

Recent progresses on BSM and Dark Matter searches with CUORE

A. Branca^{1,2} on behalf of the CUORE Collaboration

¹ Phys. Dep. Università di Milano-Bicocca, piazza della Scienza 3, Milano, Italy

² INFN, Sezione di Milano-Bicocca, piazza della Scienza 3, Milano, Italy

antonio.branca@mib.infn.it

October 5, 2022

1



14th International Conference on Identification of Dark Matter

Vienna, Austria, 18-22 July 2022

doi:[10.21468/SciPostPhysProc.](https://doi.org/10.21468/SciPostPhysProc.)

2

3 Abstract

4 The Cryogenic Underground Observatory for Rare Events (CUORE) is the first bolometric
5 $0\nu\beta\beta$ experiment to reach the one-tonne mass scale. The detector, located underground
6 at the Laboratori Nazionali del Gran Sasso in Italy, consists of 988 TeO_2 crystals arranged
7 in a compact cylindrical structure of 19 towers, operating at a base temperature of about
8 10 mK. After beginning its first physics data run in 2017, CUORE has since collected the
9 largest amount of data ever acquired with a solid state detector and provided the most
10 sensitive measurement of $0\nu\beta\beta$ decay in ^{130}Te ever conducted. The large exposure, sharp
11 energy resolution, segmented structure and radio-pure environment make CUORE an
12 ideal instrument for a wide array of searches for rare events and symmetry violations.
13 New searches for low mass dark matter, solar axions, CPT and Lorenz violations, and
14 refined measurements of the $2\nu\beta\beta$ spectrum in CUORE have the potential to provide new
15 insight and constraints on extensions to the standard model complementary to other
16 particle physics searches. In this contribution, the recent progress on BSM and dark
17 matter searches in CUORE are discussed.

18 1 Introduction

19 The CUORE experiment, thanks to the large mass, low background budget and low energy
20 thresholds, has the capability to carry out different BSM and Dark Matter searches. In the fol-
21 lowing, before going into the details of these searches, the CUORE experimental technique and
22 setup are outlined. The current status of data-taking and detector stability are also discussed,
23 giving a brief overview on the outstanding result achieved in the $0\nu\beta\beta$ decay search, thanks
24 to the good performance of the detector. The focus of the discussion is then moved on the
25 tools and analysis techniques developed to search for BSM and Dark Matter with CUORE, and
26 the results achieved so far in these topics.

27 2 The CUORE experiment

28 CUORE (Cryogenic Underground Observatory for Rare Events) [1] is the first cryogenic de-
29 tector exploiting the bolometric technique at the tonne-scale, with the detector core working

30 at temperatures around 10 mK. The experimental setup is running in Hall A at the Laboratori
 31 Nazionali del Gran Sasso (LNGS) labs, in Italy, at a depth of 3600 mwe. Built with the primary
 32 goal to test the lepton number violation through $0\nu\beta\beta$ decay, it is also a powerful tool to go
 33 beyond, and search for BSM and Dark Matter events.

34 The bolometric detectors are $5\times 5\times 5$ cm³, 750 g TeO₂ crystals in thermal equilibrium,
 35 through PTFE holders, with a copper frame acting as heat sink at $T\sim 10$ mK. The milli-Kelvin
 36 temperatures allow to get an heat capacity as low as $C\sim 10^{-9}$ J/K, such that an energy deposi-
 37 tion of 1 MeV causes an increase in temperature of a crystal of 100 μ K. The rise in temperature
 38 is readout through Neutron Transmutation Doped (NTD) Ge thermistors. The full detector con-
 39 sists of 988 crystals arranged in 19 copper frame towers, each with 13 floors and 4 crystals
 40 per floor. A total TeO₂ mass of 742 kg (206 kg of ¹³⁰Te, 189 kg of ¹²⁸Te and 0.5 kg of ¹²⁰Te)
 41 is achieved. A closely packed detector array, with high granularity and minimized material
 42 facing the crystals, is functional for the reduction and tagging of the radioactive backgrounds.

43 The cryogenic system is a challenge by itself [2], consisting in a multistage cryogen-free
 44 cryostat (5 pulse-tubes and a custom dilution-unit) with high duty cycle. The detector is me-
 45 chanically decoupled from the cryostat and outside environment to mitigate energy dissipation
 46 from vibrations. Radioactive backgrounds are reduced exploiting different approaches: mate-
 47 rial screening and accurate selection, cleaning of copper surfaces facing crystals, modern and
 48 Roman lead shieldings and strict protocols for crystal growing. Moreover, deep underground
 49 installation and neutron shielding prevent cosmic ray muons and muon induced backgrounds.

50 3 Data-taking, stability and $0\nu\beta\beta$ result

51 CUORE started data-taking in Spring 2017, and a series of commissioning, optimisation and
 52 operations campaigns went on during the next months. Continuous data-taking since 2019 is
 53 now ongoing. As of May 2022, a total uptime of $\sim 90\%$ and more than 1.8 tonne-year of expo-
 54 sure has been collected. As an example, a very good temperature stability, with temperature
 55 variations less than 1% over a one year data-taking period, is achieved.

56 Recently, the CUORE Collaboration achieved the most sensitive ¹³⁰Te $0\nu\beta\beta$ decay result
 57 with 1 tonne · year exposure [3]. No evidence for the $0\nu\beta\beta$ decay has been found and a lower
 58 bound of $T_{1/2}^{0\nu} > 2.2\times 10^{25}$ yr on the half-life of the process has been set at 90% credibility inter-
 59 val. This is converted to an upper limit on the effective Majorana mass of $m_{\beta\beta} < 90-305$ meV.
 60 The background index in the region of interest is $\sim 1.49(4)\times 10^{-2}$ counts/keV/kg/yr and the
 61 energy resolution at the process Q-value is 7.8 ± 0.5 keV FWHM.

62 4 Tools for beyond Standard Model searches

63 Beyond Standard Model processes produce very low energy deposits in the CUORE crystals.
 64 The ability to identify the corresponding pulses with a good efficiency is mandatory to perform
 65 searches with good sensitivity. During online data-taking a derivative trigger (DT) is used for
 66 on-the-fly data quality monitoring. Offline data undergo a re-triggering procedure with an
 67 optimal trigger (OT) algorithm, based on the optimum filter technique [4], and the identified
 68 pulses are analyzed to produce all physics searches. The advantage of the OT is that the values
 69 for the energy thresholds are about $\sim 4-5$ keV, whereas for the DT they are about ~ 40 keV, for
 70 a trigger efficiency of 90% [5].

71 A denoising procedure is also being developed to remove the vibrational noise leaking into
 72 the bolometric channels. The idea is to exploit accelerometers, antennae and microphones
 73 installed in the experimental site to identify and measure the source of noise, the information

74 is then used in the denoising procedure.

75 In order to build a low energy spectrum ($E < 100$ keV), used in particular for Dark Matter
 76 and axion searches, a further cleaning of the OT triggered data is needed. Non-physical events
 77 near trigger threshold leaking into the spectrum need to be discarded. Such noise events are
 78 due to tower vibrations, electronic noise, energy deposits in the NTDs, and can mimic signal
 79 pulses. A pulse-shape discrimination variable, OT_{χ^2} , is exploited [6]: it is defined as the χ^2
 80 from the fit of the pulse under test with a template drawn from the average pulse of the
 81 considered channel. The distribution of OT_{χ^2} as a function of the event energy shows that real
 82 signal events lay in a band around $OT_{\chi^2} \sim 1$, whereas noise events populate an oblique band
 83 starting from $OT_{\chi^2} \sim 1$ at low energies and extending to larger OT_{χ^2} values at higher energies.
 84 In CUORE0 a Kolmogorov-Smirnov (KS) algorithm based on the OT_{χ^2} shape was developed
 85 to search for the best energy thresholds above which only signal events are selected. A new
 86 approach has been developed for CUORE [7], in order to face the problem of building the
 87 OT_{χ^2} shape within the KS algorithm computation. In fact, thanks to the reduction of the
 88 background budget, CUORE experiences a lower event rate with respect to CUORE0. The
 89 ballpark of the analysis energy thresholds computed with this new method is around 20 keV,
 90 and do not include the denoising procedure yet.

91 5 BSM and Dark Matter searches

92 5.1 BSM in $2\nu\beta\beta$ spectral shape distortion

93 CPT violation and Majoron emission processes affect the spectral shape of the $2\nu\beta\beta$ decay
 94 spectrum. Thus, searches for these BSM physics processes in CUORE are based on finding
 95 very small distortions of the $2\nu\beta\beta$ decay spectrum.

96 The Standard Model invariance under Lorentz transformation implies invariance under
 97 CPT. Observation of a violation of these symmetries would imply existence of BSM physics.
 98 The Standard Model Extension (SME) effective theory includes Lorentz violating operators, a
 99 subset of which also violates CPT (countershaded operators). The effect of CPT breaking op-
 100 erator is a modification of the phase-space properties in $2\nu\beta\beta$, implying a modification of the
 101 form of the decay spectrum. In particular, the spectral index of the $2\nu\beta\beta$ spectrum is 5, while
 102 that of the CPT violation term is 4. The scale factor of this last term, $\hat{a}_{of}^{(3)}$, is the parameter
 103 of interest of the CPT violation search. The following analysis strategy has been developed
 104 and tested. A background model for CUORE is built from the fit of the simulated spectra from
 105 different contributions to the measured energy spectrum (Bayesian fit with JAGS) [8], and the
 106 CPT violating term is included as an additional component of the background model fit. A
 107 sensitivity study is carried on: for each given exposure, a set of toy-MC spectra are generated
 108 according to background only hypothesis; a fit with the signal plus background model is per-
 109 formed on each toy-MC. The likelihood is marginalised over all nuisance parameters, and the
 110 posterior for the decay rate related to the CPT violating term is evaluated. A 90% confidence
 111 interval is computed from the posterior, from which an exclusion sensitivity is obtained for the
 112 parameter of interest. The distribution of the computed limits from the set of toy-MC allows
 113 to obtain a median sensitivity, together with 1 and 2 σ bands. An analysis of physics data is
 114 then performed, by a Bayesian fit to the spectrum from data with the signal plus background
 115 model, and an upper limit on the parameter of interest is set. The systematics are not included
 116 yet: in the near future they will be worked out and taken into account in the analysis. Only
 117 86.3 kg·yr of exposure is used for the development and validation of the analysis procedure.
 118 Details about the developed analysis can be found here [9]. An update of the results with the
 119 full available statistics is ongoing.

120 The $0\nu\beta\beta$ decay process with only electrons in the final state is not the only decay mode
 121 possible. Proposed models predict the emission of 1 or 2 neutral bosons, Majorons, together
 122 with the two electrons in the $0\nu\beta\beta$ decay final state. The experimental signature, like in the
 123 CPT violation case, is a continuous energy spectrum of the total energy from the two emitted
 124 electrons, with spectral index value depending on the considered model (possible values for
 125 the spectral index are 1, 2, 3 and 7). As for the CPT violation analysis, the background model
 126 for CUORE is an essential ingredient for the Majoron analysis. The component with given
 127 spectral index from a Majoron emission model is included in the background model fit and a
 128 similar procedure to that of CPT violation is adopted for the signal search in the data. Analysis
 129 of physics data is performed with a Bayesian fit to the spectrum from data with the signal plus
 130 background model and an upper limit on the half-life of each Majoron model is set, which is
 131 interpreted as an upper limit on the models coupling constant. An exposure of 387.5 kg-yr is
 132 used to develop and validate the analysis procedure, and also in this case an update with the
 133 full statistics is ongoing. Details about the developed analysis can be found here [10].

134 As discussed above, these analyses strongly rely on a good understanding of the back-
 135 ground of the CUORE experiment. The Collaboration already developed a reliable and solid
 136 background model, nonetheless the model keeps improving. A larger statistics of 1 tonne-yr is
 137 being used to refine the background model, including even more components spread across the
 138 cryostat. The improved background model will certainly be beneficial to boost the sensitivity
 139 of the analyses based on the spectral shape distortions that have been discussed.

140 5.2 Solar axions and WIMPs

141 Dark Matter searches are focused on solar axions and WIPMs analyses. Solar axions are emit-
 142 ted by the de-excitation of the first ^{57}Fe level, thermally populated in the core of the Sun. The
 143 detection in the TeO_2 crystals is based on the axio-electric effect, with a signature character-
 144 ized by a peak in the energy spectrum at 14.4 keV. The analysis was developed and validated in
 145 past CUORE crystal validation runs [11], and is sensitive to the $g_{Ae} \times g_{AN}^{eff}$ coupling constant.
 146 Work is in progress to implement the analysis with CUORE data. Another detection technique
 147 is based on the inverse-coherent Bragg-Primakov conversion in the bolometric crystals: the ax-
 148 ion couples to the crystal lattice charge through a virtual photon and the interaction produces
 149 a photon only if Bragg's condition is satisfied (dependence given by the Sun-CUORE detector
 150 angle). The strategy is to look at the counting rate as a function of time over a single day and
 151 analyze it with a time-correlation method [12]. In this case, the analysis is sensitive to the
 152 $g_{A\gamma\gamma} \times g_{AN}^{eff}$ coupling constant, and is now being developed for the CUORE data.

153 WIMPs analysis technique is based on the recoil rate annual modulation due to the motion
 154 of Earth around Sun. TeO_2 crystals are good targets, since they combine heavy Te nucleus and
 155 light O nucleus, which helps enhancing the sensitivity to low WIMP masses. The CUORE0 data
 156 have been exploited to estimate the CUORE sensitivity [6], assuming the same background rate
 157 and analysis thresholds. The low energy spectrum of CUORE0 features a peak like structure
 158 between about 30-45 keV, present in all crystals. The physical origin might be due to contam-
 159 ination in the material facing the detectors, and is under investigation in CUORE. The chosen
 160 region of interest for the sensitivity study is between 10-28 keV, excluding the peak structure.
 161 The strategy to extract the sensitivity is as follows: for each point of the parameter space
 162 (m_W, σ_{SI}) a fit to the time integrated energy spectrum with signal plus background model is
 163 done, to extract best fit background coefficients; obtained background parameters are used to
 164 generate 100 toy-MC experiments; for each toy-MC the annual modulation likelihood, \mathcal{L}_{AM} ,
 165 and the null hypothesis likelihood, \mathcal{L}_{null} , are maximised and the maximum likelihood ratio is
 166 computed; the experimental sensitivity is computed as the parameter space points for which
 167 at least 90% experiments prefer annual modulation hypothesis with respect to the null one.

168 The projection to 5 years CUORE data (75% duty cycle) with thresholds between 10-28 keV
169 shows that most of the DAMA positive signal region can be excluded. Now CUORE, being in
170 continuous data-taking since 2019, has the data to compute the actual sensitivity and perform
171 the search. The work is in progress in such direction.

172 5.3 Barion number violation

173 Violation of barion number is essential to explain matter-antimatter asymmetry in the universe.
174 In CUORE a search for the barion number violating process $^{130}\text{Te} \rightarrow ^{127}\text{In} + e^+ + \pi^+ + \pi^+$
175 is being developed. The subsequent β^- and γ decay chain of ^{127}In involves a prompt and a
176 delayed signal, which can be tagged in two crystals. A broad-cut, accounting for both γ s and
177 β s, and a narrow-cut, accounting only for γ s, are being explored. A sample of 10^6 ^{127}In has
178 been simulated with the full CUORE Geant4 simulation, including also the detector response. A
179 preliminary study shows that the searched signals can be well identified. Dedicated studies for
180 background rejection, from accidental coincidences, neutron and muon spallation, are being
181 performed.

182 6 Conclusion

183 The CUORE experiment is running in stable conditions. Data-taking started in Spring 2017,
184 alternating periods of commissioning, optimization and operations. Continuous data-taking
185 is ongoing since early 2019. A set of tools needed for BSM and Dark Matter searches are in
186 place, moreover a new approach to cancel the background not originated from particles and
187 leaking into the bolometric channels, dubbed as denoising, is being developed and tested. A
188 set of BSM and Dark matter analyses have been developed and validated with a subset of
189 the available data: CPT violation, $0\nu\beta\beta$ with Majoron emission, solar axions and WIMPs. A
190 barion number violation analysis, tri-nucleon decay, is being developed. Work is in progress
191 to perform the analyses on the full available statistics acquired by CUORE.

192 References

- 193 [1] CUORE Collaboration, Searching for Neutrinoless Double-Beta Decay of Te-
194 130 with CUORE, *Advances in High Energy Physics* 2015, 879871 (2015),
195 doi:<https://doi.org/10.1155/2015/879871>.
- 196 [2] CUORE Collaboration, CUORE opens the door to tonne-scale cryogenics ex-
197 periments, *Progress in Particle and Nuclear Physics* 122, 103902 (2022),
198 doi:<https://doi.org/10.1016/j.pnpnp.2021.103902>.
- 199 [3] CUORE Collaboration, Search for Majorana neutrinos exploiting millikelvin cryogen-
200 ics with CUORE, *Nature* 604, 53–58 (2022), doi:[https://doi.org/10.1038/s41586-022-](https://doi.org/10.1038/s41586-022-04497-4)
201 [04497-4](https://doi.org/10.1038/s41586-022-04497-4).
- 202 [4] S. Di Domizio, F. Orio, M. Vignati, Lowering the energy threshold of large
203 mass bolometric detectors, *Journal of Instrumentation* 6, P02007 (2011),
204 doi:<https://doi.org/10.1088/1748-0221/6/02/P02007>.
- 205 [5] S. Di Domizio, A. Branca, A. Caminata, L. Canonica, S. Copello, A. Giachero,
206 E. Guardincerri, L. Marini, M. Pallavicini and M. Vignati, A data acquisition

- 207 and control system for large mass bolometer arrays, JINST 13, P12003 (2018),
208 doi:<https://doi.org/10.1088/1748-0221/13/12/P12003>.
- 209 [6] CUORE Collaboration, Low energy analysis techniques for CUORE, Eur. Phys. J. C 77,
210 857 (2017), doi:<https://doi.org/10.1140/epjc/s10052-017-5433-1>.
- 211 [7] CUORE Collaboration, Performance of the low threshold Optimum Trigger on CUORE
212 data, J. Phys.: Conf. Ser. 1468 012118 (2020), doi:<http://dx.doi.org/10.1088/1742-6596/1468/1/012118>.
213
- 214 [8] CUORE Collaboration, The projected background for the CUORE experiment, Euro-
215 pean Physical Journal C 77, 543 (2017), doi:<https://doi.org/10.1140/epjc/s10052-017-5080-6>.
216
- 217 [9] I. Nutini, The CUORE experiment: detector optimization and modelling and CPT con-
218 servation limit, Ph.D. Thesis, [CUORE Collaboration webpage](#).
- 219 [10] C. Davis, Search for Neutrinoless Double-Beta Decay with Majoron Emission in CUORE,
220 Ph.D. Thesis, [CUORE Collaboration webpage](#).
- 221 [11] F. Alessandria et al, The low energy spectrum of TeO₂ bolometers: results and dark
222 matter perspectives for the CUORE-0 and CUORE experiments, JCAP 01 (2013) 038,
223 doi:<https://doi.org/10.1088/1475-7516/2013/01/038>.
- 224 [12] D. Li, R.J. Creswick, F.T. Avignone III, Y. Wang, Theoretical estimate of the
225 sensitivity of the CUORE detector to solar axions, JCAP 10 (2015) 065,
226 doi:<https://doi.org/10.1088/1475-7516/2015/10/065>.