# Fractionally Charged Particles at the Energy Frontier: The SM Gauge Group and One-Form Global Symmetry

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## Abstract

The observed Standard Model is consistent with the existence of vector-like species with electric charge a multiple of e/6. The discovery of a fractionally charged particle would provide nonperturbative information about Standard Model physics, and furthermore rule out some or all of the minimal theories of unification. We discuss the phenomenology of such particles and focus particularly on current LHC constraints, for which we reinterpret various searches to bound a variety of fractionally charged representations. We emphasize that in some circumstances the collider bounds are surprisingly low or nonexistent, which highlights the discovery potential for these species which have distinctive signatures and important implications. We additionally offer pedagogical discussions of the representation theory of gauge groups with different global structures, and separately of the modern framework of Generalized Global Symmetries, either of which serves to underscore the bottom-up importance of these searches.

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## 27 **1** Introduction

The Fundamental Charge Quantum of QED What is the fundamental quantum of electric charge in the infrared quantum electrodynamics of our universe? This is an important particle physics question which is as yet unresolved. The Bayesian prior of high energy theory orthodoxy expects the answer to be e, the electric charge of the electron. If the Standard Model fields are *ever* unified in SU(5) or SO(10), this is necessarily true.<sup>1</sup>

But a lesson one could contemplate from recent decades of Beyond the Standard Model physics is that grand theories about the ultraviolet which we have come to love seem not to be realized in quite the way we thought. We have not produced superparticles, nor directly detected dark matter, nor found exotic kaon decays, nor observed an electron electric dipole moment. And we have not seen protons decay. We should indeed always be questioning which of our cherished principles to cling to, and which to consider counterfactually.

Notably, with less ambitious unification schemes we can have a smaller quantum of electric charge. As examples, in Pati-Salam theories (where we do not have full gauge coupling unification) the fundamental infrared charge can be e/2, and in theories of trinification (where we must add additional fermions) the quantum can be e/3. If the Standard Model matter never organizes into one of these minimal unified theories, then the fundamental quantum of charge can be e/6.<sup>2</sup> In more exotic scenarios that would even more generally challenge our usual UV paradigms, the charge could be even smaller.

The core message of our work is that particles with O(1) electric charges are an important probe of ultraviolet physics which have a universal infrared understanding. And it is not unreasonable to believe that they could exist near the electroweak scale to be found at the energy frontier. After all, we have only recently uncovered the full chiral spectrum of the Standard Model; it is certainly possible that this matter content cannot tell apart different UV scenarios but that our discovery of the least-massive vector-like states will distinguish them further. One may be misled into thinking that the question of the smallest charge of quantum

<sup>&</sup>lt;sup>53</sup> electrodynamics is ultimately a question about *normalization*, and should not make much dif-

<sup>&</sup>lt;sup>1</sup>For a reminder of the experimental and theoretical reasons which would point one toward this preference, see Witten's beautiful 2002 Heinrich Hertz Lecture 'Quest for Unification' [1].

<sup>&</sup>lt;sup>2</sup>Early work on extended models of unification which feature fractionally charged particles includes [2–9], and early discussions of the appearance of fractionally charged particles in string theories include [10–13].

<sup>54</sup> ference physically. It is true that the perturbative physics of QED is not modified in any case.

<sup>55</sup> But the nonperturbative physics *is* modified, as we will discuss in detail below.

And while the nonperturbative physics of the Standard Model is difficult to access with only the SM degrees of freedom, the discovery of a new particle can reveal nonperturbative aspects of the Standard Model physics. We learn that the allowed charges of magnetic monopoles, the spectrum of fractional instantons, and the possible Aharonov-Bohm phases are all modified. And as we have just said, the possibilities for the Standard Model species to unify in the ultraviolet depend crucially on this nonperturbative physics. This means that determining the fundamental charge quantum of QED could falsify large classes of models of grand unification, or notontially all of them

<sup>63</sup> or potentially all of them.

From QCD to QED Do not be confused by the charges of the quarks—by quantum electrodynamics we mean a long-distance theory far below the scale of confinement where the degrees
of freedom are leptons and hadrons. The particular pattern of Yang-Mills representations we
see borne out in the Standard Model unavoidably implies that all colorless hadrons have charge
quantized in units of *e*, the electron's charge.

<sup>69</sup> We can see this with a quick representation-theoretic argument, and we'll understand <sup>70</sup> what's happening more generally in Section 6. Let us begin with the Standard Model hav-<sup>71</sup> ing flowed to energies below electroweak symmetry breaking. At these energies it is sensible <sup>72</sup> to speak of quarks as Dirac fermions, as in Table 1. Of the known colored particles, each quark <sup>73</sup>  $\psi_i^a$  in the fundamental **3** representation has electric charge  $q_i$  which obeys  $3q_i = 2 \pmod{3}$ , <sup>74</sup> and their antiparticles the  $\bar{\mathbf{3}}$  anti-fundamental  $\bar{\psi}_{jb}$  necessarily have  $3q_j = 1 \pmod{3}$ . The <sup>75</sup> gluons in the adjoint 8 are of course electrically uncharged.

	u <sub>i</sub>	d <sub>i</sub>	g
$SU(3)_C$	3	3	8
$U(1)_{\rm EM}$	$\frac{2}{3}$	$-\frac{1}{3}$	0

Table 1: Colored particles in the Standard Model after electroweak symmetry breaking. i is the generation index and here we use Dirac fermions. The charge is given in units of e.

The only invariant tensors of  $SU(3)_C$  are  $\delta^a_b$ ,  $\varepsilon^{abc}$ , and  $\varepsilon_{abc}$ , and we seek to build composite operators which are colorless. Working (mod 3), we see  $\delta^a_b$  pairs a 1 with a 2, and the Levi-Civita symbol composes three of the same charge—either way resulting in an electric charge  $\sum 3q_i = 0 \pmod{3}$ . Dividing through by three, this is exactly the condition that every hadron has electric charge an integer multiple of *e*. For an arbitrarily complex bound state, ultimately color indices can only be contracted in these ways, and the same argument applies.

So with the particles of the Standard Model, there are *no* asymptotic states with fractional charge. But it is not clear from this argument whether this fundamentally must be the case, or whether this relationship might be broken once we discover new BSM particles. Indeed we do not know the answer, which ultimately must be settled by empirical data. We can understand the issue systematically and gauge-invariantly as being a question about a certain generalized symmetry which infrared physics may or may not have.

Generalized Global Symmetries While the local, perturbative physics is not modified by the charge quantum, the nonperturbative physics certainly is. A useful strategy to understand these aspects systematically is by enlarging our notion of symmetries to include symmetries of extended operators that appear in our field theories, such as Wilson and 't Hooft loops. Symmetries that act on such one-dimensional line operators are known as 'one-form symmetries'—to <sup>93</sup> be contrasted with symmetries that act on local, point operators which are called 'zero-form
 <sup>94</sup> symmetries'.

From the modern field theory perspective, which such one-dimensional gauge-invariant 95 operators exist is part of the data needed to define a quantum field theory [14–19]. As a 96 basic picture one can think of these operators as accessing the response of the system to a 97 probe particle in a particular representation in the limit where the probe particle is infinitely 98 massive so that it has a well-defined worldline. Note that we do not specify that the worldline 99 must be a geodesic, or even timelike. With a spacelike worldline, one is familiar with using 100 a Wilson loop operator  $\exp(i \oint_{V} A)$  to understand the Aharonov-Bohm effect where we think 101 about adiabatically moving an electron on the spatial path  $\gamma$  around a solenoid (or possibly a 102 cosmic string). 103

As such, to fully understand the quantum field theory describing the particles of the Stan-104 dard Model, we must also analyze the symmetries of the one-dimensional gauge-invariant op-105 erators we can write down, whether in the electroweak phase or at lower energies. In the full 106 Standard Model the different 'global structures of the gauge group' (to be reviewed below) are 107 exactly the question of whether the Standard Model has a discrete group of electric one-form 108 global symmetries, or whether (some of) these electric one-form symmetries should actually 109 be gauged to instead produce extra *magnetic* one-form global symmetries. This trade-off is as 110 could be expected from Dirac quantization. 111

Furthermore, this generalized symmetry language will provide a unifying, general understanding of what we learn from experimentally probing the existence of fractionally charged particles at the energy frontier. The question of the charge quantum of quantum electrodynamics can be rephrased universally in terms of emergent global electric one-form symmetry. We will introduce these concepts pedagogically in Section 7.

Such one-form symmetries are data about the field theory which are in some sense non-117 perturbative. That is, they are needed to have a more refined understanding of the Yang-Mills 118 theory which goes past what minimal coupling, a Lagrangian procedure which only knows 119 about local fields, depends upon. The Lagrangian depends only on perturbative data which 120 are local in field space. In order to learn information about the global structure of the field 121 space, we must have data which allow us to probe *paths* in field space, not just points. This 122 is why there is new understanding to be gained by thinking about extended operators in our 123 QFTs.<sup>3</sup> 124

The Energy Frontier As we have motivated above, searches for fractionally charged particles are some of the highest stakes experimental probes we have at the energy frontier. The observation of a particle with electric charge e/6, be it fundamental or hadronic, fermionic or bosonic, would unequivocally falsify all minimal grand unified theories. Perhaps no other single new particle discovery could teach us so much about the far ultraviolet of our universe, so it is well worth devoting experimental effort to searching for such particles.

Great energy frontier searches sensitive to fractionally charged particles have been under-131 taken in recent years by CMS (e.g. [20]) and ATLAS (e.g. [21]) but efforts have mainly been 132 focused on SUSY-motivated scenarios. To the extent that we can design searches sensitive to 133 the electric charges, fractionally charged particles can provide extremely distinctive signatures, 134 since as discussed above there are strictly no particles with these properties in the Standard 135 Model. We take here a first step toward a more general paradigm by reinterpreting existing 136 searches for various benchmark SM quantum numbers which result in fractionally charged 137 states. 138

<sup>&</sup>lt;sup>3</sup>Of course it is also natural to think about maps of *higher*-dimensional manifolds into field space, and one may indeed talk about *n*-dimensional operators and *n*-form symmetries, but in this work we will only use the concepts of Wilson and 't Hooft lines and their 1-form symmetries.

We discuss the production cross-sections in Section 2 and give analytic expressions in Ap-139 pendix A for general representations. There is a rich variety of phenomenologies of fractionally 140 charged particles produced at the energy frontier depending on their quantum numbers, which 141 we discuss roughly in Section 3, emphasizing where further dedicated theoretical or experi-142 mental study is needed to have a better handle on their signatures. In Section 4 we place 143 bounds by reinterpreting various searches we find to be sensitive to fractionally charged par-144 ticles with caveats for reasonable assumptions we have had to make as phenomenologists in 145 the process. The constraints we find are summarized schematically in Figure 4, and the reader 146 should be struck by the laxity of the bounds for certain combinations of quantum numbers. 147

Given the enormous amount these searches could teach us about the universe quite generally, it is well worth both theorists and experimentalists revisiting the possibilities for these searches, optimizing them for electric charges at least down to e/6, and thinking about possible new strategies for detection.

Previous Work on SM Global Structure Recent motivation for thinking about fractionally charged particles comes from discussions of the 'global structure' of the Standard Model gauge group, as we will introduce pedagogically in Section 6. The basic point is that various distinct gauge groups can nonetheless share the same structure close to the identity, which is all that is probed by minimal coupling. Nonetheless the representation theory for these different gauge groups is modified. And indeed, the Standard Model gauge group has just such an ambiguity, being

$$G_{\mathrm{SM}_n} \equiv (SU(3)_C \times SU(2)_L \times U(1)_Y) / \mathbb{Z}_n, \tag{1}$$

for n = 1, 2, 3, 6 (where ' $\mathbb{Z}_1$ ' is slang for 1). We do not yet know which is realized in nature, but  $G_{SM_n}$  allows particles of infrared electric charge ne/6, and so the discovery of a particle with charge q < e will distinguish between them.

The different possibilities for the global structure of the Standard Model gauge group were 162 laid out first by Hucks [22]. The impact on the allowed line operators was studied recently 163 by Tong [19], where it was made clear that with access to only the Standard Model degrees 164 of freedom the different theories cannot be distinguished on flat space. The consequences of 165 the global structure on a space of nontrivial topology have been explored in depth in [23]. 166 Recently multiple groups have investigated how the discovery of an axion and the careful 167 measurement of its couplings to different gauge groups also provides constraints on the global 168 structure [24-26]. This essentially promotes the discussion in [19] about the range of the SM 169 theta angles to a new dynamical probe—as we likewise here emphasize that a discovery of 170 a new fractionally charged particle directly probes the allowed line operators by upgrading 171 them to dynamical particles. 172

Some complementary perspectives on fractionally charged particles have recently appeared 173 as well. In [27] the authors focus on a classification of representations consistent with general 174 fractional charges and global structures. In particular the case where the quantum of hyper-175 charge is smaller than expected in the SM is treated in full depth, which we will comment on 176 only briefly below. In [28] the authors focus on the effects of fractionally charged particles in 177 the Standard Model Effective Field Theory (SMEFT). Indeed fractionally charged particles are 178 an interesting case of SMEFT operators being generated only at loop level, since they transform 179 non-trivially under gauge rotations for which all SM particles are neutral, which implies that 180 they must couple in pairs to SM matter. But resultingly the ability of SMEFT to investigate the 181 existence of fractionally charged particles is quite limited, and we will see the energy frontier 182 is our best probe. In some sense this is necessarily true from the generalized symmetry per-183 spective because the emergent symmetry one finds below the mass of the lightest fractionally 184 charged particle is a global one-form symmetry under which Wilson loops are charged, but 185

$SU(3)_C$	$SU(2)_L$	6 <i>Y</i> mod 6
_	—	0
_	2	3
_	3	0
3	_	4
6	—	2
8	—	0
3	2	1
6	2	5
8	3	0

Table 2: For a given representation of  $SU(2)_L$  and  $SU(3)_C$ , fractionally charged particles are avoided only with this assignment of hypercharge. Here we list the requirements for some sample representations, but a full explanation of the structure is given in Section 6 and in particular for the Standard Model in and below Equation 25.

<sup>186</sup> local fields are strictly blind to.<sup>4</sup>

## **187 2** LHC Production

The primary phenomenological goal of this paper is to revisit collider bounds on fractionally 188 charged particles, fleshing out their different signatures and how they are dictated by the par-189 ticle's quantum numbers. The scenario we focus on is a single new Dirac fermion or complex 190 scalar, denoted by  $\psi$ , sitting in an 'exotic' representation of the SM gauge group such that 191 the electric charge of  $\psi$  is some multiple  $k \in \mathbb{Z}$  of e/6 (excluding  $k = 0, \pm 6, \ldots$  obviously).<sup>5</sup> 192 In Table 2 we show some example non-Abelian representations and which hypercharge they 193 must have to produce only integer electric charges in the far IR. Away from this choice, the 194 electric charge will be fractional, in a multiple of e/6. As we will derive in Section 6, these are 195 well-motivated to consider from the structure of the Standard Model. 196

<sup>197</sup> We will label  $\psi$  by its full quantum numbers and its electric charge when necessary,  $\psi_{(SU(3),SU(2),Y),Q}$ , <sup>198</sup> though when the context is clear we will drop subscripts other than the charge. We denote <sup>199</sup> the electric charge in fractions of *e* throughout, e.g. using Q = 1/3 for e/3. For color singlet <sup>200</sup>  $\psi_Q$ , the electric charge is given by the usual combination of  $\tau_3$  and *Y*, while for colored  $\psi$  the <sup>201</sup> charge of the outgoing states is more subtle as  $\psi_Q$  will combine with SM matter to form color <sup>202</sup> singlet, exotically charged 'hadrons'.

We assume the only interactions  $\psi_Q$  has are gauge interactions dictated by its quantum numbers. As mentioned above, interactions involving a single  $\psi_Q$  and SM matter are forbidden, and we will ignore interactions between pairs of  $\psi$  (really  $\bar{\psi}_Q \psi_Q$ , etc.) and the SM, such as  $H^{\dagger}H\bar{\psi}_Q\psi_Q$ . For fermionic  $\psi$ , all such interactions are non-renormalizable, while for scalar  $\psi_Q$  the Higgs portal term is marginal (as is the quartic interaction  $(\psi_Q^{\dagger}\psi_Q)^2$ ). Nevertheless, we will neglect this possibility as we expect it to play little role in the collider phenomenology for reasonable values of the couplings. For this initial study, we will also largely ignore the

<sup>&</sup>lt;sup>4</sup>This 'in principle' statement is a bit too quick. There is no 'smoking gun' in SMEFT for the existence of fractionally charged particles, as some integer-charged particles can turn on all the same operators in full generality. But we anyway always must interpret some SMEFT deviation in terms of models that only add a few new particles, as you cannot directly reverse the renormalization group flow.

<sup>&</sup>lt;sup>5</sup>As  $\psi$  is necessarily electrically charged, it cannot be a Majorana fermion or a real scalar.

possibility of multiple exotically charged states. For certain quantum number assignments, it 210 is possible to arrange for more renormalizable interactions between the exotic and SM sectors, 211 such as  $H\bar{\psi}_Q\psi'_{Q'}$  when one of  $\psi_Q, \psi'_{Q'}$  is an SU(2) doublet and  $Y_{\psi'} - Y_{\psi} = 1/2$ .<sup>6</sup> Multi-exotic 212 interactions could lead to interesting phenomenology, but are beyond the scope of this paper. 213 Within this setup,  $\psi_Q$  must be pair produced at colliders via its gauge interactions. The 214 dominant production mechanism depends on whether or not the particles carry SU(3) quan-215 tum numbers, irrespective of the spin of  $\psi_Q$ . For color singlets, the particles are produced in 216 Drell-Yan  $\bar{q}q \rightarrow \bar{\psi}_Q \psi_Q$  via  $\hat{s}$  channel photon and Z. If  $\psi_Q$  is an SU(2) singlet, the entire cross 217 section is proportional to  $Q_{\psi}^2$ , while the cross section for  $\psi_Q$  in larger SU(2) multiplets will 218 contain pieces proportional to  $(\tau_3)_{\psi}$ , the entries of the diagonal SU(2) generator appropriate 219 for  $\psi_Q$ s representation. When  $(\tau_3)_{\psi} \neq 0$ , these terms typically dominate the cross section as 220 each power of  $Q_{\psi}$  (which we have assumed to be < 1) comes with a factor of  $\sin^2 \theta_W \sim 1/4$ . 221

For  $\psi_Q$  in non-trivial SU(2) representations, there is also a charged current production mode,  $\bar{q}q' \rightarrow \bar{\psi}_Q \psi_{Q\pm 1} + c.c.$  via  $\hat{s}$  channel  $W^{\pm}$ .

If  $\psi_Q$  carries SU(3) quantum numbers, QCD production  $gg \rightarrow \bar{\psi}_Q \psi_Q, \bar{q}q \rightarrow \bar{\psi}_Q \psi_Q$  becomes the dominant mechanism. Of these, gg is the larger channel when  $\psi_Q$  is light, but  $\bar{q}q$ takes over for heavier  $\psi_Q$ . The crossing point depends somewhat on the representation and spin of  $\psi_Q$  but is  $\mathcal{O}(1 \text{ TeV})$  for a Dirac fermion color triplet.

The partonic cross sections for  $pp \rightarrow \bar{\psi}_0 \psi_0$  production are compiled in Appendix A for 228 both fermionic and scalar  $\psi_Q$ . For now, we opt for analytic expressions over adding new 229 particles to Monte Carlo programs such as MadGraph [29]. In part, this is because we are 230 focused on pair production where the expressions are still simple, but the analytic expressions 231 also allow us to consider exotic color representations (such as a decouplet) which are not 232 easily implemented in MadGraph. Throughout this paper we will only consider lowest order 233 calculations, as our goal is to roughly illustrate the current bounds rather than focus on a 234 particular search or  $\psi_{\Omega}$ . 235

Folding parton distribution functions into the partonic cross sections (Appendix A), we find the LHC proton level cross sections  $pp \rightarrow \bar{\psi}\psi$ . We use NNPDF3.0nlo parton distribution functions [30,31] with  $\alpha_s = 0.118$ , factorization/renormalization scales of  $\hat{\mu}_F = \hat{\mu}_R = \sqrt{\hat{s}}$  and assume a collider center of mass energy of 13 TeV. We have also imposed the parton-level cut  $|\eta_{\psi}| < 2.5$  so that these particles appear in the tracker volume.

The proton level cross sections for some illustrative  $\psi_Q$  are shown below in Figs. 1 and 242 2 below. In Fig. 1 we show the cross section for SU(2) singlet  $\psi_Q$ , either charged only under 243 hypercharge (left panel), or under several different color representations (right panel). Figure 244 2 shows the cross sections for color singlet  $\psi_Q$  sitting in non-trivial SU(2) representations, both 245 via neutral current (left panel) and charged current (right panel).

The cross sections  $\psi_Q$  charged only under hypercharge are quite small,  $\mathcal{O}(1 \text{ pb} \times Q_{\psi}^2)$  for a 246 fermionic  $\psi_Q$  and  $M_{\psi} = 100 \,\text{GeV}$  and falling precipitously as  $M_{\psi}$  increases to  $\mathcal{O}(2 \,\text{fb} \times Q_{\psi}^2)$  at 247  $M_{\psi} = 500 \,\text{GeV}$ . Charging  $\psi_Q$  under SU(3), the cross section jumps by orders of magnitude, 248  $\sigma(pp \to \bar{\psi}_Q \psi_Q) \sim 3 \, \text{pb}(60 \, \text{pb})$  for a 500 GeV color triplet fermion (color octet). The cross 249 section for color singlet, SU(2) charged  $\psi_Q$  sits between these two,  $\mathcal{O}(5\,\mathrm{fb})$  for Drell-Yan pro-250 duction of either state in a 500 GeV doublet  $\psi_0$ , and  $\mathcal{O}(10 \,\text{fb})$  ( $\mathcal{O}(5 \,\text{fb})$ ) for charged current 251 production via  $W + (W^{-})$ . For other SU(2) representations, both types of cross section grow 252 with the size of the multiplet; labelling the SU(2) part of the  $\psi_Q$  state as  $|I_0, i_3\rangle$ , Drell-Yan 253  $\propto i_3^2$ , while the charged current is  $\propto (I_0(I_0+1)-i_3(i_3+1))$ . The LHC cross section for a few 254 different SU(2) multiplets (both Drell-Yan and charged current pieces) are shown in the right 255 panel of Fig. 2. 256

For fixed quantum numbers, the cross sections for fermionic  $\psi_Q$  are larger than their

<sup>&</sup>lt;sup>6</sup>More exotic terms, such as  $\phi_{Q'}\psi_Q f$  (where we have used  $\phi_{Q'}$  for an exotic scalar in this context,  $\psi_Q$  for a fermion, and *f* a SM fermion) are also possible, either with or without flavor structure.

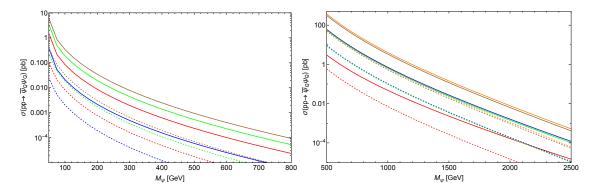


Figure 1: Left panel: Lowest order pair production cross section for  $\psi_Q$  charged solely under hypercharge, Q = 1/6 (blue), Q = 1/3 (red), Q = 1/2 (green), Q = 2/3 (brown). Right panel: lowest order LHC cross section for colored  $\psi_Q$  as a function of  $M_{\psi}$  (only QCD interactions are considered). For a fixed mass, the cross section increases with the size of the representation: red (triplet), green (sextet), blue (octet), brown (decouplet) and orange (15-plet (Dynkin label (21)). In both panels we assume a center of mass energy  $\sqrt{s} = 13$  TeV and use solid lines are for Dirac fermions and dashed lines for charged scalars.

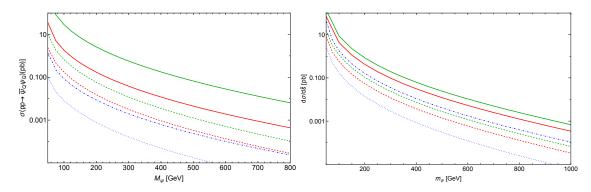


Figure 2: Cross sections for  $\psi_Q$  under different SU(2) representations, all with Y = 1/3. The red line shows the cross section for the  $(\tau_3)_{\psi} = 1/2$  component of a SU(2) doublet, while the green shows the  $(\tau_3)_{\psi} = 1$  component of an SU(2) triplet. As in Fig. 1, solid lines are for Dirac fermions while dashed are charged scalars. The blue lines (dot dashed for fermions, dotted for scalars) repeat the SU(2) singlet, Y = 1/3 curves from Fig. 1 for comparison. Changing the hypercharge, the curves for the doublet and triplet cases would barely move, as the cross section is dominated by the SU(2) portion. Right panel: Charged current cross section (via  $W^+$ ) for doublets, triplets, and SU(2) singlet for comparison

scalar counterparts by roughly an order of magnitude. This difference stems from the fact that fermions contain more degrees of freedom and that angular momentum conservation demands the amplitude to produce a pair of scalars from a pair of massless quarks/gluons is proportional to the final state velocity and therefore suppressed close to threshold.

# <sup>262</sup> 3 Collider Signatures of Fractionally Charged Particles

To explore how  $\psi_Q$  can be bounded at the LHC, we turn to the experiments. There are a few searches for fractionally charged particles at the LHC in the literature. The searches assume the fractionally charged particle is stable (or metastable), and rely on anomalously low dE/dx in the tracking system and odd time-of-flight measurements to distinguish from background. The predominant energy loss mechanism of charged particles is via the electromagnetic interaction. For a range of quasi-relativistic velocities, this loss is described by the Bethe-Bloch equation. In this range, dE/dx is independent of the particle's mass, but it is proportional to  $Q^2$ .

The CMS analysis [20] is the most recent and most easily translated to the scenarios we 270 envision. In Ref. [20], events were triggered using information in the muon system, then in-271 vestigated for tracks with anomalously low dE/dx. Events are required to have either one 272 or two tracks, and the number of tracker hits with low ionization is used to discriminate sig-273 nal from SM background. The CMS technique is optimal for  $Q \sim 2/3$ ; particles with higher 274 electric charge leave fewer low dE/dx signals, while the analysis efficiency for lower charge 275 states drops precipitously as lower charge leads to fewer tracker hits and therefore smaller 276 signal/noise which inhibits track reconstruction. For  $Q \simeq 1/3$ , the efficiency is so poor that 277 the bound drops to the minimum considered signal mass, 50 GeV. 278

A second reference we rely on is an ATLAS analysis for long lived gluinos/stops/sbottoms, 279 Ref [21] (other searches, either for stable particles or optimized for metastable variations, can 280 be found in Ref. [32, 33]). Upon hadronization, gluinos/stops/sbottoms all form 'R-hadrons' 281 with integer charge, with the fraction with charge  $\pm 1$  playing the largest role in the analysis. 282 This search relies on large missing energy and/or the muon system for triggering. Given that 283 R-hadrons are strongly interacting particles, the usage of the missing energy trigger may seem 284 out of place. However, heavy exotic hadrons deposit negligible energy in the calorimeter, so if 285 they are not picked up by the muon system because they are neutral (either truly neutral, as 286 in charge zero R-hadrons, or effectively neutral for  $\psi_0$  hadrons with small Q), most of their 287 energy will escape undetected. Of course, in order for this undetected energy to register as 288 missing energy in an event, it must be balanced by something visible, either a charged exotic 289 hadron or initial state radiation. 290

Regardless of how they are triggered, retained events with at least one energetic track are further scrutinized, using time-of-flight information (as determined from tracker info, muon system, or both) to separate signal from background. Because this analysis is designed for |Q| = 1 particles, it is not easily adapted to fractional charges much less than one. However, it is useful for estimating bounds when  $Q \gtrsim 2/3$ , where the CMS search loses sensitivity.

<sup>296</sup> While Ref. [20, 21] are most relevant for our purposes, we'll see that  $\psi$  in some corners of <sup>297</sup> parameter space are best bounded by LHC searches unrelated to fractionally charged or stable <sup>298</sup> particles, such as the invisible width of the *Z* [34], monojet-style searches [35] that look for <sup>299</sup> unbalanced, energetic jets, and disappearing tracks searches [36] that look for tracks which <sup>300</sup> end suddenly. We will introduce more details of these searches when we encounter a scenario <sup>301</sup> where they are needed.

The steps needed to go from a  $pp \rightarrow \bar{\psi}_Q \psi_Q$  cross section to a bound, and exactly which bound is best, differ greatly depending on how  $\psi$  is charged under the SM groups. In the next subsections, we explore some of the options.

We note also that electroweak precision observables are less constraining than collider 305 bounds for the benchmark scenarios we consider. In large part this is because we are consid-306 ering the simplest case of a single new fractionally-charged particle—with only gauge interac-307 tions, these do not contribute to S or T, which are generally the most constraining. In a more 308 general study of multiple fractionally-charged particles, which could include trilinear interac-309 tions with SM species, nonzero contributions could be generated. It would be interesting to 310 understand the constraints from precision observables on these slightly-non-minimal models 311 to map out the full space of well-motivated fractionally charged particle signatures. 312

### 313 **3.1** Solely $U(1)_Y$ charges

This is the simplest scenario, as  $Q_{\psi} = Y$ , so there is no hadronization or SU(2) partners to worry about. This scenario is also the closest to the signal model used by CMS. The only difference is that CMS assumes a particle which only couples to the photon, while we include couplings both to photon and *Z* as dictated by *Y*. As a result, we find slightly different masses corresponding to the quoted cross section limits.

## 319 **3.2** *SU*(3)<sub>*C*</sub> charges

<sup>320</sup> Colored  $\psi_Q$  particles will quickly hadronize after being produced at the LHC. And if  $\psi$  does <sup>321</sup> not have the hypercharge demanded in Table 2, then all of the hadrons containing one  $\psi_Q$  will <sup>322</sup> be fractionally charged. Hadronization with the light quarks of the Standard Model will result <sup>323</sup> in a variety of fractional charges for hadrons containing  $\psi$ . These will differ in electric charge <sup>324</sup> by units of *e*, depending upon how many up-type versus down-type quarks are included.

At least as a first pass at reinterpreting the CMS search for colored representations, we follow the Lund string model [37] as used in Pythia [38] with application to R-hadrons [39]. In this model, the  $\psi_Q$ ,  $\bar{\psi}_Q$  sit at the endpoints of color strings which fragment. When the strings break, colored remnants join up with  $\psi_Q$  to form color singlet handrons.

For color triplets, the strings break into quark-antiquark or diquark-antidiquark pairs. The three light quarks are taken to arise democratically in string breaking, modulo a phase space factor for the strange:  $(u:d:s \sim 1:1:0.3)$ ; the diquark fraction is suppressed by an amount set by data [40, 41]. Following this model [39, 42], triplet  $\psi_Q$  form mesons with  $\bar{u}, \bar{d}, \bar{s}$  and the abundance of the 'down-type' mesons compared to 'up-type mesons' is 60:40.  $\psi_Q$  baryons arise less frequently, ~ 10% of the time, with the light quark composition roughly following the same (u:d:s) ratio as in  $\psi_Q$  mesons.

<sup>336</sup> Color octets are treated as if they connect to two strings, one giving a quark/antidiquark <sup>337</sup> and the other an antiquark/diquark – which then combine with the octet to form a color singlet. <sup>338</sup> The flavor composition for the gluino R-hadron case can be found in Ref. [39] and is well <sup>339</sup> approximated by taking each quark/antiquark as independent and with the same (u : d : s) <sup>340</sup> ratio as above. For our scenario, the only difference is the charge of the hadrons will be shifted <sup>341</sup> by whatever fractional charge  $\psi_Q$  carries.<sup>7</sup>

For more exotic color representations, there is no *R*-hadron literature to borrow from, so we make the assumption that the bound state involving the fewest constituents are the most likely to form, and use the same (u : d : s) ratio to determine the flavor (and therefore charge) of the hadrons.

The type of interpretation outlined above ignores the possibility that exotic hadrons change 346 their electric charge via hadronic interactions as the traverse the calorimenter. For our pur-347 poses, this means that we assume the muon system triggering works out as it would in the 348 color singlet case. Charge flipping has been modeled somewhat for R-hadrons [39,43], which 349 we could export to exotic color triplets or octets. However, the behavior of the bound states 350 depends on their composition (baryonic vs. mesonic, and involving quarks vs. antiquarks), 351 and varies depending on the phenomenological model used, so we will neglect it for this initial 352 study. For all exotic hadrons, we ignore the mass splitting between the different exotic states 353 and assume that the excited (higher spin) bound states immediately decay to the lowest bound 354 state. 355

<sup>&</sup>lt;sup>7</sup>Color octets can also bind with gluons (a string breaks to gg, with one g binding to  $\psi_Q$  and the other binding to remaining string fragments). Reference [39] takes to be O(10%) of  $\psi$ -gluon bound states, though in our case these states will retain whatever electric charge  $\psi$  carries (and therefore interact with the tracker/muon system), while in the gluino case this fraction is invisible.

When using these simple hadronization rules to determine the charge of exotic hadrons, 356 we often find some fraction of the bound states have charge  $\sim$  1, e.g. 5/6 from a color triplet  $\psi$ 357 with Y = 1/2 (a  $\psi_0 \bar{d}$  meson), or 7/6 from a color octet with Y = 1/6, (a  $\psi u \bar{d}$ ). The proximity 358 of these charges to  $\pm 1$  makes the technique in CMS ineffective. To determine bounds in this 359 scenario, we will instead reinterpret R-hadron searches from Ref [21], making the assumption 360 that the R-hadron bounds are driven by the  $Q = \pm 1$  'meson' (i.e.  $(\psi_R \bar{q})^8$  for R-hadrons from 361 color triplet  $\psi_R$  or  $\psi_R q \bar{q}$  for color octet  $\psi_R$ ) bound states and that the experiments are not 362 sensitive to the difference between  $Q \simeq \pm 1$  and  $\pm 1$ . For color representations not studied 363 in R-hadron analysis, we will set bounds by equating (cross section  $\times$  fraction of events with 364 at least one exotic hadrons with near integer charge) = R-hadron cross section  $\times$  fraction of 365 events with at least one  $\pm 1$  charge R-hadrons. We note that there are searches for exotic, 366 multiply charged particles, but these searches begin at  $Q = \pm 2$  [33]. 367

This sort of reasoning will allow us to roughly reinterpret tracker based searches for some colored representations, but we emphasize that for detailed constraints dedicated simulations of hadronization and detector response for these fractionally charged representations should be done.

#### 372 **3.3** $SU(2)_L$ charges

When  $\psi_Q$  sits in a non-trivial SU(2) representation, it splits upon EWSB into a multiplet of 373 (2I + 1) states, for representation I, with components separated by  $|\Delta Q| = 1$ . At tree-level, 374 and in the absence of operators such as  $H^{\dagger}H\bar{\psi}_{Q}\psi_{Q}$  as we have assumed, the components of 375  $\psi_0$  are mass-degenerate. Loops of W/Z bosons break this degeneracy, introducing a split-376 ting of  $\alpha_{em} m_W / \pi \sim \mathcal{O}(100)$  MeV, though with a degree of variation depending on the exact 377 quantum numbers of  $\psi_Q$ . For a multiplet with hypercharge Y containing a state with charge 378  $Q = (\tau_3)_{\psi} + Y$  and a state with charge  $Q' = (\tau'_3)_{\psi} + Y$  the one-loop mass difference between 379 the two is [44, 45]: 380

$$M_{Q'} - M_Q = \frac{\alpha_2 M}{4\pi} \left\{ (\tau_3'^2 - \tau_3^2) \left[ f\left(\frac{m_W}{M_\psi}\right) - c_W^2 f\left(\frac{m_Z}{M_\psi}\right) \right] + 2(\tau_3' - \tau_3) Y s_W^2 f\left(\frac{m_Z}{M_\psi}\right) \right\}$$
(2)

where  $M_{\psi}$  is the tree-level mass,  $c_W = \cos \theta_W$ ,  $s_W = \sin \theta_W$ , and

$$f(r) = \begin{cases} +r \left[ 2r^3 \ln r - 2r + (r^2 - 4)^{1/2} (r^2 + 2) \ln(r^2 - 2 - r\sqrt{r^2 - 4}) \right] / 2 & \text{for a fermion} \\ -r \left[ 2r^3 \ln r - kr + (r^2 - 4)^{3/2} \ln(r^2 - 2 - r\sqrt{r^2 - 4}) \right] / 4 & \text{for a scalar}^9 \end{cases}$$

In the majority of cases, the state with smaller |Q| is the lightest. For  $M_{\psi} \gg m_W, m_Z$  and using  $m_Z = m_W/c_W$ , we see that the mass splitting asymptotes to

$$\Delta M \simeq 160 \,\text{MeV} \times (\tau'_3 - \tau_3)(\tau'_3 + \tau_3 + 2Y + 2Y / \cos\theta_W). \tag{3}$$

While there can clearly be cancellations, the general trend is that the splitting grows with the hypercharge of the multiplet and the  $\tau'_3$  value of the excited state.<sup>10</sup>

This multiplet structure has several implications for how  $\psi_0$  appears at the LHC.

• Even if one component of  $\psi$  has  $Q \lesssim 1/3$  – where the CMS search has limited sensitivity – it will always be accompanied by a component with larger charge. For example, a SU(2)doublet with Y = 1/3 has one state with Q = -1/6, but also a state with Q = 5/6.

<sup>&</sup>lt;sup>8</sup>We use a subscript *R* for the heavy gluino/stop/sbottom in a *R*-hadron.

<sup>&</sup>lt;sup>9</sup>The factor *k* is UV divergent but can be absorbed by counterterms for the mass and  $\psi_Q$  quartic

<sup>&</sup>lt;sup>10</sup>Note that for Y = 0,  $|\tau'_3| = |\tau_3|$  the mass splitting vanishes. For  $\psi$  a Weyl fermion in the *n*-dim representation,  $\bar{\psi}\varepsilon^n$  transforms the same way ( $\varepsilon^n$  is *n* copies of the  $SU(2)_L$  Levi-Civita), and there is an SU(2) flavor symmetry between them. After  $SU(2)_L$  symmetry-breaking this flavor symmetry disallows any mass splitting between the fermions of the same charge.

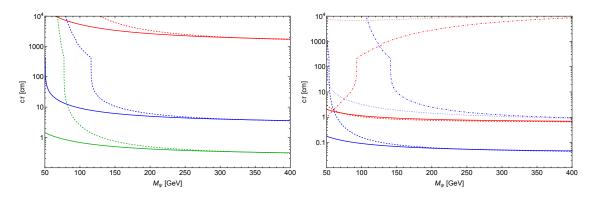


Figure 3: Decay length for the excited state(s) in an SU(2) doublet  $\psi$  (left panel) and SU(2) triplet  $\psi$  (right panel). In the left panel the blue line shows the choice Y = 1/3 (Q = -1/6, Q' = 5/6) while the green and red show Y = 2/3 (Q = 1/6, Q' = 7/6) and Y = 1/6 (Q = -1/3, Q' = 2/3) respectively. In all cases the  $\tau_3$  component of the multiplet has the lowest (magnitude) charge. The solid lines are the results for fermionic  $\psi$  while scalar  $\psi$  are dashed. In the right panel, the red lines show Y = 1/6 while blue show Y = 2/3. There are more lines as there are more possible decays. The solid (dashed) red shows the decay length for Q = 7/6 to Q = 1/6 decay, while the dotted (dot-dashed) show Q = -5/6 to Q = 1/6. Unlike the case when Y = 0, the lifetimes of the  $\tau_3 = +1$  and  $\tau_3 = -1$  component has the smallest |Q| and is the lightest. Therefore, the solid (dashed) lines show the decay of Q = 2/3 to Q = -1/3.

 The phenomenology of the heavier, larger charge state depends crucially on its lifetime 390 (and therefore crucially on  $\psi$ 's quantum numbers, which dictate the mass splitting). For 391 mass splittings >  $m_{\pi}$ , the two-body decay  $\psi_{Q+1} \rightarrow \psi_Q + \pi^+$  dominates, while for smaller 392 splitting  $\psi_{Q+1}$  mostly decays to  $\psi_Q + e \bar{\nu}_e$  (three-body), with a small branching fraction 393 to  $\psi_Q + \mu \bar{\nu}_{\mu}$ . The decay length for an illustrative set of *SU*(2) and *Y* choices are shown 394 below in Fig. 3. The decay lengths asymptote at large  $M_{\psi}/m_W$ , as expected from the 395 mass splitting formulae, while at smaller  $M_{\psi}/m_W$  there are significant differences for 396 fermion vs. scalar  $\psi$  and cusps where the two-body decay to  $\psi_0 + \pi^{\pm}$  turns on or off. <sup>11</sup> 397

For the selection of charges in Fig 3, none of the excited states would be considered prompt. 398 Several choices, such as the Q = 7/6, SU(2) doublet state (green in the left panel of Fig. 3), 399 or the Q = 5/3, SU(2) triplet state (blue in the right panel of Fig. 3) have decay lengths of 400 O(cm) and would lead to displaced vertices or kinked tracks. A second category of excited 401 states, such as the Q = 2/3 state in a SU(2) doublet with Y = 1/6 or the Q = 2/3 state in 402 an SU(2) triplet with Y = 2/3 have accidentally small mass splitting from the lightest state in 403 their respective multiplet, and are therefore effectively stable on collider scales. The roughly 404 bi-modal distribution of decay lengths can be traced back to whether or not the higher charge 405 state can decay to the lower charge state by emitting a pion. 406

Of course, we can have  $\psi_Q$  in non-trivial representations of both SU(3) and SU(2), in

<sup>407</sup> 

<sup>&</sup>lt;sup>11</sup>The one exception to the general mass splitting trend is the red dot-dashed line in the right panel of Fig. 3, the mass difference between the Q = 2/3 and Q = -1/3 components of a scalar SU(2) triplet with Y = 2/3, which decreases for larger  $M_{\psi}$  (leading to longer decay lengths). This is due to the fact that, while Eq. (2) generically increases the mass of the larger |Q| state, there are exceptions. For example, for an SU(2) triplet and Y = 1/3, the lightest state is the Q = -2/3 component rather than the Q = 1/3 component. The proximity of Y = 2/3 to Y = 1/3, where the 'inverted mass' situation occurs, leads to the different behavior of the mass splitting as a function of  $M_{\psi}$ .

which case the phenomenology becomes even richer, as each SU(2) component will undergo hadronization, leading to a zoo of fractionally charged bound states with a variety of lifetimes.

## 410 4 Reinterpreted LHC Bounds for Assorted Representations

In this section we show a sampling of the LHC bounds on different exotic  $\psi_Q$  by reinterpreting a variety of searches. Given the huge number of scenarios with fractionally charged  $\psi_Q$ , we obviously cannot explore them all here. The goal of this benchmark study is to show roughly where things stand, identify different signal classes and detection strategies, and point out challenges and hidden assumptions in current searches.

• As our first benchmark, we take  $\psi$  to be a color and SU(2) singlet with Y = Q a mul-416 tiple of 1/6 (obviously avoiding multiples that result in integer charge). This bench-417 mark maps directly onto the CMS search in Ref. [20]. Using the quoted cross sec-418 tion numbers to bound fermionic (scalar)  $\psi_0$ : Q = 1/6 – no LHC bound, Q = 1/3419  $M_{\psi} > 88 \,\text{GeV}$  (45 GeV),  $Q = 1/2 \,M_{\psi} > 610 \,\text{GeV}$  (340 GeV),  $Q = 2/3 \,M_{\psi} > 650 \,\text{GeV}$  (370 GeV). 420 It is worth mentioning that the bounds for the lower charge regime, |Q| = 1/3, have 421 loosened substantially in Ref. [20] compared to previous iterations, Ref. [46, 47]. The 422 loosening of the bounds can be traced to a mismodeling in the efficiency of the muon 423 trigger for low charge [20]. 424

For the lower charge scenarios, we must look to other searches for bounds. One obvious place to look is the invisible *Z* partial width. If we require  $\Gamma(Z \rightarrow \bar{\psi}_Q \psi_Q) \leq 1.5$  MeV, the 1-sigma uncertainty on the invisible width [34], fermionic  $\psi_Q$  with |Q| = 1/6 are ruled out except right at  $\sim m_Z/2$  where the phase space suppression is severe. However, if relax the constraint to 2× this uncertainty, the bound disappears. For scalar  $\psi_Q$ , |Q| = 1/6 there is no bound even if we impose the stronger condition of  $\Gamma(Z \rightarrow \bar{\psi}_Q \psi_Q) \leq 1.5$  MeV.

Light (~ few GeV), fractionally charged  $\psi_0$  could also be similarly to millicharged matter, a 438 topic of intense work and interest recently [48]; depending on the exact mass and charge, such 439 scenarios are ruled out by fixed target experiments, rare meson decay, star cooling, etc. See 440 e.g. Ref. [49, 50] for a summary of limits on millicharged matter. The most relevant bound for 441 the range of masses and charges we are interested in comes from the SLAC anomalous single 442 photon  $e^+e^- \rightarrow \gamma X$  search, which rules out fermionic  $\psi_{\Omega}$  lighter than 10 GeV for Q > 0.08 [51– 443 53]. We know of no reinterpretation of this experiment in terms of a fractionally charged, 444 complex scalar, but assume the mass bound will be in the same ballpark. 445

Next, let us keep the hypercharge and SU(2) assignments the same but take  $\psi_Q$  to be a color triplet. As we change the hypercharge assignment, we change the charge of the exotic hadrons that form, and the hadron charge determines how strict the bound is. For example:

<sup>&</sup>lt;sup>12</sup>We derive this factor by running  $pp \to \tau^+ \tau^-(+j)$  in MadGraph and varying the mass of the  $\tau$ .

<sup>&</sup>lt;sup>13</sup>The large  $p_{T,j}$  values are needed to suppress the irreducible background from  $Z(\bar{\nu}\nu) + j$ . The suppression this causes for our signal is much less than in dark matter models where  $pp \rightarrow \not\!\!\!\!/ p_T + j$  proceeds through a contact interaction, as the latter grows with the energy.

- Y = 0: following the argument in Sec. 3.2 above,  $\psi$  forms exotic mesons with |Q| = 2/3449 40% of the time, and |Q| = 1/3 60% of the time. The |Q| = 2/3 limits from CMS are 450 much more stringent, so equating the cross section for the production of at least one 451 |Q| = 2/3 particle  $-((0.4)^2 + 2 \times 0.4 \times 0.6) \times \sigma(pp \rightarrow \bar{\psi}_Q \psi_Q) = 0.64 \times \sigma(pp \rightarrow \psi \psi)$ 452 to the CMS |Q| = 2/3 bound, we find masses less than 1.8 TeV (1.4 TeV) are excluded 453 for fermionic (scalar)  $\psi$ . Note that Y = 1/3 results in hadrons with the same |Q| and 454 therefore is subject to the same bounds. 455
- 456

• Y = 1/6: For this choice, all  $\psi_0 \bar{q}$  bound states have |Q| = 1/2. From the CMS bound, we find masses less than 1.9 TeV (1.5 TeV) are excluded for fermion (scalar)  $\psi_0$ . 457

For our next two examples, we consider more exotic color representations, and for convenience 458 define  $d_i$  which is either a down or strange quark: 459

• Color octet with Y = 1/6:  $\psi_{(8,0,1/6),1/6}$ . Within our framework, this state leads to 460 hadrons with charge  $Q = 1/6 (\psi u \bar{u}, \psi d_j d_j, \psi g)$  55% of the time, and  $Q = 7/6 (\psi d_j u)$  or 461 Q = -5/6 ( $\psi d_i \bar{u}$ ) each 22% of the time. As the CMS search is insensitive to  $|Q| \lesssim 1/3$  or 462  $\sim$  1, this is a scenario where we turn to stable R-hadron searches [21] to place bounds. 463 From this breakdown, we see that 67% of events contain at least one  $|Q| \sim 1$  hadron. 464 Equating  $0.2 \times \sigma(pp \to \psi\psi)$  to the gluino *R*-hadron cross section bound of ~ 1 fb, we 465 find masses less than 2.0 TeV (1.65 TeV) are excluded for fermion (scalar)  $\psi_0$ . In apply-466 ing the R-hadron bounds, we are assuming the Q = 1/6 can be treated as neutral for the 467 purposes of missing energy triggers. 468

• A color sextet with Y = 0:  $\psi_{(6,0,0),0}$  After hadronization, this yields states with charge 469  $Q = -4/3 \ (\psi \bar{u} \bar{u}), Q = 2/3 \ (\psi \bar{d}_i \bar{d}_i) \text{ and } |Q| = 1/3 \ (\psi \bar{u} \bar{d}_i + c.c.) \text{ with fractions } \sim 20\% : 30\% : 50\%.$ 470 The strongest bound comes from the |Q| = 2/3 fraction. The fraction of events with at 471 least one |Q| = 2/3 particle is ~ 50%, and equating  $0.5 \times \sigma(pp \rightarrow \psi\psi)$  to the CMS 472 |Q| = 2/3 limit, we find masses less than 2.2 TeV (1.8 TeV) are excluded. 473

Finally, we consider benchmark color singlet  $\psi$  in non-trivial SU(2) representations. We 474 pick from the examples used in the decay length plot, Fig. 3: 475

• An SU(2) doublet with Y = 2/3, leading to one state with Q = 1/6 ( $\psi_{(0,2,2/3),1/6}$ ) and 476 one with Q = 7/6 ( $\psi_{(0,2,2/3),7/6}$ ). The Q = 7/6 state decays within  $\mathcal{O}(\text{cm})$ , leaving a 477 disappearing track signature. In the context of the CMS search, the Q = 7/6 state just 478 adds to the cross section for Q = 1/6 production, but as CMS is not sensitive to Q = 1/6479 this gives no bound. Limits on the invisible Z decay width bound  $M_{\psi} \gtrsim 45 \,\text{GeV}$  for 480 either spin  $\psi_0$ . Additionally, as the LHC production cross section is much larger than 481 the SU(2) singlet case, it is possible to bound this  $\psi_0$  using monojet searches. The total 482 production for |Q| = 1/6 is the sum of the Drell-Yan cross sections for |Q| = 1/6 and 483 |Q| = 7/6 along with the charged current production  $pp \rightarrow \psi_{1/6}\psi_{7/6} + c.c.$  Adding 484 these and comparing to the 95% CL allowed cross section for  $p_{T,i} > 200 \text{ GeV}$ , we find 485 a monojet bound of  $\sim$  50 GeV (fermionic). However, we can place a stronger bound by 486 utilizing the disappearing track signal from the |Q| = 7/6 state. In a disappearing track 487 search, events triggered with large missing energy are investigated for tracks which end, 488 signaling the decay of a charged state into a nearly degenerate neutral state. This search 489 strategy has been applied to the scenario of nearly degenerate higgsinos (electroweak 490 doublets with Y = 0), placing a bound of 190 GeV. Applying this strategy to the scenario 491 here, one issue is that the mass splitting between Q = 7/6 and Q = 1/6 is larger than 492 the higgsino case. For an electroweak doublet, the mass splitting in Eq. 3 is  $\propto Y$ , and 493 Y = 2/3 is larger than the higgsino value of Y = 1/2. As a result, the lifetime of the 494 excited state is shorter, leading to shorter tracks and a less efficient search. Taking the 495

496 497 difference in lifetime into account and applying the cross section bound from Ref. [36], we find the current scenario is excluded for  $\psi_0$  masses below 115 GeV (70 GeV).

- An SU(2) doublet with Y = 1/6. The only difference compared to the case above is that 498 the states now have charge Q = -1/3 and Q = 2/3, with the Q = 2/3 slightly heavier. 499 However, as Y is smaller, so is the mass splitting, to the point that for Y = 1/6 the mass 500 splitting drops below  $m_{\pi}$ . As a result, the lifetime of the excited state is significantly 501 longer than in the previous case, O(20m), and we can consider it to be collider stable. 502 We can therefore bound this scenario by ignoring the Q = -1/3 component and equating 503 the total cross section for Q = 2/3 production,  $pp \rightarrow \bar{\psi}_{2/3}\psi_{2/3} + pp \rightarrow \bar{\psi}_{-1/3}\psi_{2/3} + c.c$ 504 to the |Q| = 2/3 limit from CMS [20]. We find masses below 1.1 TeV (750 GeV) are ruled 505 out. 506
- An SU(2) triplet with Y = 2/3, leading to states with Q = -1/3, Q = 2/3, Q = 5/3. 507 The Q = 5/3 decays rapidly to the Q = 2/3, which then flies  $\mathcal{O}(\text{cm})$  before decaying to 508 the Q = -1/3. Only the Q = -1/3 particle survives to the muon system, so if we rely 509 on the fractionally charged bound the limits are low; summing Drell-Yan production of 510 all three charged states along with their charged current counterparts and applying the 511 limit from Ref. [20], we find limits of  $M_{\psi} > 350 \,\text{GeV}$  (200 GeV). The lifetime of the 512 Q = 2/3 is long enough that one expects it should leave a trace in disappearing track 513 searches. The limit from Ref. [36] on nearly degenerate electroweak triplets (a wino) is 514 650 GeV, though extrapolating this to the present scenario is not straightforward as the 515 efficiency for the Q = 2/3 will be worse than the wino. Not only is the electric charge 516 smaller, but the Q = 2/3 to Q = -1/3 mass splitting is larger (and thus its lifetime 517 shorter) than in the charged to neutral wino case, and the sensitivity in Ref. [36] falls 518 precipitously with mass splitting. Part of this lack in sensitivity can be compensated 519 by a larger cross section, since we can lump the production of Q = 5/3 and Q = 2/3520 together as the effective disappearing track signal. However, we find this enhancement 521 is insufficient. The bounds fall so quickly for larger mass splittings that we estimate 522 limits from disappearing track searches are < 100 GeV, worse than the fractional charge 523 bounds relying on |Q| = 1/3. 524
- The bounds from these benchmark scenarios are illustrated below in Fig. 4, and we can 525 use our experience with those setups to extrapolate to other multiplets to some extent. For  $\psi_{\Omega}$ 526 charged solely under hypercharge, bounds come from the CMS dedicated fractionally charged 527 search. The fractionally charged bounds are maximzed near Q = 2/3; for larger charge, the 528 technique fails and is superseded by time-of-flight based searches, while for smaller charge the 529 sensitivity drops precipitously. Monojet style searches are an interesting avenue to explore, 530 but these perform best for heavier  $\psi_Q$  – where the cross section is even lower – or contact 531 interactions from a heavy mediator (which do not apply to our setup). For colored  $\psi_Q$ , the 532 large production cross section pushes the current limits much higher, roughly 1.8 TeV for color 533 triplets fermions. The bounds increase with the size of the SU(3) representation and, at least 534 at the level of our study, are fairly insensitive to the hypercharge of  $\psi_{0}$ . 535

Comparing the above numbers we see that the scenarios we can recast into the CMS frac-536 tional charge search have slightly stronger limits than those we interpret as R-hadrons, as 537 fractional charge signatures have an additional handle – low dE/dx – to separate signal from 538 background. We see the most variability in the bounds for color singlet, SU(2) charged  $\psi_{0}$ , 539 as the signatures in the detector depend strongly on the charges and lifetimes of all the states 540 in the multiplet. If the excited states are short lived, they add to the cross section for the 541 lowest |Q| state, but this boost can be insufficient to strongly bound the scenario if the light-542 est state has  $|Q| \leq 1/3$ . Disappearing track searches, which target the decay of the excited 543 state, can provide another handle, though we find they are hampered by the fact that excited 544

state lifetimes for fractionally charged scenarios are typically shorter than in scenarios familiar from supersymmetry (e.g. Y = 1/2 for pure higgsino or Y = 0 for wino). If the excited state happens to be long-lived, the bounds to jump significantly, as the higher charge state gives us another handle on the setup. The SU(2) charged scenarios are also the most complicated, as the number of processes one needs to consider (Drell-Yan for each component, charged current between pairs of components) grows with the size of the multiplet.

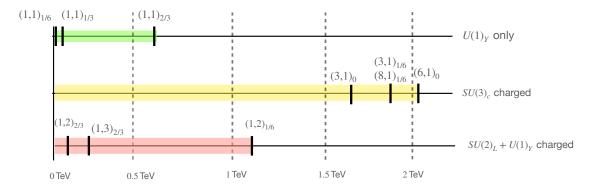


Figure 4: Graphic illustrating the mass bounds for the benchmark fractionally Dirac fermions, the details of which are discussed in the text. The bounds for fractionally charged complex scalars are lower than the fermionic case by  $\sim 20\%$ .

<sup>551</sup> We emphasize that all of these bounds are just an estimate. We have ignored higher order <sup>552</sup> QCD corrections, which for inclusive cross sections are encapsulated into a *K* factor that is <sup>553</sup> typically  $\sim 1-2$ . More significantly, we have assumed that the triggering efficiency – either <sup>554</sup> in the muon system efficiency or using  $\not{E}_T$  – for fractionally charged particles with other (non-<sup>555</sup> hypercharged) quantum numbers (or much larger mass) is not significantly different than in <sup>556</sup> Ref. [20].

<sup>557</sup> We conclude this section with some items worth thinking about in order to maintain a <sup>558</sup> robust collider search program for fractionally charged particles.

- The LHC is an evolving apparatus, with many detector upgrades planned for the high
   luminosity phase. Some ways these upgrades will affect searches for fractionally charged
   particles include:
- The ability to trigger using tracker information alone (at both ATLAS and CMS) may 562 help increase sensitivity in regions where the CMS analysis is limited by the muon 563 trigger efficiency. It is worth noting that the upgraded outer portion of the tracker 564 will be upgraded to a digital device to facilitate the high data transfer rate needed 565 for track triggering. However, this comes with the price that ionization energy 566 on the individual hits is no longer kept. Multiple hits are combined together into a 567 single output, so there will be less granular dE/dx information. Exactly how much 568 this impacts the analysis strategy for fractionally charged particles in Ref. [20] has 569 not yet been studied. 570
- The introduction of a timing layer in CMS between the tracker and ECAL will improve time-of-flight measurements, enhancing signal discrimination based on velocity or displaced vertices [54–56].

- The bounds above primarily rely on tracker information, using other systems only to trigger. More precise bounds, or perhaps even novel signals, could be achieved by improved modeling of the interaction of colored, fractionally charged particles as they traverse the detector. Current models are limited to heavy color triplets/octets that are lumped into hadrons with integer charge, and even within this subset there are considerable differences among models in the charge vs. neutral and meson vs. baryon fractions as a function of distance traversed [42, 43, 59].
- 585 586

587

• Some improvement in the most challenging cases is already underway from the milliQan experiment, which is forecasted to probe up to 45 GeV for a fermion of charge *e*/6 using LHC Run 3 data [60].

• Some percentage of  $\psi_Q$  produced at the LHC will stop inside the detector as a result of 588 their energy loss to the detector material. The fraction that stop depends on the mass 589 of  $\psi_0$ , its charge, and its color representation. The stopped, stable  $\psi_0$  may form atomic 590 or nuclear bound states which will have a fractional charge that cannot be screened 591 by Standard Model material. It is not clear to us whether there might be discovery 592 potential in looking for later trajectories being subtly affected by this small persistent 593 electric charge localized somewhere in the detector. If nothing else, it may be interesting 594 to attempt to search disused detector parts for embedded fractional charges. 595

# 596 5 Cosmology

Not only would the discovery of a fractionally charged particle tell us an enormous amount
about ultraviolet particle physics—it would also tell us a huge amount about the early universe.
So for completeness we offer a brief discussion here.

Since the lightest fractionally charged particle is necessarily stable, strong constraints on the relic abundance of particles with O(1) electric charges are present. Our understanding thereof is mainly from the fantastic Dunsky, Hall, Harigaya papers [61,62] as we briefly summarize in Section 5.1.

These imply that such a species could only ever have been in thermal equilibrium with the Standard Model if there were large Boltzmann factor suppression. That is, discovering a fractionally charged particle of mass  $M_{\psi}$  gives an upper bound on the reheating temperature  $T_{\text{reheat}} \lesssim M_{\psi}/r$ . In Section 5.2 we give some basic estimates of r depending on both the details of reheating and the quantum numbers of  $\psi$ .

This means that just as such an energy frontier discovery would falsify some of our grand models of ultraviolet physics, it would also falsify the high-scale inflation models that have been proposed in these frameworks. Of course all we know experimentally is that there was a Standard Model plasma in a radiation era at the temperatures of Big Bang Nucleosynthesis  $T_{\text{reheat}} \gtrsim T_{\text{BBN}}$ , but there need not have been an era of much hotter temperature [50, 63–65].

## 614 5.1 Abundance Constraints

There have been various lab-based searches for fractionally charged particles in which a sample of some material is tested for fractional charge. Indeed the ensuing constraints on fractional charges present *in the sample* are very strong, but extrapolating to a constraint on the relic abundance is fraught with difficulties. The dust in our proto-planetary disk originated in an earlier generation of stars that underwent supernovae, and that which formed the Earth has undergone billions of years of geological activity. That is to say, tracking the evolution of heavy particles from an initial relic abundance through this non-trivial evolution requires great care.
Some of these issues are discussed further in [62, 66].

However, in fact there is a better source of constraints on the relic abundance from the flux 623 of fractionally charged particles on the Earth. In general, virialized dark matter which strongly 624 interacts with SM particles is unable to reach underground direct detection experiments that 625 are shielded by the Earth's atmosphere and meters of rock (see e.g. [66–68]). However, the 626 electric charges of the states we are considering mean that there is necessarily a component 627 which gets boosted by supernova shocks, as impressively understood in [61]. Indeed for the 628 GeV - TeV mass range of interest at the energy frontier and the  $\mathcal{O}(1)$  electric charges of our 629 states, a relic abundance of such particles collapses into the Milky Way disk as it forms along 630 with the baryons, thermalizes with the ISM, and undergoes Fermi acceleration from supernova 631 shock waves. These accelerated particles appear on Earth in the form of cosmic rays, and their 632 large boosts would allow them to penetrate the Earth down to deep underground detectors, 633 providing strict upper limits on such a flux. In the range of parameter space of interest to us, 634 the strictest bounds come from experiments like IceCube [69], searches for lightly ionizing 635 particles like MAJORANA [70] and MACRO [71], and searches for magnetic monopoles like 636 ICRR [72] and Baksan [73]. These constraints are extremely strong, giving upper bounds on 637 the relic abundance  $10^{-10} - 10^{-16}$  as a fraction of the dark matter abundance, depending on 638 the exact charge and mass. 639

#### 640 5.2 Thermal Plasma Production

The bounds on the relic abundance can roughly be translated into an upper bound on the reheating temperature  $T_{\text{reheat}} \lesssim M_{\psi}/r$  where  $M_{\psi}$  is the mass of the lightest fractionally charged particle. If we assume instantaneous reheating of all species with SM quantum numbers to a temperature  $T_{\text{reheat}} \ll M_{\psi}$ , we get a Boltzmann suppressed equilibrium abundance of  $\psi_O$ :

$$n_{\psi} = g_{\psi} \left(\frac{M_{\psi} T_{\text{reheat}}}{2\pi}\right)^{3/2} \exp\left(-M_{\psi}/T_{\text{reheat}}\right),\tag{4}$$

<sup>645</sup> where  $g_{\psi}$  is the number of degrees of freedom of  $\psi_Q$ . This gives a relic abundance relative to <sup>646</sup> dark matter of

$$\frac{\Omega_{\psi}}{\Omega_{DM}} = \left(\frac{M_{\psi} \, n_{\psi}}{\rho_{DM}}\right) \left(\frac{s_0}{s_*}\right) \tag{5}$$

where  $s_0$  is the entropy today,  $s_*$  is the entropy at  $T_{\text{reheat}}$  and  $\rho_{DM}$  is the average dark matter energy density. Given a bound on  $\Omega_{\psi}/\Omega_{DM}$ , we can translate Eq. 5 into a bound on  $r = M_{\psi}/T_{\text{reheat}}$ . If we impose  $\Omega_{\psi}/\Omega_{DM} \le 10^{-16}$ , the most stringent bound in the parameter space of interest according to Ref. [61], this translates to

$$r \sim 65,\tag{6}$$

with only weak dependence on  $M_{\psi}$ . If the  $n_{\psi}$  produced were large we should include the effects of annihilations like for a standard freeze-out, as done in [74], but since the allowed regime is so small we can ignore this process.

Above we assumed  $\psi_Q$  is instantaneously in equilibrium at  $T_{\text{reheat}}$ . As a test of how sensitive the *r* value derived is to our assumptions of the reheating process, we can imagine an extreme scenario where only the SM matter is reheated at  $T_{\text{reheat}}$  (which may be more or less contrived depending on the quantum numbers of  $\psi$ ). In this case, an abundance of  $\psi_Q$  is built up via freeze-in, generated from collisions among energetic SM particles on the Boltzmann tails of their equilibrium distributions. The frozen in abundance of  $\psi$  can be estimated using the results of Ref. [75]. Specifically, if we assume a threshold cross section times relative velocity of  $\sigma_{SMSM \to \bar{\psi}_Q \psi_Q} \nu \sim \frac{c_{eff}}{16\pi M_{\psi}^2}$ , where  $c_{eff}$  is a combination of couplings and factors counting degrees of freedom (both initial and final), we find

$$\frac{\Omega_{\psi}}{\Omega_{DM}} \sim \frac{135\sqrt{5/2}M_{pl}c_{eff}e^{-2/r}(2/r+1)s_0}{256\,\pi^7\,g_*^{3/2}\rho_{DM}}.$$
(7)

For QCD production (assuming six SM fermion flavors and ignoring all SM masses),  $c_{eff} \sim 75$ , while production of  $\psi_Q$  charged only under hypercharge has  $c_{eff} \sim 0.1 Y_{\psi}^2$ . Plugging in numbers, the freeze-in case decreases r by  $\mathcal{O}(15)$  relative to the case of directly reheating  $\psi$ , with only some mild dependence on the value  $c_{eff}$ .

We note that the strong bound on  $\Omega_{\psi}/\Omega_{DM}$  we have taken above may be loosened slightly 667 for certain quantum numbers of  $\psi$ . In particular, there do exist colored representations for 668 which all hadrons formed with SM partons have fractional electric charge, but which also have 669 bound states with zero electric charge, such as  $Q \sim (3, X)_0$  where  $X = 1, 3, \cdots$ . Triply-exotic 670 (QQQ) bound states (for Q a fermion) are neutral "dark" baryons and one could investigate 671 them as a component of DM, much as in the "colored DM" story [66, 76, 77]. However, there 672 is a severe danger posed by the existence of mixed bounds states such as  $(Q\bar{q})$  (for  $\bar{q}$  a SM 673 quark) which have fractional charges, so must have extremely suppressed relic abundances 674 as discussed above. As understood for colored DM, the QCD phase transition automatically 675 gives some suppression of the fractionally charged abundance, since  $H(\Lambda_{QCD}) \ll \Lambda_{QCD}^{-1}$ . Then 676 after the QCD phase transition, many scatterings occur among the mixed bound states, which 677 depletes their abundance in favor of the much more tightly bound (QQQ) by some orders of 678 magnitude,  $\Omega_{Q\bar{q}} \sim 10^{-4} \Omega_{QQQ}$ . This leads to a less stringent restriction on  $T_{\text{reheat}}/M_{\psi}$  than in a case without electrically-neutral bound states by about  $\mathcal{O}(10)$ . 679 680

# 681 6 Global Structure of Gauge Theory

In this section we give a basic review of some group and representation theory and its ap-682 pearance in gauge theories. Our focus is on conceptual understanding moreso than technical 683 detail. The key point is to understand the differences between symmetry groups which are 684 identical for infinitesimal symmetry transformations near the identity (they have the same Lie 685 algebra) but differ for large symmetry transformations (they have different Lie groups as the 686 result of non-trivial 'global structure'). This will allow us to appreciate the distinct possibilities 687 for the gauge group of the Standard Model. Some pedagogical references for the group theory 688 are [78, 79]. 689

### 690 6.1 Abelian Warmup: $\mathbb{R}$ vs. U(1)

Often in particle physics we are interested in continuous symmetry groups which have a notion of infinitesimal transformations which are close to the trivial, identity transformation. The earliest such example in a field theory (and indeed the farthest infrared example) is the theory of electromagnetism.

As Groups When we consider a gauge field theory based on a symmetry group, the gauge bosons correspond to the generators of the group. Electromagnetism has only one photon, so we are interested in groups with only one generator. In fact, the photon corresponds to the generator of  $U(1)_{EM}$  gauge transformations, a global element of which we can represent as

$$U(\theta) = e^{i\theta Q},\tag{8}$$

a circle's worth of transformations which compose by complex multiplication  $U(\theta)U(\eta) = e^{i(\theta+\eta)Q}$ with  $\theta, \eta \in [0, 2\pi)$ . But alternatively we may view this as a mapping of  $\theta \in \mathbb{R}$  onto the unit circle. Indeed, if we look nearby the identity transformation we cannot tell U(1) from  $\mathbb{R}$ 

$$U(\theta) \simeq 1 + i\theta Q,\tag{9}$$

where we have expanded for small  $\theta$ . Then we could alternatively think about just defining the group operation

$$U(\theta)U(\eta) \equiv 1 + i(\theta + \eta)Q. \tag{10}$$

This is a group which is not compact— $\theta$  has no finite period now; the group is just  $\mathbb{R}$  equipped with addition. While U(1) and  $\mathbb{R}$  differ as Lie groups, they share the same Lie algebra.

Thinking in the other direction, if we had begun with  $\mathbb{R}$  with the group operation of addition, we could see the relation to U(1) by considering the quotient group  $\mathbb{R}/\mathbb{Z} \simeq U(1)$ . That is, we may view U(1) as coming from an  $\mathbb{R}$  group where we have imposed the additional equivalence relation  $\theta \sim \theta + 2\pi\mathbb{Z}$ —two elements of the group are now identified if they differ by an integer (the factor of  $2\pi$  is a normalization convention of the period). We diagram this structure in Figure 5, and of course this is exactly what the exponential map above does.

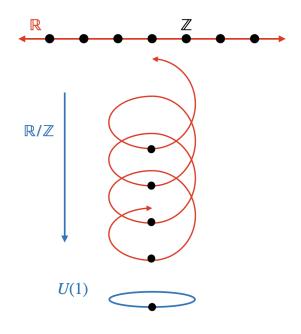


Figure 5: The group U(1) constructed by quotienting  $\mathbb{R}/\mathbb{Z}$ . We can think about the quotient projecting the real line down to the circle such that every integer maps to the identity element.

Thinking about the physics, the perturbative, low-energy dynamics of the vector gauge bosons depend only on the gauge transformations which are close to the identity. That is, Maxwell's equations and the covariant derivative depend only on the Lie algebra of the gauge group. Yet the two theories differ in important ways, as we discuss presently.

Figure 716 Electric Representations: In fact, there are nonperturbative aspects of physics which do depend on the global properties of the gauge group, and the closest at hand is simply the representation theory. In physics our objects transform in representations of the relevant symmetry groups, and the representation theory of groups with different global structures may differ.

The question in the one-dimensional case is: Which charges should be allowed? A field 721  $\psi(x)$  with charge q transforms under a  $U(\theta)$  transformation as  $\psi(x) \rightarrow \psi(x) \exp(iq\theta)$ . If the 722 group is  $\mathbb{R}$ , then any charge  $q \in \mathbb{R}$  is fine. But if the gauge group is U(1), then  $U(2\pi) \equiv 1$ , a 723 rotation around the full circle is equivalent to an identity transformation. Each field must be 724 trivially mapped back to itself by an identity transformation, but a field of general charge q725 transforms to  $\psi(x) \exp(2\pi q i)$ . The requirement  $\exp(2\pi q i) \equiv 1$  implies that for a U(1) group 726 we must have  $q \in \mathbb{Z}$  and charge is quantized. 727 Thus, we see that the representation theory depends crucially on the global structure of 728

the group, rather than just its local structure near the identity. Turned around, this means that by discovering particles with particular representations, you can learn about the global structure. If you discover two particles  $\psi$ ,  $\chi$  with relatively irrational charges  $q_{\psi}/q_{\chi} \notin \mathbb{Q}$  then the gauge group must be  $\mathbb{R}$  instead of U(1). Note that you only need to discover two because for any real number can be approximated arbitrarily closely by a sequence of  $aq_{\psi} + bq_{\chi}$  for  $a, b \in \mathbb{Z}$ .<sup>14</sup>

Magnetic Representations: Gauge theories may also allow representations which carry mag netic, rather than electric charge. In the low energy theory of electromagnetism, these are the
 familiar Dirac monopoles. Of course it is simple enough to postulate a monopole magnetic
 field

$$\vec{B} = \frac{g}{4\pi} \frac{\hat{r}}{r^2},\tag{11}$$

<sup>739</sup> but in a quantum mechanical theory (where Aharonov-Bohm teaches us we really *must* talk <sup>740</sup> about the potential  $A^{\mu}$ ) such configurations connect to rich, deep physics. See e.g. Preskill's

r41 classic [81] for an in-depth introduction.

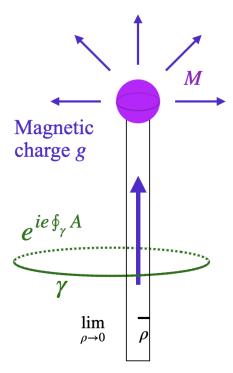


Figure 6: The Dirac monopole as the limit where a semi-infinite solenoid becomes the Dirac string.

<sup>&</sup>lt;sup>14</sup>We note for fun that this fact was used to intriguing effect in the 'irrational axion' of [80].

The problem is that when we define the magnetic field in terms of the vector potential,  $\vec{B} = \nabla \times \vec{A}$ , the absence of magnetic monopoles in the Maxwell equations follows necessarily,  $\nabla \cdot \vec{B} = \nabla \cdot (\nabla \times \vec{A}) \equiv 0$  because the divergence of a curl is identically zero. In the relativistic theory this is often referred to as the 'Bianchi identity',  $e^{\mu\nu\rho\sigma}\partial_{\nu}F_{\rho\sigma} = 0$ .

As Dirac understood, their construction in the low-energy theory of the gauge field  $A^{\mu}(x)$ requires a singular line in the electromagnetic field in some direction from the monopole off to infinity known as a 'Dirac string'. This is on display in his

$$A_{\text{Dirac}}(x) = \frac{g}{4\pi r} \tan \frac{\theta}{2} \hat{\phi}, \qquad (12)$$

in polar coordinates with  $\phi$  the azimuthal angle and  $\theta$  the polar angle. This indeed gives rise 749 to the monopole magnetic field above, but this potential is singular from r = 0 out to all r 750 along the line  $\theta = \pi$ . This is not a deficiency of Dirac; any function A(x) which produces this 751 magnetic field will unavoidably have such a singular line, which we call a 'Dirac string'. An 752 isolated singularity at r = 0 appears also of course in the electric field of an elementary charged 753 particle-this can essentially be ignored in the low-energy theory and relativistic quantum field 754 theory teaches us how to deal with it using renormalization. But a line-like singularity can lead 755 to physical effects which we do not want and must avoid, as follows. 756

One can think of a monopole so constructed as being one end of an infinitely-thin solenoid 757 where the other end has been sent off to infinity.<sup>15</sup> The magnetic flux g of the monopole 758 flows into it from infinity through the solenoid, creating a monopole magnetic field at its end. 759 The famous Dirac quantization condition arises from requiring that the Dirac string is truly 760 unphysical, so that we can really view the solution as just the point monopole. Given an 761 electrically charged particle with charge e and dragging it in a closed path around the would-762 be Dirac string of a monopole with magnetic charge g, the charge picks up an Aharonov-Bohm 763 phase 764

$$\exp\left(ie\oint_{\gamma}\vec{A}\cdot d\vec{s}\right) = \exp\left(ie\iint(\vec{\nabla}\times\vec{A})d^2x\right) = \exp ieg,\tag{13}$$

which is a physical phase we could measure in an interference experiment. Then, in order for the Dirac string to truly be unphysical, the charge g of a fundamental monopole must satisfy

$$eg = 2\pi n, \quad n \in \mathbb{Z}. \tag{14}$$

The smallest-charge monopole is found for  $n = \pm 1$ , and of course the most stringent requirement is from the electrically-charged particle with the least charge. That is, if  $q_{\min}$  satisfies Eqn 14, then so will every multiple of  $q_{\min}$ , so we have implicitly used this normalization of *e* in writing that equation.

Alternatively to this construction (and more than 40 years later) Wu and Yang showed that magnetic monopoles can be described in a manifestly singularity-free language by using some concepts from topology [86]. In fact historically it is these ideas that have sparked theoretical physicists' enduring fascination with topology in field theory, but let us try only to appreciate some elementary points.

From this point of view, the unphysical Dirac string appears in the naive description because there is no way to express the vector potential  $A^{\mu}(x)$  globally as a *function* for all x. In topological language we must instead think of fields as sections of certain fiber bundles, but elementarily we can imagine we must describe the gauge field using *two* functions  $A^{\mu}_{N/S}(x)$  with an

<sup>&</sup>lt;sup>15</sup>It is not clear to us who first discussed the Dirac string in this language, though Dirac's paper [82] invites this interpretation easily enough. We refer to Felsager [83] for one construction, [84] for some explicit formulae, and [85] for an experiment at creating an approximate monopole in the lab by taking just such a limit.

overlapping range of validity. Thinking in spherical coordinates,  $A_N^{\mu}(x)$  is defined for polar angles  $\theta \in [0, (\pi + \delta)/2)$  and  $A_S^{\mu}(x)$  is defined on the 'southern hermisphere'  $\theta \in ((\pi - \delta)/2, \pi]$ where the small  $\delta$  addition to the domains ensures that these two descriptions overlap on a small ring around the equator. They have the explicit expressions

$$A_{\rm N}(x) = \frac{g}{4\pi r \sin \theta} \left(1 - \cos \theta\right) \hat{\phi}$$
(15)

$$A_{\rm S}(x) = \frac{-g}{4\pi r \sin \theta} \left(1 + \cos \theta\right) \hat{\phi}.$$
 (16)

If we have two overlapping descriptions on the equator they must surely somehow match, and this is possible despite them being different functions locally because there is an underlying U(1) gauge redundancy. That is these functions describe the same physics on the equator if they agree up to a U(1) gauge transformation, which we can see as

On overlap: 
$$A_N^{\mu}(x) = A_S^{\mu}(x) - ie^{-i\alpha(x)}\partial^{\mu}e^{i\alpha(x)}, \quad \alpha(x) = g\frac{\phi}{2\pi}k$$
 (17)

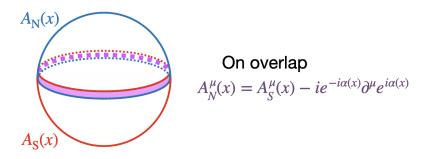


Figure 7: The local descriptions  $A^{\mu}_{N/S}(x)$  of the vector potential in their separate patches, and the transition function on their overlap.

Then morally speaking the different monopole solutions are classified by the value of this gauge transformation on a path around the equator  $U(\phi) : \phi \to U(1)$  as  $\phi = 0..2\pi$  with  $U(0) = U(2\pi)$ . In fact the collection of such paths is familiar in algebraic topology as the 'fundamental group'  $\pi_1(G)$  of a space *G*. In the case of a U(1) group,  $\pi_1(G) = \mathbb{Z}$  tells us that there are magnetic monopoles labeled by any integer charge.

In contrast, in the case of an  $\mathbb{R}$  gauge group there is no way to draw a closed path in  $\mathbb{R}$  which cannot be shrunk down to a single point, so  $\pi_1(G) = \mathbb{1}$  is trivial and this group does not have any magnetic monopoles. One may have intuited this already from the Dirac quantization condition and the results above about electric representations. Since in an  $\mathbb{R}$  gauge group the electric charge can be an arbitrarily small real number, the Dirac quantization cannot be satisfied for any magnetic charges.

### 799 6.2 Global Structure of Non-Abelian Groups

**Case Study 1:** SU(N) vs.  $SU(N)/\mathbb{Z}_N$  Recall that the group SU(N) consists of  $N \times N$  complex matrices which are unitary  $(V^{\dagger}V = 1)$  and special (det V = 1). The structure of infinitesimal transformations in SU(N) is generated by traceless hermitian  $N \times N$  matrices

$$U(\theta^a) = \mathbb{1}^i_i + i\theta^a \left(T^a\right)^i_i \tag{18}$$

where  $a = 1..N^2 - 1$ . These  $T^a$  generate the Lie algebra of SU(N) in a way that generalizes the familiar Pauli matrices of SU(2). The group SU(N) is non-Abelian but it has a nontrivial <sup>805</sup> 'center'  $\mathbb{Z}_N$ , where the center of a group is the subgroup of elements which commute with all <sup>806</sup> others,

$$\mathbb{Z}_{N} \subset SU(N) : \left\{ \exp\left(\frac{2\pi k}{N}i\right) \mathbb{1}_{N} \right\}_{k=0..N-1},$$
(19)

which is generated by the element  $\omega_N = \exp\left(\frac{2\pi}{N}i\right)\mathbb{1}_N$ . We can sensibly form the quotient group  $SU(N)/\mathbb{Z}_N$  where we 'mod out' by the center subgroup. This group can be thought of as SU(N) with the equivalence relation  $\omega_N \sim \mathbb{1}_N$  imposed. But this does not change the structure of transformations near the identity; the Lie algebra remains the same.

In the quotient group any two elements of SU(N) which differ by a center element are now identified. In particular, each element of the center is now identical to  $\mathbb{1}_N$ . Thinking now about the representation theory, this means that such elements must necessarily act trivially on each field.

If we think about the familiar SU(N) representations, this is not the case for all of them. Consider a field  $\psi^a$  in the fundamental representation of SU(N), which transforms generally as  $\psi^a \rightarrow \psi^a V_a^b$ . Then in particular under an  $(\omega_N)_a^b$  transformation it picks up an  $N^{\text{th}}$  root of unity phase. In SU(N) this is as it should be, but this is nonsensical for a representation of  $SU(N)/\mathbb{Z}_N$ , in which this element was literally the identity—then the fundamental representation of SU(N) is not an allowed representation of  $SU(N)/\mathbb{Z}_N$ !

The field theory of  $SU(N)/\mathbb{Z}_N$  is a theory of *adjoint* fields, including of course the gauge bosons which are necessarily present. An adjoint representation can be thought of as the product of a fundamental and antifundamental with the trace removed, with the math  $N \otimes \overline{N} = (N^2 - 1) \oplus 1$ . With equal number of fundamental and antifundamental indices,  $A_c^a \to (V^{\dagger})_d^c A_c^a(V)_a^b$  is easily seen to be invariant under a center transformation. The  $SU(N)/\mathbb{Z}_N$  theory allows arbitrary matter which is in either the adjoint or irreps which can be built from it and the Levi-Civita symbol  $\varepsilon_{a_1...a_n}$ .

The global structure also here crucially changes the topological properties of the gauge group, just as did the quotient in the Abelian case. We can see this again in the allowed magnetic representations, which are controlled by the fundamental group  $\pi_1(G)$ . This can be thought of elementarily as simply the group of topologically equivalent maps of circles into  $G, \pi_1(G) \simeq \{\phi : S^1 \to G\}$ . The question is what sorts of closed loops we can draw in *G*. For SU(N) it is a fact that  $\pi_1(SU(N)) = 1$  and there are no magnetic monopoles. But now let us consider the following diagonal generator of SU(N)

$$T^{N^{2}-1} = \begin{pmatrix} 1 & & & \\ & 1 & & \\ & & \ddots & \\ & & & -(N-1) \end{pmatrix},$$
 (20)

which is a hermitian, traceless matrix you can think of as the generalization of the Pauli  $\sigma_3$  to SU(N). Of course close to the identity we can think of an infinitesimal transformation in this direction  $\theta^a = \delta^a_{N^2-1} \theta$ ,

$$U(\theta^{a}) = 1 + i\theta T^{N^{2}-1} + \mathcal{O}(\theta^{2}),$$
(21)

just as in SU(N). But now in  $SU(N)/\mathbb{Z}_N$  we will see something interesting if we go a *large* distance in this direction, say  $\theta = 2\pi/N$ . The higher order terms form into the exponential

$$U(\theta^{a}) = \exp\left(i\frac{2\pi}{N}T^{N^{2}-1}\right) = \exp\left(i\frac{2\pi}{N}\right)\mathbb{1}$$
(22)

and because  $-(N-1) = 1 \pmod{N}$  we see that by following a path along the  $T^{N^2-1}$  direction we have ended up at an element of the  $\mathbb{Z}_N$  center. In SU(N) there's nothing special to say about this, but in  $SU(N)/\mathbb{Z}_N$  this means that you can go far out along this direction and end up *back at the origin*! So now there is a map  $\phi : [0, 2\pi) \mapsto G$  where  $\phi(\theta) = U(\theta/N)$  and this gives us one-dimensional loops around  $SU(N)/\mathbb{Z}_N$ .

This means that in addition to the electric representations discussed above,  $SU(N)/\mathbb{Z}_N$ also has magnetic representations. In this case there are not monopoles of any integer charge as in  $\pi_1(U(1)) = \mathbb{Z}$  but rather only *N* distinct closed loops  $\pi_1(SU(N)/\mathbb{Z}_N) = \mathbb{Z}_N$  and so only *N* distinct monopoles. If you wind *N* times around  $SU(N)/\mathbb{Z}$  you end up with a path that can be deformed into lying only in SU(N), where it can be shrunk to a point.

The familiar example of this is SU(2) which has  $\pi_1(SU(2)) = 1$ , and you will recall is only locally isomorphic to the rotation group SO(3), while globally double-covering it. Then the quotient group  $SU(2)/\mathbb{Z}_2 \cong SO(3)$  is isomorphic to 3D rotations and has  $\pi_1(SU(2)/\mathbb{Z}_2) = \pi_1(SO(3)) = \mathbb{Z}_2$ . The fact that looping *N* times around  $SU(N)/\mathbb{Z}_N$  returns you to the identity is nothing more than 'Dirac's belt trick'—in 3D space taking the belt buckle on a loop in  $\phi : [0, 2\pi) \mapsto SO(3)$ puts it in a topologically twisted sector yet going around twice returns it to the identity.

**Case Study 2:**  $SU(N) \times U(1)$  **vs.** U(N) In the case of a product group there may be a more subtle choice of global structure which interrelates the allowed representations of the group factors. In fact  $U(N) \cong (SU(N) \times U(1)) / \mathbb{Z}_N$  differs in its global structure from  $SU(N) \times U(1)$ , though the fact that they are equivalent locally is often used when analyzing perturbative physics.

In this case the quotienting is done by a diagonal combination of the  $\mathbb{Z}_N$  center subgroups of 861 the two factors, and identifies them with each other  $\exp \frac{2\pi i}{N} \mathbb{1}_N \sim \exp \frac{2\pi i}{N} Q$ . This means that ev-862 ery field must be invariant under the diagonal combination of rotations,  $\exp \frac{2\pi i}{N} \mathbb{1}_N \times \exp \frac{-2\pi i}{N} Q \equiv 1$ . 863 There is in general for SU(N) representations a notion of 'N-ality' which simply tracks 864 how the field transforms under a  $\mathbb{Z}_N$  center transformation. A fundamental has *N*-ality of 1, 865 as we saw above, and in the  $SU(N)/\mathbb{Z}_N$  theory the representation theory required N-ality of 866 0 (mod) N. Here in the U(N) theory the quotient instead correlates the N-ality of the rep-867 resentations with the Abelian charge. A fundamental must have a charge under Q which is 868 1 (mod) N such that it is invariant under the quotiented subgroup. Since every representation 869 may be constructed by taking tensor products of fundamental and anti-fundamental represen-870 tations, this informs us of the charge Q (mod) N which each SU(N) representation must have 871 in order to be an allowed representation of  $(SU(N) \times U(1))/\mathbb{Z}_N$ . The two-index  $\phi^{ab}$  either 872 symmetric or anti-symmetric irrep comes from  $N \otimes N = N(N-1)/2 \oplus N(N+1)/2$  so must 873 have U(1) charge 2 (mod N). The adjoint  $\phi_h^a$  is built from  $N \otimes \overline{N} = N^2 - 1 \oplus 1$  so must have 874 U(1) charge 0 (mod N), and so on. 875

Now what of the magnetic representations? Early physics work in this direction includes [10,87–90], in which much further detail may be found. In  $SU(N) \times U(1)$  the two factors are separate, and  $\pi_1(SU(N)) = 1$  does not have monopoles while  $\pi_1(U(1)) = \mathbb{Z}$  gives the simple monopoles familar from the Abelian case above.

Turning to  $(SU(N) \times U(1))/\mathbb{Z}_N$ , the structure is a bit subtle. The fundamental group  $\pi_1(U(N)) = \mathbb{Z}$  tells us we have distinct monopoles for any integer, but in this case the spectrum of monopoles is skewed away from just being the  $\mathbb{Z}$ -valued monopoles of the Abelian group. Let us picture the different classes of closed paths. Of course one thing we can do is simply go all the way around U(1) as  $U(\phi) = \exp(i\phi Q)$  and wrap around the U(1) direction to get a monopole with only U(1) magnetic flux.

However, now if we go a fraction of k/N around the circle, the quotient combined with our understanding of the  $SU(N)/\mathbb{Z}_N$  case above tells us  $\exp\left(i\frac{2\pi k}{N}T^{N^2-1}\right) \sim \exp\left(i\frac{2\pi k}{N}Q\right)$ . Then we can return to the origin not by continuing around the U(1) direction, but by taking a path along  $T^{N^{1}-1}$  in SU(N) that when we get close to the origin looks like  $U(\theta) = 1 + i\theta T^{N^2-1}$ .

So this case is something of a mixture of the two we have seen before. There are  $k \in \mathbb{Z}$ 

	$Q_i$	$\overline{u}_i$	$\overline{d}_i$	$L_i$	$\overline{e}_i$	Н
$SU(3)_C$	3	3	3	_	_	_
$SU(2)_L$	2	_	_	2	_	2
$U(1)_{Y}$	+1	-4	+2	-3	+6	-3

Table 3: Representations of the Standard Model fields under the subgroups of the gauge symmetries, switching notation from the earlier sections in which we used Dirac fermions and the standard convention for the normalization of hypercharge. Herein we speak of Weyl fermions—as appropriate for the Standard Model in the unbroken phase—and henceforth we normalize  $U(1)_Y$  so the least-charged particle has unit charge. This will make various statements simpler to see.

magnetic monopoles, but they now have both Abelian and non-Abelian magnetic fluxes for  $k \neq 0 \pmod{N}$ . It is only in the case  $k \in N\mathbb{Z}$  for which they have U(1) magnetic flux only.

#### 893 6.3 The Standard Models

The case of the Standard Model is not much more difficult than the above examples we have discussed. As you know, the Standard Model is a Yang-Mills theory with a certain continuous gauge group which near the identity includes factors of  $SU(3)_C$ ,  $SU(2)_L$ , and  $U(1)_Y$ . The perturbative physics of these theories, including the spectrum of gauge bosons, is controlled by the local structure of gauge transformations which are close to the identity transformation. Thinking just of the symmetry group, we may write a general such infinitesimal group element as

$$U(\theta_1, \theta_2^i, \theta_3^a) = 1 + i\theta_1 Y + i\theta_2^i T_2^i + i\theta_3^a T_3^a,$$
(23)

where  $\theta_{1,2,3}$  parametrize the transformations in the hypercharge, weak, and strong directions, and  $T_3, T_2, Y$  are the generators of the respective subalgebra. Thinking about the SM as a Yang-Mills theory we wish to upgrade this invariance from global to local transformations which depend on spacetime position  $\theta_i \mapsto \theta_i(x)$ . Then as is familiar we must introduce vector gauge bosons in the adjoint representation and couple them to our charged fields.

The transformations close to the identity explore only the Lie algebraic structure, and in fact are not sensitive to the 'global structure' of the gauge group. This is what we see in the covariant derivative to minimally couple charged particles to a gauge field

$$D_{\mu} = \partial_{\mu} - ig_1 Q_Y B_{\mu} - ig_2 T^{\alpha}_{R_2} W^{\alpha}_{\mu} - ig_3 T^{a}_{R_3} G^{a}_{\mu},$$
(24)

which explores only the local structure of the gauge group, just as the position derivative
explores only the local structure of the spacetime manifold. That means we are only experimentally sure of this local information, and in fact there are multiple possible Lie groups which
have this same Lie algebra.

<sup>913</sup> The four different possibilities are

$$G_{\mathrm{SM}_n} \equiv (SU(3)_C \times SU(2)_L \times U(1)_Y) / \mathbb{Z}_n, \tag{25}$$

where n = 1, 2, 3, 6 and we use the slang term  $\mathbb{Z}_1 \equiv \mathbb{1}$  for convenience. As far as we are aware, this was first laid out systematically in a little-known 1990 solo paper by a UCSB grad student [22] but has been well-publicized in recent years [19]. The options with n > 1 can be viewed as quotient groups of  $G_{\text{SM}_1}$  where we quotient out certain diagonal center transformations as follows. In the group  $G_{SM_2} = G_{SM_1}/\mathbb{Z}_2$ , we impose an equivalence relationship between the  $\mathbb{Z}_2$ center subgroups of  $SU(2)_L$  and of  $U(1)_Y$ . That is,  $(-1)\mathbb{1}_2 \sim \exp(i\pi Y)$ , working now in the normalization that the least-hypercharged particle has unit charge (see Table 3). In the group  $G_{SM_3} = G_{SM_1}/\mathbb{Z}_3$ , we impose an equivalence relationship between the  $\mathbb{Z}_3$  center subgroups of  $SU(3)_C$  and of  $U(1)_Y$ . That is,  $\exp(2\pi i/3)\mathbb{1}_3 \sim \exp(i2\pi Y/3)$ . In the group  $G_{SM_6}$  we impose both of these quotients simultaneously.

	$Q_i$	$\overline{u}_i$	$\overline{d}_i$	$L_i$	$\overline{e}_i$	Н
$\mathbb{Z}_3 \subset SU(3)_C$	$e^{i2\pi/3}$	$e^{-i2\pi/3}$	$e^{-i2\pi/3}$	1	1	1
$\mathbb{Z}_2 \subset SU(2)_L$	-1	1	1	-1	1	-1
$\mathbb{Z}_3 \subset U(1)_Y$	$e^{i2\pi/3}$	$e^{-i2\pi/3}$	$e^{-i2\pi/3}$	1	1	1
$\mathbb{Z}_2 \subset U(1)_Y$	-1	1	1	-1	1	-1

Table 4: How each SM field transforms under a center transformation by the generator of each noted subgroup.

Of course we can always consider these as abstract quotient groups, as in the constructions 925 of the previous sections. But we have also observed the particles of the SM, which transform 926 in a variety of representations. To see if we can legitimately consider these other possibility for 927 global structure, we must check that the representation theory of any of these options actually 928 allows for the needed particles.<sup>16</sup> Indeed, it does work, as may be checked easily from the 929 data in Table 3. In the case of the  $\mathbb{Z}_2$  quotient, we see that the fields which are SU(2) doublets 930 all have odd hypercharge, and the fields which are SU(2) singlets all have even hypercharge 931 (and the SU(2) triplet  $W^a$  of course has zero hypercharge) which means that indeed none of 932 the fields are charged under this diagonal  $\mathbb{Z}_2$  center transformation. The  $\mathbb{Z}_3$  subgroup may be 933 checked just as easily and the conclusion is the same, meaning that indeed there is a four-fold 934 ambiguity in the global structure of the gauge group of the SM. 935

It is useful also to note that a particular global structure may be demanded by the UV embedding of the SM in a unified gauge group. Either of SO(10) or SU(5) demand the  $\mathbb{Z}_6$ quotient. Less stringently, Pati-Salam  $SU(4)_C \times SU(2)_L \times SU(2)_R$  requires the  $\mathbb{Z}_3$  quotient and trinification  $SU(3)_C \times SU(3)_L \times SU(3)_R$  needs the  $\mathbb{Z}_2$  quotient.

Given an embedding of the SM gauge algebra in a UV theory, we can see the global structure 940 demanded simply by examining the decomposition of the fundamental irreps of the UV under 941 this breaking, and asking which center elements they are invariant under. For example, the em-942 bedding of the SM in SU(5) is such that the fundamental decomposes as  $5 \rightarrow (3, 1)_{+2} \oplus (1, 2)_{-3}$ , 943 and we see manifestly that these are invariant under the  $\mathbb{Z}_6$  center. Since all irreps of SU(5)944 can be found in tensor products of 5 and  $\overline{5}$ , the embedding of the SM in SU(5) produces only 945 representations which are invariant under the  $\mathbb{Z}_6$ . More formally, of course, one can find group 946 theoretically that it really is  $SU(3) \times SU(2) \times U(1)/\mathbb{Z}_6$  which is actually a subgroup of SU(5), 947 as has been known since 1980 at latest [97]. 948

From the above argument, it is clear that finding a representation which is charged under the  $\mathbb{Z}_6$  center falsifies the embedding into SU(5). More generally, discovering a particle with electric charge e/6 (either at colliders or elsewhere) would rule out all the minimal unified models of the universe.<sup>17</sup> A new particle with charge e/2 would tell us we can have Pati-Salam

<sup>&</sup>lt;sup>16</sup>One must additionally check that each of these versions of the Standard Model is free of global anomalies, which is indeed true as discussed in [91–96].

<sup>&</sup>lt;sup>17</sup>Notably this statement only applies for the minimal theories of so-called 'vertical' unification; that is theories which consolidate one generation of SM fermions into fewer irreps. Unification among generations may be compatible with the existence of any of these fractionally charged particles. Obviously so when the horizontal

<sup>953</sup> but it cannot be further embedded into SO(10), and a new particle of charge e/3 would allow <sup>954</sup> a unified theory like trinification but rule out its embedding in  $E_6$ .

**Some Additional Possibilities:** Thinking just as low-energy effective field theorists, there 955 are a couple further possibilities are useful to note. For one, it is conceivable that the hy-956 percharge assignments we have in Table 3 are not actually in terms of the charge quantum. 957 That is, we could discover a particle which has hypercharge 1/N that of the left-handed quark 958 doublet field Q. This would rule out all the UV unification models we normally think about, 959 but is possible. In terms of thinking about the global structure of the SM gauge group, this 960 would effectively tell us that the  $U(1)_V$  circle is actually a factor of N 'larger' than we had 961 thought. Correspondingly the magnetic monopole charges are a factor of N larger as a result 962 of Dirac quantization. Recently [27] has fully classified which such possibilities are consistent 963 with the various SM quotients. It would be interesting to understand which of these could still 964 be consistent with new unification models. 965

Most exotically, we can think about  $\mathbb{R}_{Y}$ , in which irrational charges are allowed. At a 966 generic point in some constraint plot of fractionally charged particles, one can have this in 967 mind as the alternate hypothesis that is being tested. It is true that we expect theories of 968 quantum gravity do not contain non-compact gauge groups like  $\mathbb{R}$  (see e.g. [15, 100]), but it 969 is not obvious there is anything wrong with them strictly as quantum field theories. Flipped 970 around, we can say that searches for irrationally fractionally charged particles are testing deep 971 principles of UV physics. These ideas are also subject to precision tests of atom neutrality for 972 example using interferometry [101–103].<sup>18</sup> 973

Finally we mention that these are not the only possible ambiguities in the gauge group of the Standard Model. In [105] (App. B.1) we introduced the SM<sup>+</sup>, in which the SM is extended by gauging  $\mathbb{Z}_{N_cN_g}^{B-N_cL} \times \mathbb{Z}_{N_g}^L$ , which is the Standard Model's anomaly-free, generationindependent, global zero-form symmetry. This entails no modification of the local dynamics, but ensures absolute proton stability. We will further explore these and related possibilities in future work [106].

# 980 7 Generalized Global Symmetries

As fundamental physicists we are deeply familiar with the power of symmetries and how a 981 proper understanding of the symmetries of a system can aid in both our description of the 982 theory and in finding a further ultraviolet description thereof. In the previous section we 983 discussed the ambiguity in the global structure of the Standard Model gauge group as general, 984 bottom-up motivation for searching for fractionally charged particles. Focusing on a limit 985 perturbatively close to the free theory, this may seem like just such a symmetry analysis, but 986 *really* gauge 'symmetries' are *not* symmetries—they are redundancies of our description. This 987 is evident in the existence of descriptions where we never need speak of a gauge redundancy. 988 such as the 'on-shell approach', and has been hammered home to us by discovering dualities 989 where the same physics can be understood in terms of gauge theories with different groups. 990 So it is useful instead to focus on global symmetries, which do have physical content that is 991 independent of any choice of description. In this section we discuss how the possible global 992

gauge group is factorized from the Standard Model gauge group e.g. [98], but even in non-factorized cases such as color-flavor unification [99].

<sup>&</sup>lt;sup>18</sup>Of course all experimental measurements have a finite precision, so in some strict sense it is not possible to 'prove' an electric charge to be irrational. Regardless, even measuring a rational charge with very large denominator (when expressed irreducibly) would be challenging to UV physics, as it has proven hard to find large charges in string theory [104].

symmetries of the Standard Model also provide a bottom-up motivation for the search for fractionally charged particles.

In the framework of Generalized Global Symmetries, symmetries correspond to the existence of certain operators which have topological correlation functions. These are known as 'symmetry defect operators' (SDOs), can be thought of as implementing the global symmetry transformation by 'acting on' (or 'sweeping past') the charged objects, and beautifully generalize familiar notions like Noether charges and Gauss' law.

In the following we will aim to describe relevant basic ideas of Generalized Global Symmetries in an elementary fashion intending to convey some conceptual lessons. For further detail, generalization, and technicalities we refer to the seminal [17] and to some reviews aimed to be accessible for particle physicists [107–109].<sup>19</sup> But we will eschew any topic whose introduction would require cohomology, as well as many interesting GGS possibilities broader than the basics we require. Ideas and technology from GGS are gradually being utilized in (or towards) particle physics applications, for example [23–26,91,92,95,96,98,99,117–146].

**Familiar (Zero-Form) Noether Charges** A familiar symmetry which acts on local fields (so the charged operators are zero-dimensional) has an associated Noether charge. In the case of a continuous symmetry (for simplicity,  $U(1)_X$ ) we may build this out of a Noether current  $J^{\mu}$ which obeys the conservation equation  $\partial_{\mu}J^{\mu} = 0$ . From this current we can build a family of topological, unitary operators by exponentiating its integral over any three-manifold  $\Sigma_3$ ,

$$U_{\alpha}(\Sigma_{3}) = \exp\left(i\alpha \int_{\Sigma_{3}} J^{\mu} \epsilon_{\mu\nu\rho\sigma} dx^{\nu} dx^{\rho} dx^{\sigma}\right),$$
(26)

where  $\epsilon_{\mu\nu\rho\sigma}J^{\mu} \equiv \star J$  is the Hodge dual. We refrain from the index-free notation of differential forms, but mention that the benefit thereof is to emphasize that the metric tensor is not needed to define these operators—they are supposed to be topological, after all.

The familiar Noether charge restricts  $\Sigma_3$  to be all of space at a given time, and the topological invariance of the charge is then the fact that it can be moved forward or back in time and the charge remains the same. But this more covariant set of operators is well-defined for any  $\Sigma_3$ , and the conservation  $\partial_{\mu}J^{\mu} = 0$  implies that any deformations of this surface do not change the correlation functions of  $U_{\alpha}(\Sigma_3)$ . Let us discuss further how to think about this, drawing from [107] among others.

We consider smoothly deforming  $\Sigma_3$  to  $\Sigma'_3$ , where for now we assume doing so does not cross any charged operators. That is, the spacetime volume in between these is a four-manifold  $\Sigma_4$  bounded by these two three-surfaces,  $\partial \Sigma_4 = \Sigma_3 \bigcup \Sigma'_3$ , and  $\Sigma_4$  does not have any charged operators in it. We compute the product of an SDO on  $\Sigma_3$  implementing a rotation by  $\alpha$  and an SDO on  $\Sigma'_3$  implementing a rotation by  $-\alpha$  using the generalized Stokes' theorem

$$U_{\alpha}(\Sigma_{3})U_{-\alpha}(\Sigma_{3}') = \exp\left(i\alpha \int_{\Sigma_{3}} J^{\mu} \epsilon_{\mu\nu\rho\sigma} dx^{\nu} dx^{\rho} dx^{\sigma} - i\alpha \int_{\Sigma_{3}'} J^{\mu} \epsilon_{\mu\nu\rho\sigma} dx^{\nu} dx^{\rho} dx^{\sigma}\right)$$
(27)  
$$= \exp\left(i\alpha \int_{\Sigma_{4}} \partial_{\mu} J^{\mu} d^{4}x\right) = 1.$$
(28)

Where we have used current conservation to find the volume integral vanishes and we get 1 on the right-hand side. Since these SDOs are unitary operators, we learn  $U_{\alpha}(\Sigma_3) \simeq U_{\alpha}(\Sigma'_3)$ . That is correlation functions containing an insertion of  $U_{\alpha}(\Sigma_3)$  are invariant under deforming  $\Sigma_3$ , so the SDOs are topological as we said above.

<sup>&</sup>lt;sup>19</sup>We note also introductions and reviews a bit further afield such as [110–116].

Now, the above equations assumed that there are no charged particle in the volume  $\Sigma_4$ between the initial and final surfaces. How do the SDOs behave when we move the surface  $\Sigma_3$  past a local field  $\psi(y)$  charged under  $U(1)_X$ ?

Recall that the Ward identity encodes how the conservation of a symmetry current jibes 1033 with the existence of operators sourcing that current. That is, we must upgrade the classical 1034  $\partial_{\mu}J^{\mu}(x) = 0$  to an operator equation which tells us what to do with a charged field  $\psi(y)$ . One 1035 derives the consequences of the symmetry in the quantum mechanical theory by performing 1036 a symmetry transformation for a general correlation function calculated by a path integral, 1037 demanding the action is invariant under the symmetry, and observing the consequences for 1038 the charged operators—for example in Section 14.8 of Schwartz [147]. In the Abelian case 1039 we have simply 1040

$$\partial_{\mu}J^{\mu}(x)\psi(y) = \delta^{(4)}(x-y)q_{\psi}\psi(y).$$
 (29)

<sup>1041</sup> This tells us that while  $\partial_{\mu}J^{\mu}(x) = 0$  away from other operators, there are important contact <sup>1042</sup> terms when this symmetry current hits an operator charged under this symmetry. One should <sup>1043</sup> properly view such statements as taking place inside arbitrary correlation functions separated <sup>1044</sup> from other local operators,

$$\langle \dots \partial_{\mu} J^{\mu}(x)\psi(y)\dots \rangle = \delta^{(4)}(x-y)q_{\psi}\langle \dots \psi(y)\dots \rangle,$$
(30)

where the '...' is a stand-in for any other operators away from x, y. The action of the Ward identity will be crucial in understanding the use of the symmetry defect operators.

Now let us repeat the computation above of deforming  $\Sigma_3$  to  $\Sigma'_3$  but now in the case where doing so *does* cross a charged operator. A simple case has  $\Sigma_3$  as a hypersphere  $S^3$  and the local operator  $\psi(x)$  at a point x which is inside  $\Sigma_3$ . We consider then shrinking  $\Sigma_3$  down  $\Sigma_3 \rightarrow \Sigma'_3$ so x is now outside of this surface, as in Figure 8, and then acting with the inverse SDO. Overall this acts on  $\psi(x)$  as

$$U_{\alpha}(\Sigma_{3})\psi(x)U_{-\alpha}(\Sigma_{3}') = \exp\left(i\alpha \int_{\Sigma_{4}} \partial_{\mu}J^{\mu}d^{4}x\right)\psi(x) = \psi(x)e^{i\alpha q_{\psi}}.$$
(31)

Where we have used the Ward identity and the fact that  $x \in \Sigma_4$ , and we refer to [107] for further detail. We note also that if no other charged operators were in  $\Sigma_3$  to begin with, then conceptually we can skip this second step of acting with  $U_{-\alpha}(\Sigma'_3)$  and just imagine shrinking  $\Sigma_3$  all the way down to a point after it passes x.

We can state the result more generally by saying that these SDOs act by 'linking', and 1056 writing  $U_{\alpha}(\Sigma_3)\psi(x)U_{-\alpha}(\Sigma'_3) = \psi(x)e^{i\alpha q_{\psi}\operatorname{Link}(\Sigma_3,x)}$ . In the situation we have described, the 1057 'Linking number' Link $(\Sigma_3, x)$  = 1. The 'Linking number' is a topological invariant of a con-1058 figuration in d spacetime dimensions between a p-dim submanifold  $\Sigma_p$  and a d-p-1-dim 1059 submanifold  $\Sigma_{d-p-1}$ . This action by linking keeps track of the charge inside the SDO when we 1060 move a charged operator from the interior to the exterior or vice-versa. To gain some intuition, 1061 it is useful to think about the case d = 3 (say, 3-space at some fixed time), where it's easy to 1062 visualize that a p = 0 point is either inside or outside a d - p - 1 = 2 sphere, and a p = 1 loop 1063 can be linked with another d - p - 1 = 1 loop. <sup>20</sup> 1064

**Discrete Symmetries** We note also that a useful aspect of this formalism is a unified language for both continuous and discrete symmetries. A discrete  $\mathbb{Z}_N$  symmetry doesn't have an associated current because the Noether procedure requires a notion of infinitesimal transformation. However, there are still well-defined SDOs that we can write down and have the

<sup>&</sup>lt;sup>20</sup>We note for fun that general linking numbers can be defined by certain topological quantum field theories [148].

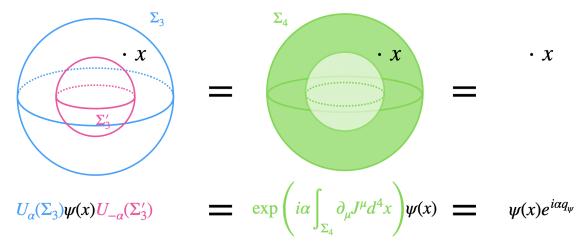


Figure 8: A local operator  $\psi(x)$  charged under a U(1) zero-form symmetry and the action of a symmetry defect operator  $U_{\alpha}(\Sigma_3)$  on it by linking as described in the text. One dimension is suppressed.

<sup>1069</sup> expected properties when they act on charged operators,

$$U_{\frac{2\pi k}{N}}(\Sigma_3)\psi(x) = \psi(x)\exp\left(i\frac{2\pi k}{N}q_{\psi}\text{Link}(\Sigma_3, x)\right).$$
(32)

This suffices as a definition in the case of a discrete symmetry by describing how  $U(\Sigma_3)$  behaves in arbitrary correlation functions. Of course it may be useful—and depending on the scenario it may be more-or-less easy—to realize the SDO as the integral over  $\Sigma_3$  of some local operator. Sometimes we are thinking about a  $\mathbb{Z}_N$  subgroup of what is (or began as) a U(1) symmetry, and we can realize  $U_{\alpha}(\Sigma)$  as an integral over a current with the angle restricted to  $\mathbb{Z}_N$ . This is effectively an operator which measures a global charge (mod N), and will be the relevant case for us below with the electric one-form symmetry of electromagnetism.

In other cases when the symmetry is really intrinsically  $\mathbb{Z}_N$ , it is sometimes useful to introduce an auxiliary U(1)-valued field and then project out its dynamics. This becomes an invaluable technique when one wants to understand discrete gauge theories, and we refer to [107] for an expansive discussion of this topic.

#### 1081 7.1 One-form Symmetries

Yang-Mills theories have long been appreciated to include some gauge-invariant one-dimensional operators known as Wilson loops and 't Hooft loops. These are not local operators because they are defined on a 1-dimensional path  $\gamma$  through spacetime which is either a closed loop or an infinite line.<sup>21</sup> Physically a Wilson loop can be seen as the effect of a massive particle of charge *q* traversing the path  $\gamma$ , and in the limit where the mass is taken to infinity these Wilson loops capture fully their physical effects. In the Abelian case, the Wilson loop simply integrates the vector potential along this path as

$$W_q(\gamma) \equiv \exp iq \int_{\gamma} A_{\mu} dx^{\mu}.$$
 (33)

<sup>1089</sup> In the general non-Abelian case the Wilson loops are instead labeled by a representation <sup>1090</sup> over which we take the trace  $W_R(\gamma) \equiv \text{Tr} \exp i \int_{\gamma} A^a_{\mu} T^a_R dx^{\mu}$ . The 't Hooft loops are defined

<sup>&</sup>lt;sup>21</sup>Which are closed loops on the one-point compactification of spacetime.

analogously for magnetic representations but with the electromagnetic dual vector potential  $A \mapsto \tilde{A}$ .<sup>22</sup>

Now the question of which representations our theory allows can be understood field theo retically and gauge-invariantly by examining these line operators and the possible symmetries
 they might enjoy, which are called one-form symmetries since they act on one-dimensional
 operators.

<sup>1097</sup> We recall Gauss' law in electromagnetism where you think about integrating the electric <sup>1098</sup> field over some closed 2-dimensional spatial manifold  $\Sigma_2$  and finding some notion of an en-<sup>1099</sup> closed charge  $Q_{\text{encl}} = \int_{\Sigma_2} \vec{E} \cdot d\vec{A}$ . But we can more clearly and more covariantly think about this <sup>1100</sup> by recognizing the generalized symmetry structure behind Gauss' law: The Gaussian surface <sup>1101</sup> computes a Noether charge for a one-form symmetry!

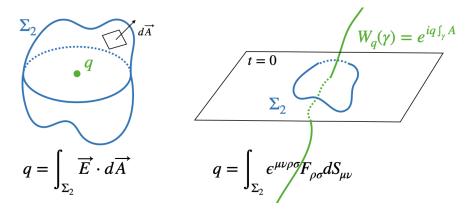


Figure 9: The familiar form of Gauss' law on a timeslice (left) and the more covariant interpretation of the Gaussian surface as a symmetry defect operator  $U_{\alpha}(\Sigma_2)$  acting on Wilson lines charged under a global one-form symmetry.

Pure electromagnetism in fact has both an electric one-form symmetry and a magnetic oneform symmetry. The photon equation of motion and the Bianchi identity reveal the conserved two-index currents which generate these one-form symmetries,

$$\partial_{\mu}F^{\mu\nu} = 0, \quad \partial_{\mu}\epsilon^{\mu\nu\rho\sigma}F_{\rho\sigma} = 0. \tag{34}$$

The familiar Gaussian surface can in fact be covariantly upgraded and exponentiated to realize SDOs supported on *any* two-dimensional surface  $\Sigma_2$ 

$$U_{\alpha}(\Sigma_{2}) = \exp\left(i\alpha \int_{\Sigma_{2}} e^{\mu\nu\rho\sigma} F_{\rho\sigma} dS_{\mu\nu}\right).$$
(35)

The SDOs are topological except when they cross Wilson lines and their correlation functionsare controlled by

$$U_{\alpha}(\Sigma_2)W_q(\gamma) = W_q(\gamma)\exp(i\alpha q \operatorname{Link}(\Sigma_2,\gamma)).$$
(36)

This is just the analogue of what we observed above for zero-form symmetries. Now we can talk about the allowed representations in terms of the electric one-form symmetries of the Wilson lines of the theory. Analogously to the argument in terms of gauge transformations, if the electric one-form symmetry is compact (U(1) or a  $\mathbb{Z}_N$  subgroup) then there is a transformation by  $\alpha = 2\pi$  which should act as the identity

$$U_{2\pi}(\Sigma_2)W_q(\gamma) \equiv W_q(\gamma) \tag{37}$$

<sup>&</sup>lt;sup>22</sup>For completeness we recall that the dual potential is related to the vector potential in the following nonlocal way. The field strength is  $F_{\mu\nu} = \partial_{\mu}A_{\nu} - \partial_{\nu}A_{\mu}$ , and its Hodge dual is  $\tilde{F}_{\mu\nu} = \varepsilon_{\mu\nu\rho\sigma}F^{\rho\sigma}$ . This dual field strength is related to the dual potential as  $\tilde{F}_{\mu\nu} = \partial_{\mu}\tilde{A}_{\nu} - \partial_{\nu}\tilde{A}_{\mu}$ .

and this is seen by the above equation to imply  $q \in \mathbb{Z}$ , since the linking number is an integer. On the other hand it is conceivable that the electric one-form symmetry is  $\mathbb{R}$ , though with the same difficulties discussed above that this is thought not to occur in a theory of quantum gravity.

### 1118 7.2 One-form Symmetry-Breaking

There is an important qualitative difference between 0-form and (n > 0)-form symmetries when it comes to their breaking. For a zero-form symmetry, the charged operators are zerodimensional local operators—precisely the sort which can appear in a Lagrangian density governing the local dynamics of a theory. This means that such symmetries may be explicitly broken by adding a charged operator to the Lagrangian. For a familiar example, if we add a Majorana mass for neutrinos  $\mathcal{L} \models (\tilde{H}L)(\tilde{H}L)/\Lambda$  then we explicitly break the zero-form global  $U(1)_L$  lepton number symmetry.

On the other hand, for a higher-form symmetry the charged objects are extended operators. These don't appear in the Lagrangian, and indeed no deformation of the Lagrangian with additional operators can break a higher-form symmetries. Rather, these symmetries can only break if, as you go to high energies, you see that the charged extended operators are realized as dynamical objects in a more-fundamental theory. For example, when you see that (some of) the Wilson lines of electromagnetism are in fact in our universe completed into dynamical charged particles like electrons and protons.

A useful qualitative picture to have of this breaking is of the 'endability' of the Wilson lines [149, 150]. For simplicity we consider an Abelian gauge symmetry where the Wilson lines are labeled by a charge, but the translation to general representations of non-Abelian symmetries is obvious. Consider an 'open' Wilson line

$$W_q(\gamma; x, y) = \exp\left(iq \int_x^y A\right),\tag{38}$$

$$A_{\mu} \to A_{\mu} + \partial_{\mu}\lambda \quad \Rightarrow \quad W_q(\gamma; x, y) \to e^{iq\lambda(y)}W_q(\gamma; x, y)e^{-iq\lambda(x)}, \tag{39}$$

which implies that in the infrared the only gauge invariant line operators are closed loops or infinite lines. This is also why is possible for the SDOs  $U(\Sigma_2)$  to have topological correlation functions with the Wilson lines—if  $\Sigma_2$  is linked with  $\gamma$ , it cannot be unlinked by any smooth deformation. Indeed this is the definition of a topological invariant, and this is what breaks when we go to higher energies and see dynamical charged matter.

<sup>1142</sup> When we have access to the electron, we can write a gauge-invariant, bilocal line operator

$$\bar{\psi}(y)W_q(\gamma; x, y)\psi(x), \tag{40}$$

which ends on matter fields of charge *q*. Now it is easy to see why the appearance of the dynamical electron breaks the electric one-form symmetries which acted on the integer-charged
Wilson lines in the far infrared.

In Figure 10 we depict a time-like Wilson line beginning and ending on a charged fermion, 1146 and a Gaussian surface on a time-slice which would measure the charge of the Wilson line. 1147 But the surface  $\Sigma_2$  can be smoothly deformed up or down the Wilson line and 'slide off' the 1148 end, where it can be shrunk to a point. Then the correlation functions of  $\Sigma_2$  cannot any longer 1149 be topological and depend only on data like  $Link(\Sigma_2, \gamma)$  because this topological linking is no 1150 longer well-defined. So the appearance of the dynamical  $\psi$  field means that any one-form 1151 symmetry under which  $W_a(\gamma)$  is charged must necessarily be broken. Of course this holds true 1152 also for a Wilson line of charge nq,  $n \in \mathbb{Z}$ , which can end on *n* of these charged fields. But if 1153 the charge q of  $\psi$  is not the minimum electric charge, there will still be Wilson lines that are 1154

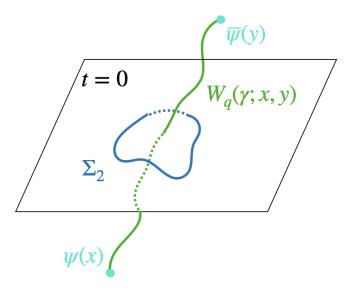


Figure 10: Bilocal line operator one can write cutting a Wilson loop. Such a possibility explicitly breaks any symmetries acting on the Wilson loop because e.g. an SDO on  $\Sigma_2$  cannot have non-trivial topological correlation functions any longer when it can smoothly 'slide off' the Wilson line.

not 'endable', and so there may remain an electric one-form symmetry.<sup>23</sup> We now discuss this possibility in more detail, specializing to QED.

#### 1157 7.3 Standard Model One-Form Symmetry

As suggested by the preceding sections, in the full theory of the Standard Model the different global structures correspond to different one-form symmetries. But in fact the latter statement is more general. The existence of a heavy fractionally charged particle implies the existence of an emergent electric one-form symmetry at low energies. We can understand any example universally at low energies where this matches on to an electric one-form symmetry of QED. We reserve a discussion of the electric one-form symmetry in the electroweak phase for Appendix B.

At energies far below the electron mass  $E \ll m_e$ , none of the Wilson lines of electromagnetism can be 'cut' or 'screened' by dynamical matter, and there is a  $U(1)_e^{(1)}$  electric one-form symmetry corresponding to  $\theta \in [0, 2\pi)$ . This is responsible for Gauss' law.

When we approach energies of order the electron mass  $E \gtrsim m_e$ , the continuous electric one-1168 form symmetry is necessarily broken. In terms of our Gaussian surface SDOs, the statement 1169 is that for general  $\theta$ , these surfaces will no longer be topological. As shown in [151] we can 1170 interpret this violation of the topological invariance of the Gaussian surface as the electric 1171 charge being 'screened' and relate it to the running of the fine-structure constant  $\alpha$ . And 1172 indeed we have long appreciated that at these high energies, charges are screened by virtual 1173 electron-positron loops. The Uehling potential [152] describing the one-loop photon vacuum 1174 polarization tells us the corrected form of the charge q(r) one measures for a Wilson line 1175 operator of charge q using a Gaussian sphere of radius r, 1176

$$q(r \gg m_e) = q \left( 1 + e^2 \frac{e^{-2m_e r}}{\sqrt{64\pi^3 m_e r}} + \dots \right), \qquad q(r \ll m_e) = q \left( 1 - e^2 \frac{\log m_e r}{6\pi^2} + \dots \right), \quad (41)$$

<sup>&</sup>lt;sup>23</sup>The case of an  $\mathbb{R}$  gauge theory has some slight subtleties in the language one must use to discuss one-form symmetry-breaking, as discussed in Section 6 of [150].

where we have given the asymptotic forms. Indeed at energies below the electron mass the electric one-form symmetry becomes good exponentially rapidly  $dq(r)/dr \approx 0$ , while above the electron mass the electric one-form symmetry is clearly broken as the Gaussian surface is far from topological. The question is whether the electron can screen *all* charges, or whether there may remain some unbroken electric one-form symmetry corresponds to fractional charges which the electron cannot screen.

The Gaussian surface in Eqn 35 is normalized such that the electron has q = 1, and

$$U_{\theta}(\Sigma_2)W_{\gamma}(q) = W_{\gamma}(q)\exp(i\theta q \operatorname{Link}(\Sigma_2, \gamma)).$$
(42)

Clearly  $U_{2\pi}(\Sigma_2)$  acts trivially on the electron, and on every particle with charge a multiple of the electron's. But if there is remaining discrete electric one-form symmetry at energies above the electron's mass, then there are some Wilson lines with 0 < q < 1 in units of the electron charge. Correspondingly, some  $U_{\theta}(\Sigma_2)$  which act trivially on all Wilson lines of SM representations act non-trivially only on these new Wilson lines, and so remain topological at  $E > m_e$ . The SM gauge group with the quotient  $\mathbb{Z}_n$  has discrete electric one-form symmetry  $\mathbb{Z}_{6/n}$  above the electron's mass.

If instead there is no remaining electric one-form symmetry above the electron's mass, as in the case where the SM is embedded in SU(5) in the UV, then every Wilson line has  $q \in \mathbb{Z}$ . So if we consider  $\theta = 2\pi$  then the Gaussian surface will act trivially on *any* operator, and there are no nontrivial  $U_{\theta}(\Sigma_2)$  which remain topological.

So the language of generalized global symmetry conceptually unifies the low-energy experimental signatures by focusing on the symmetry-breaking. In Section 6 above we saw that the SM gauge group could have different global structures. Or it could be that the left-handed quarks  $Q_i$  do not actually have the minimum of hypercharge and there is a less-charged particle. Or the hypercharge gauge group could even be  $\mathbb{R}_Y$ . In any of these cases, the signature in the far infrared where experimentalists work is simply the existence of fractionally charged particles, and we have a unifying statement of what we may learn from such searches as follows

1202

By discovering a particle with fractional electric charge  $q_{\psi}$  and mass  $m_{\psi}$  we learn the SM has an emergent electric one-form symmetry at  $E \ll m_{\psi}$ . If  $q_{\psi} = n/N$  (in units of the electron charge *e*) with gcd(n, N) = 1 then the SM has emergent  $\mathbb{Z}_N^{(1)}$  electric one-form symmetry. The unbroken one-form symmetry is measured by the Gaussian surfaces

1203

$$U_k(\Sigma_2) = \exp\left(i2\pi k \int_{\Sigma_2} F\right),\tag{43}$$

with  $\theta = 2\pi k$ , k = 1..N. And in the case where  $q_{\psi} \notin \mathbb{Q}$  then the one-form symmetry is  $\mathbb{Z}^{(1)}$ , and each  $k \in \mathbb{Z}$  makes for a distinct Gaussian surface.

1204

The fact that these Gaussian surfaces remain topological continues to mean that these fractional charges cannot be screened by matter at lower energies. That is, if we surround a heavy fractional charge with a conductor made out of Standard Model particles, it will be unable to prevent a nonzero electric field in its volume.

Magnetic monopoles The low-energy theory of QED also has a magnetic one-form symmetry as seen by the existence of 't Hooft lines and the non-existence of any magnetic monopoles to cut them in the infrared theory. Just as the electric one-form symmetry of the far infrared is always  $U(1)^{(1)}$ , the magnetic one-form symmetry group is also  $U(1)^{(1)}$ . But the existence of a discrete electric one-form symmetry above the electron mass controls how the charge of the 't Hooft lines is related to the electron's electric charge. That is, with no electric one-form symmetry, Dirac quantization implies the fundamental magnetic charge is  $g = 2\pi/e$ . With  $\mathbb{Z}_N$ worth of electric one-form symmetry, the quantum of magnetic flux is instead  $g = 2\pi N/e$ .

# 1217 8 Conclusions

In this work we have called attention to the interesting physics of fractionally-charged particles from both the theoretical and observational perspectives. We have seen that their existence may be tied to the structure of the Standard Model as a quotient group, and correspondingly their discovery would probe nonperturbative aspects of SM physics which could rule out minimal unification schemes from the infrared. More generally, the language of Generalized Global Symmetries provides an interpretation of the existence of heavy, fractionally-charged states in terms of an emergent symmetry possessed by the observed Standard Model.

On the empirical front, we have reinterpreted various LHC searches to derive energy fron-1225 tier constraints on fractionally-charged particles for a variety of Standard Model represen-1226 tations. In some cases they possess signatures which are well-covered by existing searches 1227 (modulo subtleties in particle-detector interactions which we have ignored and deserve fur-1228 ther attention), but in other cases the constraints on these exotic, electrically-charged particles 1229 from energy frontier searches are weak or nonexistent. Further exploration of possible exper-1230 imental strategies is clearly warranted to ensure a robust observational program for these 1231 striking new particles which could teach us an enormous amount about the universe. 1232

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# 1239 A Fractionally Charged Particle Partonic Cross Sections

In this appendix we summarize the partonic cross sections for  $\psi_Q$  pair production. The expressions are organized by the spin of  $\psi_Q$  and whether or not  $\psi_Q$  is charged under SU(3). We begin with color singlets. For a fermionic  $\psi_Q$  with charge  $Q_{\psi} = (\tau_3)_{\psi} + Y$ , where  $(\tau_3)_{\psi}$ is the eigenvalue of the third generator of SU(2) appropriate for  $\psi_Q$ 's SU(2) representation, 1244 we find:

$$\frac{d\hat{\sigma}_{EW}(\bar{q}q \to \bar{\psi}_Q \psi_Q)}{d\hat{t}} = \frac{\dim_{\psi}}{192 \pi \hat{s}^2} \left( \frac{8e^4 Q_q^2 Q_\psi^2 \left( 2M_\psi^4 + 2M_\psi^2 (\hat{s} - \hat{t} - \hat{u}) + \hat{t}^2 + \hat{u}^2 \right)}{\hat{s}^2} \right) + \frac{4g_Z^4 \left( 2M_\psi^2 \hat{s} x_L x_R \left( q_L^2 + q_R^2 \right) + \left( M_\psi^2 - \hat{t} \right)^2 \left( q_L^2 x_R^2 + q_R^2 x_L^2 \right) + \left( M_\psi^2 - \hat{u} \right)^2 \left( q_L^2 x_L^2 + q_R^2 x_R^2 \right) \right)}{\Gamma_Z^2 m_Z^2 + \left( m_Z^2 - \hat{s} \right)^2} - \frac{8e^2 g_Z^2 Q_q Q_\psi \left( m_Z^2 - \hat{s} \right)}{\hat{s} \left( m_Z^4 + m_Z^2 \left( \Gamma_Z^2 - 2\hat{s} \right) + \hat{s}^2 \right)} \left( M_\psi^4 (q_L + q_R) (x_L + x_R) + M_\psi^2 (\hat{s} (q_L + q_R) (x_L + x_R) - 2\hat{t} (q_L x_R + q_R x_L) - 2\hat{u} (q_L x_L + q_R x_R) \right) + \hat{t}^2 (q_L x_R + q_R x_L) + \hat{u}^2 (q_L x_L + q_R x_R) \right) \right)$$
(44)

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$$\frac{d\hat{\sigma}_{EW}(\bar{q}q' \to \bar{\psi}_Q \psi'_{Q'})}{d\hat{t}} = \frac{\dim_{\psi} e^4(I(I+1) - i_3(i_3 \pm 1))}{192 \pi \hat{s}^2 \sin^4 \theta_W} \Big(\frac{\hat{t}^2 + \hat{u}^2 + 2M_{\psi}^2(\hat{s} - \hat{t} - \hat{u}) + 2M_{\psi}^4}{(m_W^2 - \hat{s})^2 + \Gamma_W^2 m_W^2}\Big)$$
(45)

Here  $\hat{s}$ ,  $\hat{t}$ ,  $\hat{u}$  are the partonic Mandelstam variables,  $g_Z = e/\cos\theta_W$ ,  $q_L$ ,  $q_R = \tau_3 - Q_q \sin^2\theta_W$  and 1246  $x_L, x_R = (\tau_{\psi})_3 - Q_{\psi} \sin^2 \theta_W$  factors for  $\psi_Q$ . The quark factors  $Q_q, q_L, q_R$  depend on whether 1247 up-type or down-type quarks initiate the collision, while  $Q_{\psi}, x_L, x_R$  depend on which SU(2)1248 representation and hypercharge  $\psi_Q$  carries. If  $\psi_Q$  is an SU(2) singlet,  $x_L, x_R \propto Q_{\psi}$  so the 1249 entire partonic cross section scales as  $Q_{\psi}^2$ . Note  $\psi_Q$  must have vectorial charge assignment, 1250 meaning  $x_L = x_R$ . The factor of dim<sub> $\psi$ </sub> is the size of  $\psi_Q$ 's SU(3) representation, should we 1251 want to know the electroweak production in that case;  $\dim_{\psi} = 1$  when  $\psi_0$  is a color singlet. 1252 The second expression,  $\hat{\sigma}_{EW}(\bar{q}q' \rightarrow \bar{\psi}_Q \psi'_{Q'})$ , shows the charged current production cross 1253 section for  $\psi_Q$  in a SU(2) multiplet of size I(I+1). For production via  $W^+$ ,  $i_3 = (\tau_{\psi})_3$  for 1254 the lower charge state within the  $\psi$  multiplet and we take the + sign,  $i_3(i_3 + 1)$ , while for  $W^-$ 1255

production we take the minus sign and  $i_3 = (\tau_{\psi})_3$  for the higher charge  $\psi$  state.

Keeping the representation the same but switching to scalar  $\psi_Q$ , the expressions become:

$$\frac{d\hat{\sigma}_{EW}(\bar{q}q \to \bar{\psi}_Q \psi_Q)}{d\hat{t}} = \frac{\dim_{\psi}}{192 \pi \hat{s}^2} \left( \frac{2e^4 Q_q^2 Q_{\psi}^2 \left( \hat{s}^2 - (\hat{t} - \hat{u})^2 - 4M_{\psi}^2 \hat{s} \right)}{\hat{s}^2} + \frac{g_Z^4 x_L^2 (q_L^2 + q_R^2) \left( \hat{s}^2 - (\hat{t} - \hat{u})^2 - 4M_{\psi}^2 \hat{s} \right)}{\Gamma_Z^2 m_Z^2 + (m_Z^2 - \hat{s})^2} + \frac{(46)}{\frac{2 g_Z^2 e^2 Q_q Q_{\psi} x_L^2 (q_L + q_R) (m_Z^2 - \hat{s}) \left( \hat{s}^2 - (\hat{t} - \hat{u})^2 - 4M_{\psi}^2 \hat{s} \right)}{\hat{s} (\Gamma_Z^2 m_Z^2 + (m_Z^2 - \hat{s})^2)} \right)$$

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$$\frac{d\hat{\sigma}_{EW}(\bar{q}q' \to \bar{\psi}_Q \psi'_{Q'})}{d\hat{t}} = \frac{\dim_{\psi} e^4 (I(I+1) - i_3(i_3 \pm 1))}{768 \pi \hat{s}^2 \sin^4 \theta_W} \frac{\left(\hat{s}^2 - (\hat{t} - \hat{u})^2 - 4M_{\psi}^2 \hat{s}\right)}{(m_W^2 - \hat{s})^2 + \Gamma_W^2 m_W^2}$$
(47)

1259

the dominant mechanism. For fermionic  $\psi$  at leading order, we have

$$\frac{d\hat{\sigma}(gg \to \bar{\psi}_{Q}\psi_{Q})}{d\hat{t}} = \frac{\pi \, \alpha_{s}^{2} \, C_{2}(\psi)}{64\,\hat{s}^{2}} \left\{ -\frac{18 \left(2M_{\psi}^{6} - 3M_{\psi}^{4}(\hat{t}+\hat{u}) + 6M_{\psi}^{2}\hat{t}\hat{u} - \hat{t}\hat{u}(\hat{t}+\hat{u})\right)}{\hat{s}\left(M_{\psi}^{2} - \hat{t}\right)\left(M_{\psi}^{2} - \hat{u}\right)} + \frac{36 \left(M_{\psi}^{2} - \hat{t}\right)\left(M_{\psi}^{2} - \hat{u}\right)}{M_{\psi}^{2} - \hat{t}\left(M_{\psi}^{2} - \hat{u}\right)} + \frac{46 \left(M_{\psi}^{2} - \hat{t}\right)\left(M_{\psi}^{2} - \hat{t}\right)\left(M_{\psi}^{2} - \hat{t}\right)}{M_{\psi}^{2} - \hat{t}\left(M_{\psi}^{2} - \hat{t}\right)\left(M_{\psi}^{2} - \hat{t}\right)} + \frac{2M_{\psi}^{2}(3 - 2C_{2}(\psi))\left(4M_{\psi}^{2} - \hat{s}\right)}{M_{\psi}^{2} - \hat{t}\left(M_{\psi}^{2} - \hat{t}\right)} - \frac{2C_{2}(\psi)\left(M_{\psi}^{4} + M_{\psi}^{2}(\hat{t}+3\hat{u}) - \hat{t}\hat{u}\right)}{M_{\psi}^{2} - \hat{t}\left(M_{\psi}^{2} - \hat{t}\right)^{2}} - \frac{2C_{2}(\psi)\left(M_{\psi}^{4} + M_{\psi}^{2}(\hat{t}+3\hat{u}) - \hat{t}\hat{u}\right)}{M_{\psi}^{2} - \hat{t}\left(M_{\psi}^{2} - \hat{u}\right)^{2}}\right) \right\}}{\frac{d\hat{\sigma}_{QCD}(\bar{q}q \to \bar{\psi}_{Q}\psi_{Q})}{d\hat{t}}} = \frac{\pi \, \alpha_{s}^{2} \, \dim_{\psi} \, C_{2}(\psi)\left(2M_{\psi}^{4} + 2M_{\psi}^{2}(\hat{s} - \hat{t} - \hat{u}) + \hat{t}^{2} + \hat{u}^{2}\right)}{9\,\hat{s}^{4}}}. \tag{49}$$

<sup>1261</sup> Here  $\dim_{\psi}$  is the size of the  $\psi$  *SU*(3) representation,  $C_2(\psi)$  is the appropriate quadratic <sup>1262</sup> Casimir, and we have used  $\dim_G C(\psi) = \dim_{\psi} C_2(\psi)$  to remove all instances of the index <sup>1263</sup>  $C(\psi)$  and clean up the formulae. For scalar  $\psi_Q$ , the analogous expressions are:

$$\frac{d\hat{\sigma}_{QCD}(\bar{q}q \to \bar{\psi}_Q \psi_Q)}{d\hat{t}} = \frac{\pi \, \alpha_s^2 \, \dim_\psi \, C_2(\psi) \left(\hat{s}^2 - (\hat{t} - \hat{u})^2 - 4 \, M_\psi^2 \, \hat{s}\right)}{36 \, \hat{s}^4}.$$
(50)

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$$\frac{d\hat{\sigma}(gg \to \bar{\psi}_{Q}\psi_{Q})}{d\hat{t}} = \frac{\pi \, \alpha_{s}^{2} \, \dim_{\psi} \, C_{2}(\psi)}{128 \, \hat{s}^{2}} (\hat{t}^{2} \hat{u}^{2} + M_{\psi}^{4} (\hat{t}^{2} + \hat{u}^{2}) - 4 \, M_{\psi}^{6} (\hat{t} + \hat{u}) + 5 \, M_{\psi}^{8}) \\
\times \left\{ C_{2}(\psi) \Big( \frac{1}{\hat{s}^{2}(M_{\psi}^{2} - \hat{t})^{2}} + \frac{1}{\hat{s}^{2}(M_{\psi}^{2} - \hat{u})^{2}} \Big) + \frac{2(C_{2}(\psi) - 1)}{\hat{s}^{2}(M_{\psi}^{2} - \hat{t})(M_{\psi}^{2} - \hat{u})} \right\}$$
(51)

## 1265 **B** Electroweak Phase One-Form Symmetry

We have focused on the electric one-form symmetry in the  $U(1)_{\text{QED}}$  phase of the SM, but let us turn briefly to the TeV-scale phase, noting that a more technical discussion may be found in [23].

An electric one-form symmetry in the far IR matches on to some electric one-form symmetry of the SM, so the general statement is that there are some Wilson lines which are not endable by the SM matter. The one-form symmetry has rank 1, so we need only one new Wilson line to generate any that is allowed but not realized by the SM matter. We may think of Wilson lines as fusing via the composition of representations.

Then we can always for simplicity choose an  $SU(3) \times SU(2)$  singlet representation with some hypercharge. In the cases of the 'global structure' we can think of these as Wilson lines in the representation R = (1, 1, q) with q = 1, 2, 3 for  $\mathbb{Z}_{6/q}$  electric one-form symmetry. More generally, sticking with this normalization where the left-handed quark doublet has hypercharge q = 1, some q = k/N where gcd(k, N) = 1 has  $\mathbb{Z}_N$  electric one-form symmetry and  $q \notin \mathbb{Q}$  has  $\mathbb{Z}_N$ .

By combining these and Wilson lines in the knoown SM representations one can build the colored or weakly charged representations that give rise to fractionally charged particles as well. However, it is a more subtle task to write down the symmetry defect operators as the integral of some sort of current, since the centers of  $SU(3)_C$ ,  $SU(2)_L$  are intrinsically discrete. But we know these two-dimensional SDOs measure certain combinations of the non-Abelian center symmetry fluxes and the hypercharge flux. The SM fields do not carry these combina tions of charges and so these SDOs act trivially upon them.

In general such operators are known as Gukov-Witten operators [153, 154]. For detailed calculations involved the generalized symmetries it may be useful to introduce auxiliary fields to write the SDOs in a local-looking form, but this goes beyond our remit. For this purpose one would likely wish to begin with the  $SU(3)_C \times SU(2)_L \times U(1)_Y$  theory and view the extra  $\mathbb{Z}_N$  electric one-form symmetry as deriving from gauging the  $\mathbb{Z}_N$  discrete magnetic symmetry of this theory.

The magnetic one-form symmetry of the Standard Model remains group-theoretically U(1)no matter the choice of global structure, but the hypermagnetic monopoles may possess also discrete color- and weak- magnetic fluxes in the case where the global structure is non-trivial. We refer to [19,96] for further detail. Note if we have  $\mathbb{R}_Y$  there are no magnetic representations at all, so no magnetic one-form symmetry.

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