1 2 3	Non-collinear magnetic structures in the magnetoelectric Swedenborgite CaBaFe <sub>4</sub> O <sub>7</sub> derived by powder and single-crystal neutron diffraction
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# 14 Abstract

We have investigated the magnetic structures of the Swedenborgite compound 15 CaBaFe<sub>4</sub>O<sub>7</sub> using magnetic susceptibility and neutron diffraction experiments 16 on powder and single-crystal samples. Below  $T_{\rm N1} = 274$  K the system orders 17 in a ferrimagnetic structure with spins along the c axis and an additional 18 antiferromagnetic component within the kagome plane which obviously can-19 not satisfy all exchange interactions. Competing single-ion anisotropy and 20 exchange interactions lead to a transition into a multi-q conical structure at 21  $T_{\rm N2} = 202$  K. The derivation of the complex ordering scheme below  $T_{\rm N2}$  is an 22 important step towards the understanding of the magnetoelectric effect under 23 magnetic fields in this polar ferrimagnet. 24

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### <sup>39</sup> 1 Introduction

Geometrical frustration [1, 2] occurs in lattices of vertex-sharing triangles, e.g. kagome 40 layers [3,4] or pyrochlore nets [5,6], in which the antiferromagnetic exchange interactions 41 of nearest neighbours cannot be satisifed. Crystal structures with a high degree of frustra-42 tion, e.g. a network of equilateral triangles, may not reveal a long-range ordered magnetic 43 ground state even down to very low temperatures. However, small distortions from the 44 high-symmetry crystal structures allow the spin system to order in interesting and exotic 45 ways. 46 The magnetic Swedenborgites, with its first member reported as  $YBaCo_4O_7$  in 2002 [7], 47 are structural homologues to the hexagonal mineral  $SbNaBe_4O_7$  [8,9], and are extensively 48 studied due to their interesting crystal structures and diverse magnetic properties. The 49 first observations from the magnetic lattice of hexagonal  $YBaCo_4O_7$ , i.e. diffuse neutron 50 scattering on powder, suggested only short range spin order [7]. By diluting its magnetic 51 lattice with a non-magnetic ion in YBa( $Co_{4-x}Zn_x$ )O<sub>7</sub> (x = 0-3) the properties gradu-52 ally change into a spin-glass [7, 10]. In the orthorhombically distorted Swedenborgite 53  $YbBaCo_4O_7$ , a long-range order was indicated with sharp Bragg reflections in neutron 54 diffraction experiments, however, only the propagation vectors could be identified and not 55 the full spin structure [11]. Simultaneously, it became obvious that the oxygen stoichiom-56 etry was important for the resulting symmetry of the atomic lattice in the Swedenbor-57 gites [12]. Additionally, the single-ion anisotropy (magnetocrystalline) effects apparently 58 affect the symmetry and magnetism. In CaBaCo<sub>4</sub>O<sub>7</sub>, having a  $Co^{2+}/Co^{3+}$  ratio of 1, the 59 atomic lattice is orthorhomic and a ferrimagnetic-like ground state was reported [13, 14]. 60 In the subject of this study -  $CaBaFe_4O_7$ , with a similar charge composition - the Swe-61 denborgite lattice is also orthorhombically distorted and a long-range spin order appears 62 already close to room temperature [15, 16]. Magnetocurrent measurements revealed the 63 magnetoelectric effect under the application of an external magnetic field for which a non-64 collinear and non-coplanar spin order was claimed to be responsible [22], therefore making 65 the link to the highly interesting material class of multiferroics [23, 24]. However, no neu-66 tron diffraction study devoted to the details of the involved magnetic structure exists in 67

the literature, which is the main motivation for the investigation presented below.
The actual, magnetic ground states in the Swedenborgite systems strongly depend on the

type of structural distortion away from the hexagonal symmetry, which releases the geo-70 metric frustration to some degree and allows for a magnetic state with several, similarly 71 strong, but competing spin interactions. Also, with the uneven distribution of electrons 72 among the d orbitals in tetrahedral crystal fields in the Swedenborgite structure, contri-73 butions from Jahn-Teller-like single-ion anisotropy would be valid for  $d^6$  ions like Fe<sup>2+</sup> 74 and  $Co^{3+}$ , of which the former is present here. However, with the present data it will 75 not be possible to exclusively relate the magnetic properties with the local electric phe-76 nomena, but those investigations could be very important for the future understanding of 77 spin-ordering phenomena in Swedenborgites. 78

As the involved spins,  $Fe^{2+}$  ( $d^6$ ) and  $Fe^{3+}$  ( $d^5$ ), are close to symmetrical in tetrahe-79 dral crystal fields, only minor magnetocrystalline anisotropy is expected. According to 80 Mermin-Wagner [17], this means that a Néel order is only possible with significant mag-81 netic coupling between the kagome layers. Therefore, the triangular layer of magnetic ions 82 between the kagome layers, see Figure 1(a) for the case of CaBaFe<sub>4</sub>O<sub>7</sub>, plays a decisive 83 role for the appearance of long-range order in these systems as it can mediate the spin-spin 84 interaction leading to a 3D spin system. By viewing the crystal structure along the c axis 85 [Figure 1(b)] it can be seen that each kagome layer reveals two different types of triangles: 86 the first type of triangle  $(T_1)$  is situated around the vertical connection between two Fe 87



Figure 1: (a) Visualization of the crystal structure of CaBaFe<sub>4</sub>O<sub>7</sub> consisting of triangular Fe sites (light blue) and hexagonal Fe sites (dark blue), where the latter form the kagome planes within the *a-b* plane. (b) View along the *c* axis emphasizing the close-to hexagonal symmetry and the two different types of triangles within the kagome planes as described in the text.

spins of the triangular plane, while the second type of triangles  $(T_2)$  surrounds either a

- <sup>89</sup> Ca or Ba cation.
- <sup>90</sup> In the present study, neutron diffraction experiments on powder and single-crystal samples
- <sup>91</sup> reveal the magnetic structures of the CaBaFe<sub>4</sub>O<sub>7</sub> compound which offer an interesting in-
- <sup>92</sup> sight into the exchange couplings between the planes and especially within the two different
- <sup>93</sup> types of triangles of the kagome planes.

# 94 2 Experimental

The synthesis of powders and growth of single crystalline  $CaBaFe_4O_7$  is described in detail elsewhere [16]. In short, single crystals (> 1 cm) were grown in an optical floating-zone furnace. Pieces of the single crystal were ground into powder to assure that all data, presented here, correspond to the same sample.

The magnetic susceptibility measurements were done with a vibrating sample magnetome-99 ter (VSM, 40 Hz, 2mm) in a physical property measurement system (PPMS, Quantum 100 Design) by cooling under an applied magnetic field of  $\mu_0 H = 1$  T. All susceptibility data 101 shown here were taken from [16]. Powder neutron diffraction data was obtained at SPODI 102 (FRM II, Munich, Germany) [18], using a constant wavelength of 2.537 Å. About 20 103 grams of sample powder was placed in a sample holder of vanadium and the cryostat 104 walls were all of aluminum. Helium was used as cooling agent in a top-loading closed-105 cycle refrigerator from Vericold. Diffraction patterns were recorded at 15 K and 300 K 106 as well as in 15 K steps between 105 K and 270 K. The neutron single-crystal diffraction 107 experiment was carried out at the D10 diffractometer (ILL, Grenoble) in the four-circle 108 geometry. A single-crystal specimen of  $3x3.5x4 \text{ mm}^3$  (along the a, b and c axes) was used. 109 The nuclear structure was investigated using two different wavelengths, one being  $\lambda_1 =$ 110 2.36 Å employed from the (002) reflection of a HOPG monochromator and the other  $\lambda_2$ 111 = 1.26 Å from the (200) reflection of a Cu monochromator. All integrated intensities 112 were corrected for absorption applying the transmission factor integral  $\exp[-\mu(\tau_{in} + \tau_{out})]$ 113 by using MAG2POL [19] ( $\tau_{in}$  and  $\tau_{out}$  represent the path lengths of the beam inside the 114 crystal before and after the diffraction process,  $\mu$  is the linear absorption coefficient, which 115 is 0.0056 mm<sup>-1</sup> for CaBaFe<sub>4</sub>O<sub>7</sub> at  $\lambda_1$  and 0.0096 mm<sup>-1</sup> at  $\lambda_2$ , respectively). 116

<sup>117</sup> The powder diffraction data were analyzed using the FULLPROF [20] package, while all <sup>118</sup> single-crystal diffraction data were treated with MAG2POL [19].

### **119 3 Results**

#### <sup>120</sup> 3.1 Single-crystal measurements

#### 121 3.1.1 Nuclear structure

We have investigated the nuclear structure at RT by collecting 722 and 119 symmetryinequivalent reflections (1541 and 996 unique reflections) at  $\lambda_1$  and  $\lambda_2$ , respectively. Apart from two scale factors, one for each data set, the refined parameters were the atomic positions, the isotropic temperature factors (constrained to be equal for same elements on different sites) and the diagonal elements of the extinction correction tensor within an empirical SHELX-like model [21]. The refinement returned acceptable agreement factors of  $R_{\rm F,1} = 10.9$  and  $R_{\rm F,2} = 5.9$  for the two data sets with  $\lambda_1$  and  $\lambda_2$ , respectively.

Since the orthorhombic Swedenborgite crystal structure is very closely related to the undis torted hexagonal structure of SbNaBe<sub>4</sub>O<sub>7</sub> and the CaBaFe<sub>4</sub>O<sub>7</sub> compound reveals a trigonal



Figure 2: Sketch of the reciprocal space showing 3 twins rotated by  $120^{\circ}$  as a consequence from a high-temperature structural transition from a hexagonal to an orthorhombic structure. The actually observed scattering vectors  $\mathbf{Q}$  are obtained by multiplying the twin matrices by the nominal  $\mathbf{Q}$  vector of twin 1.

symmetry at higher temperatures [25], we have repeated the structural analysis by including 3 orthorhombic twins being rotated by 120° degrees as shown in Figure 2 and by refining their populations. The inclusion of twins reveals a significant improvement of the refinement quality, which is expressed by  $R_{\rm F,1} = 4.7$  and  $R_{\rm F,2} = 2.9$ , and the presence of a perfectly twinned sample with homogeneously distributed twins. The refined parameters are shown in Table 1.

#### 137 3.1.2 Magnetic phase transitions

Figure 3(a) shows the susceptibility curves as a function of temperature for an applied 138 field of H = 1 T applied either parallel or perpendicular to the c axis of the Swedenborgite 139 structure. As this strong magnetic anisotropy already appears far above the first magnetic 140 ordering temperature, i.e. in the paramagnetic range, it might be argued that single-ion 141 anisotropy is present in the system.  $Fe^{2+}$  ( $d^6$  ion) in a tetrahedral crystal field obviously 142 allows for a local preferred orientation of its magnetic spin. However, without further data, 143 it is only possible to speculate on how significant this contribution is to the spin ordering 144 phenomenon. At  $T_{\rm N1} = 274$  K a local maximum is visible in the  $H \perp c$  curve, while the 145  $H \parallel c$  curve reveals a large increase of  $\chi$  upon cooling indicative of a ferro- or ferrimagnetic 146 structure with magnetic moments along the c axis with an additional antiferromagnetic 147 component perpendicular to c. The anomaly at  $T_{N2} = 202$  K visible only in the  $H \parallel c$ 148 curve suggests a spin reorientation of the in-plane component. 149

The integrated intensities of selected Bragg reflections from the single-crystal neutron 150 diffraction experiment are depicted in Figure 3(b) on the same temperature scale. Clear 151 anomalies coincide with the transition temperatures observed in the magnetic susceptibil-152 ity. On cooling through  $T_{\rm N1}$  a strong increase of intensity is seen in the (020) and (110) 153 reflections, while only a moderate increase is present in the (002) reflection. Since only the 154 perpendicular component of the ordered magnetic moment with respect to the scattering 155 vector  $\mathbf{Q}$  contributes to magnetic scattering the intensity evolution suggests a predominant 156 alignment of the spins parallel to the c axis with a smaller in-plane component, in perfect 157 agreement with the interpretation of the susceptibility curves. At  $T_{N2} = 204$  K the (002) 158

Table 1: Refined nuclear structure parameters within the  $Pbn2_1$  space group at RT ( $R_{\rm F,1} = 4.7$ ,  $R_{\rm F,2} = 2.9$ ,  $\chi^2 = 4.3$ ). The only Wyckoff sites in this space group is the general 4a site. Note that not all atomic positions can be refined at the same time due to the absence of a special position, i.e. the origin needs to be fixed. The extinction parameters  $x_{ii}$  are the diagonal entries of a tensor used to calculate the extinction factor. Note that the isotropic temperature factor B has been constrained to be the same for elements on different sites.

Atoms	x	y	z	$B(A^2)$	
Ca	0.011(3)	0.6686(6)	0.8915(8)	0.69(8)	
$\operatorname{Ba}$	0.001(2)	0.6696(5)	0.5203(9)	1.39(5)	
Fe1	0.001(2)	0.000(2)	0.9516(8)	0.82(1)	
Fe2	0.003(2)	0.1782(2)	0.6997(8)	0.82	
Fe3	0.2935(5)	0.0934(3)	0.1941(9)	0.82	
Fe4	0.2471(5)	0.9139(4)	0.7007(8)	0.82	
O1	0.001(2)	0.003(2)	0.2665(8)	1.05(2)	
O2	0.004(2)	0.5007(3)	0.2562(9)	1.05	
O3	0.7835(8)	0.2633(5)	0.8053(9)	1.05	
O4	0.7180(7)	0.7531(6)	0.2244(9)	1.05	
O5	0.054(1)	0.1565(4)	0.514(1)	1.05	
O6	0.1958(9)	0.1102(5)	0.019(1)	1.05	
O7	0.2508(9)	0.9402(4)	0.516(1)	1.05	
Lattice parameters					
a = 6.3135 Å $b = 11.0173$ Å $c = 10.3497$ Å					
Extinction parameters					
$x_{11} = 0.005(2)$ $x_{22} = -0.0005(3)$ $x_{33} = 0.0013(1)$					
Twin populations					
twin 1: 0.337 twin 2: $0.328(7)$ twin 3: $0.335(8)$					

Table 2: Basis vectors  $\psi_n$  of the irreducible representation  $\Gamma_n$  for each of the Fe sites of CaBaFe<sub>4</sub>O<sub>7</sub> for space group  $Pbn2_1$  and propagation vector  $\mathbf{q} = (0 \ 0 \ 0)$ .

atom	Position	$\psi_1$	$\psi_2$	$\psi_3$	$\psi_4$
1	$\begin{pmatrix} x \\ y \\ z \end{pmatrix}$	$\begin{pmatrix} u \\ v \\ w \end{pmatrix}$	$\begin{pmatrix} u \\ v \\ w \end{pmatrix}$	$\begin{pmatrix} u \\ v \\ w \end{pmatrix}$	$\begin{pmatrix} u \\ v \\ w \end{pmatrix}$
2	$\begin{pmatrix} \bar{x} \\ \bar{y} \\ z + \frac{1}{2} \end{pmatrix}$	$\begin{pmatrix} \bar{u} \\ \bar{v} \\ w \end{pmatrix}$	$\begin{pmatrix} \bar{u} \\ \bar{v} \\ w \end{pmatrix}$	$\begin{pmatrix} u \\ v \\ \bar{w} \end{pmatrix}$	$\begin{pmatrix} u \\ v \\ \bar{w} \end{pmatrix}$
3	$\begin{pmatrix} \bar{x} + \frac{1}{2} \\ y + \frac{1}{2} \\ z \end{pmatrix}$	$\begin{pmatrix} u\\ \bar{v}\\ \bar{w} \end{pmatrix}$	$\begin{pmatrix} \bar{u} \\ v \\ w \end{pmatrix}$	$\begin{pmatrix} u\\ \bar{v}\\ \bar{w} \end{pmatrix}$	$\begin{pmatrix} \bar{u} \\ v \\ w \end{pmatrix}$
4	$\begin{pmatrix} x + \frac{1}{2} \\ \bar{y} + \frac{1}{2} \\ z + \frac{1}{2} \end{pmatrix}$	$\begin{pmatrix} \bar{u} \\ v \\ \bar{w} \end{pmatrix}$	$\begin{pmatrix} u\\ \bar{v}\\ w \end{pmatrix}$	$\begin{pmatrix} u\\ \bar{v}\\ w \end{pmatrix}$	$\begin{pmatrix} \bar{u} \\ v \\ \bar{w} \end{pmatrix}$

reflection - being sensitive only to the in-plane component - reveals a drop in intensity at the same temperature at which additional satellite reflections - modulated by a propagation vector  $\mathbf{q} = (1/3 \ 0 \ 0)$  - appear. This suggests that the in-plane component breaks translation symmetry upon cooling through  $T_{N2}$ . The absence of any clear anomaly in the integrated intensities of the (020) and (110) reflections indicate that the *c* component of the magnetic moments is not affected at this transition.

#### 165 3.1.3 Magnetic structures

For the determination of the magnetic structure between  $T_{\rm N1}$  and  $T_{\rm N2}$  114 symmetry-166 inequivalent reflections (696 unique reflections) were recorded at T = 220 K. Due to the 167 relatively large temperature difference between the magnetic and nuclear data collection 168 the analysis was done by refining the nuclear and magnetic structure parameters simul-169 taneously. The twin model shown in Fig. 2 was employed with the populations fixed to 170 the values obtained from the RT structure analysis. Symmetry analysis was employed to 171 derive magnetic structure models being compatible with the underlying crystal structure 172 and the propagation vector  $\mathbf{q} = 0$ . This task was done using the MAG2POL program and 173 the 4 different irreducible representations are shown in Table 2. One can immediately 174 realize that only  $\Gamma_2$  yields a ferromagnetic component along the c axis within a single Fe 175 site, while revealing an antiferromagnetic coupling of the components u and v within the 176 a-b plane. Nevertheless, all models were tested on the observed data, but only  $\Gamma_2$  returned 177 a good agreement. The parameters u, v and w were constrained to be of the same size 178 for the 3 Fe sites within the kagome plane. This is a reasonable assumption based on the 179 XMCD results in [16] stating that the Fe magnetic moment at the trigonal sites (Fe1) is 180 larger than those in the kagome planes (Fe2-4), meaning that the latter are closer to  $Fe^{2+}$ . 181 In a first refinement step the a component proved to be insignificant for all 4 sites and 182 was set to 0 in the following. Furthermore, the refinement procedure was very sensitive 183 to the b component, so its absolute value was constrained between the Fe sites in the tri-184 angular and kagome planes. This constraint stabilized the refinement and the agreement 185 factor  $R_{\rm F} = 4.8$ . The resulting magnetic structure can be described as a ferrimagnetic 186



Figure 3: (a) Magnetic susceptibility measurements in a field of  $\mu_0 H = 1$  T on single crystalline CaBaFe<sub>4</sub>O<sub>7</sub> plotted against temperature. The curves for  $H \perp c$  and  $H \parallel c$ (taken from [16]) reveal a local maximum indicating the magnetic phase transitions at  $T_{\rm N1}$ = 274 K and  $T_{\rm N2} = 202$  K, respectively (marked as vertical dashed lines). (b) Integrated intensities for selected integer (*hkl*) and satellite Bragg peaks from the D10 experiment. The anomalies in the temperature dependence correspond exactly to the magnetic phase transition temperature in (a). The evolution of the respective Bragg peak intensities allow a very good guess of the involved magnetic structures as described in the text.

Table 3: Refined magnetic parameters of the magnetic structure at 220 K and of the commensurate spin component. at 2 K. The components  $\mu_b$  and  $\mu_c$  correspond to the refined parameters v and w shown in Table 2. The numbering of Fe atoms is analogous to Table 1.

	T = 220  K		T = 2  K	
Atom	$\mu_b \; (\mu_{ m B})$	$\mu_c \; (\mu_{ m B})$	$\mu_b~(\mu_{ m B})$	$\mu_c \; (\mu_{ m B})$
Fe1	1.0(2)	3.1(1)	0.2(9)	3.68(8)
Fe2	1.0(2)	2.2(1)	0.2(9)	2.84(7)
Fe3	1.0(2)	2.2(1)	0.2(9)	2.84(7)
Fe4	1.0(2)	2.2(1)	0.2(9)	2.84(7)

Table 4: Basis vectors  $\psi_n$  of the irreducible representation  $\Gamma_n$  for each of the Fe sites of CaBaFe<sub>4</sub>O<sub>7</sub> for space group  $Pbn\mathcal{Z}_1$  and propagation vector  $\mathbf{q} = (1/3 \ 0 \ 0)$ . Note that each of the Fe sites splits into two orbits. The phase factor  $a = \exp(2\pi i \mathbf{qr})$  results from the n glide plane perpendicular to the b axis with translation vector  $\mathbf{r} = (1/2 \ 0 \ 1/2)$ Atom Position  $\psi_1 \qquad \psi_2$ 

1	$\begin{pmatrix} x \\ y \\ z \end{pmatrix}$	$\begin{pmatrix} u \\ v \\ w \end{pmatrix}$	$a \cdot \begin{pmatrix} \bar{u} \\ v \\ \bar{w} \end{pmatrix}$
2	$\begin{pmatrix} x + \frac{1}{2} \\ \bar{y} + \frac{1}{2} \\ z + \frac{1}{2} \end{pmatrix}$	$\begin{pmatrix} u \\ v \\ w \end{pmatrix}$	$a \cdot \begin{pmatrix} u \\ \bar{v} \\ w \end{pmatrix}$

configuration with  $\mu \parallel c$  between the Fe spins in the triangular planes and those in the 187 kagome planes, where the larger moment of the Fe1 ion is in agreement with the afore-188 mentioned distribution of  $Fe^{2+}$  (Fe2-4, kagome) and  $Fe^{3+}$  (Fe1, trigonal). Furthermore, 189 an antiferromagnetic canting of the spins is present along the b axis, which creates the 190 classic situation of not being able to satisfy all antiferromagnetic exchange interactions 191 on a triangle, i.e. 2 parallel and 1 antiparallel spin. The resulting magnetic structure is 192 shown in Figure. 4 and the refined values are shown in Table 3. It has to be noted that a 193 solution with a slightly worse agreement factor exists, in which the b component is uniform 194 within a single kagome plane. However, such a model with satisfied ferromagnetic in-plane 195 exchange interactions would not lead to the second magnetic phase transition observed at 196  $T_{\rm N2}$ . A slightly reduced data set of Bragg peaks with integer indices has been recorded 197 within the low-temperature phase at T = 2 K with 119 symmetry-inequivalent reflections 198 (202 unique). The same refinement strategy was applied as for the T = 220 K data set, i.e. 199 refining the nuclear structure parameters as well as the magnetic structure components v200 and w within irreducible representation  $\Gamma_2$ . We observe an increase of the c component 201 due to the reduced temperature as well as an insignificant b component (see Table 3), 202 which confirms the assumption of a modulated in-plane component. As the refinements 203 of both nuclear and magnetic structures turn out satisfactory, there seems to be no need 204 of introducing a  $Fe^{2+}/Fe^{3+}$  charge ordering with accompanying Fe-O bond-length modu-205 lations. 206

As a last step of the single-crystal experiment 1314 magnetic satellites were collected that agree with the propagation vector  $\mathbf{q} = (1/3 \ 0 \ 0)$  at  $T = 2 \ \text{K}$ . Symmetry-compatible magnetic structure models were again calculated using MAG2POL which are shown in Table 4. Unfortunately, neither a single irreducible representation nor any mixed representation



Figure 4: (a) Perspective view of the magnetic structure in CaBaFe<sub>4</sub>O<sub>7</sub> at 220 K. Only the magnetic ions on the triangular (light blue) and hexagonal sites (dark blue) are shown. Bonds between Fe ions in the kagome planes are drawn as a guide to the eye. The kagome plane at  $z \sim 0.2$  is emphasized in yellow in order to be distinguished from the one at  $z \sim 0.7$ .  $T_1$  triangles are open, while  $T_2$  triangles are filled. (b) View along the *c* axis emphasizing the *b* component of the magnetic moments. All Fe triangles in the kagome plane reveal 2 spins pointing along the positive (negative) *b* axis, while 1 spin is pointing along the negative (positive) *b* axis.



Figure 5: Thermodiffractogram showing the magnetic phase transitions at  $T_{N1} = 274$  K and  $T_{N2} = 204$  K. The onset of the commensurate ferrimagnetic structure is manifest by an increase of intensity on e.g. the reflections at  $2\theta$  values of  $26.8^{\circ}$ ,  $30.4^{\circ}$  and  $39.4^{\circ}$ . The transition into the low-temperature magnetic phase is accompanied by the appearance of new satellite peaks, e.g. at  $2\theta = 15.4^{\circ}$  and  $21.0^{\circ}$ .

yielded a satisfying result. This is due to the fact that nuclear scattering from additional 211 twin domains overlap with parts of the magnetic scattering. This is manifest by multiple 212 diffraction spots on the 2-dimensional detector images and multiple peaks in the  $\omega$  scans 213 which are impossible to resolve and to separate into individual contributions. Note that 214 such parasitic scattering was not observed in the rocking scans of integer reflections. It 215 is therefore not possible to confidently extract the magnetic intensities and to analyze 216 the modulated part of the low-temperature magnetic phase from our single-crystal data. 217 A polarized neutron approach using spherical neutron polarimetry - as employed for the 218 related  $CaBa(Co_3Fe)O_7$  compound [26], failed due to the strong ferrimagnetic component 219 throughout the whole magnetically ordered temperature range despite the effort of prior 220 cooling in a magnetic field (in order to reduce neutron depolarization between magnetic 221 domains) and focusing only on incident and final neutron polarization states parallel to 222 the ferrimagnetic component (longitudinal polarization analysis). 223

### **3.2** Powder neutron diffraction

Due to the difficulties in deriving the low-temperature in-plane component encountered in the single-crystal experiment we now turn to our powder neutron diffraction data in order to address this remaining issue. The sequence of magnetic phase transitions coincides with the results above which is shown in the following.

All recorded diffraction patterns between 15 K and 300 K were used to construct the 229 thermodiffractogramm depicted in Figure 5. The transition into the canted ferrimagnetic 230 structure at  $T_{\rm N1}$  is marked by the increase of commensurate reflections e.g. at scattering 231 angles 26.8°, 30.4° and 39.4°. The onset of the modulated phase at  $T_{\rm N2}$  is accompanied by 232 the appearance of magnetic sattleites from which the strongest are located at  $2\theta = 15.4^{\circ}$ 233 and  $21.0^{\circ}$ . Note that the positions of the satellites do not change with temperature. A 234 few selected Bragg reflections at positions with integer and non-integer Miller indices were 235 integrated using a Gaussian profile on a sloping background in all diffraction patterns which 236



Figure 6: Integrated intensities of selected Bragg reflections at positions with integer and non-integer Miller indices, the latter being moduated by the propagation vector  $\mathbf{q} = (1/3$ 0 0). The (020) and (110) reflections can not be separated due to their very similar scattering angle ( $2\theta = 26.7^{\circ}$  and  $26.8^{\circ}$ , respectively), but both reveal a significant magnetic contribution which results in a comparable temperature dependence as the single peak (111) at  $2\theta = 30.4^{\circ}$ . The magnetic satellites show an increase in intensity upon cooling below approximately 200 K. The transition temperatures derived from the single-crystal experiments (cf. Fig. 3) are shown as vertical dashed lines.

were used to construct the color map in Figure 5. The resulting temperature dependence 237 of integrated intensities is shown in Figure 6. The first transition, at  $T_{\rm N1}$ , can only be 238 interpreted as a jump of the (020), (110) (at  $2\theta = 26.7^{\circ}$  and  $26.8^{\circ}$ , respectively) and 239 (111)  $(2\theta = 30.4^{\circ})$  intensities between 300 K and 270 K due to the lack of recorded data 240 within this temperature range. The integrated intensites of the satellites  $(010)+\mathbf{q}$  ( $2\theta =$ 241  $(15.4^{\circ})$  and  $(101)+\mathbf{q}$  ( $2\theta = 21.0^{\circ}$ ) show a significant increase below 210 K. Both transition 242 temperatures match very well with the more detailed picture shown in Figure 3(b) derived 243 from the single-crystal sample. 244

As a first step the diffraction pattern at RT was analyzed in order to refine the nuclear 245 structure parameters, an overall isotropic temperature factor and the scale factor. The 246 observed pattern can nicely be described using the known structure ( $R_{\rm F} = 8.2$ ) which is 247 shown in Figure 7(a). The resulting structural model was used as a starting point for the 248 analysis of the 15 K pattern. The scale factor was left unchanged and only the lattice 249 parameters and the overall isotropic temperature factor were refined in order to guarantee 250 the correct position and scaling of the magnetic satellites. The propagation vector was 251 refined to  $\mathbf{q} = [0.3354(5) \ 0 \ 0]$ . Figure 7(b) zooms on the low-Q part of the diffraction 252 pattern containing the clearly visible magnetic satellites. Apart from the two strongest 253 magnetic Bragg peaks already visible in the thermodiffractogramm the relatively weak 254 fundamental reflection (000)+q can be seen at  $2\theta = 7.7^{\circ}$  as well as a series of peaks 255 between  $32^{\circ}$  and  $45^{\circ}$ . The strong nuclear reflections as well as parasitic peaks observable 256 at all temperatures (e.g. at  $10.3^{\circ}$  and  $18.4^{\circ}$  in  $2\theta$ ) were excluded from the refinement. 257

<sup>258</sup> The irreducible representations listed in Table 4 were used, however, the complexity of



Figure 7: Observed [(red) dots] and calculated [(black) solid line] diffraction patterns at (a) RT and (b) 15 K with the difference curve shown (in blue) at the bottom. In (a) the (green) markers indicate the position of nuclear Bragg peaks within the  $Pbn2_1$  space group. In (b) the first row of (green) markers denotes the position of nuclear Bragg peaks, while the second row indicates the positions of magnetic Bragg peaks with the propagation vector  $\mathbf{q} = (1/3 \ 0 \ 0)$ . Gray data points show the regions which were excluded from the fit for containing either nuclear peaks or parasitic peaks also present above the magnetic ordering temperatures.

Table 5: Refined magnetic parameters of the modulated in-plane magnetic structure component at 15 K ( $R_{\rm F} = 12.7$ ). The numbering of Fe atoms is analog to Table 1 and the positions of the primed Fe atoms are related to the unprimed ones by the *b* glide plane lost in the transition.

Atom	$\mu_a~(\mu_{ m B})$	$\mu_b~(\mu_{ m B})$	$\varphi/(2\pi)$
Fe1	1.6(3)	1.6(3)	0
Fe1'	1.6(3)	1.6(3)	0.04(3)
Fe2	1.6(1)	1.6(1)	0.09(3)
Fe2'	1.6(1)	1.6(1)	0.77(3)
Fe3	1.6(1)	1.6(1)	$\varphi(Fe2') + 1/3$
Fe3'	1.6(1)	1.6(1)	$\varphi(Fe2) + 1/3$
Fe4	1.6(1)	1.6(1)	arphi(Fe2)-1/3
Fe4'	1.6(1)	1.6(1)	$\varphi(Fe2') - \frac{1}{3}$

the nuclear and magnetic structure in combination with the limited number of observed 259 magnetic reflections requires reasonable constraints and starting parameters to assure 260 refinement stability. Since the Fe sites split into two orbits due to the reduced propagation 261 vector symmetry and each site features an a and b component as well as a phase factor, 262 the maximum number of magnetic structure parameters is 23 (note that the phase of 263 one Fe site needs to be fixed). Therefore, as already applied in the analysis of the high-264 temperature magnetic phase the size of the a and b component was constrained to be the 265 same for Fe spins on the same type of site, i.e. within the triangular or kagome planes. 266 As a starting point of the refinement process different classical spin configurations on a 267 kagome lattice were introduced on the Fe triangles in the kagome plane - including  $120^{\circ}$ 268 spin arrangements on the  $T_1$  and/or  $T_2$  triangles - by fixing the respective phase factors, 269 which were then refined within either  $\Gamma_1$ ,  $\Gamma_2$ ,  $\Gamma_1 + \Gamma_2$  symmetry or without symmetry 270 constraints. 271

A very convincing solution was found by constraining only the  $T_1$  triangles to reveal 272 a  $120^{\circ}$  spin arrangement within  $\Gamma_1$  symmetry. The phase factors between two triangles 273 separated along the z axis as well as between the triangular Fe spins were refined together 274 with the spin envelope in the a-b plane for triangular and kagome sites. After the first 275 refinement steps the a and b components of both Fe types revealed similar values for which 276 the spin envelope was constrained to be circular reducing the total number of refinable 277 parameters to 5. We obtain an agreement factor of  $R_{\rm F} = 12.7$  and the good agreement 278 between the calculated and observed patterns can be seen in Figure 7(b), the refined pa-279 rameters are listed in Table 5. The circular spin envelope with an amplitude of 1.6  $\mu_{\rm B}$  at 280 15 K matches very well with the collinear b component of 1.0  $\mu_{\rm B}$  which was determined 281 at an elevated temperature of T = 220 K. 282

Apart from the same spin envelope for all sites it is obvious that the refined phase factor 283 between the Fe2' and Fe2 spin is close to  $2\pi/3$  and the one between Fe1' and Fe1 is almost 284 insignificant. Therefore, in principle, the magnetic structure could be described with only 285 2 free parameters, which are an overall moment amplitude and the phase factor between 286 the kagome and triangular planes. Such a minimal model still yields  $R_{\rm F} = 13.7$  compared 287 to  $R_{\rm F} = 12.7$  with 5 parameters. The commensurate component along the c axis together 288 with the cycloidal component within the a-b plane results in a conical magnetic structure 289 which is depicted in Figure 8 and will be discussed in the following section. 290 291



Figure 8: (a) Perspective view of the conical ferrimagnetic structure in CaBaFe<sub>4</sub>O<sub>7</sub> at 15 K. Only the magnetic ions on the triangular (light blue) and hexagonal sites (dark blue) are shown. The conical envelope of the magnetic moments as well as bonds between Fe ions in the kagome planes are drawn as a guide to the eye. The kagome plane at  $z \sim 0.2$  is emphasized in yellow in order to be distinguished from the one at  $z \sim 0.7$ .  $T_1$  triangles are open, while  $T_2$  triangles are filled. (b) View along the *c* axis emphasizing the rotation of the magnetic moments within the *a-b* plane. The spin rotation plane is emphasized by disks in the respective colors. The triangular plaquettes  $T_1$  of kagome Fe spins reveals a 120° configuration. The same spin orientation and triangular chirality is found for the triangle at  $\Delta z = 0.5$  indicating a ferromagnetic coupling between two plaquettes.

### <sup>292</sup> 4 Conclusion

We have presented a combination of magnetic susceptibility and neutron diffraction ex-293 periments on powder and single-crystal samples which address the magnetic phases in 294 the  $CaBaFe_4O_7$  compound and reveal yet another type of magnetic ordering adding to 295 the rich diversity of examples within the Swedenborgite family. All employed techniques 296 reveal two magnetic phase transitions, the first at  $T_{\rm N1} = 274$  K into a ferrimagnetic struc-297 ture with antiferromagnetic canting perpendicular to the easy direction, and the second at 298  $T_{\rm N2} = 202$  K where the in-plane component changes from a collinear to a cycloidal arrange-299 ment which results in a conical magnetic structure at low temperatures. This sequence of 300 magnetic phase transitions is an excellent example of the temperature-dependent compe-301 tition between single-ion anisotropy and exchange interactions. In the high-temperature 302 phase the collinear b component creates the textbook situation of two parallel and one 303 antiparallel spins on a triangle, the prototypic example of geometric frustration. Between 304 274 K and 202 K the spin Hamiltonian seems to be dominated - at least for the in-plane 305 component - by the single-ion anisotropy which reduces the system's energy by canting 306 the spins along the b axis. However, when the temperature is lowered the frustrated anti-307 ferromagnetic exchange interaction become more important for which a spin reorientation 308 takes place towards a partial  $120^{\circ}$  degrees arrangement. The in-plane component of this 309 complex structure can be appreciated in Figure 8(b) by viewing it along the c axis. One 310 can see that the same 120° spin configuration is present on two  $T_1$  triangles, above as 311 well as below a triangular Fe spin. Apart from the antiferromagnetic coupling within each 312 of those triangles such a structure suggests a ferromagnetic exchange interaction between 313 two triangular plaquettes along the c axis. This seems to be the decisive characteristic 314 of the magnetic structure, because a spin configuration which yields a  $120^{\circ}$  alignment 315 on all triangles - which does not explain the experimental data - requires an opposite 316 triangular chirality between two  $T_1$  triangles separated by  $z \sim 0.5$ . Consequently, the 317  $T_2$  triangles do not show an apparent coupling scheme for which we conclude that the 318 exchange interactions within those triangles play a minor role in the spin Hamiltonian 319 of this Swedenborgite compound. The structural origin of the different ordering schemes 320 between  $T_1$  and  $T_2$  triangles presumably lies in the vicinity of the triangular Fe spins 321 which cap the  $T_1$  triangles above and below, which therefore leads to a different balance 322 of exchange interactions. The bare presence of a canted ferrimagnetic order is proof for a 323 strong coupling between the planes, and a cluster consisting of ferromagnetically ordered 324  $T_1$  triangles with apparent 120° order within the plaquettes above and below a triangular 325 Fe spin suggests that the resulting magnetic structure is governed by the superexchange 326 interactions within these units. In contrast, the magnetic interactions between the clusters 327 (note that a  $T_2$  triangle constitues the intersection of 3 clusters) are not perfectly fulfilled 328 and - in turn - are less dominant in the energy balance, which may be related to the fact 329 that  $T_2$  triangles are structurally more isolated due to the absence of another  $T_2$  triangle 330 along the c direction. 331

On the other hand it is not quite clear why the system reveals a small in-plane component 332 besides the strong ferrimagnetic component along the c axis and how the low-temperature 333 magnetic structure is responsible for inducing a ferroelectric polarization when applying 334 a magnetic field. Whether the microscopic origin of this near-room-temperature multifer-335 roic is magnetostriction, the spin-current mechanism or Fe-O orbital hybridization, as put 336 forward by Kocsis et al. [22], is still an open debate. The precise Fe-O-Fe bond distances 337 and angles between the triangular and kagome layers, as well as within the  $T_1$  and  $T_2$ 338 triangles, as a function of temperature would certainly reveal valuable information about 339 this remaining question, but this is beyond the possibilities of the data at hand. Further 340

investigations, e.g. using high-resolution X-ray synchrotron diffraction, are required to 341 reveal the structural origin of the observed magnetic structures. For a more precise pic-342 ture of the energy balance in the spin Hamiltonian additional inelastic neutron scattering 343 studies would be necessary based on the structural and magnetic properties provided in 344 this work. Nevertheless, the details of the complex magnetic order at low temperatures 345 combined with the magnetoelectric data [22] may stimulate further *ab initio* calculations 346 in order to provide a solid base for the understanding of the magnetoelectric effect in this 347 system. 348

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