a gap [11,12]. If  $J_2/J_1 = 1/3$ , the Hamiltonian (1) degenerates into a projector operator that is in fact the projection onto the sum of the spin-0 and spin-1 subspaces (up to a constant) and the ground state is the famous valence bond solid state [13,14]. If  $J_1 = 0$ , by using the Temperley-Lieb algebra, the system can be mapped into the XXZ spin chain and is also integrable [15–17].

Besides the periodic boundary condition, the integrable open one is also an interesting subject, which means that the system has magnetic impurity or the boundary magnetic fields [18,19]. In the past few decades, the exact results of high spin models with periodic [7–10, 20–24] and parallel boundary fields [25–27] have been extensively studied. It is emphasized that the integrable boundary reflection matrix can have non-diagonal elements, which means that the boundary fields are unparallel. Then the  $U(1)$  symmetry is broken and it is very hard to study the exact solution of the system. It is known that the integrable systems without  $U(1)$  symmetry have many applications in the open string theory and the stochastic process of nonequilibrium statistics. Therefore, many interesting works of high spin models with non-diagonal boundary reflections have been done [28–33].

Recently, a systematic method, i.e., the off-diagonal Bethe ansatz (ODBA) is proposed to solve the models with or without  $U(1)$  symmetry [34]. The eigenvalues and eigenstates of several typical integrable models are obtained. The next task is to derive the physical quantities in the thermodynamic limit, which is very complicated because the related Bethe ansatz equations (BAEs) are inhomogeneous and the traditional thermodynamic Bethe ansatz can not be employed. In order to overcome this difficulty, an effective method is to study the finite size scaling effects of the inhomogeneous term in the  $T - Q$  relation. With the help of this idea, the thermodynamic limit, surface energy and elementary excitations of spin-1/2 XXZ spin chain with arbitrary boundary fields are studied [35]. The boundary energy of the  $SU(3)$  symmetric spin-1 chain with generic integrable open boundaries is also obtained [36]. However, the corresponding thermodynamic properties of the  $SU(2)$  symmetric spin-1 Heisenberg model are still missing.

In this paper, we study the thermodynamic limit and boundary energy of the spin-1 isotropic Heisenberg spin chain with non-diagonal boundary reflections. The finite size scaling analysis of the contribution of the inhomogeneous term in the  $T - Q$  relation to the ground state energy is studied in detail. In the thermodynamic limit, we find that most Bethe roots of the reduced BAEs at the ground state form 2-strings, associated with certain boundary strings and the rearrangement of the Fermi sea. The different structures of Bethe roots in different regimes of model parameters are given explicitly. Based on

69 them, we obtain the boundary energy induced by the boundary magnetic fields. We also  
 70 check the analytic results by the numerical extrapolation, and find that the analytical  
 71 results and the numerical ones coincide with each other very well. The results given in  
 72 this paper can be generalized to the  $SU(2)$  symmetric spin- $s$  Heisenberg model directly.

73 This paper is organized as follows. Section 2 serves as an introduction to the notations  
 74 for the spin-1 Heisenberg model with non-diagonal boundary fields. The ODBA exact  
 75 solution is also briefly reviewed. In Section 3, we focus on the contribution of the inho-  
 76 mogeneous term in the  $T - Q$  relation to the ground state energy. In Section 4, by using  
 77 the patterns of Bethe roots of the reduced BAEs, we study the boundary energy of the  
 78 model in the thermodynamic limit. We summarize the results and give some discussions  
 79 in Section 5.

## 80 2 Non-diagonal boundary Spin-1 Heisenberg model

81 The spin-1 Heisenberg model with non-diagonal boundary fields is related to the 19-vertex  
 82  $R$ -matrix

$$R_{12}(u) = \left( \begin{array}{c|cc|c} c(u) & & & \\ & b(u) & & e(u) \\ & & d(u) & g(u) \\ \hline & e(u) & & b(u) \\ & & g(u) & a(u) \\ & & & b(u) \\ \hline & & f(u) & g(u) \\ & & & e(u) \\ & & & d(u) \\ & & & & b(u) \\ & & & & & c(u) \end{array} \right), \quad (2)$$

83 where the non-vanishing elements are

$$\begin{aligned} a(u) &= u(u + \eta) + 2\eta^2, \quad b(u) = u(u + \eta), \quad c(u) = (u + \eta)(u + 2\eta), \\ d(u) &= u(u - \eta), \quad e(u) = 2\eta(u + \eta), \quad f(u) = 2\eta^2, \quad g(u) = 2u\eta, \end{aligned} \quad (3)$$

84  $u$  is the spectral parameter, and  $\eta$  is the crossing parameter. Here we are dealing with the  
 85 isotropic model, and  $\eta$  can be scaled out. Throughout this paper, we adopt the standard  
 86 notations. For any matrix  $A \in \text{End}(\mathbb{V})$ ,  $A_j$  is an embedding operator in the tensor space  
 87  $\mathbb{V} \otimes \mathbb{V} \otimes \dots$ , which acts as  $A$  on the  $j$ -th space and as identity on the other factor spaces.  
 88 For any matrix  $B \in \text{End}(\mathbb{V} \otimes \mathbb{V})$ ,  $B_{i,j}$  is an embedding operator in the tensor space, which  
 89 acts as an identity on the factor spaces except for the  $i$ -th and  $j$ -th ones. The  $R$ -matrix  
 90  $R_{12}(u)$  satisfies the quantum Yang-Baxter equation (QYBE) [37, 38]

$$R_{12}(u - v)R_{13}(u)R_{23}(v) = R_{23}(v)R_{13}(u)R_{12}(u - v). \quad (4)$$

91 Besides, the  $R$ -matrix (2) also enjoys the properties

$$\text{Initial condition : } R_{12}(0) = 2\eta^2 P_{12}, \quad (5)$$

$$\text{Fusion condition : } R_{12}(-\eta) = 6\eta^2 \mathbf{P}_{12}^{(0)}, \quad (6)$$

92 where  $P_{12}$  is the permutation operator and  $\mathbf{P}_{12}^{(0)}$  is the projector in the total spin-0 channel.  
 93 The most general off-diagonal boundary reflection on one side of the chain is quantified  
 94 by the reflection matrix obtained in [39, 40]

$$K^-(u) = (2u + \eta) \begin{pmatrix} x_1(u) & y'_4(u) & y'_6(u) \\ y_4(u) & x_2(u) & y'_5(u) \\ y_6(u) & y_5(u) & x_3(u) \end{pmatrix}, \quad (7)$$

95 where the matrix elements are

$$\begin{aligned}
x_1(u) &= (p_- + u + \frac{\eta}{2})(p_- + u - \frac{\eta}{2}) + \frac{\alpha_-^2}{2} \eta (u - \frac{\eta}{2}), \\
x_2(u) &= (p_- + u - \frac{\eta}{2})(p_- - u + \frac{\eta}{2}) + \alpha_-^2 (u + \frac{\eta}{2})(u - \frac{\eta}{2}), \\
x_3(u) &= (p_- - u - \frac{\eta}{2})(p_- - u + \frac{\eta}{2}) + \frac{\alpha_-^2}{2} \eta (u - \frac{\eta}{2}), \\
y_4(u) &= \sqrt{2} \alpha_- e^{-i\phi_-} u (p_- + u - \frac{\eta}{2}), \quad y'_4(u) = \sqrt{2} \alpha_- e^{i\phi_-} u (p_- + u - \frac{\eta}{2}), \\
y_5(u) &= \sqrt{2} \alpha_- e^{-i\phi_-} u (p_- - u + \frac{\eta}{2}), \quad y'_5(u) = \sqrt{2} \alpha_- e^{i\phi_-} u (p_- - u + \frac{\eta}{2}), \\
y_6(u) &= \alpha_-^2 e^{-2i\phi_-} u (u - \frac{\eta}{2}), \quad y'_6(u) = \alpha_-^2 e^{2i\phi_-} u (u - \frac{\eta}{2}),
\end{aligned} \tag{8}$$

96  $p_-$ ,  $\alpha_-$  and  $\phi_-$  are the boundary parameters which measure the strength and direction of  
97 the boundary field. The reflection matrix  $K^-(u)$  satisfies the reflection equation (RE)

$$R_{12}(u-v)K_1^-(u)R_{21}(u+v)K_2^-(v) = K_2^-(v)R_{21}(u+v)K_1^-(u)R_{12}(u-v). \tag{9}$$

98 The most general off-diagonal boundary reflection at the other side is quantified by the  
99 dual reflection matrix

$$K^+(u) = K^-(-u - \eta) \Big|_{(p_-, \alpha_-, \phi_-) \rightarrow (p_+, -\alpha_+, \phi_+)}, \tag{10}$$

100 where  $p_+$ ,  $\alpha_+$  and  $\phi_+$  are the boundary parameters characterizing the strength and direc-  
101 tion of the corresponding boundary field. The dual reflection matrix  $K^+(u)$  satisfies the  
102 dual RE

$$\begin{aligned}
&R_{12}(v-u)K_1^+(u)R_{21}(-u-v-2\eta)K_2^+(v) \\
&= K_2^+(v)R_{21}(-u-v-2\eta)K_1^+(u)R_{12}(v-u).
\end{aligned} \tag{11}$$

103 From the  $R$ -matrix (2), we construct the single row monodromy matrices  $T_0(u)$  and  
104  $\hat{T}_0(u)$  as

$$\begin{aligned}
T_0(u) &= R_{0N}(u - \theta_N)R_{0N-1}(u - \theta_{N-1}) \cdots R_{01}(u - \theta_1), \\
\hat{T}_0(u) &= R_{10}(u + \theta_1)R_{20}(u + \theta_2) \cdots R_{N0}(u + \theta_N),
\end{aligned} \tag{12}$$

105 where  $\{\theta_k, k = 1, \dots, N\}$  are the inhomogeneous parameters, and the subscript 0 means  
106 the auxiliary space and  $1, \dots, N$  denote the quantum spaces. The single row monodromy  
107 matrices  $T_0(u)$  and  $\hat{T}_0(u)$  are the  $3 \times 3$  matrices in the auxiliary space  $\mathbf{V}_0$  and their elements  
108 act on the quantum space  $\mathbf{V}^{\otimes N}$ . The transfer matrix of the system reads

$$t(u) = \text{tr}_0 \{ K_0^+(u) T_0(u) K_0^-(u) \hat{T}_0(u) \}. \tag{13}$$

109 From the QYBE (4), RE (9) and dual RE (11), one can prove that the transfer matrices  
110 with different spectral parameters commute with each other, i.e.,

$$[t(u), t(v)] = 0. \tag{14}$$

111 Therefore,  $t(u)$  serves as the generating functional of all the conserved quantities, which  
112 ensures the integrability of the system. The model Hamiltonian is generated from the  
113 transfer matrix  $t(u)$  as [19]

$$\begin{aligned}
H &= \partial_u \{ \ln[t(u)] \} \Big|_{u=0, \{\theta_k=0\}} \\
&= \frac{1}{\eta} \sum_{k=1}^{N-1} \left[ \vec{S}_k \cdot \vec{S}_{k+1} - (\vec{S}_k \cdot \vec{S}_{k+1})^2 \right] \\
&\quad + \frac{1}{p_-^2 - \frac{1}{4}(1 + \alpha_-^2)} \eta^2 \left[ 2p_- (\alpha_- \cos \phi_- S_1^x - \alpha_- \sin \phi_- S_1^y + S_1^z) - \eta (S_1^z)^2 \right. \\
&\quad \quad - \frac{1}{2} \alpha_-^2 \eta \left[ \cos(2\phi_-) \left[ (S_1^x)^2 - (S_1^y)^2 \right] - (S_1^z)^2 \right] - \alpha_- \eta \cos \phi_- [S_1^x S_1^z + S_1^y S_1^x] \\
&\quad \quad \left. + \frac{1}{2} \alpha_-^2 \eta \sin(2\phi_-) [S_1^x S_1^y + S_1^y S_1^x] + \alpha_- \eta \sin \phi_- [S_1^y S_1^z + S_1^z S_1^y] \right] \\
&\quad + \frac{1}{p_+^2 - \frac{1}{4}(1 + \alpha_+^2)} \eta^2 \left[ 2p_+ (\alpha_+ \cos \phi_+ S_N^x - \alpha_+ \sin \phi_+ S_N^y - S_N^z) - \eta (S_N^z)^2 \right. \\
&\quad \quad - \frac{1}{2} \alpha_+^2 \eta \left[ \cos(2\phi_+) \left[ (S_N^x)^2 - (S_N^y)^2 \right] - (S_N^z)^2 \right] + \alpha_+ \eta \cos \phi_+ [S_N^x S_N^z + S_N^y S_N^x] \\
&\quad \quad \left. + \frac{1}{2} \alpha_+^2 \eta \sin(2\phi_+) [S_N^x S_N^y + S_N^y S_N^x] - \alpha_+ \eta \sin \phi_+ [S_N^y S_N^z + S_N^z S_N^y] \right] \\
&\quad + \frac{\eta}{p_+^2 - \frac{1}{4}(1 + \alpha_+^2)} \eta^2 + \frac{\eta}{p_-^2 - \frac{1}{4}(1 + \alpha_-^2)} \eta^2 + \frac{1}{\eta} \left( 3N + \frac{8}{3} \right). \tag{15}
\end{aligned}$$

114 Now, we seek the exact solution of the system (15). Let  $|\Psi\rangle$  be an arbitrary eigenstate  
115 of  $t(u)$  with the eigenvalue  $\Lambda(u)$ , i.e.,

$$t(u)|\Psi\rangle = \Lambda(u)|\Psi\rangle. \tag{16}$$

116 Using the ODBA method [34] and fusion hierarchy, in the homogeneous limit  $\{\theta_k = 0\}$ ,  
117 the eigenvalue  $\Lambda(u)$  can be expressed as the inhomogeneous  $T - Q$  relation,

$$\Lambda(u) = -4u(u + \eta)\Lambda^{(\frac{1}{2},1)}(u + \frac{\eta}{2})\Lambda^{(\frac{1}{2},1)}(u - \frac{\eta}{2}) + 4u(u + \eta)\delta^{(1)}(u + \frac{\eta}{2}), \tag{17}$$

$$\Lambda^{(\frac{1}{2},1)}(u) = a^{(1)}(u)\frac{Q(u - \eta)}{Q(u)} + d^{(1)}(u)\frac{Q(u + \eta)}{Q(u)} + cu(u + \eta)\frac{F^{(1)}(u)}{Q(u)}, \tag{18}$$

118 where

$$\begin{aligned}
a^{(1)}(u) &= d^{(1)}(-u - \eta) \\
&= -\frac{2u + 2\eta}{2u + \eta} (\sqrt{1 + \alpha_+^2}u + p_+) (\sqrt{1 + \alpha_-^2}u - p_-) \left( u + \frac{3\eta}{2} \right)^{2N}, \tag{19}
\end{aligned}$$

$$F^{(1)}(u) = \left( u - \frac{\eta}{2} \right)^{2N} \left( u + \frac{\eta}{2} \right)^{2N} \left( u + \frac{3\eta}{2} \right)^{2N}, \tag{20}$$

$$\delta^{(1)}(u) = a^{(1)}(u) d^{(1)}(u - \eta), \tag{21}$$

$$c = 2[\alpha_- \alpha_+ \cos(\phi_+ - \phi_-) - 1 + \sqrt{(1 + \alpha_-^2)(1 + \alpha_+^2)}], \tag{22}$$

$$Q(u) = \prod_{k=1}^{2N} (u - u_k)(u + u_k + \eta) = Q(-u - \eta), \tag{23}$$

119 and the  $2N$  parameters  $\{u_k | k = 1, \dots, 2N\}$  in  $Q$ -function (23) are the Bethe roots. The  
120 singularity of eigenvalue  $\Lambda(u)$  requires that the Bethe roots should satisfy the BAEs

$$a^{(1)}(u_k)Q(u_k - \eta) + d^{(1)}(u_k)Q(u_k + \eta) + c u_k(u_k + \eta) F^{(1)}(u_k) = 0, \quad k = 1, \dots, 2N. \tag{24}$$

121 The eigenvalue of Hamiltonian (15) reads

$$E = \sum_{k=1}^{2N} \frac{4\eta}{(u_k + \frac{3\eta}{2})(u_k - \frac{\eta}{2})} + \frac{1}{\eta} 3N + \frac{1}{\eta} E_0, \quad (25)$$

122 where  $\{u_k\}$  should satisfy the BAEs (24) and

$$E_0 = \frac{8}{3} + \frac{2\sqrt{1 + \alpha_+^2 p_+ \eta}}{p_+^2 - \frac{\eta^2}{4}(1 + \alpha_+^2)} - \frac{2\sqrt{1 + \alpha_-^2 p_- \eta}}{p_-^2 - \frac{\eta^2}{4}(1 + \alpha_-^2)}. \quad (26)$$

123 Some remarks are in order. If the non-diagonal boundary parameters are  $\alpha_+ = \alpha_- = 0$ , or  
 124  $\alpha_+ = -\alpha_- \neq 0$  and  $\phi_- = \phi_+$  (which corresponds to the parallel boundary fields case), the  
 125 parameter  $c$  in Eq.(22) becomes zero and the corresponding  $T-Q$  relation (18) is naturally  
 126 reduced to the conventional diagonal one [28] obtained by the algebraic Bethe Ansatz.<sup>1</sup>  
 127 For the other case with unparallel boundary fields, the parameter  $c$  does not vanish. Thus  
 128 the corresponding  $T-Q$  relation has to include a non-vanishing inhomogeneous term for  
 129 any finite  $N$ .

### 130 3 Finite size scaling behavior

131 The present BAEs (24) are inhomogeneous, thus it is very hard to investigate the thermo-  
 132 dynamic properties of the system by using the traditional thermodynamic Bethe ansatz.  
 133 In order to overcome this difficulty, we first analyze the contribution of inhomogeneous  
 134 term in the  $T-Q$  relation (18).

135 Define the reduced  $T-Q$  relation as

$$\Lambda_{hom}(u) = -4u(u + \eta)\Lambda_{hom}^{(\frac{1}{2},1)}(u + \frac{\eta}{2})\Lambda_{hom}^{(\frac{1}{2},1)}(u - \frac{\eta}{2}) + 4u(u + \eta)\delta^{(1)}(u + \frac{\eta}{2}), \quad (27)$$

$$\Lambda_{hom}^{(\frac{1}{2},1)}(u) = a^{(1)}(u)\frac{Q(u - \eta)}{Q(u)} + d^{(1)}(u)\frac{Q(u + \eta)}{Q(u)}. \quad (28)$$

136 It should be emphasized that although the non-diagonal boundary parameters  $\{p_{\pm}, \alpha_{\pm}\}$   
 137 except  $\phi_{\pm}$  are included in the above reduced  $T-Q$  relation (28), the  $\Lambda_{hom}(u)$  is not  
 138 the eigenvalue  $\Lambda(u)$  for any finite  $N$  but rather that of the transfer matrix with parallel  
 139 boundary fields of the same strength. In the limit  $N \rightarrow \infty$  it will give, however, the correct  
 140 boundary energy (see the following parts of the paper). From the singularity analysis of  
 141 the reduced  $T-Q$  relation (28), we obtain the following reduced BAEs

$$\frac{\frac{i}{2} - \mu_k p i - \mu_k q i - \mu_k}{\frac{i}{2} + \mu_k p i + \mu_k q i + \mu_k} \left( \frac{i - \mu_k}{i + \mu_k} \right)^{2N} = \prod_{l=1}^M \frac{i - (\mu_k - \mu_l) i - (\mu_k + \mu_l)}{i + (\mu_k - \mu_l) i + (\mu_k + \mu_l)}, \quad k = 1, \dots, M, \quad (29)$$

142 where  $M = 1, \dots, 2N$  and we have put  $\eta = 1$ ,  $\mu_k = -iu_k - \frac{i}{2}$ ,  $p = \frac{p_+}{\sqrt{1 + \alpha_+^2}} - \frac{1}{2}$  and  
 143  $q = -\frac{p_-}{\sqrt{1 + \alpha_-^2}} - \frac{1}{2}$  for convenience. From the  $\Lambda_{hom}(u)$  given by Eq.(27), we obtain the  
 144 reduced energy which is defined as

$$E_{hom} = \partial_u \{\ln \Lambda_{hom}(u)\} \Big|_{u=0} = - \sum_{k=1}^M \frac{4}{\mu_k^2 + 1} + 3N + E_0. \quad (30)$$

<sup>1</sup>If the non-diagonal boundary parameters satisfy the condition  $\alpha_+ = \alpha_- \neq 0$ ,  $|\phi_- - \phi_+| = \pi$  (which corresponds to the antiparallel boundary fields case), the parameter  $c$  in Eq.(22) also becomes zero and the corresponding  $T-Q$  relation naturally degenerates into the conventional diagonal one.

145 Solving the reduced BAEs (29), we could obtain the values of reduced Bethe roots  $\{\mu_k\}$ .  
 146 Substituting the Bethe roots into Eq.(30), we obtain the values of  $E_{hom}$ .

147 Let us focus on the ground state. The reduced ground state energy can be calculated  
 148 by the reduced BAEs (29). It is well-known that the even  $N$  and odd  $N$  give the same  
 149 physical properties in the thermodynamic limit. Thus we set  $N$  as even. At the ground  
 150 state, the number of Bethe roots in the reduced BAEs (29) is  $M = N$ . For simplicity,  
 151 we choose the boundary parameters as  $p > 0$  and  $q \neq 0, -1$ . We should note that at the  
 152 points of  $q = 0, -1$ , the boundary field is divergent due to the present parameterization of  
 153 the Hamiltonian (15). The distribution of reduced Bethe roots at the ground state in the  
 154 thermodynamic limit is shown in Figure 1. We see that the Bethe roots can be divided  
 155 into six different regimes in the  $p - q$  plane.

156 1) In the regime I, where  $p \geq 1/2$ ,  $q < -1$ ,  $-1/2 \leq q < 0$  or  $q \geq 1/2$ , all the Bethe  
 157 roots form 2-strings, i.e.,  $\mu_k = \lambda_k \pm \frac{i}{2} + \mathcal{O}(e^{-\delta N})$ , where  $\lambda_k$  denotes the position of 2-string  
 158 in the real axis,  $\delta$  is a small positive number and  $\mathcal{O}(e^{-\delta N})$  means the finite size correction.

159 2) In the regime II, where  $p < 1/2$ ,  $q < -1$ ,  $-1/2 \leq q < 0$  or  $q \geq 1/2$ , besides  $N - 2$   
 160 2-strings, there are two boundary strings, i.e.,  $pi$  and  $(p - 1)i$ . The boundary strings mean  
 161 the pure imaginary Bethe roots which are related with the boundary parameters  $p$  and  
 162  $q$  [41].

163 3) In the regime III, where  $p \geq 1/2$  and  $0 < q < 1/2$ , besides  $N - 2$  2-strings, there  
 164 are two boundary strings,  $qi$  and  $(q - 1)i$ .

165 4) In the regime IV, where  $0 < p < 1/2$  and  $0 < q < 1/2$ , besides  $N - 4$  2-strings,  
 166 there are four boundary strings,  $pi$ ,  $(p - 1)i$ ,  $qi$  and  $(q - 1)i$ .

167 5) In the regime V, where  $p \geq 1/2$  and  $-1 < q < -1/2$ , besides  $N - 2$  2-strings, only  
 168 the boundary string  $qi$  survives and one real Bethe root  $\lambda_0$  appears which is caused by  
 169 the rearrangement of Fermi sea.

170 6) In the regime VI, where  $0 < p < 1/2$  and  $-1 < q < -1/2$ , besides  $N - 4$  2-strings,  
 171 there are three boundary strings  $qi$ ,  $(q - 1)i$ ,  $pi$  and one real root  $\lambda_0$ .

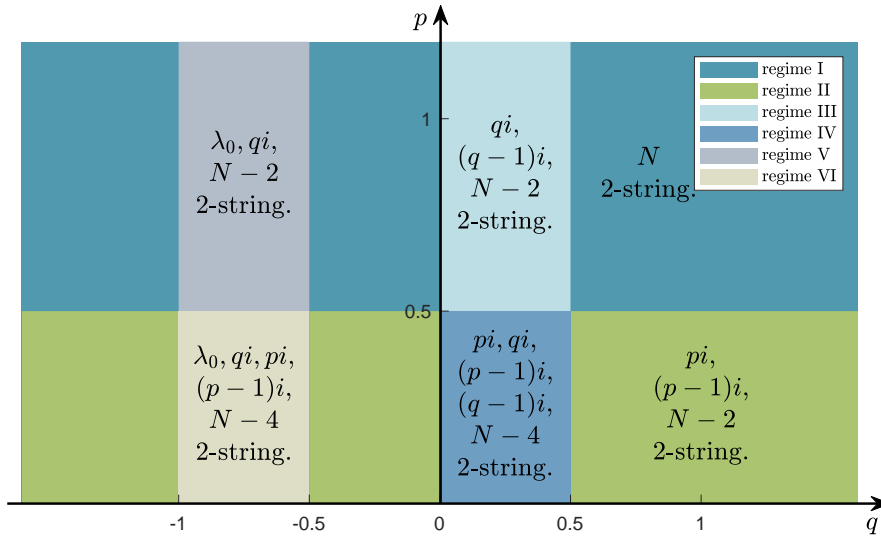


Figure 1: The distribution of reduced Bethe roots at the ground states with different boundary parameters  $p$  and  $q$ .

172 Because the Bethe roots are different in the different regimes of boundary parameters,  
 173 we shall discuss them separately. In the regime I, where all the Bethe roots are the  
 174 2-strings. Substituting the 2-string solutions into the reduced BAEs (29), omitting the

175 exponentially minor corrections and taking the product of all the string solutions, we  
176 readily obtain

$$\begin{aligned} & \frac{i - \lambda_j}{i + \lambda_j} \frac{(p - \frac{1}{2})i - \lambda_j}{(p - \frac{1}{2})i + \lambda_j} \frac{(p + \frac{1}{2})i - \lambda_j}{(p + \frac{1}{2})i + \lambda_j} \frac{(q - \frac{1}{2})i - \lambda_j}{(q - \frac{1}{2})i + \lambda_j} \frac{(q + \frac{1}{2})i - \lambda_j}{(q + \frac{1}{2})i + \lambda_j} \\ & \times \left( \frac{\frac{1}{2}i - \lambda_j}{\frac{1}{2}i + \lambda_j} \frac{\frac{3}{2}i - \lambda_j}{\frac{3}{2}i + \lambda_j} \right)^{2N} = \prod_{l=1}^{M_1} \left[ \frac{i - (\lambda_j - \lambda_l)}{i + (\lambda_j - \lambda_l)} \right]^2 \left[ \frac{i - (\lambda_j + \lambda_l)}{i + (\lambda_j + \lambda_l)} \right]^2 \\ & \times \frac{2i - (\lambda_j - \lambda_l)}{2i + (\lambda_j - \lambda_l)} \frac{2i - (\lambda_j + \lambda_l)}{2i + (\lambda_j + \lambda_l)}, \quad j = 1, \dots, M_1. \end{aligned} \quad (31)$$

177 Taking the logarithm of above Eq.(31), we obtain

$$2\pi I_j = W(\lambda_j; M_1) + \theta_{2p-1}(\lambda_j) + \theta_{2p+1}(\lambda_j) + \theta_{2q-1}(\lambda_j) + \theta_{2q+1}(\lambda_j), \quad j = 1, \dots, M_1, \quad (32)$$

178 where

$$\begin{aligned} W(\lambda_j; M_1) &= \theta_2(\lambda_j) + 2N [\theta_1(\lambda_j) + \theta_3(\lambda_j)] \\ & - \sum_{l=1}^{M_1} [2\theta_2(\lambda_j - \lambda_l) + 2\theta_2(\lambda_j + \lambda_l) + \theta_4(\lambda_j - \lambda_l) + \theta_4(\lambda_j + \lambda_l)], \end{aligned} \quad (33)$$

179  $I_j$  is the quantum number,  $\theta_n(x) = 2 \arctan(2x/n)$  and  $M_1 = N/2$ . The ground state is  
180 characterized by the set of quantum numbers

$$\{I_j\} = \{1, 2, \dots, M_1\}. \quad (34)$$

181 Solving the reduced BAEs (32) and substituting the values of Bethe roots into Eq.(30),  
182 we obtain the reduced ground state energy as

$$E_{hom} = -2 \sum_{j=1}^{M_1} \frac{1}{\lambda_j^2 + \frac{1}{4}} + \frac{3}{\lambda_j^2 + \frac{9}{4}} + 3N + E_0 \equiv G(\lambda_j; M_1). \quad (35)$$

183 Now, we are ready to characterize the contribution of inhomogeneous term in the  $T - Q$   
184 relation (18) at the ground state by the quantity

$$E_{inh} = E_{hom} - E_g, \quad (36)$$

185 where  $E_{hom}$  is the reduced ground state energy given by (35) and  $E_g$  is the actual ground  
186 state energy (25) of the Hamiltonian (15). The ground state energy  $E_g$  can be obtained  
187 by two methods. One is solving the inhomogeneous BAEs (24) directly and the other is  
188 density matrix renormalization group (DMRG) [42–44]. We have checked that the ground  
189 state energy  $E$  obtained by these two methods are the same.

190 In Figure 2(a), we give the values of  $E_{inh}$  versus the system size  $N$  in the regime I. The  
191 red circles are the data calculated from Eq.(36) and the blue solid line is the fitted curve.  
192 From the fitted curve, we find that  $E_{inh}$  and  $N$  satisfy the power law relation  $E_{inh} = \gamma N^\beta$ .  
193 Due to the fact that  $\beta < 0$ , the value of  $E_{inh}$  tends to zero when the system size  $N$  tends  
194 to infinity. Therefore, in the thermodynamic limit, the inhomogeneous term in the  $T - Q$   
195 relation (18) can be neglected at the ground state and  $E_{hom} = E_g$ . The inset shows the  
196 distribution of Bethe roots with  $N = 10$ .

197 In the regime II, substituting the  $N - 2$  2-strings, two boundary strings  $\mu_{M-1} = pi$   
198 and  $\mu_M = (p - 1)i$  into the reduced BAEs (29) and taking the logarithm, we have

$$\begin{aligned} 2\pi I_j &= W(\lambda_j; M_2) + \theta_{2q-1}(\lambda_j) + \theta_{2q+1}(\lambda_j) - \theta_{1-2p}(\lambda_j) - \theta_{2p+1}(\lambda_j) \\ & - \theta_{3+2p}(\lambda_j) - \theta_{5-2p}(\lambda_j) - 2\theta_{3-2p}(\lambda_j), \quad j = 1, 2, \dots, M_2, \end{aligned} \quad (37)$$



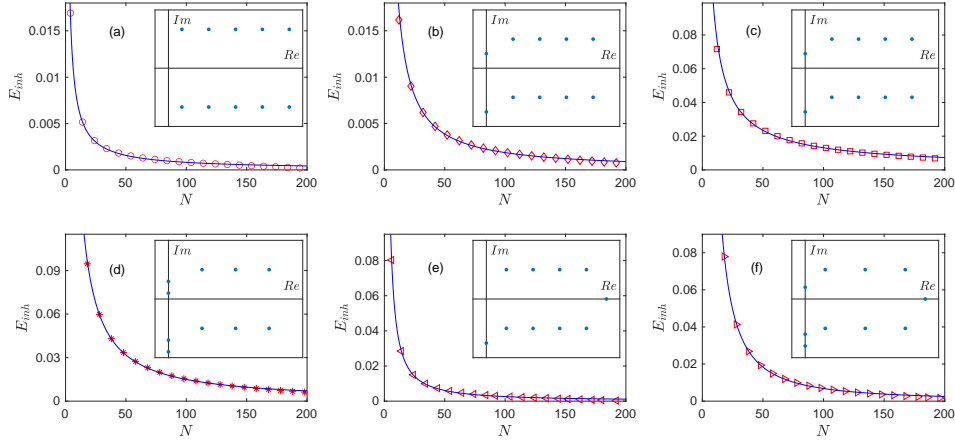


Figure 2: The values of  $E_{inh}$  versus the system size  $N$ . The data can be fitted as  $E_{inh} = \gamma N^\beta$ . Due to the fact  $\beta < 0$ , when the size of system  $N \rightarrow \infty$ , the contribution of the inhomogeneous term tends to zero. Here (a)  $p = 1.1370, q = -1.0821, \gamma = 0.06203$  and  $\beta = -0.9407$  in regime I; (b)  $p = 0.3263, q = -1.8931, \gamma = 0.2371$  and  $\beta = -1.052$  in regime II; (c)  $p = 0.2428, q = 2.3735, \gamma = 0.6236$  and  $\beta = -0.8384$  in regime III; (d)  $p = 0.4453, q = 0.3789, \gamma = 2.234$  and  $\beta = -1.087$  in regime IV; (e)  $p = 0.8410, q = -0.6990, \gamma = 0.715$  and  $\beta = -1.219$  in regime V; (f)  $p = 0.3971, q = -0.7985, \gamma = 4.912$  and  $\beta = -1.429$  in regime VI. The insets show the distribution of Bethe roots with  $N = 10$ .

199 where  $W(\lambda_j; M_2)$  is given by Eq.(33) with the replacing of  $M_1$  by  $M_2$ ,  $M_2 = N/2 - 1$  and  
 200 the quantum numbers are

$$\{I_j\} = \{1, 2, \dots, M_2\}. \quad (38)$$

201 The corresponding reduced ground state energy reads

$$E_{hom} = G(\lambda_j; M_2) + \frac{4}{p^2 - 1} + \frac{4}{(p - 1)^2 - 1}, \quad (39)$$

202 where  $G(\lambda_j; M_2)$  is given by Eq.(35) with the replacing of  $M_1$  by  $M_2$ .

203 The procedure in the regime III is similar and reduced ground state energy is

$$E_{hom} = G(\lambda_j; M_2) + \frac{4}{q^2 - 1} + \frac{4}{(q - 1)^2 - 1}. \quad (40)$$

204 In the regime IV, substituting the string solutions including four boundary strings into  
 205 Eq.(29) and taking the logarithm, we have

$$2\pi I_j = W(\lambda_j; M_3) - \theta_{1-2p}(\lambda_j) - \theta_{2p+1}(\lambda_j) - \theta_{3+2p}(\lambda_j) - \theta_{5-2p}(\lambda_j) - 2\theta_{3-2p}(\lambda_j) \\ - \theta_{1-2q}(\lambda_j) - \theta_{2q+1}(\lambda_j) - \theta_{3+2q}(\lambda_j) - \theta_{5-2q}(\lambda_j) - 2\theta_{3-2q}(\lambda_j), \quad j = 1, 2, \dots, M_3, \quad (41)$$

206 where  $M_3 = N/2 - 2$  and the quantum numbers are

$$\{I_j\} = \{1, 2, \dots, M_3\}. \quad (42)$$

207 The reduced ground state energy is

$$E_{hom} = G(\lambda_j; M_3) + \frac{4}{p^2 - 1} + \frac{4}{(p - 1)^2 - 1} + \frac{4}{q^2 - 1} + \frac{4}{(q - 1)^2 - 1}. \quad (43)$$

208 In the regime V, the logarithm form of the BAEs are

$$2\pi I_j = W(\lambda_j; M_4) + \theta_{2p-1}(\lambda_j) + \theta_{2p+1}(\lambda_j) - \theta_{3+2q}(\lambda_j) - \theta_{3-2q}(\lambda_j) - 2\theta_{1-2q}(\lambda_j) \\ - \theta_1(\lambda_j - \lambda_0) - \theta_1(\lambda_j + \lambda_0) - \theta_3(\lambda_j - \lambda_0) - \theta_3(\lambda_j + \lambda_0), \quad j = 1, 2, \dots, M_4, \quad (44)$$

209 where  $M_4 = N/2 - 1$  and the quantum numbers are  $\{I_j\} = \{1, 2, \dots, M_4\}$ . We shall  
210 note that the quantum number corresponding to the real Bethe root  $\lambda_0$  is 0. The reduced  
211 ground state energy reads

$$E_{hom} = G(\lambda_j; M_4) + \frac{4}{q^2 - 1} - \frac{4}{\lambda_0^2 + 1}. \quad (45)$$

212 Similarly, the reduced ground state energy in the regime VI is

$$E_{hom} = G(\lambda_j; M_5) + \frac{4}{p^2 - 1} + \frac{4}{(p-1)^2 - 1} + \frac{4}{q^2 - 1} - \frac{4}{\lambda_0^2 + 1}, \quad (46)$$

213 where  $M_5 = N/2 - 2$ .

214 Substituting the reduced ground state energies in different regimes into Eq.(36), we  
215 obtain the values of  $E_{inh}$ , which are shown in Figures 2(b)-(f). According to the finite  
216 size scaling analysis, we see that the inhomogeneous term indeed can be neglected at  
217 the ground state in the thermodynamic limit. Due to the existence of inhomogeneous  
218 term in BAEs.(24), it is hard to analytically calculate the finite size correction for the  
219 present off-diagonal boundary reflections along the lines given in references [45–47]. We  
220 shall note that the diagonal case is tractable along the lines of A. Klumper et al. [46]  
221 and J. Suzuki [47]. The finite size correction  $\mathcal{O}(N^1)$  for the bulk and  $\mathcal{O}(N^0)$  term for the  
222 boundaries to the ground state energy do not depend on the orientations of the boundary  
223 fields. The true finite size correction terms are probably of order  $\mathcal{O}(N^{-1})$  and are out of  
224 reach for the inhomogeneous/off-diagonal case. Due to higher order correction terms, the  
225 effective exponents  $\beta$  determined in the paper differ from  $-1$ .

## 226 4 Boundary energy

227 In this section, we study the physical effects induced by the boundary magnetic fields and  
228 compute the boundary energy in the thermodynamic limit [18, 33, 48–50]. As mentioned  
229 above, we can calculate the boundary energy based on the string hypothesis of the reduced  
230 BAEs (29), then the numerical analysis allows us to obtain the boundary energy induced  
231 by the boundary fields.

232 The values of Bethe roots at the ground state are determined by the quantum numbers  
233  $\{I_j\}$ . Thus we define the counting function as  $Z(\lambda_j) = \frac{I_j}{2N}$ . In the thermodynamic limit,  
234 the Bethe roots can take the continuous values and we have  $Z(\lambda_j) \rightarrow Z(u)$ . Taking the  
235 derivative of  $Z(u)$  with respect to  $u$ , we obtain

$$\frac{dZ(u)}{du} = \rho(u) + \rho^h(u), \quad (47)$$

236 where  $\rho(u)$  is the density of Bethe roots and  $\rho^h(u)$  means the density of holes in the real  
237 axis. Again, the distribution of Bethe roots in different regimes are different. We should  
238 consider them separately. In regime I, from the BAEs (32) with the constraint  $N \rightarrow \infty$

239 and using Eq.(47), we obtain the density of states as

$$\begin{aligned}\rho(u) &= \frac{dZ(u)}{du} - \frac{1}{2N}[\rho^h(u) + \delta(u)] \\ &= a_1(u) + a_3(u) + \frac{1}{2N}[a_2(u) + a_{2p-1}(u) + a_{2p+1}(u) + a_{2q-1}(u) + a_{2q+1}(u)] \\ &\quad - \frac{1}{2N}[\rho^h(u) + \delta(u)] - \int_{-\infty}^{\infty} [2a_2(u-v) + a_4(u+v)]\rho(v)dv,\end{aligned}\quad (48)$$

240 where

$$\begin{aligned}a_n(u) &= \frac{1}{2\pi} \frac{n}{u^2 + \frac{n^2}{4}}, \\ \rho^h(u) &= \frac{1}{2N} \left[ \delta(u - \lambda_1^h) + \delta(u + \lambda_1^h) + \delta(u - \lambda_2^h) + \delta(u + \lambda_2^h) \right].\end{aligned}\quad (49)$$

241 We should note that the presence of delta-function in Eq.(48) is due to that  $\lambda_j = 0$  is the  
242 solution of BAEs (32), which should be excluded because it makes the wavefunction vanish  
243 identically [51]. Note that two holes  $\lambda_1^h$  and  $\lambda_2^h$  are introduced to ensure the magnetization  
244 satisfying

$$\frac{M}{N} = 2 \int_{-\infty}^{\infty} \rho(u)du = 1. \quad (50)$$

245 Thus the holes are located at the infinities in the real axis.

246 With the help of Fourier transformation

$$\tilde{F}(\omega) = \int_{-\infty}^{\infty} e^{i\omega u} F(u)du, \quad F(u) = \frac{1}{2\pi} \int_{-\infty}^{\infty} e^{-i\omega u} \tilde{F}(\omega)d\omega, \quad (51)$$

247 from Eq.(48), we obtain

$$\tilde{\rho}(\omega) = \tilde{\rho}_g(\omega) + \tilde{\rho}_0(\omega) + \tilde{\rho}_1(\omega) + \tilde{\rho}_2(\omega), \quad (52)$$

248 where

$$\begin{aligned}\tilde{a}_n(\omega) &= e^{-\frac{n|\omega|}{2}}, \quad \tilde{\rho}_g(\omega) = \frac{\tilde{a}_1(\omega) + \tilde{a}_3(\omega)}{1 + 2\tilde{a}_2(\omega) + \tilde{a}_4(\omega)}, \quad \tilde{\rho}_0(\omega) = \frac{1}{2N} \frac{\tilde{a}_2(\omega) - 1}{1 + 2\tilde{a}_2(\omega) + \tilde{a}_4(\omega)}, \\ \tilde{\rho}_1(\omega) &= \begin{cases} \frac{1}{2N} \frac{\tilde{a}_{2p+1}(\omega) - \tilde{a}_{1-2p}(\omega)}{1 + 2\tilde{a}_2(\omega) + \tilde{a}_4(\omega)}, & 0 < p < \frac{1}{2}, \\ \frac{1}{2N} \frac{\tilde{a}_{2p-1}(\omega) + \tilde{a}_{2p+1}(\omega)}{1 + 2\tilde{a}_2(\omega) + \tilde{a}_4(\omega)}, & p > \frac{1}{2}, \end{cases} \\ \tilde{\rho}_2(\omega) &= \begin{cases} -\frac{1}{2N} \frac{\tilde{a}_{1-2q}(\omega) + \tilde{a}_{-2q-1}(\omega)}{1 + 2\tilde{a}_2(\omega) + \tilde{a}_4(\omega)}, & q < -\frac{1}{2}, \\ \frac{1}{2N} \frac{\tilde{a}_{2q+1}(\omega) - \tilde{a}_{1-2q}(\omega)}{1 + 2\tilde{a}_2(\omega) + \tilde{a}_4(\omega)}, & -\frac{1}{2} < q < \frac{1}{2}, \\ \frac{1}{2N} \frac{\tilde{a}_{2q-1}(\omega) + \tilde{a}_{2q+1}(\omega)}{1 + 2\tilde{a}_2(\omega) + \tilde{a}_4(\omega)}, & q > \frac{1}{2}. \end{cases}\end{aligned}\quad (53)$$

249 Then the ground state energy (35) can be expressed as

$$E_g = -2N \int_{-\infty}^{\infty} [\tilde{a}_1(\omega) + \tilde{a}_3(\omega)] \tilde{\rho}(\omega)d\omega + 3N + E_0 = Ne_g + e_s, \quad (54)$$

250 where  $e_g$  is the ground state energy density which is the same as that for the periodic  
251 boundary condition [9],

$$e_g = -2 \int_{-\infty}^{\infty} \frac{[\tilde{a}_1(\omega) + \tilde{a}_3(\omega)]^2}{1 + 2\tilde{a}_2(\omega) + \tilde{a}_4(\omega)} d\omega + 3 = -1, \quad (55)$$

252 and  $e_s$  is boundary energy

$$e_s = 2\pi - 4 + E_0 + e_1 + e_2, \quad (56)$$

$$e_1 = \begin{cases} - \int_{-\infty}^{\infty} [\tilde{a}_1(\omega) + \tilde{a}_3(\omega)] \frac{\tilde{a}_{2p-1}(\omega) + \tilde{a}_{2p+1}(\omega)}{1 + 2\tilde{a}_2(\omega) + \tilde{a}_4(\omega)} d\omega, & p > \frac{1}{2}, \\ - \int_{-\infty}^{\infty} [\tilde{a}_1(\omega) + \tilde{a}_3(\omega)] \frac{\tilde{a}_{2p+1}(\omega) - \tilde{a}_{1-2p}(\omega)}{1 + 2\tilde{a}_2(\omega) + \tilde{a}_4(\omega)} d\omega, & 0 < p < \frac{1}{2}, \end{cases} \quad (57)$$

$$e_2 = \begin{cases} \int_{-\infty}^{\infty} [\tilde{a}_1(\omega) + \tilde{a}_3(\omega)] \frac{\tilde{a}_{-2q-1}(\omega) + \tilde{a}_{1-2q}(\omega)}{1 + 2\tilde{a}_2(\omega) + \tilde{a}_4(\omega)} d\omega, & q < -\frac{1}{2}, \\ - \int_{-\infty}^{\infty} [\tilde{a}_1(\omega) + \tilde{a}_3(\omega)] \frac{\tilde{a}_{2q+1}(\omega) - \tilde{a}_{1-2q}(\omega)}{1 + 2\tilde{a}_2(\omega) + \tilde{a}_4(\omega)} d\omega, & -\frac{1}{2} < q < \frac{1}{2}, \\ - \int_{-\infty}^{\infty} [\tilde{a}_1(\omega) + \tilde{a}_3(\omega)] \frac{\tilde{a}_{2q-1}(\omega) + \tilde{a}_{2q+1}(\omega)}{1 + 2\tilde{a}_2(\omega) + \tilde{a}_4(\omega)} d\omega, & q > \frac{1}{2}. \end{cases} \quad (58)$$

253 Now, we consider the regime II. The boundary strings  $pi$  and  $(p-1)i$  can give rise to  
254 the rearrangement of Bethe roots in Fermi sea. From BAEs (37), the density of states  
255  $\rho_p(u)$  is obtained as

$$\begin{aligned} \rho_p(u) &= a_1(u) + a_3(u) - \int_{-\infty}^{\infty} [2a_2(u-v) + a_4(u-v)] \rho_p(v) dv \\ &+ \frac{1}{2N} [a_2(u) - a_{1-2p}(u) + a_{2p+1}(u) + a_{2q-1}(u) + a_{2q+1}(u) - \delta(u)] \\ &- \frac{1}{2N} [2a_{2p+1}(u) + 2a_{3-2p}(u) + a_{3+2p}(u) + a_{5-2p}(u)]. \end{aligned} \quad (59)$$

256 In order to show that there exist the stable boundary bound states, we denote the deviation  
257 between  $\rho_p(u)$  and  $\rho(u)$  as  $\delta\rho_p(u) = \rho_p(u) - \rho(u)$ . From Eqs.(48) and (59), we obtain

$$\begin{aligned} \delta\rho_p(u) &= -\frac{1}{2N} [2a_{2p+1}(u) + 2a_{3-2p}(u) + a_{3+2p}(u) + a_{5-2p}(u)] \\ &- \int_{-\infty}^{\infty} [2a_2(u-v) + a_4(u-v)] \delta\rho_p(v) dv. \end{aligned} \quad (60)$$

258 Taking the Fourier transformation of Eq.(60), we have

$$\delta\tilde{\rho}_p(\omega) = -\frac{1}{2N} \frac{2\tilde{a}_{2p+1}(\omega) + 2\tilde{a}_{3-2p}(\omega) + \tilde{a}_{3+2p}(\omega) + \tilde{a}_{5-2p}(\omega)}{1 + 2\tilde{a}_2(\omega) + \tilde{a}_4(\omega)}. \quad (61)$$

259 The energy deviation  $\delta e_p$  induced by the density deviation  $\delta\tilde{\rho}_p(\omega)$  can be expressed as

$$\begin{aligned} \delta e_p &= -2N \int_{-\infty}^{\infty} [\tilde{a}_1(\omega) + \tilde{a}_3(\omega)] \delta\tilde{\rho}_p(\omega) d\omega + \frac{4}{p^2 - 1} + \frac{4}{(p-1)^2 - 1} \\ &= 2 \int_0^{\infty} \frac{e^{-(p+1)\omega}}{1 + e^{-\omega}} d\omega + 2 \int_0^{\infty} \frac{e^{-(2-p)\omega}}{1 + e^{-\omega}} d\omega + \frac{2}{p(p-1)} < 0. \end{aligned} \quad (62)$$

260 Because of  $\delta e_p < 0$ , the boundary strings are stable. Then we conclude that in this regime,  
261 the ground state energy of the system is  $E_g = Ne_g + e_s + \delta e_p$ . The total spin along the  
262  $z$ -direction is  $S_z = -\int_{-\infty}^{\infty} \delta\rho_p(u) = 3/4$ .

263 Next, we consider the regime III where boundary strings are  $qi$  and  $(q-1)i$ . Similarly,  
264 the energy deviation  $\delta e_q$  between this case and that without boundary strings is

$$\begin{aligned}\delta e_q &= -2N \int_{-\infty}^{\infty} [\tilde{a}_1(\omega) + \tilde{a}_3(\omega)] \delta \tilde{\rho}_q(\omega) d\omega + \frac{4}{p^2-1} + \frac{4}{(p-1)^2-1} \\ &= 2 \int_0^{\infty} \frac{e^{-(q+1)\omega}}{1+e^{-\omega}} d\omega + 2 \int_0^{\infty} \frac{e^{-(2-q)\omega}}{1+e^{-\omega}} d\omega + \frac{2}{q(q-1)} < 0.\end{aligned}\quad (63)$$

265 Due to the fact  $\delta e_q < 0$ , we know that the ground state energy is  $E_g = Ne_g + e_s + \delta e_q$   
266 and the total spin along the  $z$ -direction is  $S_z = 3/4$ .

267 In the regime IV, we combine the results (62) and (63), and conclude that the ground  
268 state energy with boundary strings  $pi$ ,  $(p-1)i$ ,  $qi$  and  $(q-1)i$  equals to  $E_g = Ne_g + e_s +$   
269  $\delta e_p + \delta e_q$ .

270 Then, we consider the regime V where besides the  $N-2$  2-string, there also exist one  
271 real Bethe root  $\lambda_0$  and a single boundary string  $qi$ . Taking the thermodynamic limit of  
272 BAEs (44), we obtain the density of states  $\rho_{\lambda q}(u)$  as

$$\begin{aligned}\rho_{\lambda q}(u) &= a_1(u) + a_3(u) - \frac{1}{2N} [a_1(u - \lambda_0) + a_1(u + \lambda_0) + a_3(u - \lambda_0) + a_3(u + \lambda_0)] \\ &\quad + \frac{1}{2N} [a_2(u) + a_{2p-1}(u) + a_{2p+1}(u) - 2a_{1-2q}(u) - a_{3+2q}(u) - a_{3-2q}(u) - \delta(u)] \\ &\quad - \int_{-\infty}^{\infty} [2a_2(u-v) + a_4(u-v)] \rho_{\lambda q}(v) dv.\end{aligned}\quad (64)$$

273 Denote the deviation between  $\rho_{\lambda q}(u)$  and  $\rho(u)$  as  $\delta \rho_{\lambda q}(u) = \rho_{\lambda q}(u) - \rho(u)$ . From Eqs.(48)  
274 and (64), the value of  $\delta \rho_{\lambda q}(u)$  reads

$$\begin{aligned}\delta \rho_{\lambda q}(u) &= -\frac{1}{2N} [a_1(u - \lambda_0) + a_1(u + \lambda_0) + a_3(u - \lambda_0) + a_3(u + \lambda_0)] \\ &\quad - \frac{1}{2N} [a_{1-2q}(u) - a_{-1-2q}(u) + a_{3-2q}(u) + a_{3+2q}(u)] \\ &\quad - \int_{-\infty}^{\infty} [2a_2(u) + a_4(u)] \delta \rho_{\lambda q}(v) dv.\end{aligned}\quad (65)$$

275 Taking the Fourier transformation of Eq.(65), we obtain

$$\delta \tilde{\rho}_{\lambda q}(\omega) = -\frac{1}{2N} \frac{\tilde{a}_{1-2q}(\omega) - \tilde{a}_{-1-2q}(\omega) + \tilde{a}_{3-2q}(\omega) + \tilde{a}_{3+2q}(\omega)}{1 + 2\tilde{a}_2(\omega) + \tilde{a}_4(\omega)} - \frac{1}{N} \frac{\cos(\omega \lambda_0) e^{-\frac{|\omega|}{2}}}{1 + e^{-|\omega|}}. \quad (66)$$

276 Then the deviation of energy  $\delta e_{\lambda q}$  induced by  $\delta \tilde{\rho}_{\lambda q}(\omega)$  is given by

$$\begin{aligned}\delta e_{\lambda q} &= -2N \int_{-\infty}^{\infty} [\tilde{a}_1(\omega) + \tilde{a}_3(\omega)] \delta \tilde{\rho}_{\lambda q}(\omega) d\omega + \frac{4}{q^2-1} - \frac{4}{\lambda_0^2+1} \\ &= 2 \int_0^{\infty} \frac{e^{-(2+q)\omega}}{1+e^{-\omega}} d\omega - 2 \int_0^{\infty} \frac{e^{q\omega}}{1+e^{-\omega}} d\omega - \frac{2}{1+q} < 0.\end{aligned}\quad (67)$$

277 Due to  $\delta e_{\lambda q} < 0$ , the ground state energy in this regime is  $E_g = Ne_g + e_s + \delta e_{\lambda q}$  and the  
278 total spin along the  $z$ -direction is  $S_z = 3/4$ .

279 In the regime VI, there are  $N-4$  2-string, one real Bethe root  $\lambda_0$  and three boundary  
280 strings  $qi$ ,  $pi$  and  $(p-1)i$ . Combining the results (62) and (67), we obtain the ground  
281 state energy as  $E_g = Ne_g + e_s + \delta e_p + \delta e_{\lambda q}$ .

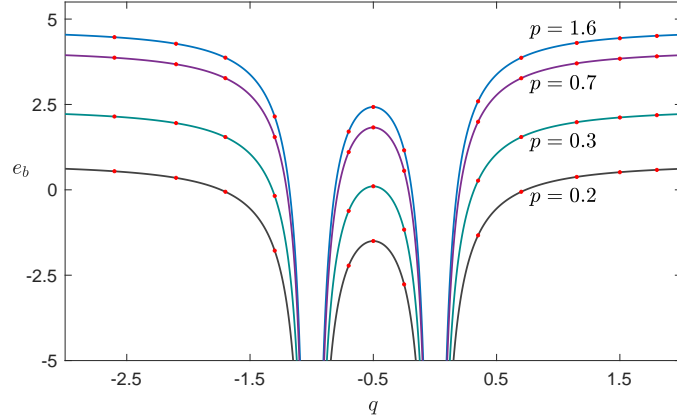


Figure 3: Boundary energies versus the boundary parameters  $p$  and  $q$ . The coloured curves are those calculated from the analytical expression (68) and the red points are those obtained from the DMRG. The values of  $q$  at the red points are  $q = -2.6, -2.1, -1.7, -1.3, -0.7, -0.5, -0.25, 0.35, 0.7, 1.15, 1.5$  and  $1.8$ .

282 After tedious calculation, we find that the boundary energy  $e_b$  for all the regimes in  
 283 Figure 1 can be expressed as

$$e_b = \begin{cases} -\frac{2}{p} - \frac{2}{q} + 2\pi - 4 + E_0, & p > 0, q > 0 \text{ or } q < -1, \\ -\frac{2}{p} - \frac{2}{q} + 2\pi \csc(q\pi) + 2\pi - 4 + E_0, & p > 0, -1 < q < 0. \end{cases} \quad (68)$$

The boundary energies with different boundary parameters  $p$  and  $q$  calculated by the

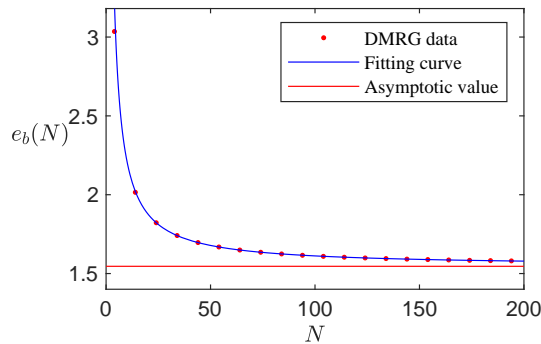


Figure 4: The values of  $e_b(N)$  versus the system size  $N$ . The red points are the DMRG results with  $N = 4, 14, 24, \dots, 194$ . The data can be fitted as  $e_b(N) = aN^\beta + c$ , where  $a = 6.7308$ ,  $\beta = -1.0046$  and  $c = 1.5460$ . Due to the fact  $\beta < 0$ , when the system size  $N \rightarrow \infty$ , the values of  $e_b(N)$  tend to the asymptotic value  $c$ , which gives the boundary energy. Here the boundary parameters are chosen as  $p = 0.3$  and  $q = 0.7$ .

284 analytical expression (68) are shown in Figure 3 as the coloured solid lines. Now we check  
 285 the correction of expression (68) by the numerical simulation with DMRG algorithm, and  
 286 the results are shown in Figure 3 as the red points. Specifically, for each red point that  
 287 is for the given boundary parameters  $p$  and  $q$ , we first calculate the ground state energy  
 288  $E_g(N)$  of the model (15) with the system size  $N = 10(n - 1) + 4$  and  $n = 1, 2, \dots, 20$  by  
 289

290 using the DMRG method. Then we consider the physical quantity

$$e_b(N) = E_g(N) - Ne_g, \quad (69)$$

291 where  $e_g = -1$  is the ground state energy density of the system with periodic boundary  
 292 conditions. Obviously, in the thermodynamic limit, the value of  $e_b(N \rightarrow \infty)$  gives the  
 293 boundary energy. In Figure 4, we show how to extrapolate the boundary energy, where  
 294 the red points are the numerical values of  $e_b(N)$ , the blue solid line is the fitting curve,  
 295 and the red solid line is the extrapolated boundary energy. From the fitting curve, we  
 296 find that the  $e_b(N)$  and  $N$  satisfy the power law relation, i.e.,  $e_b(N) = aN^\beta + c$ . Due  
 297 to the fact that  $\beta < 0$ , the values of  $e_b(N)$  tend to the asymptotic value  $c$  when the  
 298 system size  $N$  tends to infinity. Therefore, in the thermodynamic limit, the asymptotic  
 299 value  $c$  determines the boundary energy. Repeating this process, we obtain the boundary  
 300 energies with other values of boundary parameters. As shown in Figure 3, the analytical  
 301 and numerical results agree with each other very well.

## 302 5 Conclusions

303 In this paper, we have studied the thermodynamic limit and boundary energy of the  
 304 isotropic spin-1 Heisenberg chain with generic integrable non-diagonal boundary reflec-  
 305 tions. It is shown that the contribution of the inhomogeneous term in the associated  
 306  $T - Q$  relation (18) (due to the unparallel boundary fields) at the ground state can be  
 307 neglected when the system size  $N$  tend to infinity. Then we calculate the analytical expres-  
 308 sion of boundary energy (68) in the thermodynamic limit based on the string hypothesis  
 309 of the reduced BAEs (29).

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