

Residual Matrix Product State for Machine Learning

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1 Abstract

2 Tensor network, which originates from quantum physics, is emerging as an efficient tool
 3 for classical and quantum machine learning. Nevertheless, there still exists a consider-
 4 able accuracy gap between tensor network and the sophisticated neural network models
 5 for classical machine learning. In this work, we combine the ideas of matrix product
 6 state (MPS), the simplest tensor network structure, and residual neural network and
 7 propose the residual matrix product state (ResMPS). The ResMPS can be treated as a
 8 network where its layers map the “hidden” features to the outputs (e.g., classifications),
 9 and the variational parameters of the layers are the functions of the features of the sam-
 10 ples (e.g., pixels of images). This is different from neural network, where the layers
 11 map feed-forwardly the features to the output. The ResMPS can equip with the non-
 12 linear activations and dropout layers, and outperforms the state-of-the-art tensor net-
 13 work models in terms of efficiency, stability, and representation power. Besides, ResMPS
 14 is interpretable from the perspective of polynomial expansion, where the factorization
 15 and exponential machines naturally emerge. Our work contributes to connecting and
 16 hybridizing neural and tensor networks, which is crucial to further enhance our under-
 17 standing of the working mechanisms and improve the performance of both models.

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40 1 Introduction

41 The tensor network (TN), as a mathematical model widely used to describe quantum many-
42 body states [1–4], has been successfully applied to machine learning (ML). For instance, TN is
43 used in supervised and unsupervised image classification, natural language processing, etc. [5–
44 11]. Several recent works also demonstrate TN’s ability of establishing the connection between
45 physics and artificial intelligence [12, 13]. Nevertheless, despite the high interpretability of
46 TN [14–16], there still exists a considerable performance gap between TN and neural network
47 (NN) [7, 17].

48 TN itself represents a linear map between quantum states. While in machine learning, TN
49 realizes a non-linear map from the features to the outputs, where there exists a local kernel
50 function [5] that maps the features of the samples to the quantum states in Hilbert space. It
51 is still an open issue to determine whether the NN techniques can enhance TN performance.
52 Several recent works have explored different ways to combine TN and NN, which includes
53 adopting the convolutional neural network (CNN) as a feature extractor in TN [7, 17, 18];
54 compressing the linear layers of deep NN by matrix product operators [19]; and implement-
55 ing the convolutional operations using TN [20], etc. These attempts further motivate us to
56 investigate the possible hybridization of TN and NN.

57 In this work, we incorporate the information highways (also known as shortcuts) [21, 22],
58 non-linear activations, and dropout [23] into TN (MPS in specific), and propose Residual MPS
59 (ResMPS in short). The essential underlying idea of ResMPS is a delicate way of inputting
60 data such that the variational parameters of the network layers are the functions of the data
61 features. Such idea is inspired by the traditional feed-forward neural network (FNN), while
62 in FNN, the data is input only in the initial step.

63 We provide two specific examples of ResMPS dubbed as simple and activated ResMPS. The
64 simple version (sResMPS in short) is a multi-linear model that can exactly be written into a
65 standard MPS, and the activated version (aResMPS in short) is a non-linear model equipped
66 with NN layers. The results on Fashion-MNIST show that the simple ResMPS achieves the same
67 accuracy as MPS while its parameter complexity is half of the MPS. For the activated ResMPS,
68 we find a significant enhancement of efficiency and accuracy by introducing the non-linear
69 activations and the dropout layers on the residual terms.

70 Furthermore, we determine the model interpretability of sResMPS by polynomial expres-
71 sion. The truncated model achieves a high level of accuracy with only a few low-order terms
72 of sResMPS. Surprisingly, the factorization [24] and exponential machines [25] have naturally
73 emerged in this expansion scheme. ResMPS shows the underlying connections between TN
74 and NN for ML and can shed light on novel possibilities and flexibility for developing powerful
75 ML models beyond NN or TN.

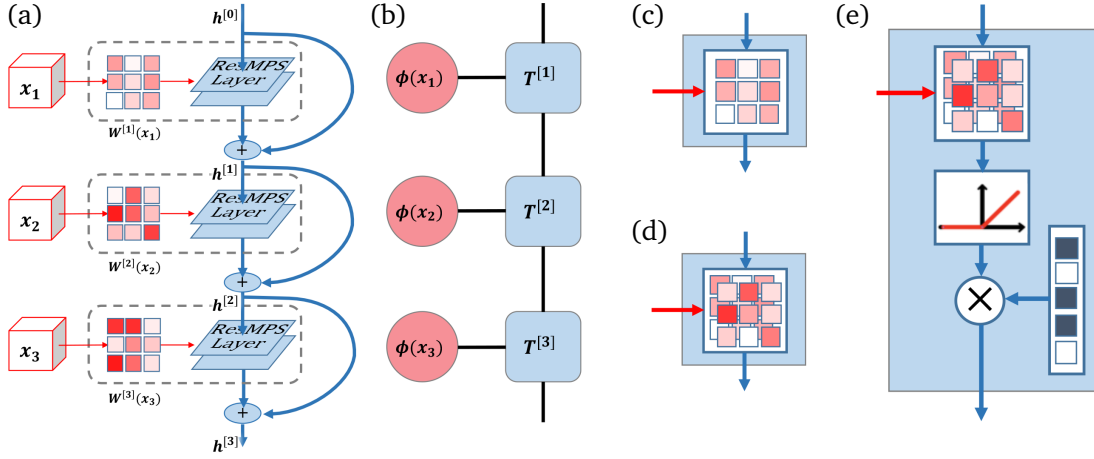


Figure 1: Illustrations of a typical ResMPS compared with a standard MPS. (a) An illustration of ResMPS containing a three-layer FNN in which the variational parameters are functions of the features, \mathbf{x} . (b) An illustration of a three-tensor MPS, which is contracted with the feature vectors [see Eq. (13)]. (c) An illustration of sResMPS, which is only parameterized by a single channel weight matrix. (d) An illustration of ResMPS, which is equivalent to the standard MPS. (e) An illustration of aResMPS, where the hidden feature will pass through a two-channel linear layer, ReLU activation, and dropout layer in sequence.

76 The remainder of this paper is organized as follows. We define ResMPS in Sec. 2.1 and de-
 77 cipher its mechanism in Sec. 2.2. We present two typical ResMPS architecture in Sec. 2.3. The
 78 image recognition benchmarks compared with other networks are exhibited in 2.4. Relation to
 79 recurrent neural network (RNN) and transformer is discussed in Sec. 2.5. The contribution of
 80 residual terms to training stability is discussed in Sec. 3.1. We establish equivalence between
 81 truncated ResMPS and factorization machine in Sec. 3.2. We conclude the result in Sec. 4.

82 2 Residual matrix product state

83 2.1 Definition of residual matrix product state

84 The traditional FNN, including the residual neural network, consists of multiple trainable lay-
 85 ers [26]. For instance, in supervised learning, FNN maps the input sample \mathbf{x} to the output l ,
 86 e.g., the corresponding classification. The typical form of one layer can be written as

$$\mathbf{h}^{[n]} = \sigma \left(F^{[n]} \left(\mathbf{h}^{[n-1]}; \mathbf{W}^{[n]} \right) + \mathbf{b}^{[n]} \right), \quad (1)$$

87 where $\mathbf{h}^{[n-1]}$ denotes the hidden variables that are input to the n -th layer with $\mathbf{h}^{[0]} = \mathbf{x}$, $F^{[n]}$
 88 denotes the mapping of the n -th layer (e.g., fully connected, convolution, or pooling layer).
 89 Each layer may consist some variational parameters $\mathbf{W}^{[n]}$ (weights) and \mathbf{b} (bias). Furthermore,
 90 σ denotes the activation function.

91 Inspired by the matrix product state [27, 28] and residual neural network [21, 22], here
 92 we propose a novel machine learning architecture dubbed as residual matrix product state
 93 (ResMPS). Different from FNN [see, Eq. (1)], ResMPS does not explicitly map the features
 94 with a feed-forward network. Instead, it uses the features to parameterize FNN variational
 95 parameters. This enables an FNN to map the hidden features to the expected outputs (see,
 96 Fig. 1). In the ResMPS, the mapping of one layer is

$$\mathbf{h}^{[n]} = \mathbf{h}^{[n-1]} + \mathbf{v}^{[n]} \left(\mathbf{h}^{[n-1]}; \mathbf{W}^{[n]}(x_n), \mathbf{b}^{[n]} \right), \quad (2)$$

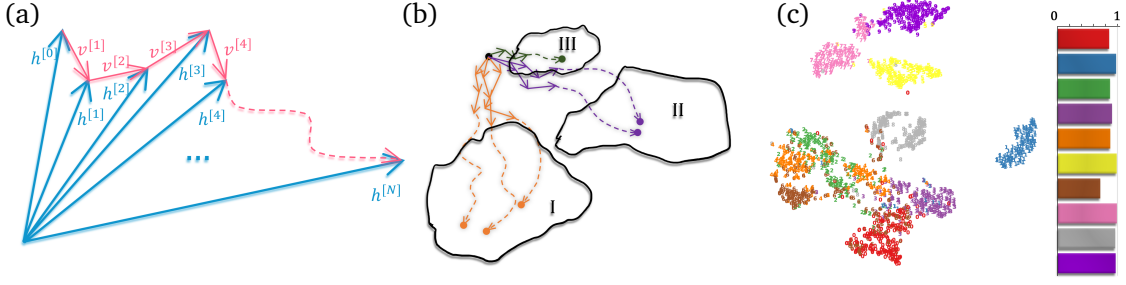


Figure 2: Encoding process of the ResMPS. (a) An illustration of a high-dimensional path of one sample. Blue arrows represent hidden features between different layers. Red arrows represent shift-vectors contributed by the residual part. (b) An illustration of the aggregation behavior of samples. The same color denotes samples belonging to the same class. (c) The two-dimensional data distribution generated by t-SNE on the endpoint dimension reduction of (b), the data points come from the Fashion-MNIST data set, and the corresponding accuracy is on the right. Note we reduce the feature dimension to 2 for illustration, which is far less than the original dimension of the hidden and weakened separations of the samples from different classes.

97 where the second term $\mathbf{v}^{[n]}$ is the function of $\mathbf{h}^{[n]}$ parametrized by weights $\mathbf{W}^{[n]}$ and bias $\mathbf{b}^{[n]}$,
 98 and weights $\mathbf{W}^{[n]}$ are further parameterized by the n -th feature x_n , which distinguish ResMPS
 99 from FNNs; the initial hidden $\mathbf{h}^{[0]}$ is initialized by ones for simplicity. Therefore, the depth of
 100 ResMPS depends on the input size. Eq. (2) gives the general form of $\mathbf{v}^{[n]}$, in this work we limit
 101 $\mathbf{v}^{[n]}$ in a simple form,

$$\mathbf{v}^{[n]} = \sigma \left(L^{[n]} \left(\mathbf{h}^{[n-1]}, \mathbf{W}^{[n]}(x_n) \right) + \mathbf{b}^{[n]} \right). \quad (3)$$

102 where $L^{[n]}$ is a linear map, and σ is the activation. Similar to ResNet, the output of one layer is
 103 the addition of the output of $\mathbf{v}^{[n]}$, and the input includes the hidden features. This is to form a
 104 shortcut of the information flow, which can avoid the vanishing/explosion of the gradients (see
 105 Sec. 3.1 for details). We further note that one obtains a standard FNN by adopting $\mathbf{h}^{[0]} = \mathbf{x}$
 106 and removing the dependence of \mathbf{W} on \mathbf{x} .

107 Note that the dimension of the hidden variable is equal to the dimension of the label index
 108 (i.e., the number of classes in the discriminative task). Therefore, one additional linear layer
 109 without bias and activation should be added to map the final hidden $\mathbf{h}^{[N]}$ to the output $f^{(l)}$ as
 110 $f^{(l)} = \sum_i L_{li} h_i^{[N]}$ where $\dim(l)$ equals to the number of classes. The linear map can be flexibly
 111 replaced by any other map as long as its output dimension matches the dimension of the label.

112 2.2 The working mechanism of ResMPS

113 We illustrate the path of the hidden state $\mathbf{h}^{[i]}$ of ResMPS in the high-dimensional vector space
 114 [as shown in Fig.2(a)]. Each layer of the ResMPS updates the state $\mathbf{h}^{[i]}$ once to make it one
 115 step forward with shift-vector $\mathbf{v}^{[i+1]} = \mathbf{h}^{[i+1]} - \mathbf{h}^{[i]}$. After passing through all layers, all shift-
 116 vectors are connected into a continuous path, namely $\sum_{i=1}^N \mathbf{v}^{[i]}$. For the same ResMPS, differ-
 117 ent features of the samples share the same initial point (i.e., $\mathbf{h}^{[0]}$). Since the parameter W of
 118 shift-vector \mathbf{v} is a function of feature \mathbf{x} , the path encodes the information of samples. Besides,
 119 Similar samples have close paths in the vector space [as shown in Fig.2(b)]. After training
 120 convergence, samples of the same category will eventually gather together.

121 In order to show the behavior of paths of the hidden variables in the high-dimensional
 122 space, we use the Fashion-MNIST dataset to train sResMPS, and use the t-SNE algorithm [29,

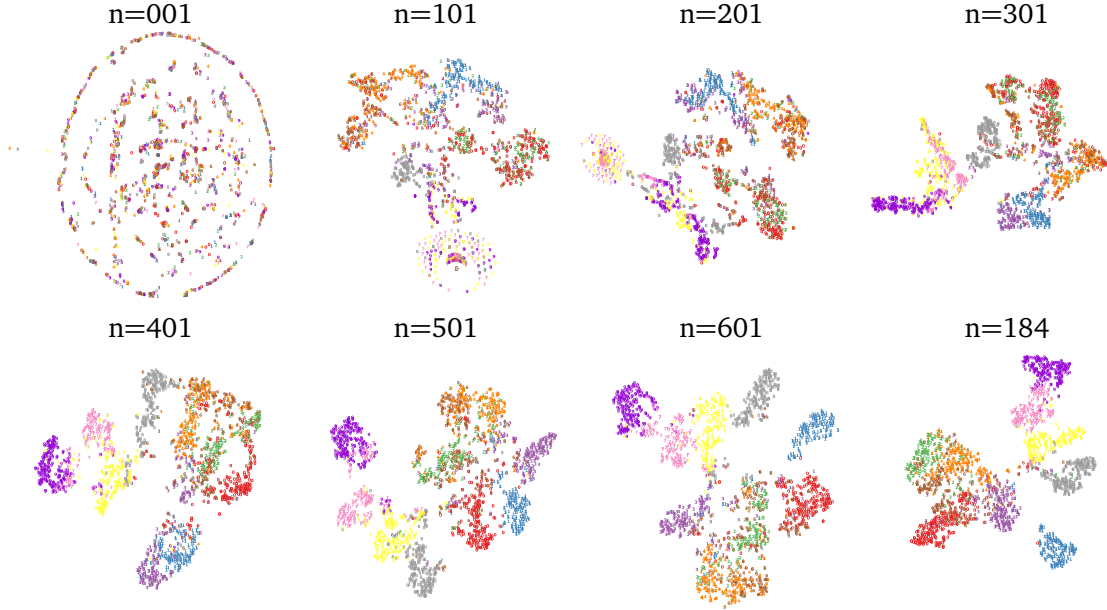


Figure 3: Intermediate hidden features $\mathbf{h}^{[n]}$ ($1 \leq n \leq 784$) visualized by t-SNE. It is shown that samples of the same classes gradually enter the same regions. This characterizes the encoding process of ResMPS.

123 30] to embed the endpoints of the ResMPS to a two-dimensional plane after the network
 124 converges. Note that before we apply t-SNE for dimensionality reduction, the original virtual
 125 feature has 100 components, which is sufficient large for accuracy yet economical. Fig. 2(c)
 126 illustrates the visualization of final hidden features $h_i^{[N]}$ in the two-dimensional space. Samples
 127 with better classification accuracy are relatively separated, while those with poor classification
 128 accuracy overlap with other classifications. We also demonstrate the visualizations by t-SNE
 129 for the intermediate hidden features in Fig. 3 to show how the hidden features gradually cluster
 130 into different sub-regions. It shows that the initial hidden features are uniformly distributed,
 131 and then gradually separated during the encoding process.

132 2.3 The Architecture of ResMPS

133 In the following, we examine two instances of ResMPS, called simple ResMPS [sResMPS, see
 134 Fig.1(c)] and activated ResMPS [aResMPS, see Fig.1(f)]. The sResMPS is a multi-linear model
 135 that is equivalent to MPS. It achieves the same accuracy with only half of the parameter com-
 136 plexity of the MPS. The aResMPS is a generalized version of sResMPS, in which the general-
 137 ization efficiency is enhanced by introducing non-linear activation functions and dropout
 138 in the FNN part. The map of one layer in the sResMPS is written as

$$h_j^{[n]} = h_j^{[n-1]} + \sum_i x_n W_{ij}^{[n]} h_i^{[n-1]}. \quad (4)$$

139 The weights of the layers in the FNN are linearly dependent on the features \mathbf{x} . The bias terms
 140 are also disabled in this example.

141 sResMPS is equivalent to a restricted version of MPS and can achieve identical performance
 142 with only a half parameter complexity of standard MPS. See Sec. 2.4.2 for details.

143 It is seen that MPS has a remarkable representation power. The training error is less than
 144 1% [31]. However, a gap between the training and testing accuracy suggests an over-fitting
 145 issue. To address the over-fitting issue, we propose the aResMPS by incorporating the non-
 146 linear activation functions and dropout. This modification also enhances the generalization

147 power [32]. The map of each layer in the FNN of the aResMPS is more-or-less a fully-connected
148 layer with a shortcut, which reads

$$\mathbf{h}^{[n]} = \mathbf{h}^{[n-1]} + \sigma(L^{[n]}(\mathbf{h}^{[n-1]}) + \mathbf{b}^{[n]}), \quad (5)$$

149 where σ is an activation function. The map $L^{[n]}$ relies on the feature x_n in a non-linear fashion

$$L^{[n]}(\mathbf{h}^{[n-1]})_j = \sum_{c=1,2} \left[\xi^{[c]}(x_n) \sum_i W_{ij}^{[n,c]} h_i^{[n-1]} \right]. \quad (6)$$

150 The architecture of ResMPS is flexible, due to the choice of $\xi^{[c]}(x_n)$ and the number of
151 channels $\dim(c)$. Here we choose $\xi^{[1]}(x_n) = x_n$ and $\xi^{[2]}(x_n) = 1 - x_n$. We introduce $\xi^{[c]}$ to
152 enhance the non-linearity of the aResMPS. It is worth mentioning that even sResMPS repre-
153 sents a non-linear map on the features \mathbf{x} (but a linear map on the hidden features).

154 For the aResMPS, the map on either the features or the hidden features is non-linear. In-
155 deed, the FNN embedded inside the aResMPS can be replaced by any NN. Here, we choose a
156 standard fully-connected network with two channels labeled by c .

157 Throughout this paper, we choose the ReLU activation function that can screen the nega-
158 tive inputs [33, 34]. Due to its piecewise linear characteristics, the gradient can directly pass
159 through without attenuation or enhancement. Therefore, the ReLU function is suitable for en-
160 hancing the non-linearity of the deep networks, which can improve its representation power
161 and avoid the vanishing/explosion of the gradient. Furthermore, we use a dropout layer com-
162 bined with the residual structure to improve the generalization ability of ResMPS. The dropout
163 layer effectively creates an ensemble of networks while avoiding the co-adaptation of inter-
164 mediate variables [23, 35, 36]. We impose dropout on the residual terms, i.e. $\mathbf{h}^{[n]} = \mathbf{h}^{[n-1]}$
165 $+ \text{dropout}(\sigma(\dots))$.

166 If we discard the activation and the dropout layers of aResMPS [see Fig.1(e)], we will get
167 a standard two-channel MPS. For a standard MPS with physical bond dimension $d = 2$, the
168 map given by a local-tensor construction is [31]

$$h_j^{[n]} = \sum_{c=1,2} \left[\xi^{[c]}(x_n) \sum_i T_{ij}^{[n,c]} h_i^{[n-1]} \right]. \quad (7)$$

169 If we introduce transformation $T_{ij}^{[n,c]} = W_{ij}^{[n,c]} + \delta_{ij}$, we can simply get

$$h_j^{[n]} = h_j^{[n-1]} \left(\sum_{c=1,2} \xi^{[c]}(x_n) \right) + \sum_{c=1,2} \left[\xi^{[c]}(x_n) \sum_i W_{ij}^{[n,c]} h_i^{[n-1]} \right]. \quad (8)$$

170 By taking feature map with norm-1 normalization [31], i.e. $\sum_{c=1,2} \xi^{[c]}(x_n) = 1$, we get a
171 ResMPS with map

$$h_j^{[n]} = h_j^{[n-1]} + \sum_{c=1,2} \left[\xi^{[c]}(x_n) \sum_i W_{ij}^{[n,c]} h_i^{[n-1]} \right]. \quad (9)$$

172 2.4 Benchmarking results

173 2.4.1 Classification accuracy

174 For the MNIST and Fashion-MNIST datasets, Table 1 shows the accuracy of the sResMPS and
175 aResMPS, compared with several established NN [39] and TN models [7, 9, 15, 17, 31, 38].

Table 1: Experimental results on MNIST [37] and Fashion-MNIST dataset. The first 6 models are pure TN architectures, which means they are multi-linear, and no neural structures like pooling, activation and convolution are introduced. AlexNet, ResNet, and CNN-PEPS are NN or TN-NN hybrid models. For aResMPS, we use ReLU as activation, and the dropout probability is set to be 0.6.

Model	MPS Train	MPS Test	Fashion- MNIST Train	Fashion- MNIST Test
MPS machine [31]	1.0000	0.9880	0.9988	0.8970
Unitary tree TN [9]	0.98	0.95	-	-
Tree curtain model [38]	-	-	0.9538	0.8897
Bayesian TN [15]	-	-	0.8950	0.8692
EPS-SBS [7]	-	0.9885	-	0.886
PEPS [17]	-	-	-	0.883
CNN-PEPS [17]	-	-	-	0.912
AlexNet [39]	-	-	-	0.8882
ResNet [39]	-	-	-	0.9339
sResMPS	1.0000	0.9873	0.9987	0.8909
aResMPS	1.0000	0.9907	0.9999	0.9142

176 The MPS and ResMPS models represent a high level of representation power, as indicated by
 177 their high training accuracy. The aResMPS also surpasses the probabilistically interpretable
 178 Bayesian [15] and other TN models, including the two-dimensional TN known as projected-
 179 entangled pair state (PEPS) [17]. It also achieves a (slightly) better accuracy than that of
 180 CNN-PEPS model, in which CNN is adopted as the feature extractor. This accuracy surpasses
 181 the CNN without the stacking architecture, such as AlexNet [39]. The aResMPS still does not
 182 overperform the ResNet which is formed by stacking multiple convolution layers. It seems that
 183 the ResMPS models eventually surpass ResNet by replacing the fully-connected network with
 184 more sophisticated ones or staking multiple ResMPSs.

185 2.4.2 Redundancy of regular MPS

186 To see the equivalence between the standard MPS and sResMPS mentioned in Sec.2.3, we
 187 introduce the third-order tensors $\mathbf{T}^{[n]}$ satisfying

$$T_{1,::}^{[n]} = \mathbf{I}, \quad T_{2,::}^{[n]} = \mathbf{W}^{[n]}. \quad (10)$$

188 The feature vectors $\phi(x_n)$ are obtained by the feature map as $\phi(x_n) = (1, x_n)$ [5, 9, 31].
 189 Summing their joint index gives a linear mapping represented by a matrix

$$\begin{aligned} A_{a_{n-1}, a_n}^{[n]} &= \sum_{p_n} T_{p_n, a_{n-1}, a_n}^{[n]} \phi(x_{n-1})_{p_n} \\ &= \delta_{a_{n-1}, a_n} + x_n W_{a_{n-1}, a_n}^{[n]}. \end{aligned} \quad (11)$$

190 Applying this mapping to $\mathbf{h}^{[n-1]}$, one gets

$$\begin{aligned} h^{[n]} &= \sum_{a_{n-1}} h_{a_{n-1}}^{[n-1]} A_{a_{n-1}, a_n}^{[n]} \\ &= h_{a_n}^{[n-1]} + \sum_{a_{n-1}} h_{a_{n-1}}^{[n-1]} x_n W_{a_{n-1}, a_n}^{[n]}. \end{aligned} \quad (12)$$

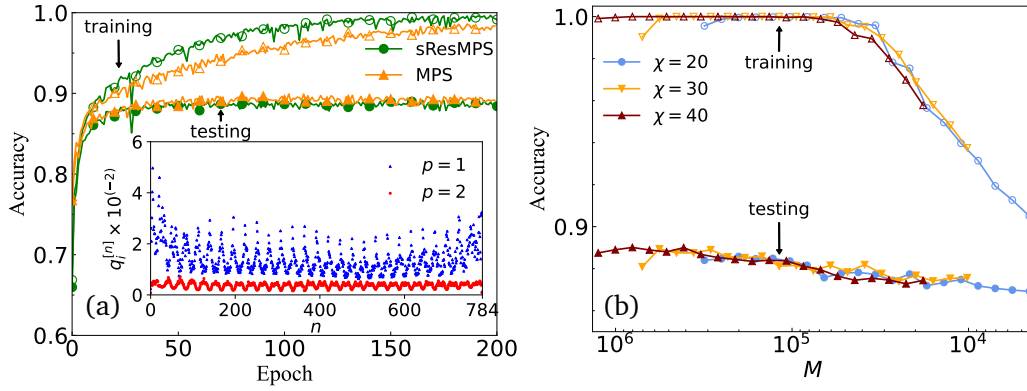


Figure 4: Numerical results of the simple ResMPS. (a) Training and testing accuracy of sResMPS (without dropout) and MPS versus epochs on the Fashion-MNIST dataset. The inset shows the average norm [Eq. (14)] of the two channels in the MPS for different tensors n . (b) Training and testing accuracy of the sResMPS versus the total number of unmasked weights in the sResMPS. The left end of each curve corresponds to the un-pruned result. It is also seen that the first few steps of pruning improve the accuracy. The total number of parameters of sResMPS with $\chi = 20, 30$, and 40 equals to about 3×10^5 , 7×10^5 and 13×10^5 , respectively.

191 This form is exactly the definition of sResMPS, shown in Eq. (4). Therefore, the sResMPS is
 192 equivalent to the standard MPS formed by the following tensors

$$\mathcal{T} = \sum_{\{a_n\}} \prod_n T_{p_n a_n a_{n+1}}^{[n]} \quad (13)$$

193 as its tensor-train cores [40] [Fig. 1 (b)]. The numbers of the input and output hidden features
 194 for different layers provide the two virtual bond dimensions of the MPS, i.e., $\{\dim(a_n)\}$. In
 195 this work, we fix $\dim(a_n) = \chi, \forall n$. The physical dimension of the MPS should also match the
 196 dimension of the feature vector, i.e. $\dim(\phi(x_n)) = \dim(p_n)$.

197 For $\dim(p_n) = 2$, the number of variational parameters in sResMPS is $\sim O(N\chi^2)$ where
 198 N is total number of features. This is only half of that in the MPS which is $\sim O(2N\chi^2)$. Our
 199 numerical simulations show that the accuracy of both models is almost the same. See the
 200 training and testing accuracy versus epochs on Fashion-MNIST dataset [41] in Fig. 4 (a) with
 201 $\chi = 40$. This is because one of the two channels of each tensor in the MPS is much less
 202 “activated”. The inset of Fig. 4 (a) shows the average norm of the two channels of different
 203 tensors

$$q_p^{[n]} = \frac{1}{\chi^2} \sum_{j=1}^{\chi} \sum_{k=1}^{\chi} \left| T_{pjk}^{[n]} - \delta_{jk} \right|, \quad (14)$$

204 with $p = 1, 2$ representing the channels. The main contribution to the output is from the
 205 second channel. Therefore, one channel is sufficient to pass the information to the output.

206 2.4.3 Representation power of ResMPS

207 In physics, the virtual bond dimension, χ , characterizes the representation power of the MPS.
 208 This is because it determines the total number of variational parameters and the upper bound
 209 of the entanglement entropy the MPS can carry [1]. This scenario may not be the case for
 210 machine learning. We show this by adding masks on the variational parameters, i.e., prun-
 211 ing [14]. Each parameter is multiplied by a factor that is either zero or one. The parameters
 212 multiplied by zeros are masked. To mask a certain number of parameters, we choose to mask

213 those with relatively small absolute values. We then optimize the unmasked parameters after
 214 the masks take effect.

215 Fig. 4 (b) shows the accuracy values versus the number of unmasked parameters M . For
 216 different virtual bond dimensions, $\chi = 20, 30$, and 40 , the results are similar if the number
 217 of the unmasked parameters are the same. This suggests that the parameter which charac-
 218 terizes the representation and generalization power, is in fact, M , not χ . For a given χ , it is
 219 possible to further reduce the complexity of MPS (and sResMPS) without harming the accu-
 220 racy. Our results also indicate that the sResMPS achieves its maximal representation power
 221 for $M \sim 5 \times 10^4$ (the training accuracy $\simeq 99.98\%$).

222 2.5 Comparison with the recurrent neural network and transformer

223 In the first sight the ResMPS is quite similar to the RNN and transformer, which are intensively
 224 explored nowadays. Here we compare the ResMPS with the later two respectively.

225 The layer mapping of ResMPS is similar to recurrent neural network (RNN). However,
 226 there are two essential differences between ResMPS and RNN. Firstly, ResMPS is non-uniform,
 227 and can be reduced to the translation-invariant MPS named as uniform MPS [42, 43]. From
 228 this point of view, RNN, in which the layers share the same variational parameters, is closer
 229 to the uniform MPS. Secondly, the recurrent mapping (also called a unit) is constructed by
 230 several linear operations and non-linear activations. Different constructions of the units define
 231 different RNN, such as the long short-term memory model. For the ResMPS, it is formed by
 232 tensor units, which are simply multi-linear mappings and can be analyzed by the established
 233 methods such as tensor decompositions [2, 27, 40].

234 ResMPS is different from transformer networks. Firstly, the transformer network is es-
 235 tablished on the attention mechanism, which is given by the inner product of “queries” and
 236 “keys”, while ResMPS contains higher order interaction of input features (see its connection
 237 to exponential machine in Sec. 3.2 for detail). Hence, the working mechanism of ResMPS, as
 238 explained in Sec. 2.2, is different to transformer networks. Secondly, A general transformer
 239 network consists of an encoder and a decoder. The encoder transforms a data sequence into a
 240 vector, while the decoder transforms the vector into another sequence. In contrast, ResMPS,
 241 and in general the MPS-based networks output a vector. Moreover, ResMPS does not need
 242 positional encoding, see appendix B for more discussions.

243 3 Properties of the residual structure

244 3.1 Avoiding the gradient problems by residual terms

245 A typical MPS architecture designed for pattern recognition contains hundreds of tensor cores.
 246 Such an architecture probably encounters gradient vanishing/exploding problems. To avoid
 247 the gradient problems, some existing MPS schemes apply a DMRG-like algorithm where the
 248 MPS takes the canonical form [5, 6, 10, 44]. In these attempts, however, the accuracy is sensitive
 249 to the hidden features’ dimensions (virtual bonds). Recently, an MPS algorithm was proposed
 250 based on the automatic gradient technique [31] that can achieve higher accuracy than the
 251 previous ones, while its performance is not sensitive to the virtual dimensions. To find out
 252 why such a deep network avoids the gradient problems, here we construct the tensor cores to
 253 satisfy a specific form given by Eq. (10). The identity in $T_{1,::}^{[n]}$ plays the role of “highway” to pass
 254 the information from the previous tensor core directly to the latter ones. The components $T_{2,::}^{[n]}$
 255 represent the residual terms, which is $\ll O(1)$. The application of residual conditions implies
 256 that each layer of ResMPS can easily express identity mapping. In other words, the architecture

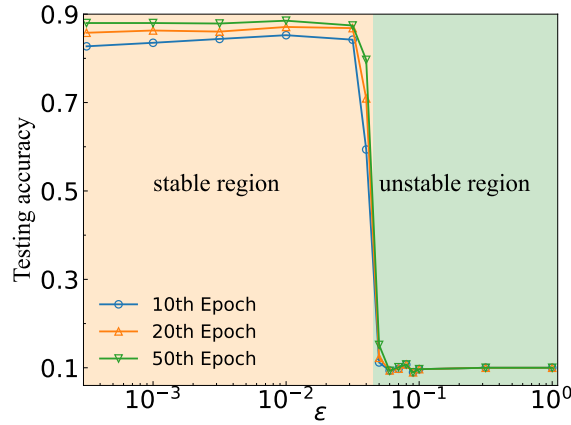


Figure 5: The testing accuracy of the sResMPS versus ε on Fashion-MNIST dataset. Here ε is the standard deviation of the initial residual part. We fix the number of epochs to be 10, 20, and 50. The network can be trained stably for small values of ε . Otherwise, the training process encounters gradient vanishing (or explosion) problems. The stable and unstable regions are illustrated by orange and green colors, respectively. Note that for the unstable region, the value of the network elements diverges. The network will give classifications randomly, thus, the accuracy tends to be 0.1.

257 of ResMPS satisfies the identity parameterization [21, 22, 45].

258 To further demonstrate the role of identity parameterization in ResMPS, we use Gaussian
 259 distributions with zero mean and standard deviation ε to randomly initialize the elements of
 260 $T_{2,\dots}^{[n]}$. Fig. 5 shows the testing accuracy at the 10-th, 20-th, and 50-th epochs. For a sufficiently
 261 small ε , the accuracy is quickly and stably converged. However, for relatively large ε [e.g.,
 262 $O(10^{-1})$] as illustrated by the green region, the gradients become unstable. Consequently, the
 263 accuracy stays around 0.1 and cannot improve further through the training process. Note that
 264 this may be unstable in most cases if instead of the identity parameterization, the entire T is
 265 randomly initialized.

266 3.2 Relations to polynomial expansion

267 The forward propagation of the sResMPS (4) is fully linear on the hidden features. Applying
 268 the maps to the initial hidden features \mathbf{h}_0 in sequence, we can then rewrite the output hidden
 269 features in an expansive form [Fig. 6 (b)] as

$$\begin{aligned} \mathbf{h}^{[N]} &= (\mathbf{I} + x_N \mathbf{W}^{[N]}) \dots (\mathbf{I} + x_2 \mathbf{W}^{[2]}) (\mathbf{I} + x_1 \mathbf{W}^{[1]}) \mathbf{h}^{[0]} \\ &= \sum_{k=0}^N \mathbf{M}^{[k]} \mathbf{h}^{[0]}, \end{aligned} \quad (15)$$

270 where N is the total number of features \mathbf{x} . The output $\mathbf{h}^{[N]}$ is the stack of $N + 1$ terms. The
 271 zeroth term satisfies $\mathbf{M}^{[0]} = \mathbf{I}$, which is the result of the information highway from the first
 272 input hidden features to the output. The term $\mathbf{M}^{[1]} = \sum_{a=1}^N x_a \mathbf{W}^{[a]}$ is the part in ResMPS
 273 which is linear on the features \mathbf{x} . The k -th term contains the k -th order contributions from \mathbf{x} ,

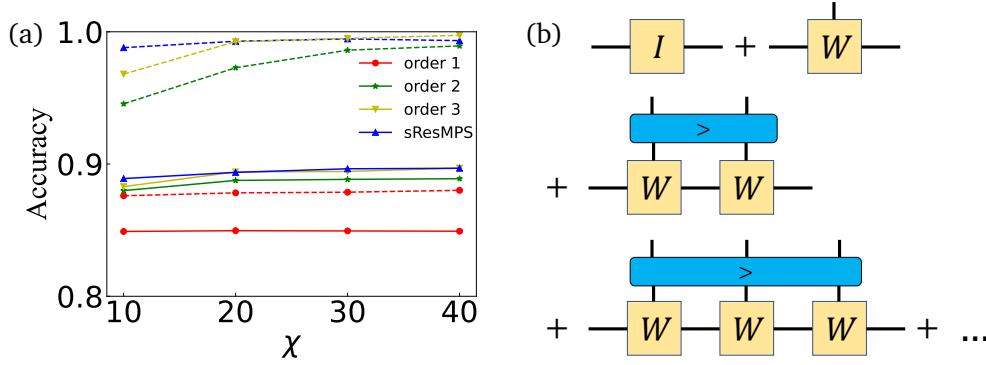


Figure 6: Polynomial expansion based on ResMPS. (a) Training (dashed) and testing (solid) accuracy versus χ by taking different orders in the expansion form; (b) An illustration of the polynomial expansion picture of the sResMPS, see Eq. (16).

274 i.e.,

$$\mathbf{M}^{[k]} = \sum_{\alpha_1 \dots \alpha_k=1}^N G_{\alpha_1 \dots \alpha_k} x_{\alpha_1} \dots x_{\alpha_k} \mathbf{W}^{[\alpha_1]} \dots \mathbf{W}^{[\alpha_k]}, \quad (16)$$

$$G_{a_1, a_2, \dots, a_n} = \begin{cases} 1, & a_1 > a_2 > \dots > a_n \\ 0, & \text{otherwise.} \end{cases} \quad (17)$$

275 This formula is actually a specific form of the Exponential Machines [25]. Due to their essential
 276 similarity, the algebraic properties of Exponential Machines are also valid for sResMPS. For
 277 instance, the output feature $\mathbf{h}^{[N]}$ is a linear mapping concerning the initial hidden feature h_0 ,
 278 and a multi-linear mapping concerning the feature \mathbf{x} .

279 Due to the residual condition [see Eq. (10) with $|\mathbf{W}^{[n]}| \ll O(10^{-1})$], the contributions from
 280 the higher-order terms of Eq. (16) should decay exponentially with k . Therefore, we can define
 281 a set of lower-order effective models by retaining the first few terms. For instance, by keeping
 282 the zeroth- and first-order terms in Eq. (16), we obtain a model in which the output features
 283 are linear to both hidden and sample features. Keeping the zeroth, linear, and quadratic terms
 284 gets a model

$$\mathbf{h}^{[N](2)} = \left(\mathbf{I} + \sum_{\alpha=1}^N x_{\alpha} \mathbf{W}^{[\alpha]} + \sum_{\alpha, \beta=1}^N G_{\alpha, \beta} x_{\alpha} x_{\beta} \mathbf{W}^{[\alpha]} \mathbf{W}^{[\beta]} \right) \mathbf{h}^{[0]}. \quad (18)$$

285 This model is similar to Factorization Machines [24] and polynomial NN [46].

286 Fig. 6 (a) shows the difference between the accuracy of several lower-order models and
 287 the sResMPS. This implies that the significant improvement achieved by the sResMPS has its
 288 root in a few lower-order terms, especially the linear term. As the order increases, the cost of
 289 directly computing Eq. (15) is also exponentially increased. Therefore, truncating the order
 290 of expansion is not economical. ResMPS adopts a different and efficient scheme for retaining
 291 all higher-order interactions.

292 4 Conclusion

293 We propose ResMPS by incorporating MPS with the information highways, non-linear activa-
 294 tions, and dropout. In contrast to FNN, the variational parameters in ResMPS are replaced by
 295 adjustable functions. For FNN, features are input at the first layer of the network. For ResMPS,

296 however, features are divided and input into the weight matrices of each layer, which is
 297 inherited from MPS. Furthermore, the introduction of neural network structures in the ResMPS
 298 brings more vital representation power than the usual MPS. For concreteness, we present two
 299 specific versions of ResMPS.

300 The first derived architecture, sResMPS, is simply a linear version of ResMPS. By comparing
 301 MPS' learning performance on the Fashion-MNIST dataset, we reveal the channel redundancy
 302 of MPS. sResMPS thus discards the redundant channel. Consequently, it achieves consistent
 303 accuracy while the parameter complexity is reduced by half.

304 The second derived architecture, aResMPS, is equipped with activation and dropout layers.
 305 We compare aResMPS with several TN and NN models on the Fashion-MNIST dataset. The
 306 activation and dropout layer enhance the non-linearity and generalization ability of the model,
 307 respectively. Therefore, aResMPS surpasses the state-of-the-art TN methods and AlexNet in
 308 terms of accuracy, although it is still inferior to ResNet formed by stacking multiple convolution
 309 layers. Going beyond present aResMPS to achieve higher accuracy, e.g., replacing the weight
 310 matrices with convolution layers, is a valuable improvement direction of ResMPS.

311 The perspectives of the residual network derive the polynomial expansion of ResMPS. The
 312 benefits are two-fold. Firstly, we give the condition of vanishing/explosion of the gradients
 313 of ResMPS. This helps the feature design of MPS and ResMPS algorithms with stable conver-
 314 gence. Secondly, it establishes the equivalence between MPS and polynomial networks such as
 315 Factorization Machines and Exponential Machines. Further numerical evidence suggests that
 316 the contribution of high-order terms is insignificant. This helps to better understand the MPS
 317 and ResMPS.

318 Are other NN structures (e.g., convolution and pooling layers) compatible with ResMPS?
 319 Is it possible to propose a ResMPS structure based on general NN structures (e.g., Tree TN or
 320 Projected Entangled-Pair States)? These problems are worthy of investigation in the future.

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329 A Training details

330 All benchmarks of this paper are implemented on MNIST and Fashion-MNIST datasets, each
 331 of which includes 60,000 training and 10,000 testing grayscale images with $L = 10$ labels.
 332 To fit inputs of ResMPS, we choose a specific path to reorder 2D pixels into a 1D squence
 333 $\{(x_1, x_2, \dots, x_n; n = 784) | x_i \in [0, 1]\}$, where 784 is the pixel number of one image. For single
 334 channel ResMPS, e.g., the sResMPS, there is no need to feature map the original data. And
 335 for double channel ResMPS, a linear feature map $(x, 1 - x)$ is adapted to match the channel
 336 dimension. The dimension of the hidden feature χ is set to 100, which is sufficient large for
 337 accuracy yet economical. The predicted classification is given by the largest component

$$f(\mathbf{x}) = \operatorname{argmax}_l f^{(l)}(\mathbf{x}), \quad (19)$$

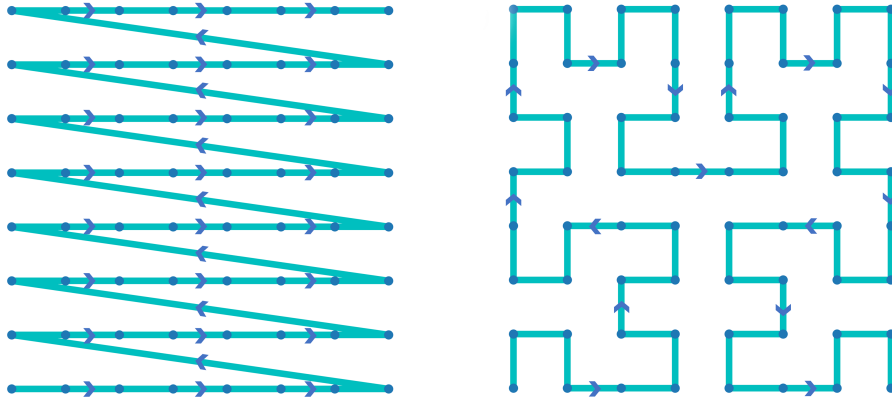


Figure 7: The zigzag path (left) and the Hilbert path (right).

338 where $f^{(l)}(\mathbf{x})$ is the overall mapping of ResMPS with $l = 1, \dots, L$ denotes classification. To train
 339 ResMPS, we use the Stochastic Gradient Descent (SGD) method with Adam optimizer [47] and
 340 learning rate 10^{-4} . Data are divided into mini-batches of size 1000. We choose cross-entropy
 341 as the loss function,

$$CE = - \sum_{(\mathbf{x}_i, y_i) \in \mathcal{D}} \log \text{softmax}^{(y_i)}(\mathbf{x}_i) \quad (20)$$

342 where

$$\text{softmax}^{(y_i)}(\mathbf{x}_i) = \frac{e^{f^{(y_i)}(\mathbf{x}_i)}}{\sum_{l=0}^{L-1} e^{f^{(y_l)}(\mathbf{x}_i)}}. \quad (21)$$

343 Here \mathcal{D} is the data set of a mini-batch, and \mathbf{x}_i and y_i are the i -th input and classification
 344 respectively. If dropout is taken, the corresponding probability is set to 0.6. We take ReLU
 345 for the non-linear case. The whole implementation is based on the PyTorch library, and the
 346 relevant code is available on GitHub.

347 B Path independency

348 ResMPS naturally deals with sequential data, but general data like images are usually high-
 349 dimensional. Therefore, one needs to choose a specific path to unfold high-dimensional data.
 350 To study how path choice effects the performance, we here compare three typical paths —
 351 zigzag path, Hilbert path, and random path. The first two are illustrated in Fig. (7). The zigzag
 352 path, as the most popular one, arranges data row by row; the Hilbert path preserves more
 353 neighboring information than others; as for the random path, the original position information
 354 is completely dropped, i.e., all points are collected together, shuffled completely, and then
 355 reordered in a random way. Note that the numbers of rows and columns of the Hilbert path
 356 need to fit 2^n with n a positive integer, so we need to extend the original image by using
 357 borders consisting of zeros. For the case of MNIST and Fashion-MNIST, image size is extended
 358 from 28×28 to 32×32 .

359 We run benchmarks for sResMPS without activation and dropout on the Fashion-MNIST
 360 dataset. The hidden dimension is set to be 40 and other training details are the same as
 361 appendix A. The result is shown in Fig. 8. To our surprise, there is no significant performance
 362 difference observed, even the random path still performs well.

363 The unexpected result seems contrary to the exponential decay behavior of entanglement
 364 entropy of MPS [48], and hints the existence of long-range information. We suppose that
 365 this inconsistency is mainly due to the introduction of residual connection, which preserve the

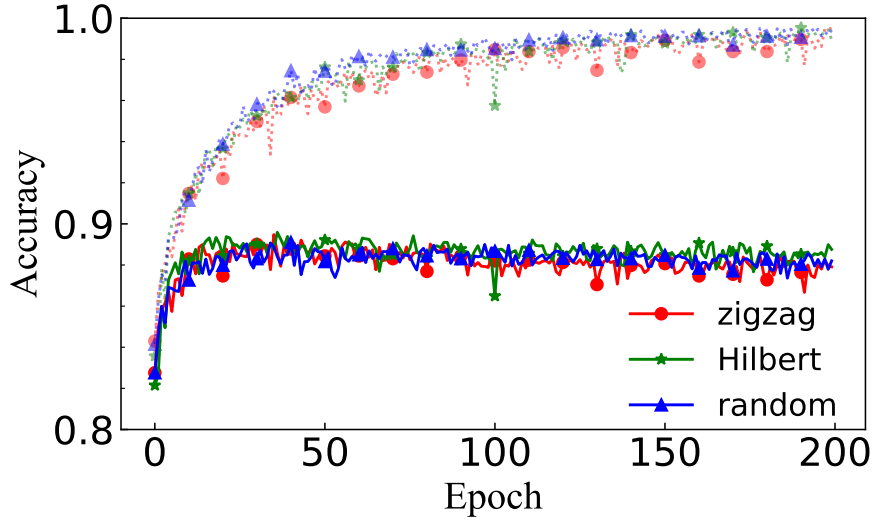


Figure 8: Convergence history of different paths on Fashion-MNIST dataset.

Table 2: Benchmarks for fine partitioned models. sResMPS and aResMPS corresponds to the first and the last row respectively. Other models filled the gap between sResMPS and aResMPS.

Model	MPS Train	MPS Test	Fashion- MNIST Train	Fashion- MNIST Test
1-channel, -ReLU, -dropout	1.0000	0.9873	0.9987	0.8909
1-channel, -ReLU, +dropout	1.0000	0.9889	0.9618	0.9022
1-channel, +ReLU, -dropout	1.0000	0.9864	1.0000	0.8957
1-channel, +ReLU, +dropout	1.0000	0.9885	0.9904	0.9108
2-channel, -ReLU, -dropout	1.0000	0.9880	0.9988	0.8970
2-channel, -ReLU, +dropout	1.0000	0.9896	0.9899	0.9081
2-channel, +ReLU, -dropout	1.0000	0.9873	1.0000	0.9102
2-channel, +ReLU, +dropout	1.0000	0.9907	0.9999	0.9142

366 sensitivity of gradient in the long-range, and presumably maintains the long-range correlation.

367

368 C Additional benchmarks

369 Note that aResMPS can achieve better performance than sResMPS, while the former differs to
 370 the later in three aspects: the channel number is doubled, the nonlinear action and dropout are
 371 added. To explore the contribution of each aspect to the final performance, we use different
 372 combinations to give more fine partitioned models. The benchmark results are shown in Ta-
 373 ble 2. From the experimental results, we can see that these components more or less improve
 374 the performance, and aResMPS as the most complex one achieves maximum performance.

375 The result of the pruning test shows that the hidden dimension χ does not affect the
 376 accuracy, but this statement is only valid for sufficiently large χ . If we gradually reduce the
 377 value of χ , a predictable result is that the dimensions of hidden space are too small, so that
 378 different classes become hard to distinguish. We show convergence procedure of sResMPS for
 379 small χ s, see Fig. 9. The results show that increasing the bond dimension indeed helps to

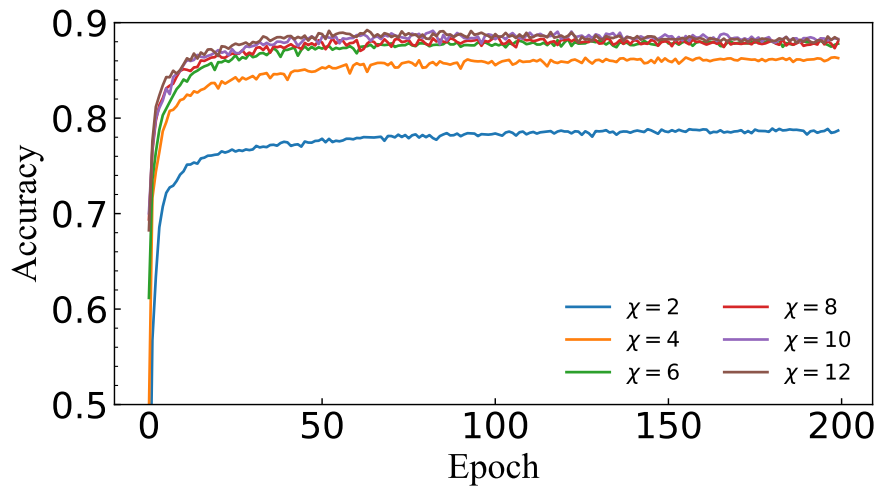


Figure 9: Testing accuracy for sResMPS on Fashion-MNIST dataset for various hidden dimension χ . The performance will increase as χ increase for small χ , and achieves saturation after a critical point about $\chi_c = 6$, which is the same as the target space dimension.

380 improve the accuracy, but only for a small enough χ . Once a certain threshold is exceeded,
 381 increasing χ has no benefit to accuracy growth. Another fact is that even a really small value
 382 of hidden dimension $\chi = 2$ has already achieved about 78% accuracy.

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