

# Modular Properties of Generalised Gibbs Ensembles

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## Abstract

We investigate the modular properties of Generalised Gibbs Ensembles (GGEs) in two dimensional conformal field theories. These are obtained by inserting higher spin charges in the expressions for the partition function of the theory. We investigate the particular case where KdV charges are inserted in the GGE. We first determine an asymptotic expression for the transformed GGE. This expression is an expansion in terms of the zero modes of all the quasi-primary fields in the theory, not just the KdV charges. While these charges are non-commuting they can be re-exponentiated to give an asymptotic expression for the transformed GGE in terms of another GGE. As an explicit example we focus on the Lee-Yang model. We use the Thermodynamic Bethe Ansatz in the Lee-Yang model to first replicate the asymptotic results, and then find additional energies that need to be included in the transformed GGE in order to find the exact modular transformation.

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## 35 1 Introduction

36 The study of generalised Gibbs ensembles plays an important role in understanding the ther-  
 37 malisation properties of many body systems with additional conserved quantities. Usually  
 38 when we study a system where the only conserved quantity is the energy we use the Gibbs  
 39 distribution

$$p_n = \frac{1}{Z} e^{-\beta E_n}, \quad Z = \sum_n e^{-\beta E_n}, \quad (1)$$

40 which gives the probability of the system being in state  $n$  which has energy  $E_n$ . However if we  
 41 are interested in a system which contains additional conserved charges  $Q_i$ , not just the energy,  
 42 we instead use the generalised Gibbs distribution

$$p_n = \frac{1}{Z} e^{-\beta E_n - \sum_i \alpha_i Q_{i,n}}, \quad Z = \sum_n e^{-\beta E_n - \sum_i \alpha_i Q_{i,n}}, \quad (2)$$

43 where  $Q_{i,n}$  is the value of the charge  $Q_i$  in state  $n$ . For a review of the role of GGEs in the  
 44 contexts of statistical mechanics and thermalisation see [1].

45 In this paper we will be interested in GGEs in two dimensional conformal field theories  
 46 (2d CFTs). In order to construct a GGE we need to have additional conserved charges. To  
 47 construct these charges in a 2d CFT we start with a quasi-primary field. These fields give rise  
 48 to all the conserved charges in the theory. We will be interested in the modular properties of  
 49 the GGE and hence we want to study theories on a torus. For us the torus will be a cylinder  
 50 with the ends identified and therefore our charges will be the zero modes of the quasi-primary  
 51 fields on a cylinder.

Often it is not enough for our theory to have an infinite set of conserved charges, we also want the charges to commute. It has been known for some time that 2d CFTs contain infinite sets of mutually commuting conserved charges [2]. The most well known set of charges are related to the classical KdV hierarchy, as detailed in [3], and hence we will refer to them as the KdV charges. They are constructed from the Virasoro modes and we list the first three here

$$I_1(R) = \frac{2\pi}{R} \left( L_0 - \frac{c}{24} \right), \quad (3)$$

$$I_3(R) = \left( \frac{2\pi}{R} \right)^3 \left( 2 \sum_{k=1}^{\infty} L_{-k} L_k + L_0^2 - \frac{c+2}{12} L_0 + \frac{c(5c+22)}{2880} \right), \quad (4)$$

$$I_5(R) = \left( \frac{2\pi}{R} \right)^5 \left( \sum_{k_1+k_2+k_3=0} : L_{k_1} L_{k_2} L_{k_3} : + \sum_{k=1}^{\infty} \left( \frac{c+11}{6} k^2 - 1 - \frac{c}{24} \right) L_{-k} L_k + \frac{3}{2} \sum_{k=1}^{\infty} L_{1-2k} L_{2k-1} - \frac{c+4}{8} L_0^2 + \frac{(c+2)(3c+20)}{576} L_0 - \frac{c(3c+14)(7c+68)}{290304} \right). \quad (5)$$

The normal ordering  $: L_{k_1} L_{k_2} L_{k_3} :$  means we order the modes such that  $k_1 \leq k_2 \leq k_3$ . These charges are the zero modes of quasi-primary fields on a cylinder of circumference  $R$ . Often in the literature the dimensionless charges  $I_{2n-1} = \left( \frac{R}{2\pi} \right)^{2n-1} I_{2n-1}(R)$  are studied. Informally the charges  $I_{2n-1}$  are given by  $I_{2n-1}(2\pi)$ , i.e. the charges defined on a cylinder with  $R = 2\pi$ , and hence the prefactor is absent. However we note that  $R$  is dimensionful and therefore cannot actually be set to the dimensionless quantity  $2\pi$ . For our purposes this prefactor will play an important role and hence we will keep it explicit.

These are the additional conserved charges that we will insert into our partition functions to obtain a GGE

$$Z = \text{Tr} \left( e^{-L(I_1(R) + \sum_{n=2}^{\infty} \alpha_{2n-1} I_{2n-1}(R))} \right), \quad (6)$$

where  $L$  is the length of the cylinder. At this stage we have not been explicit about what space we are tracing over, it could be individual highest weight representations of the Virasoro algebra or the whole space of states. Later we will explicitly be tracing over individual highest weight representations.

These GGEs have been studied extensively in the literature. Their large central charge limit ( $c \rightarrow \infty$ ) was studied in a series of papers by Dymarsky *et al* [4–6] and also by Maloney *et al* in [7] and Brehm and Das in [8]. There, expressions for these GGEs in the limit  $c \rightarrow \infty$  and leading  $1/c$  corrections were derived. These GGEs are then holographically dual to a class of black holes in  $\text{AdS}_3$  referred to in [9] as KdV charged black holes and their connection to the eigenstate thermalisation hypothesis was also explored in [10].

In this paper we will be investigating the modular properties of these GGEs. The deep relationship between 2d CFTs and modular forms has been known for a long time and has been used extensively to study 2d CFTs. It is known that the characters of a rational 2d CFT form a vector valued modular form. This was first suggested by Cardy in [11] and rigorously proven by Zhu in [12]. It is also known that if we expand the GGE (6) as a power series in chemical potentials  $\alpha_{2n-1}$ , then each term, which is a correlation functions of the charges, is a modular form or quasi-modular form. This was first argued by Dijkgraaf in [13] and then in [14] Maloney *et al* found expressions for the correlators in terms of modular differential operators acting on the characters which makes the modular properties manifest.

A natural question to ask is whether the full GGE has any interesting modular properties. This has been studied in detail for the GGEs in the free fermion model ( $c = \frac{1}{2}$  Ising minimal model) in the series of papers [15–17]. In general, closed form expressions for the GGEs are not known. However for the free fermion model the simplicity of the theory means that exact

89 expressions can be found and used as a starting point to study the modular properties. This  
90 meant an explicit expression for the modular transformation could be found.

91 In order to find this modular transformation formula, first the GGEs were expanded as an  
92 asymptotic power series in the chemical potentials. Each term in the series could be modular  
93 transformed and then the result was resummed into an exponential. This gave another GGE  
94 that contained an infinite set of charges, however this expression diverged and had to be  
95 regularised. Even after regularising the result, the expressions only matched asymptotically  
96 which is not surprising since in the first step of the derivation we take an asymptotic expansion.

97 However an exact modular transform can be found. This was done by using the thermo-  
98 dynamic Bethe ansatz (TBA). The TBA for the original GGE is known from [18]. We then take  
99 a mirror transform of the TBA in order to find the spectrum of the GGE in the new channel.  
100 When this is done the spectrum from the asymptotic results can be reproduced, but we also  
101 find additional energies. These energies behave as  $C\alpha^{-\nu}$ , where  $\alpha < 0$  is the chemical poten-  
102 tial,  $\text{Re}(C) > 0$  and  $\nu > 0$ , and hence when they are exponentiated they give rise to terms  
103 that have a vanishing asymptotic expansion. This is why they were missed in the original  
104 asymptotic analysis but including them in the transformation gives an exact expression for the  
105 modular transformed GGE.

106 In this paper we want to find the modular transformation of other minimal models. We  
107 will start by briefly discussing generic minimal models and then move to focusing on the Lee-  
108 Yang minimal model. We have chosen the Lee-Yang model as our main example since the two  
109 characters satisfy a second order modular differential equation which simplifies the correlators  
110 in the asymptotic expansion and later when we solve the TBA equations there is only one  
111 integral equation to solve.

112 The layout of the paper is as follows. In section 3 we consider a generic rational 2d CFT and  
113 start by asymptotically expanding the GGE as a power series in the chemical potential. Each  
114 term in the series can be written as a modular differential operator acting on the characters  
115 of the theory. We can modular transform each term in the series. After taking the modular  
116 transform the resulting expressions can be written as the correlators of charges from all quasi-  
117 primary fields in the theory. We find conditions under which this restricts to just the KdV  
118 charges.

119 In section 4 we repeat the above asymptotic analysis for the Lee-Yang model. We again  
120 find that additional charges, not just the KdV charges, will appear in our expression. However  
121 the transformed expression can still be re-exponentiated to give an asymptotic expression for  
122 the modular transform of the GGE in terms of another GGE, this time containing all charges  
123 from quasi-primary fields, not just the KdV charges. These additional charges don't commute,  
124 and so it is not obvious that the expression will exponentiate. However we show that this does  
125 not stop us from being able to re-exponentiate the expression (up to the order, in the chemical  
126 potential  $\alpha$ , we are working).

127 We then turn our attention to the TBA in section 5. We start by using the TBA to reproduce  
128 the asymptotic results. We then show that there are other solutions to the TBA equations  
129 which when exponentiated have a vanishing asymptotic expansion, just as in the case of the  
130 free fermion model. We conjecture that including these additional energies in the transformed  
131 GGE will give the exact modular transformation for the GGE. During this process we derive  
132 new integral equations that encode the spectrum of the KdV charges as well as the charges  
133 coming from the other quasi-primary fields in the theory.

134 We end with a summary of the main results in section 6 and discuss some future direc-  
135 tions. We have also included a series of appendices that contain either background material  
136 or lengthy calculations that would have cluttered the main text.

## 137 2 Transformed GGEs and defects

138 We start by outlining the aims of this paper. Our goal is to understand how to take a modular  
 139 transformation of a generalised Gibbs ensemble (GGE) in a 2d CFT. As will be explained below,  
 140 our CFT is living on a cylinder with the two ends identified. The GGE is given by inserting  
 141 a defect that wraps the compact direction of the cylinder. A modular transformation then  
 142 corresponds to rotating the defect so it now runs along the axis of the cylinder. The defect is  
 143 now intersecting the circle that the Hilbert space is defined on which leads to a new defect  
 144 Hilbert space and a defect Hamiltonian that acts on this space. In order to determine the  
 145 modular transformed GGE we need to compute this defect Hilbert space and Hamiltonian.

146 The objects we will be studying are GGEs where the additional charges inserted in the  
 147 characters are the KdV charges  $I_{2n-1}(R)$ . We will restrict ourselves to the case where we have  
 148 just one KdV charge inserted along with the usual 2d CFT Hamiltonian  $I_1(R) = \frac{2\pi}{R} \left( L_0 - \frac{c}{24} \right)$

$$\text{Tr}_{\mathcal{H}_i} \left( e^{-L(I_1(R) + \alpha I_{2n-1}(R))} \right), \quad (7)$$

149 where  $\mathcal{H}_i$  is a highest weight irreducible representation of the Virasoro algebra.

150 Let  $\{|m\rangle\}$  be an orthonormal basis of states for the representation  $\mathcal{H}_i$ . By construction all  
 151 of the KdV charges commute, hence we can find a basis where each element is an eigenstate  
 152 of the charges  $I_{2n-1}(R)$ . The basis element  $|m\rangle$  has eigenvalue  $E_m^{(2n-1)}(R)$  under the charge  
 153  $I_{2n-1}(R)$ , i.e.

$$I_{2n-1}(R)|m\rangle = E_m^{(2n-1)}(R)|m\rangle. \quad (8)$$

154 The GGE (7) then has the explicit form

$$\text{Tr}_{\mathcal{H}_i} \left( e^{-L(I_1(R) + \alpha I_{2n-1}(R))} \right) = \sum_m e^{-L(E_m^{(1)}(R) + \alpha E_m^{(2n-1)}(R))}. \quad (9)$$

155 Throughout the paper we will refer to the terms  $E_m(R) = E_m^{(1)}(R) + \alpha E_m^{(2n-1)}(R)$ , in the expo-  
 156 nential, as the spectrum of the GGE.

157 The GGE can be thought of as the insertion of a defect as was done in [16]. We consider  
 158 our theory to be living on a cylinder of circumference  $R$  and length  $L$  as shown in diagram (I)  
 159 of figure 1. We identify the ends of the cylinder so it becomes a torus with modular parameter  
 160  $\hat{\tau} = iL/R$ . The insertion of the KdV charge  $I_{2n-1}$  is given by a horizontal defect wrapping the  
 161 cylinder. The defect operator is  $\hat{D} = e^{-L\alpha I_{2n-1}(R)}$  and the GGE is given by

$$\text{Tr}_{\mathcal{H}_i} \left( e^{-L(I_1(R) + \alpha I_{2n-1}(R))} \right) = \text{Tr}_{\mathcal{H}_i} \left( \hat{D} e^{-L I_1(R)} \right). \quad (10)$$

162 The insertion of the defect doesn't change the Hilbert space we trace over but it does change  
 163 the spectrum of our GGE.

164 We want to take the modular transformation of the GGE (7). We will just focus on the  $S$   
 165 transform  $S : \hat{\tau} \mapsto \tau = -1/\hat{\tau}$ . This is equivalent to rotating the cylinder as is shown in diagram  
 166 (II) of figure 1. The modular parameter becomes

$$\hat{\tau} = iL/R \mapsto \tau = -1/\hat{\tau} = iR/L. \quad (11)$$

167 We are now considering our theory as depicted in (II) of figure 1. The Hilbert space now lives  
 168 on a horizontal slice of length  $L$ . This horizontal slice is intersected by our defect which has  
 169 been rotated to be vertical. Since the defect is not topological, the resulting Hilbert space does  
 170 not have to carry an action of the Virasoro algebra. We denote this modified Hilbert space by  
 171  $\mathcal{H}_D$ . The transformed GGE takes the form

$$\text{Tr}_{\mathcal{H}_D} \left( e^{-RH_D(L)} \right), \quad (12)$$

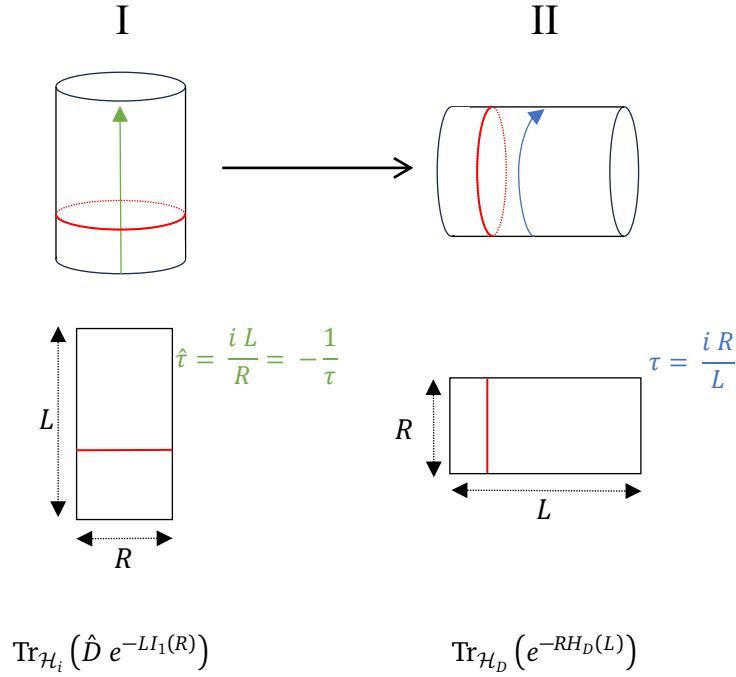


Figure 1: Interpretation of the modular transformed GGE traces: on torus (I), the GGE is given by a defect inserted as an operator  $\hat{D}$  in the trace; on torus (II) the defect is rotated and the transformed GGE is given by a trace over the Hilbert space  $\mathcal{H}_D$  with a defect Hamiltonian  $H_D(L)$  inserted in the trace.

172 where  $H_D(L)$  is the Hamiltonian that acts on the Hilbert space  $\mathcal{H}_D$ .

173 Let  $\{|\tilde{m}\rangle\}$  be a basis for the Hilbert space  $\mathcal{H}_D$  such that the element  $|\tilde{m}\rangle$  has eigenvalue  
 174  $E_{\tilde{m}}^{(D)}(L)$  under  $H_D(L)$ , i.e.

$$H_D(L)|\tilde{m}\rangle = E_{\tilde{m}}^{(D)}(L)|\tilde{m}\rangle. \quad (13)$$

175 We can then express our transformed GGE as the sum

$$\text{Tr}_{\mathcal{H}_D}(e^{-RH_D(L)}) = \sum_{\tilde{m}} e^{-RE_{\tilde{m}}^{(D)}(L)}. \quad (14)$$

176 We will refer to the terms  $E_{\tilde{m}}^{(D)}(L)$  as the transformed spectrum.

177 When  $\alpha = 0$  the defect isn't present and the GGEs (7) are the characters of the 2d CFT. It  
 178 is known that the characters form vector valued modular forms [12]

$$\text{Tr}_{\mathcal{H}_i}(e^{-LI_1(R)}) = \sum_j S_{ij} \text{Tr}_{\mathcal{H}_j}(e^{-RI_1(L)}), \quad (15)$$

179 for a constant matrix  $S_{ij}$ . When  $\alpha \neq 0$  and the defect is present we want to determine whether,  
 180 under a modular transformation, the GGE (7) transforms in an analogous way to the characters  
 181 in (15)

$$\text{Tr}_{\mathcal{H}_i}(e^{-L(I_1(R) + \alpha I_{2n-1}(R))}) = \sum_j S_{ij} \text{Tr}_{\mathcal{H}_{D,j}}(e^{-RH_D(L)}), \quad (16)$$

182 where the  $\mathcal{H}_{D,j}$  are a collection of defect Hilbert spaces. Or equivalently using (9) and (14)

$$\sum_m e^{-L(E_m^{(1)}(R) + \alpha E_m^{(2n-1)}(R))} = \sum_j S_{ij} \sum_{\tilde{m}} e^{-RE_{\tilde{m}}^{D,j}(L)}, \quad (17)$$

183 where  $E_m^{D,j}(L)$  is the spectrum of the Hamiltonian  $H_D(L)$  acting on the Hilbert space  $\mathcal{H}_{D,j}$ .

184 If we take the full partition function of a 2d CFT, with both holomorphic and anti-holomorphic  
185 sectors, then physically we expect it to be modular invariant. When  $\alpha = 0$  the full partition  
186 function is

$$Z(R, L) = \sum_{ij} M_{ij} \text{Tr}_{\mathcal{H}_i} \left( e^{-LI_1(R)} \right) \text{Tr}_{\bar{\mathcal{H}}_j} \left( e^{-L\bar{I}_1(R)} \right), \quad (18)$$

187 where  $\bar{\mathcal{H}}_j$  is an irreducible representation of the anti-holomorphic Virasoro algebra  $\{\bar{L}_n\}$ , the  
188 constants  $M_{ij}$  are non-negative integers and  $\bar{I}_1(R) = \frac{2\pi}{R}(\bar{L}_0 - \frac{c}{24})$ . (We are assuming that the  
189 holomorphic and anti-holomorphic sectors have the same central charge.) Under the modular  
190 transformation (15), the partition function is modular invariant ( $Z(R, L) = Z(L, R)$ ) provided  
191 the matrix  $M_{ij}$  satisfies

$$M_{ij} = \sum_{kl} S_{ik} \bar{S}_{jl} M_{kl}, \quad (19)$$

192 where  $\bar{S}_{jl}$  is the complex conjugate of  $S_{jl}$ . We now define the GGE of the full theory by summing  
193 over both holomorphic and anti-holomorphic sectors. We will only insert a charge in the  
194 holomorphic sector, so our GGE is

$$Z(R, L, \alpha) = \sum_{ij} M_{ij} \text{Tr}_{\mathcal{H}_i} \left( e^{-L(I_1(R) + \alpha I_{2n-1}(R))} \right) \text{Tr}_{\bar{\mathcal{H}}_j} \left( e^{-L\bar{I}_1(R)} \right). \quad (20)$$

195 If we assume that the modular transformation (16) holds then modular invariance of the GGE  
196 (20) is given by

$$Z(R, L, \alpha) = \sum_{ij} M_{ij} \text{Tr}_{\mathcal{H}_{D,i}} \left( e^{-RH_D(L)} \right) \text{Tr}_{\bar{\mathcal{H}}_j} \left( e^{-R\bar{I}_1(L)} \right), \quad (21)$$

197 where we used (19). Note that the  $\alpha$  dependence of the transformed GGE (21) is in both the  
198 defect Hilbert spaces  $\mathcal{H}_{D,i}$  and the defect Hamiltonian  $H_D(L)$ .

199 We want to determine the defect Hilbert space  $\mathcal{H}_D$  of the transformed GGE and the Hamil-  
200 tonian  $H_D(L)$  that acts on this space. In order to try and determine the Hilbert space  $\mathcal{H}_D$  and  
201 the Hamiltonian  $H_D(L)$  we will make some assumptions about their form.

202 We will start with an asymptotic analysis, as  $\alpha \rightarrow 0$ , of the modular transformation of (7)  
203 in sections 3 and 4. There, as was also done in the asymptotic analysis in [15], we will assume  
204 that the defect Hilbert space is just the irreducible representations of the Virasoro algebra.  
205 In [15], where the free fermion model was studied, it was found that the defect Hamiltonian  
206  $H_D(L)$  had an asymptotic expansion as a sum over the other KdV charges

$$H_D(L) \sim \sum_{n=1}^{\infty} \alpha_{2n-1} I_{2n-1}(L), \quad (22)$$

207 where  $\alpha_{2n-1}$  were coefficients that depended on  $\alpha$  but not  $R$  and  $L$ .

208 We will see in section 3 for a generic CFT (and in section 4 for the Lee-Yang model) that  
209 this is no longer true. Instead in a generic CFT it appears that the asymptotic expansion takes  
210 the form

$$H_D(L) \sim \sum_{n=1}^{\infty} \sum_a \beta_{2n-1}^a J_{2n-1}^{(a)}(L), \quad (23)$$

211 where the charges  $J_{2n-1}^{(a)}$  are all the charges coming from the quasi-primary fields at level  $2n$ ,  
212 not just the KdV charges. More details are given in section 3.

213 While we have an asymptotic expression for the Hamiltonian  $H_D(L)$ , based on the results  
214 in [15–17] we believe this is not the full picture. There, additional terms had to be added  
215 to the transformed spectrum that behaved as  $\alpha^{-\nu}$  for  $\nu > 0$ . These terms were missed in the

216 asymptotic analysis since the exponential  $e^{-\alpha^{-\nu}}$  has a vanishing asymptotic expansion as  $\alpha \rightarrow 0$   
 217 from above. These additional terms are found in section 5.6 where the power  $\nu$  is derived.

218 These additional terms that needed to be added to the transformed spectrum were deter-  
 219 mined in [15, 16] by using the thermodynamic Bethe ansatz (TBA). In section 5 we again use  
 220 the TBA to find additional terms that we believe should be added to the transformed spectrum  
 221 in order to give the full modular transformed GGE (12). These additional terms in the spec-  
 222 trum come from additional terms that have been added to the Hilbert space  $\mathcal{H}_D$ , hence this  
 223 Hilbert space is no longer an irreducible representation of the Virasoro algebra.

### 224 3 GGEs in a Generic 2d CFT

225 We start by considering GGEs with a KdV charge inserted for a generic 2d CFT. For simplicity  
 226 we will just consider inserting a single charge but this will already lead to interesting results.  
 227 As was done in [15], we will start by expanding the GGE as an asymptotic series in the chemical  
 228 potential associated to the inserted charge. We can then modular transform each term using  
 229 the results from [14]. When this was done in [15] for the free fermion model ( $c = \frac{1}{2}$  Ising  
 230 minimal model) we found that the transformed expressions could be written as correlators  
 231 of the other KdV charges. In the case of a generic CFT we will find that the transformed  
 232 expressions are instead given by correlators of all the charges from quasi-primary fields, not  
 233 just the KdV charges.

234 We will assume that we are working with a minimal model so we have a finite number  
 235 of highest weight, irreducible representations of the Virasoro algebra,  $\mathcal{H}_i$ , whose weights are  
 236 denoted by  $h_i$ ,  $i = 1, \dots, N$ . We will first consider the simplest case: a GGE with just the  $I_3(R)$   
 237 charge from (4) inserted. The GGE in the  $h_i$  representation  $\mathcal{H}_i$  is given by

$$\text{Tr}_{\mathcal{H}_i} \left( e^{-L(\alpha I_3(R) + I_1(R))} \right). \quad (24)$$

238 We will begin by expanding the GGE as an asymptotic series in the chemical potential  $\alpha$

$$\text{Tr}_{\mathcal{H}_i} \left( e^{-L(\alpha I_3(R) + I_1(R))} \right) = \sum_{n=0}^{\infty} \frac{(-\alpha L)^n}{n!} \text{Tr}_{\mathcal{H}_i} \left( I_3(R)^n e^{-L I_1(R)} \right). \quad (25)$$

239 We can take a modular transform for each term and attempt to resum them to give us an  
 240 asymptotic expression for the transformed GGE. We start by introducing the following nota-  
 241 tion:  $I_{2n-1} = \left(\frac{R}{2\pi}\right)^{2n-1} I_{2n-1}(R)$ ,  $\hat{\tau} = iL/R$  is the modular parameter of the torus and  $\hat{q} = e^{2\pi i \hat{\tau}}$ .  
 242 We also introduce the expectation value for an operator  $\mathcal{O}$

$$\langle \mathcal{O} \rangle_i(\hat{\tau}) = \text{Tr}_{\mathcal{H}_i} \left( \mathcal{O} \hat{q}^{I_1} \right). \quad (26)$$

243 The asymptotic expansion (25) becomes

$$\text{Tr}_{\mathcal{H}_i} \left( e^{-L(\alpha I_3(R) + I_1(R))} \right) = \sum_{n=0}^{\infty} \frac{1}{n!} \left( \frac{-(2\pi)^3 \alpha L}{R^3} \right)^n \langle I_3^n \rangle_i(\hat{\tau}). \quad (27)$$

244 The modular properties of the thermal correlators  $\langle I_3^n \rangle_i$  where studied by A. Maloney *et al*  
 245 in [14]. There they showed the correlators can be written as modular linear differential oper-  
 246 ators acting on the characters of the CFT. In particular up to order  $\alpha^2$  we have the following



247 expressions for the correlators

$$\langle 1 \rangle_i = \chi_i, \quad (28)$$

$$\langle I_3 \rangle_i = \left( D^2 + \frac{c}{1440} E_4 \right) \chi_i, \quad (29)$$

$$\begin{aligned} \langle I_3^2 \rangle_i = & \left( D^4 + \frac{c+40}{720} E_4 D^2 - \frac{3c+11}{1080} E_6 D + \frac{c(407c+4000)}{14515200} E_4^2 \right) \chi_i \\ & + E_2 \left( \frac{2}{3} D^3 + \frac{3c+11}{1080} E_4 D - \frac{c(c+10)}{36288} E_6 \right) \chi_i, \end{aligned} \quad (30)$$

248 where  $\chi_i = \chi_i(\hat{q})$  is the character of the  $\mathcal{H}_i$  representation. The differential operators are given  
249 by  $D^n = D_{2n-2} D_{2n-4} \dots D_0$  where  $D_r$  is the Serre derivative

$$D_r = \hat{q} \frac{\partial}{\partial \hat{q}} - \frac{r}{12} E_2(\hat{\tau}), \quad (31)$$

250 and  $E_{2k}$  are the Eisenstein series defined in appendix A.

251 We now want to take the modular transform of each term in the asymptotic expansion of  
252 the GGE. We will just take the  $S : \hat{\tau} \mapsto \tau = -1/\hat{\tau}$  transform. The characters (28) of a 2d CFT  
253 form a weight 0 vector valued modular form [12], so under the  $S$  modular transform we have

$$\chi_i(\hat{\tau}) = \sum_{j=1}^N S_{ij} \chi_j(\tau), \quad (32)$$

254 for a constant matrix  $S_{ij}$ . We can use the modular properties of Eisenstein series and Serre  
255 derivatives (given in appendix A) to compute the modular transform of the higher correlators.  
256 The one point function (29) is a weight 4 vector valued modular form

$$\langle I_3 \rangle_i(\hat{\tau}) = \tau^4 \sum_{j=1}^N S_{ij} \langle I_3 \rangle_j(\tau). \quad (33)$$

257 The 2 point correlator transforms as a weight 8, depth 1 vector valued quasi-modular form

$$\langle I_3^2 \rangle_i(\hat{\tau}) = \sum_{j=1}^N S_{ij} \left( \tau^8 \langle I_3^2 \rangle_j(\tau) - \frac{i\tau^7}{\pi} \left( 4D^3 + \frac{3c+11}{180} E_4 D - \frac{c(c+10)}{6048} E_6 \right) \chi_j \right). \quad (34)$$

258 The definition of quasi-modular forms is again given in appendix A.

259 The additional term in the transformation (34)

$$\left( 4D^3 + \frac{3c+11}{180} E_4 D - \frac{c(c+10)}{6048} E_6 \right) \chi_j, \quad (35)$$

260 can be interpreted as the thermal correlator of a linear combination of a charge  $J_5$  and the  
261 KdV charge  $I_5$ . The charge is  $J_5 = J_5(2\pi)$  where  $J_5(R)$  is given by

$$J_5(R) = \left( \frac{2\pi}{R} \right)^5 \left( -\frac{18}{5} \sum_{k=1}^{\infty} k^2 L_{-k} L_k - \frac{3}{100} L_0 + \frac{31c}{16800} \right). \quad (36)$$

262 This is the zero mode, on the cylinder, of a quasi-primary field at level 6 that is linearly inde-  
263 pendent to the KdV charge  $I_5$ . We show how to compute this charge in appendix B.2. Using  
264 the differential operator representation of the thermal correlators from appendix C.2 we find

$$4 \langle I_5 \rangle_j + \frac{5}{54} (c+2) \langle J_5 \rangle_j = \left( 4D^3 + \frac{3c+11}{180} E_4 D - \frac{c(c+10)}{6048} E_6 \right) \chi_j. \quad (37)$$

265 Recalling that  $\hat{\tau} = iL/R$ , and hence  $\tau = iR/L$ , we can express the modular transformations  
 266 (32–34) as

$$\langle 1 \rangle_i(\hat{\tau}) = \sum_{j=1}^N S_{ij} \langle 1 \rangle_j(\tau), \quad (38)$$

$$\langle I_3(R) \rangle_i(\hat{\tau}) = \frac{R}{L} \sum_{j=1}^N S_{ij} \langle I_3(L) \rangle_j(\tau), \quad (39)$$

$$\langle I_3(R)^2 \rangle_i(\hat{\tau}) = \left(\frac{R}{L}\right)^2 \sum_{j=1}^N S_{ij} \left( \langle I_3(L)^2 \rangle_j(\tau) - \frac{1}{R} \left( 8 \langle I_5(L) \rangle_j(\tau) + \frac{5(c+2)}{27} \langle J_5(L) \rangle_j(\tau) \right) \right). \quad (40)$$

267 If we assume the transformed GGE can be resummed into an exponential, we have

$$\text{Tr}_{\mathcal{H}_i} \left( e^{-L(\alpha I_3(R) + I_1(R))} \right) \sim \sum_{j=1}^N S_{ij} \text{Tr}_{\mathcal{H}_j} \left( e^{-R(I_1(L) + \alpha I_3(L) + \alpha^2(8I_5(L) + \frac{5(c+2)}{27}J_5(L)) + \dots)} \right). \quad (41)$$

268 We have written this as a trace again to make it explicit that the right hand side can be for-  
 269 mally interpreted as a Hamiltonian acting on a Hilbert space of states defined on a circle of  
 270 circumference  $L$ .

271 Here we have assumed that after taking the modular transform of each term in (25) we  
 272 can resum it into an exponential. However the charge  $J_5$  doesn't commute with the KdV  
 273 charges and hence we need to be careful about the order of the operators when we expand the  
 274 exponential. When we study the GGE in the Lee-Yang model in the next section we will verify  
 275 that the asymptotic expansion can indeed be resummed into an exponential after transforming  
 276 each term.

277 We can see that generically when we want to take the modular transform of a GGE with a  
 278 KdV charge inserted we have to include all possible charges in the transformed GGE, not just  
 279 the original KdV charges.

280 Let us outline what will happen at higher orders in the asymptotic expansion. We will also  
 281 consider the case with just one charge inserted again, but this time insert the  $I_{2m-1}(R)$  charge.  
 282 Hence we want to study the GGE

$$\text{Tr}_{\mathcal{H}_i} \left( e^{-L(I_1(R) + \alpha I_{2m-1}(R))} \right). \quad (42)$$

283 If we again expand the GGE as an asymptotic series in  $\alpha$  each term is of the form

$$\langle I_{2m-1}^n \rangle_i(\hat{\tau}), \quad (43)$$

284 where we have removed the  $R$  and  $L$  dependence. As a function of  $\hat{\tau}$ ,  $\langle I_{2m-1}^n \rangle_i(\hat{\tau})$  is a vector  
 285 valued quasi-modular form of weight  $2mn$  and depth  $n-1$ . This was shown in [13] by con-  
 286 sidering contact terms between the currents that give rise to the charges. Hence we can write  
 287 it in the form

$$\langle I_{2m-1}^n \rangle_i(\hat{\tau}) = \sum_{p=0}^{n-1} F_{2mn-2p}(\hat{\tau}) E_2(\hat{\tau})^p, \quad (44)$$

288 where  $F_{2mn-2p}(\hat{\tau})$  is a weight  $2mn-2p$  vector valued modular form, which can be written as  
 289 a modular differential operator acting on the characters of the theory [14]. We can then take  
 290 the modular transform of each term in (44) to obtain

$$\langle I_{2m-1}^n \rangle_i(\hat{\tau}) = \tau^{2mn} \langle I_{2m-1}^n \rangle_i(\tau) + \sum_{k=1}^{n-1} \left( -\frac{6i}{\pi} \right)^k \tau^{2mn-k} \sum_{p=0}^{n-k-1} F_{2mn-2(p+k)}(\tau) E_2(\tau)^p. \quad (45)$$

291 The coefficient of  $\tau^{2mn-k}$  is a weight  $2mn - 2k$  vector valued quasi-modular form of depth  
 292  $n - k - 1$ .

293 Take a generic correlator

$$\langle J_{2n_1-1}^{(a_1)} \cdots J_{2n_I-1}^{(a_I)} \rangle, \quad (46)$$

294 where the charges  $J_{2n-1}^{(a)}$  are the zero modes on the cylinder of a weight  $2n$  quasi-primary field.  
 295 (We may have several quasi-primary fields of the same weight hence we have the additional  
 296 index  $a$ . We include the KdV charges  $I_{2n-1}$  in this set of charges.) This will be a weight  
 297  $2 \sum_{i=1}^I n_i$  vector valued quasi-modular form of depth  $I - 1$ . Hence we expect that the  $\tau^{2mn-k}$   
 298 coefficients can be written as a linear combination of correlators of the form

$$\langle J_{2n_1-1}^{(a_1)} \cdots J_{2n_{n-k}-1}^{(a_{n-k})} \rangle, \quad (47)$$

299 where  $\sum_{i=1}^{n-k} n_i = mn - k$ . We have seen that this worked above for the case with the  $I_3$  charge  
 300 inserted and will see in section 4 that this works for the GGE with the  $I_5$  charge in the Lee-Yang  
 301 model.

302 Once the modular transform of each of the terms  $\langle I_{2m-1}^n \rangle_i(\hat{\tau})$  has been expressed in terms  
 303 of correlators of the charges  $J_{2n-1}^{(a)}$  we want to re-exponentiate the expression to obtain, at least  
 304 formally, an expression for the transformed GGE in terms of a new GGE. This transformed GGE  
 305 will contain charges from all the quasi-primary fields in the theory, not just the KdV charges

$$\mathrm{Tr}_{\mathcal{H}_i} \left( e^{-L(I_1(R) + \alpha I_{2m-1}(R))} \right) \sim \sum_{j=1}^N S_{ij} \mathrm{Tr}_{\mathcal{H}_j} \left( \exp \left( -R \sum_{n=1}^{\infty} \sum_a \beta_{2n-1}^a J_{2n-1}^{(a)}(L) \right) \right), \quad (48)$$

306 and the  $\beta_{2n-1}^a$  are constants that only depend on  $\alpha$ .

307 To end this section we note that there are two interesting cases in which we can do away  
 308 with the additional charge  $J_5$  appearing in (37). The first is when the charges  $I_5$  and  $J_5$  corre-  
 309 spond to states which only differ by a null state (and are hence proportional to one another).  
 310 This happens when  $c = \frac{1}{2}$ , which is the Ising Model central charge. This fact was used in the  
 311 series of papers [15–17] which studied the modular properties of GGEs in the Ising model.  
 312 The second case is when the central charge is  $c = -2$ . The integrability of the KdV equations  
 313 at  $c = -2$  was studied in [19], although it is not clear at the moment how one would study  
 314 this in the context of a GGE. The theory at this central charge is logarithmic, and so the GGE  
 315 would involve taking traces over logarithmic modules. A review of logarithmic CFTs can be  
 316 found in [20].

## 317 4 Asymptotic Analysis of the GGE in the Lee-Yang Model

318 We will now repeat the analysis from the previous section for the Lee-Yang theory. We have  
 319 chosen this theory since it is arguably the simplest interacting 2d CFT with only two Virasoro  
 320 representations, one with  $h = 0$  and the other with  $h = -1/5$ . The theory therefore has two  
 321 characters and they satisfy a second order modular differential equation as detailed in [21].  
 322 Using this second order differential equation allows us to simplify the expression for the cor-  
 323 relators found in [14]. We can then use these simplified expressions to compute more of these  
 324 correlators than was done in [14]. In particular we can compute to high enough order to  
 325 check whether the fact that the additional charges (which come from the other quasi-primary  
 326 fields) don't commute with the KdV charges stops us being able to re-exponentiate the trans-  
 327 formed expression. In the GGE studied below with  $I_5(R)$  inserted the non-commutativity is  
 328 first present when we transform the  $\langle I_5^6 \rangle$  term. We confirm that we can indeed still exponen-  
 329 tiate the transformed expression to formally give an expression for the modular transforms of  
 330 the original GGE as a new GGE with an infinite set of charges inserted.

331 In the Lee-Yang theory, the quasi-primary field that gives  $I_3(R)$  is now a null state and hence  
 332 the correlators containing  $I_3$  vanish, as was proved in [14]. The next simplest case for a GGE  
 333 here is the ensemble with  $I_5(R)$  inserted

$$\mathrm{Tr}_{\mathcal{H}_i} \left( e^{-L(\alpha I_5(R) + I_1(R))} \right). \quad (49)$$

334 The charges and thermal correlation functions relevant to this work have been collected in the  
 335 appendices B.3 and C.2. We will just present the transformed expressions for the correlators  
 336 here but all the necessary details needed to verify the results are given in B.3 and C.2.

337 We will proceed in the same way as the previous section and start by expanding the GGE  
 338 as an asymptotic series in the chemical potential  $\alpha$

$$\mathrm{Tr}_{\mathcal{H}_i} \left( e^{-L(\alpha I_5(R) + I_1(R))} \right) = \sum_{n=0}^{\infty} \frac{1}{n!} \left( \frac{-(2\pi)^5 \alpha L}{R^5} \right)^n \langle I_5^n \rangle_i(\hat{\tau}). \quad (50)$$

339 Recall that  $I_{2n-1} = \left(\frac{R}{2\pi}\right)^{2n-1} I_{2n-1}(R)$  and the expectation value  $\langle \dots \rangle_i$  was defined in (26).  
 340 For what is to follow, we will suppress the modular  $S$  matrix in our transformed expressions  
 341 and we will also suppress the particular module that we are tracing over. These details are  
 342 unimportant for the following discussion but can be added back in by referring to section 3.

343 The first few terms transform as

$$\langle 1 \rangle(\hat{\tau}) = \langle 1 \rangle(\tau), \quad (51)$$

$$\langle I_5 \rangle(\hat{\tau}) = \tau^6 \langle I_5 \rangle(\tau), \quad (52)$$

$$\langle I_5^2 \rangle(\hat{\tau}) = \tau^{12} \langle I_5^2 \rangle(\tau) - \frac{206388i}{116875\pi} \tau^{11} \langle J_9 \rangle(\tau), \quad (53)$$

$$\langle I_5^3 \rangle(\hat{\tau}) = \tau^{18} \langle I_5^3 \rangle(\tau) - \frac{619164i}{116875\pi} \tau^{17} \langle I_5 J_9 \rangle(\tau) + \tau^{16} \left( \frac{405}{4\pi^2} \langle I_{13} \rangle(\tau) + \frac{1149876}{2875\pi^2} \langle J_{13} \rangle(\tau) \right). \quad (54)$$

344 The charges  $J_9$  and  $J_{13}$  are the zero modes on the cylinder of weight 10 and 14 quasi-primary  
 345 fields, respectively, that are linearly independent of the KdV charges. They are defined in terms  
 346 of Virasoro modes in appendix B.3.

347 It is worth noting here that we did not necessarily need to use the MLDO expressions for  
 348 the thermal correlators to calculate these transformations. We could have used the method  
 349 developed in [22] to calculate the transformed expressions of thermal correlation functions.  
 350 This method was used in, for example, [23] to calculate the transformations of  $W_3$  characters  
 351 in terms of zero-modes of known currents in the theory. The advantage of using the MLDO  
 352 expressions comes from the fact that the map going from the currents in a 2d CFT to the  
 353 thermal correlation functions of their zero-modes has a non-trivial kernel<sup>1</sup>. That is, if we used  
 354 the method previously mentioned, then we would not know a priori whether certain parts of  
 355 that expression vanished.

356 For example, consider the following level 9 state, which is present in any theory

$$|J_8\rangle \equiv \left( -\frac{5}{8} L_{-3}^3 + \frac{3}{2} L_{-6} L_{-3} + \frac{3}{2} L_{-4} L_{-2} L_{-3} - L_{-5} L_{-2}^2 + L_{-9} - \frac{3}{4} L_{-7} L_{-2} \right) |0\rangle. \quad (55)$$

357 Applying the methods outlined in appendix B, just as in the above cases, we find the associated  
 358 charge to be

$$J_8(R) = \left( \frac{2\pi}{R} \right)^8 \left( \sum_{k=1}^{\infty} \left( \frac{7k^4}{4} + \frac{37k^2}{4} - \frac{59}{3} \right) L_{-k} L_k - \frac{59}{6} L_0^2 - \frac{85}{6} L_0 - \frac{1}{3} \mathcal{L}(0, 0, 0) \right. \\ \left. - \frac{1}{6} \mathcal{L}(1, 0, 0) - \frac{5}{8} \mathcal{L}(1, 1, 1) + \frac{3}{4} \mathcal{L}(2, 1, 0) - \frac{1}{6} \mathcal{L}(3, 0, 0) \right), \quad (56)$$

<sup>1</sup>We would like to thank G. M. T. Watts for this observation.

359 where  $\mathcal{L}(n, m, l)$  is defined in (222). We can verify that the thermal expectation value vanishes,  
 360 and so if we had many terms appearing like this, it would be rather time-consuming to check  
 361 which terms vanish in the thermal correlator and which don't. So the advantage of calculating  
 362 things in terms of the MLDO is that we have non-vanishing expressions which we match to the  
 363 thermal correlators of charges.

364 Just as before, we would like these to be the first few terms of another GGE, at least asymp-  
 365 totically. In essence, we would like to be able to state that the following holds asymptotically

$$\mathrm{Tr}\left(e^{-L(\alpha I_5(R)+I_1(R))}\right) \sim \mathrm{Tr}\left(e^{-R(I_1(L)+\alpha_5\alpha I_5(L)+\beta_9\alpha^2 J_9(L)+\alpha_{13}\alpha^3 I_{13}(L)+\beta_{13}\alpha^3 J_{13}(L)+\dots)}\right), \quad (57)$$

366 where  $\alpha_5, \beta_9, \alpha_{13}$  and  $\beta_{13}$  are constants to be fixed. A priori they may depend on  $\alpha, R$  and  $L$ ,  
 367 but we will see below that they are in fact numerical constants. If we write (51–54) in terms  
 368 of  $L$  and  $R$  using  $\tau = -1/\hat{\tau} = iR/L$ , then comparing them with the right hand side of (57), we  
 369 find

$$\alpha_5 = -1, \quad (58)$$

$$\beta_9 = \frac{206388}{116875}, \quad (59)$$

$$\alpha_{13} = \frac{135}{2}, \quad (60)$$

$$\beta_{13} = \frac{766584}{2875}. \quad (61)$$

370 Given that the charges  $I_{2n-1}(L)$  do not commute with the charges  $J_{2n-1}(L)$ , one question that  
 371 may be asked is “Is this re-exponentiation a reasonable thing to do?”. It seems that the answer  
 372 is yes, and the fact that these charges do not commute does not affect our ability to formally  
 373 re-write the transformed GGE as another GGE. Let us take some time to elaborate on this point.  
 374 When we expand the right hand side of (57), the ordering of the charges in the correlators  
 375 matters since they do not commute. This will lead to the presence of correlators that contain  
 376 the same charges in different orders and we need to ensure that all the necessary correlators  
 377 are present when we take the modular transformations of the  $\langle I_5^n \rangle$  in the original GGE.

378 When we expand the right hand side of (57), we find that the first term that appears where  
 379 the non-commutativity matters is at order  $\alpha^6$  and gives us the two correlators

$$\dots + \alpha^6 \frac{R^4}{4!} \left(\frac{2\pi}{L}\right)^{28} 2\alpha_5^2 \beta_9^2 \langle I_5 J_9 I_5 J_9 + 2I_5^2 J_9^2 \rangle + \dots \quad (62)$$

380 It is worth mentioning briefly that  $\langle I_5 J_9 I_5 J_9 \rangle$  and  $\langle I_5^2 J_9^2 \rangle$  cannot independently be written as  
 381 modular linear differential operators (MLDOs) acting on the characters of the theory, but this  
 382 particular linear combination presented above does have a representation as an MLDO acting  
 383 on the characters. We suspect that if one carefully studies the contact terms between the  
 384 relevant currents associated to these charges, as was done in [13] for a different model, then  
 385 it may become clear that indeed these expectation values separately cannot be written as  
 386 MLDOs, however we have not performed this analysis.

387 One would expect that this term appears in the transformation of the  $\langle I_5^6 \rangle$  piece of the GGE  
 388 as it is of weight 32 and depth 3. From Appendix C.2, we know that  $\langle I_5^6 \rangle$  will be a weight 36  
 389 and depth 5 quasi-modular form that resembles

$$\langle I_5^6 \rangle = F_{36} + E_2 F_{34} + E_2^2 F_{32} + E_2^3 F_{30} + E_2^4 F_{28} + E_2^5 F_{26}, \quad (63)$$

390 where  $F_k$  is a weight  $k$  modular form. The explicit expressions for the  $F_k$  in terms of differential  
 391 operators acting on the characters are given in appendix C.2. After performing the modular S

392 transformation on this, we can single out the weight 32 depth 3 piece of this expression

$$\langle I_5^6 \rangle(\hat{\tau}) = \dots - \frac{36\tau^{34}}{\pi^2} (10E_2^3 F_{26} + 6E_2^2 F_{28} + 3E_2 F_{30} + F_{32})(\tau) + \dots \quad (64)$$

393 Since this expression is a weight 32 and depth 3 quasi-modular form, we expect it to be a  
394 linear combination of the correlators

$$\langle I_5^3 J_{13} \rangle, \quad \langle I_5^3 I_{13} \rangle, \quad \langle I_5 J_9 I_5 J_9 + 2I_5^2 J_9^2 \rangle, \quad (65)$$

395 which are all themselves weight 32 depth 3 quasi-modular forms. Using the results in appendix  
396 C.2 we find

$$10E_2^3 F_{26} + 6E_2^2 F_{28} + 3E_2 F_{30} + F_{32} = \gamma_1 \langle I_5^3 J_{13} \rangle + \gamma_2 \langle I_5^3 I_{13} \rangle + \gamma_3 \langle I_5 J_9 I_5 J_9 + 2I_5^2 J_9^2 \rangle. \quad (66)$$

397 where

$$\gamma_1 = -\frac{127764}{575}, \quad \gamma_2 = -\frac{225}{4}, \quad \gamma_3 = \frac{3549667212}{2731953125}. \quad (67)$$

398 Therefore, in the transformed GGE we have a term of the form

$$\frac{\gamma_3}{6!} \frac{36}{\pi^2} \left(\frac{R}{L}\right)^{34} \left(-\frac{(2\pi)^5 \alpha L}{R^5}\right)^6 \langle I_5 J_9 I_5 J_9 + 2I_5^2 J_9^2 \rangle. \quad (68)$$

399 By expanding the right hand side of (57) and comparing it with (68) we find the relation

$$\gamma_3 = \frac{5}{12} \alpha_5^2 \beta_9^2. \quad (69)$$

400 Using the definitions of  $\gamma_3$ , (67), and  $\alpha_5$  and  $\beta_9$ , (58) and (59), we can confirm that this  
401 relation does indeed hold. Hence we have seen that at this order the fact that the charges  
402 do not commute does not prevent the re-exponentiation of the transformed expression into  
403 another (formal) GGE given by (57) and constants (58–61).

404 While we have found an asymptotic expression for the transformed GGE, or rather an  
405 expression with the leading charges in the transformed GGE, (57), we don't believe that these  
406 match as functions. Firstly the right hand side of (57) contains an infinite sum in the charges. It  
407 is not clear if this sum is convergent, indeed in the case of free fermions the equivalent sum over  
408 charges was not convergent and had to be regularised [15]. In the case of free fermions this  
409 regularisation introduced functions with a branch cut. Hence while the original GGE was real,  
410 the transformed expression was complex. This problem was resolved by introducing additional  
411 terms in the transformed expression that came from the thermodynamic Bethe ansatz (TBA).  
412 These additional terms made the transformed expression real. It was then proved in [17] that  
413 these additional terms gave expressions that matched exactly, not just asymptotically. We will  
414 now use the TBA for the Lee-Yang model to first reproduce our asymptotic results, and then  
415 find additional terms that we believe should be included in the transformed expression for the  
416 GGE.

## 417 5 Thermodynamic Bethe Ansatz for the transformed GGE

418 While we have found an asymptotic expression for the transformed GGE in the previous section  
419 we believe that the full expression is encoded in a set of TBA equations. We first reproduce  
420 the asymptotic results of the previous section using the TBA. We will see that when we write  
421 down the TBA equations that reproduce the asymptotics there will also be additional solutions  
422 that were missed in the asymptotic analysis. This is because these solutions give contributions

423 to the energy that behave as  $C\alpha^{-\frac{1}{4}}$ , with  $\text{Re}(C) > 0$ , so when we exponentiate in the GGE we  
 424 have terms of the form  $e^{C\alpha^{-\frac{1}{4}}}$  which have a vanishing asymptotic expansion as  $\alpha \rightarrow 0^-$ . Hence  
 425 we missed these terms in the asymptotic analysis but believe they should be included in the  
 426 transformed GGE.

## 427 5.1 TBA and mirror TBA

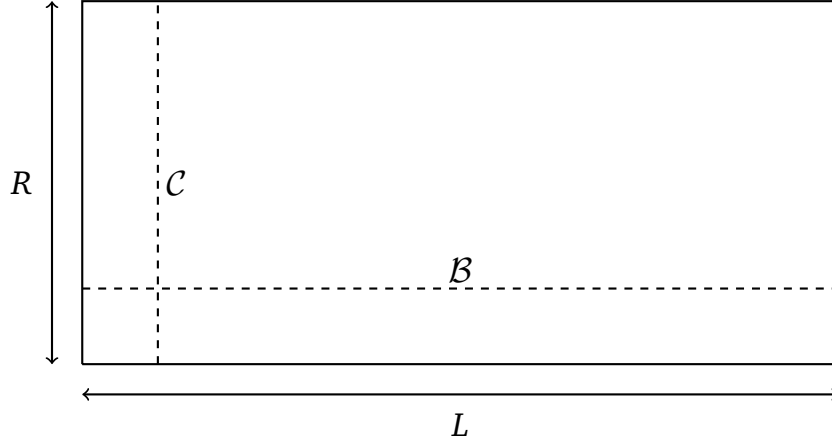


Figure 2: Strip of width  $L$  and length  $R$ . On the horizontal slice  $\mathcal{B}$  we have the Hilbert space  $\mathcal{H}_{\mathcal{B}}$  and on the vertical slice  $\mathcal{C}$  we have the Hilbert space  $\mathcal{H}_{\mathcal{C}}$ .

428 Let us start by considering a system living on a rectangle where the two sides have length  $R$   
 429 and  $L$ . We will quantise our theory on the vertical slice  $\mathcal{C}$ , of length  $R$  and treat the horizontal  
 430 slice  $\mathcal{B}$  as time. The partition function is then given by

$$\mathcal{Z}(R, L) = \text{Tr}_{\mathcal{H}_{\mathcal{C}}} \left( e^{-LH_{\mathcal{C}}(R)} \right), \quad (70)$$

431 where  $H_{\mathcal{C}}(R)$  is the Hamiltonian for the system on  $\mathcal{C}$  and hence depends on  $R$ . For now  $H_{\mathcal{C}}(R)$   
 432 is an arbitrary Hamiltonian but later we will take it to be either the GGE Hamiltonian or the  
 433 transformed GGE Hamiltonian defined in (7) and (12). In the thermodynamic limit  $L \rightarrow \infty$   
 434 we can extract the ground state energy  $E_0(R)$  of  $H_{\mathcal{C}}(R)$  via

$$\log(\mathcal{Z}(R, L)) \sim -LE_0(R), \quad L \rightarrow \infty. \quad (71)$$

435 If we instead quantised the system on  $\mathcal{B}$  and treated  $\mathcal{C}$  as the time direction then, in the ther-  
 436 modynamic limit, the partition function can be computed using the Bethe ansatz. This was  
 437 derived in [24] and the extension to also compute the excited states was derived in [25]. We  
 438 will just state the results here.

439 We will consider a system with only one particle species. The scattering is purely elastic  
 440 and factorises into two-to-two scattering with  $S$  matrix  $S(\theta)$ . We will keep the form of the  
 441 one particle energies  $e(R, \theta)$  and momentum  $p(R, \theta)$  arbitrary and we have kept the possible  
 442  $R$  dependence explicit since it will be important when taking the mirror transform later.

443 The TBA equations for the ground state are then

$$\epsilon(\theta) = Re(R, \theta) - \int_{-\infty}^{\infty} \varphi(\theta - \theta') \log(1 + e^{-\epsilon(\theta')}) \frac{d\theta'}{2\pi}, \quad (72)$$

444 where  $\varphi(\theta) = -i \frac{d}{d\theta} \log S(\theta)$ . The ground state energy  $E_0(R)$  is then given by

$$E_0(R) = - \int_{-\infty}^{\infty} \partial_{\theta} p(R, \theta) \log(1 + e^{-\epsilon(\theta)}) \frac{d\theta}{2\pi}. \quad (73)$$

445 We can also extract the excited states from the TBA equations by analytic continuation. This  
 446 was first discussed in [25] and further details were given in [26]. In [25] it was conjectured  
 447 that the TBA equation should be modified to

$$\epsilon(\theta) = \text{Re}(R, \theta) + \sum_{i=1}^N \log \left( \frac{S(\theta - \theta_i)}{S(\theta - \bar{\theta}_i)} \right) - \int_{-\infty}^{\infty} \varphi(\theta - \theta') \log(1 + e^{-\epsilon(\theta')}) \frac{d\theta'}{2\pi}, \quad (74)$$

448 where the  $\theta_i$  are the solutions to

$$\epsilon(\theta_i) = (2n_i + 1)\pi i, \quad n_i \in \mathbb{Z}, \quad (75)$$

449 which lead to singularities in the integrand in (72). Note that there are also singularities in  
 450 the integrand due to the poles in the  $S$  matrix. These poles can also give rise to additional  
 451 driving terms in the TBA equation (72). Solving the TBA equations with these terms added  
 452 moves us between the different Virasoro representations in our theory as detailed in [25]. We  
 453 will only solve TBA equations of the form (74) which gives us excited states in the ground  
 454 state representation. In the Lee-Yang model this is the  $h = -1/5$  representation.

455 When we plug the singularities  $\theta_i$  into (74) we have a set of consistency conditions they  
 456 must satisfy

$$2n_i \pi i = \text{Re}(R, \theta_i) - \log S(\theta_i - \bar{\theta}_i) + \sum_{\substack{j=1 \\ j \neq i}}^N \log \left( \frac{S(\theta_i - \theta_j)}{S(\theta_i - \bar{\theta}_j)} \right) - \int_{-\infty}^{\infty} \varphi(\theta_i - \theta') \log(1 + e^{-\epsilon(\theta')}) \frac{d\theta'}{2\pi}. \quad (76)$$

457 The specific choice of branch cuts of the logarithms won't matter in our analysis but they have  
 458 been carefully studied in [26]. The excited state energy is then given by

$$E(R) = i \sum_{i=1}^N (p(R, \bar{\theta}_i) - p(R, \theta_i)) - \int_{-\infty}^{\infty} \partial_{\theta} p(R, \theta) \log(1 + e^{-\epsilon(\theta)}) \frac{d\theta}{2\pi}. \quad (77)$$

459 When we numerically solve the TBA equations for the Lee-Yang model we will only do it for  
 460 the ground state and excited states corresponding to  $N = 1$ .

461 We are interested in the modular transform

$$S : \hat{\tau} = \frac{iL}{R} \mapsto \frac{iR}{L} = \tau, \quad (78)$$

462 which swaps the cycles  $\mathcal{C}$  and  $\mathcal{B}$  in figure 2. Since we have swapped  $\mathcal{C}$  and  $\mathcal{B}$  we are now  
 463 interested in the spectrum of the Hamiltonian  $H_{\mathcal{B}}(L)$  which acts on the Hilbert space  $\mathcal{H}_{\mathcal{B}}$ .  
 464 The spectrum can again be found by solving TBA equations. The energy and momentum of  
 465 the new system is given by the mirror transform of the original TBA. The mirror energy and  
 466 momentum are denoted by  $\tilde{\epsilon}(L, \theta)$  and  $\tilde{p}(L, \theta)$  respectively and are related to the original  
 467 energy and momentum by

$$\tilde{\epsilon}(L, \theta) = ip \left( L, \theta - \frac{i\pi}{2} \right), \quad \tilde{p}(L, \theta) = ie \left( L, \theta - \frac{i\pi}{2} \right) \quad (79)$$

468 The TBA equations for the ground state,  $\tilde{E}_0(L)$ , of the modular transformed theory are

$$\tilde{\epsilon}(\theta) = L \tilde{\epsilon}(L, \theta) - \int_{-\infty}^{\infty} \varphi(\theta - \theta') \log(1 + e^{-\tilde{\epsilon}(\theta')}) \frac{d\theta'}{2\pi}, \quad (80)$$

$$\tilde{E}_0(L) = - \int_{-\infty}^{\infty} \partial_{\theta} \tilde{p}(L, \theta) \log(1 + e^{-\tilde{\epsilon}(\theta)}) \frac{d\theta}{2\pi}. \quad (81)$$



469 and the excited states are given by

$$\tilde{\epsilon}(\theta) = L\tilde{\epsilon}(L, \theta) + \sum_{i=1}^N \log \left( \frac{S(\theta - \theta_i)}{S(\theta - \bar{\theta}_i)} \right) - \int_{-\infty}^{\infty} \varphi(\theta - \theta') \log(1 + e^{-\tilde{\epsilon}(\theta')}) \frac{d\theta'}{2\pi}, \quad (82)$$

$$\tilde{E}(L) = i \sum_{i=1}^N (\tilde{p}(L, \bar{\theta}_i) - \tilde{p}(L, \theta_i)) - \int_{-\infty}^{\infty} \partial_{\theta} \tilde{p}(L, \theta) \log(1 + e^{-\tilde{\epsilon}(\theta')}) \frac{d\theta}{2\pi}. \quad (83)$$

470 We again have a constraint equation that the  $\theta_i$  must satisfy

$$2n_i \pi i = L\tilde{\epsilon}(L, \theta_i) - \log S(\theta_i - \bar{\theta}_i) + \sum_{\substack{j=1 \\ j \neq i}}^N \log \left( \frac{S(\theta_i - \theta_j)}{S(\theta_i - \bar{\theta}_j)} \right) - \int_{-\infty}^{\infty} \varphi(\theta_i - \theta') \log(1 + e^{-\tilde{\epsilon}(\theta')}) \frac{d\theta'}{2\pi}, \quad (84)$$

471 where  $n_i \in \mathbb{Z}$ .

## 472 5.2 TBA for the GGE

473 First we will use the TBA equations to reproduce the spectrum of the GGE with the  $I_5(R)$  charge  
474 inserted. The definition of the spectrum of the GGE was given in (9). The S matrix  $S(\theta)$  for  
475 the Lee-Yang model is

$$S(\theta) = \frac{\sinh(\theta) + i \sin(\frac{\pi}{3})}{\sinh(\theta) - i \sin(\frac{\pi}{3})}. \quad (85)$$

476 To reproduce the spectrum we set the one particle energy  $e(R, \theta)$  and momentum  $p(R, \theta)$  to  
477 be

$$e(R, \theta) = \frac{1}{R} e^{\theta}, \quad p(R, \theta) = \frac{1}{R} e^{\theta} + \frac{\alpha C}{R^5} e^{5\theta}. \quad (86)$$

478 where the constant  $C$  is

$$C = -\frac{32400\sqrt{3}\pi^2\Gamma(\frac{2}{3})^6}{1729\Gamma(\frac{1}{6})^6}. \quad (87)$$

479 The constant  $C$  can be computed using the results in [18], in particular

$$C = -\left(\frac{2\pi}{R}\right)^5 \frac{4}{5C_3\kappa^5} \sin\left(\frac{8\pi}{3}\right), \quad (88)$$

480 where  $C_3$  is given in equation (4.35),  $\kappa$  in (4.16) and the combination is given in (4.34) in [18].  
481 (Note that our TBA equation (89) differs from (4.30) in [18] where the driving term is  $\kappa e^{\theta}$   
482 instead of  $e^{\theta}$ . This accounts for the factor  $\kappa^5$  in (88).)

483 The TBA equation for the ground state is

$$\epsilon(\theta) = e^{\theta} - \int_{-\infty}^{\infty} \varphi(\theta - \theta') \log(1 + e^{-\epsilon(\theta')}) \frac{d\theta'}{2\pi}, \quad (89)$$

484 and the ground state energy is given by

$$E_0(R) = - \int_{-\infty}^{\infty} \left( \frac{1}{R} e^{\theta} + \frac{5\alpha C}{R^5} e^{5\theta} \right) \log(1 + e^{-\epsilon(\theta)}) \frac{d\theta}{2\pi}. \quad (90)$$

485 The  $\alpha^0$  term in the integral gives the vacuum eigenvalue of  $I_1(R)$  in the  $h = -\frac{1}{5}$  representation  
486 and the  $\alpha$  term gives the vacuum eigenvalue of  $I_5(R)$  for  $h = -\frac{1}{5}$ . This was derived in [18].  
487 We have started with the TBA equations for a massless theory, however we could start with a

488 massive theory and then take the massless limit. This was done in [27] and gives the same  
489 TBA equations we are studying here.

490 The excited states TBA equations are

$$\epsilon(\theta) = e^\theta + \sum_{i=1}^N \log \left( \frac{S(\theta - \theta_i)}{S(\theta - \bar{\theta}_i)} \right) - \int_{-\infty}^{\infty} \varphi(\theta - \theta') \log(1 + e^{-\epsilon(\theta')}) \frac{d\theta'}{2\pi}, \quad (91)$$

491 and the energies are given by the integrals

$$E(R) = i \sum_{i=1}^N \left( \frac{1}{R} (e^{\bar{\theta}_i} - e^{\theta_i}) + \frac{\alpha C}{R^5} (e^{5\bar{\theta}_i} - e^{5\theta_i}) \right) - \int_{-\infty}^{\infty} \left( \frac{1}{R} e^\theta + \frac{5\alpha C}{R^5} e^{5\theta} \right) \log(1 + e^{-\epsilon(\theta)}) \frac{d\theta}{2\pi}. \quad (92)$$

492 The  $\theta_i$  satisfy the constraints

$$2n_i \pi i = e^{\theta_i} - \log S(\theta_i - \bar{\theta}_i) + \sum_{\substack{j=1 \\ j \neq i}}^N \log \left( \frac{S(\theta_i - \theta_j)}{S(\theta_i - \bar{\theta}_j)} \right) - \int_{-\infty}^{\infty} \varphi(\theta_i - \theta') \log(1 + e^{-\epsilon(\theta')}) \frac{d\theta'}{2\pi}. \quad (93)$$

493 It was again verified in [18] that solving these TBA equations gives the excited state eigenvalues  
494 for  $I_1(R)$  and  $I_5(R)$ .

### 495 5.3 Transformed TBA

496 We now want to find the spectrum of the modular transformed GGE, which was defined in (14).  
497 As discussed above in section 5.1, if we know the TBA equations that encode the spectrum of  
498 the GGE then to find the spectrum of the transformed GGE we use the mirror TBA. The mirror  
499 energy  $\tilde{\epsilon}(L, \theta)$  and momentum  $\tilde{p}(L, \theta)$  were given in (79). Using the explicit forms of the  
500 energy and momentum for the original GGE (86), the mirror energy and momentum are

$$\tilde{\epsilon}(L, \theta) = \frac{1}{L} e^\theta + \frac{\alpha C}{L^5} e^{5\theta}, \quad \tilde{p}(L, \theta) = \frac{1}{L} e^\theta \quad (94)$$

501 Hence the TBA equation for the ground state is

$$\epsilon(\theta) = e^\theta + \frac{\alpha C}{L^4} e^{5\theta} - \int_{-\infty}^{\infty} \varphi(\theta - \theta') \log(1 + e^{-\epsilon(\theta')}) \frac{d\theta'}{2\pi}, \quad (95)$$

502 and the ground state energy is given by

$$E_0(L) = -\frac{1}{L} \int_{-\infty}^{\infty} e^\theta \log(1 + e^{-\epsilon(\theta)}) \frac{d\theta}{2\pi}. \quad (96)$$

503 (Note we have dropped the tilde from  $\epsilon$  which we had in (80) and (81) to distinguish the  
504 mirror TBA from the original TBA equations.) The excited state mirror TBA equations are

$$\epsilon(\theta) = e^\theta + \frac{\alpha C}{L^4} e^{5\theta} + \sum_{i=1}^N \log \left( \frac{S(\theta - \theta_i)}{S(\theta - \bar{\theta}_i)} \right) - \int_{-\infty}^{\infty} \varphi(\theta - \theta') \log(1 + e^{-\epsilon(\theta')}) \frac{d\theta'}{2\pi}, \quad (97)$$

505 and the energies are given by the integrals

$$E(L) = \frac{i}{L} \sum_{i=1}^N (e^{\bar{\theta}_i} - e^{\theta_i}) - \frac{1}{L} \int_{-\infty}^{\infty} e^\theta \log(1 + e^{-\epsilon(\theta)}) \frac{d\theta}{2\pi}. \quad (98)$$

506 Again the  $\theta_i$  satisfy the constraints

$$2n_i \pi i = e^{\theta_i} + \frac{\alpha C}{L^4} e^{5\theta_i} - \log S(\theta_i - \bar{\theta}_i) + \sum_{\substack{j=1 \\ j \neq i}}^N \log \left( \frac{S(\theta_i - \theta_j)}{S(\theta_i - \bar{\theta}_j)} \right) - \int_{-\infty}^{\infty} \varphi(\theta_i - \theta') \log \left( 1 + e^{-\epsilon(\theta')} \right) \frac{d\theta'}{2\pi}. \quad (99)$$

507 The constant  $C$  define in (87) is negative. Hence we only have solutions to the TBA equations  
 508 (95) and (97) if  $\text{Re}(\alpha) < 0$ . Otherwise the  $\log(1 + e^{-\epsilon(\theta')})$  term in the convolution integrals  
 509 will diverge, since for large  $\theta > 0$  it behaves as  $\log(1 + e^{-\alpha C e^{5\theta}/L^4})$ . Throughout the following  
 510 sections we will only consider  $\alpha$  on the negative real axis.

511 We will numerically check in the next section that these TBA equations for both the ground  
 512 state and the excited states reproduce the spectrum of the transformed GGE found from the  
 513 asymptotic analysis. We will then show how to find other solutions to the TBA equations which  
 514 do not appear in the asymptotic analysis. We will conjecture that these are all the solutions  
 515 and including all of them reproduces the full spectrum of the transformed GGE.

#### 516 5.4 Asymptotic results from the TBA

517 We want to show that the TBA equations (95), (96) and (97), (98) reproduce the asymptotic  
 518 spectrum found in section 4. From (57–61) we expect the ground state energy  $E_0(L)$  in the  
 519 transformed GGE to have the asymptotic expansion

$$E_0(L) \sim \mathcal{I}_1^{\text{vac}}(L) - \alpha \mathcal{I}_5^{\text{vac}}(L) + \beta_9 \alpha^2 \mathcal{J}_9^{\text{vac}}(L) + \alpha^3 \left( \alpha_{13} \mathcal{I}_{13}^{\text{vac}}(L) + \beta_{13} \mathcal{J}_{13}^{\text{vac}}(L) \right) + O(\alpha^4), \quad (100)$$

520 where the  $\alpha_{2n-1}$  and  $\beta_{2n-1}$  are given in (59–61) and  $\mathcal{I}_{2n-1}^{\text{vac}}(L)$  is the eigenvalue of the charge  
 521  $I_{2n-1}(L)$  on the highest weight state  $|-1/5\rangle$  and similarly for  $\mathcal{J}_{2n-1}^{\text{vac}}(L)$ .

522 In order to reproduce this asymptotic expansion for  $E_0(L)$  defined in (96), we assume that  
 523 the pseudo energy  $\epsilon(\theta)$  has the asymptotic expansion

$$\epsilon(\theta) \sim \sum_{n=0}^{\infty} \epsilon_n(\theta) \left( \frac{\alpha}{L^4} \right)^n. \quad (101)$$

524 Recall that we mentioned in the previous section that the TBA equations only have solutions for  
 525  $\text{Re}(\alpha) < 0$  hence the expansion (101) must have zero radius of convergence and is therefore  
 526 asymptotic. We also define the function

$$L(\epsilon(\theta)) = \log(1 + e^{-\epsilon(\theta)}). \quad (102)$$

527 Plugging the asymptotic expansion for  $\epsilon$  in  $L(\epsilon)$  gives

$$\begin{aligned} L(\epsilon) \sim & L(\epsilon_0) + \frac{\alpha}{L^4} \epsilon_1 L'(\epsilon_0) + \frac{\alpha^2}{L^8} \left( \epsilon_2 L'(\epsilon_0) + \frac{1}{2} \epsilon_1^2 L''(\epsilon_0) \right) \\ & + \frac{\alpha^3}{L^{12}} \left( \epsilon_3 L'(\epsilon_0) + \epsilon_2 \epsilon_1 L''(\epsilon_0) + \frac{1}{6} \epsilon_1^3 L'''(\epsilon_0) \right) + O(\alpha^4). \end{aligned} \quad (103)$$

528 If we then use these asymptotic expansions in the TBA equation (95) and collect each power

529 of  $\alpha$  we end up with the series of equations

$$\epsilon_0(\theta) = e^\theta - \int_{-\infty}^{\infty} \varphi(\theta - \theta') L(\epsilon_0(\theta')) \frac{d\theta'}{2\pi}, \quad (104)$$

$$\epsilon_1(\theta) = C e^{5\theta} - \int_{-\infty}^{\infty} \varphi(\theta - \theta') \epsilon_1(\theta') L'(\epsilon_0(\theta')) \frac{d\theta'}{2\pi}, \quad (105)$$

$$\epsilon_2(\theta) = - \int_{-\infty}^{\infty} \varphi(\theta - \theta') \left( \epsilon_2(\theta') L'(\epsilon_0(\theta')) + \frac{1}{2} \epsilon_1(\theta')^2 L''(\epsilon_0(\theta')) \right) \frac{d\theta'}{2\pi}, \quad (106)$$

$$\epsilon_3(\theta) = - \int_{-\infty}^{\infty} \varphi(\theta - \theta') \left( \epsilon_3(\theta') L'(\epsilon_0(\theta')) + \epsilon_2(\theta') \epsilon_1(\theta') L''(\epsilon_0(\theta')) + \frac{1}{6} \epsilon_1(\theta')^3 L'''(\epsilon_0(\theta')) \right) \frac{d\theta'}{2\pi}, \quad (107)$$

530 Note that the first equation (104) is the usual TBA equation for a massless theory. Once we  
531 have solved (104) we can then treat  $\epsilon_0$  as a known function in (105). Hence (105) is a linear  
532 equation in  $\epsilon_1$ . We can continue to iteratively solve the TBA equations for  $\epsilon_n$  with  $n \geq 2$ . For  
533  $n \geq 1$  the TBA equations take the general form

$$\epsilon_n(\theta) = f_n(\theta) - \int_{-\infty}^{\infty} \varphi(\theta - \theta') \epsilon_n(\theta') L'(\epsilon_0(\theta')) \frac{d\theta'}{2\pi}, \quad (108)$$

534 where the functions  $f_n(\theta)$  depend on  $\epsilon_k(\theta)$  for  $k = 0, \dots, n-1$  which have been previously  
535 solved for. These are again linear integral equations for  $\epsilon_n(\theta)$ . We will outline how to solve  
536 these equations numerically in appendix D.

537 We can similarly expand the ground state energy (96) in  $\alpha$  to obtain the asymptotic ex-  
538 pansion

$$\begin{aligned} E_0(L) = & -\frac{1}{L} \int_{-\infty}^{\infty} e^\theta L(\epsilon_0(\theta)) \frac{d\theta}{2\pi} - \frac{\alpha}{L^5} \int_{-\infty}^{\infty} e^\theta \epsilon_1(\theta) L'(\epsilon_0(\theta)) \frac{d\theta}{2\pi} \\ & - \frac{\alpha^2}{L^9} \int_{-\infty}^{\infty} e^\theta \left( \epsilon_2(\theta) L'(\epsilon_0(\theta)) + \frac{1}{2} \epsilon_1(\theta)^2 L''(\epsilon_0(\theta)) \right) \frac{d\theta}{2\pi} \\ & - \frac{\alpha^3}{L^{13}} \int_{-\infty}^{\infty} e^\theta \left( \epsilon_3(\theta) L'(\epsilon_0(\theta)) + \epsilon_2(\theta) \epsilon_1(\theta) L''(\epsilon_0(\theta)) + \frac{1}{6} \epsilon_1(\theta)^3 L'''(\epsilon_0(\theta)) \right) \frac{d\theta}{2\pi} \\ & + O(\alpha^4). \end{aligned} \quad (109)$$

539 If we compare this with (100) we find that the following relations must hold

$$\mathcal{I}_1^{\text{vac}}(L) = -\frac{1}{L} \int_{-\infty}^{\infty} e^\theta L(\epsilon_0(\theta)) \frac{d\theta}{2\pi}, \quad (110)$$

$$\mathcal{I}_5^{\text{vac}}(L) = \frac{1}{L^5} \int_{-\infty}^{\infty} e^\theta \epsilon_1(\theta) L'(\epsilon_0(\theta)) \frac{d\theta}{2\pi}, \quad (111)$$

$$\beta_9 \mathcal{J}_9^{\text{vac}}(L) = -\frac{1}{L^9} \int_{-\infty}^{\infty} e^\theta \left( \epsilon_2(\theta) L'(\epsilon_0(\theta)) + \frac{1}{2} \epsilon_1(\theta)^2 L''(\epsilon_0(\theta)) \right) \frac{d\theta}{2\pi}, \quad (112)$$

$$\alpha_{13} \mathcal{I}_{13}^{\text{vac}}(L) + \beta_{13} \mathcal{J}_{13}^{\text{vac}}(L) = \quad (113)$$

$$-\frac{1}{L^{13}} \int_{-\infty}^{\infty} e^\theta \left( \epsilon_3(\theta) L'(\epsilon_0(\theta)) + \epsilon_2(\theta) \epsilon_1(\theta) L''(\epsilon_0(\theta)) + \frac{1}{6} \epsilon_1(\theta)^3 L'''(\epsilon_0(\theta)) \right) \frac{d\theta}{2\pi}, \quad (114)$$

540 where the numerical constants  $\alpha_{2n-1}$  and  $\beta_{2n-1}$  are given in (59–61). We note from (104–107)  
541 that the pseudo energies are independent of  $L$  and hence we have the correct  $L$  dependence  
542 in for the charges.

543 We have numerically solved the TBA equations for the ground state and collected the results  
544 in section 5.5.

545 The results in section 4 also give an asymptotic expansion for the excited states in the  
546 transformed GGE. The excited states are given by the TBA equations (97) and (98) along with  
547 the constraint (99). We will focus on the case where we have picked up just one pole in the  
548 equations, which we will denote by  $\eta$ . Then the TBA equation (97) becomes

$$\epsilon(\theta) = e^\theta + \frac{\alpha C}{L^4} e^{5\theta} + \log\left(\frac{S(\theta - \eta)}{S(\theta - \bar{\eta})}\right) - \int_{-\infty}^{\infty} \varphi(\theta - \theta') \log\left(1 + e^{-\epsilon(\theta')}\right) \frac{d\theta'}{2\pi}, \quad (115)$$

549 the energy (98) becomes

$$E(L) = \frac{i}{L} (e^{\bar{\eta}} - e^\eta) - \frac{1}{L} \int_{-\infty}^{\infty} e^\theta \log\left(1 + e^{-\epsilon(\theta)}\right) \frac{d\theta}{2\pi}, \quad (116)$$

550 and the constraint (99) becomes

$$2n\pi i = e^\eta + \frac{\alpha C}{L^4} e^{5\eta} - \log S(2i\text{Im}(\eta)) - \int_{-\infty}^{\infty} \varphi(\eta - \theta') \log\left(1 + e^{-\epsilon(\theta')}\right) \frac{d\theta'}{2\pi}. \quad (117)$$

551 To find an asymptotic solution we will again assume that  $\epsilon$  has the asymptotic expansion (101).  
552 Furthermore, we will assume that the pole  $\eta$  also has an asymptotic expansion

$$\eta \sim \sum_{n=0}^{\infty} \eta_n \left(\frac{\alpha}{L^4}\right)^n. \quad (118)$$

553 Using (118) we have the following asymptotic expansions. First we expand  $\log\left(\frac{S(\theta - \eta)}{S(\theta - \bar{\eta})}\right)$  which  
554 appears in (115). We note  $\log\left(\frac{S(\theta - \eta)}{S(\theta - \bar{\eta})}\right) = 2\text{Re}(\log S(\theta - \eta))$  for  $\theta \in \mathbb{R}$  and hence

$$\begin{aligned} \log\left(\frac{S(\theta - \eta)}{S(\theta - \bar{\eta})}\right) &= 2\text{Re}(\log S(\theta - \eta_0)) + 2\frac{\alpha}{L^4} \text{Im}(\eta_1 \varphi(\theta - \eta_0)) \\ &\quad + 2\frac{\alpha^2}{L^8} \text{Im}\left(\eta_2 \varphi(\theta - \eta_0) - \frac{1}{2} \eta_1^2 \varphi'(\theta - \eta_0)\right) \\ &\quad + 2\frac{\alpha^3}{L^{12}} \text{Im}\left(\eta_3 \varphi(\theta - \eta_0) - \eta_2 \eta_1 \varphi'(\theta - \eta_0) + \frac{1}{6} \eta_1^3 \varphi''(\theta - \eta_0)\right) + O(\alpha^4) \end{aligned} \quad (119)$$

555 We also need the expansions of  $\log S(2i\text{Im}(\eta))$  and  $\varphi(\eta - \theta')$  in (117)

$$\log S(2i\text{Im}(\eta)) = \quad (120)$$

$$\begin{aligned} &\log S(2i\text{Im}(\eta_0)) - 2\frac{\alpha}{L^4} \text{Im}(\eta_1) \varphi(2i\text{Im}(\eta_0)) - 2\frac{\alpha^2}{L^8} (\text{Im}(\eta_2) \varphi(2i\text{Im}(\eta_0)) + i \text{Im}(\eta_1)^2 \varphi'(2i\text{Im}(\eta_0))) \\ &- \frac{\alpha^3}{L^{12}} \left(2\text{Im}(\eta_3) \varphi(2i\text{Im}(\eta_0)) + 4i \text{Im}(\eta_2) \text{Im}(\eta_1) \varphi'(2i\text{Im}(\eta_0)) - \frac{4}{3} \text{Im}(\eta_1)^3 \varphi''(2i\text{Im}(\eta_0))\right) + O(\alpha^4), \end{aligned}$$

556 and

$$\begin{aligned} \varphi(\eta - \theta') &= \varphi(\eta_0 - \theta') + \frac{\alpha}{L^4} \eta_1 \varphi'(\eta_0 - \theta') + \frac{\alpha^2}{L^8} \left(\eta_2 \varphi'(\eta_0 - \theta') + \frac{1}{2} \eta_1^2 \varphi''(\eta_0 - \theta')\right) \\ &\quad + \frac{\alpha^3}{L^{12}} \left(\eta_3 \varphi'(\eta_0 - \theta') + \eta_2 \eta_1 \varphi''(\eta_0 - \theta') + \frac{1}{6} \eta_1^3 \varphi'''(\eta_0 - \theta')\right) + O(\alpha^4). \end{aligned} \quad (121)$$

557 Finally we also expand the exponentials  $e^\eta$  and  $e^{5\eta}$ . Plugging these expansions into (115)  
558 gives us the series of equations

$$\epsilon_0(\theta) = e^\theta + \log\left(\frac{S(\theta - \eta_0)}{S(\theta - \bar{\eta}_0)}\right) - \int_{-\infty}^{\infty} \varphi(\theta - \theta') \log\left(1 + e^{-\epsilon_0(\theta')}\right) \frac{d\theta'}{2\pi}, \quad (122)$$

$$\epsilon_1(\theta) = C e^{5\theta} + 2\text{Im}(\eta_1 \varphi(\theta - \eta_0)) - \int_{-\infty}^{\infty} \varphi(\theta - \theta') \epsilon_1(\theta') L'(\epsilon_0(\theta')) \frac{d\theta'}{2\pi}, \quad (123)$$

$$\begin{aligned} \epsilon_2(\theta) = & 2\text{Im}\left(\eta_2 \varphi(\theta - \eta_0) - \frac{1}{2} \eta_1^2 \varphi'(\theta - \eta_0)\right) \\ & - \int_{-\infty}^{\infty} \varphi(\theta - \theta') \left(\epsilon_2(\theta') L'(\epsilon_0(\theta')) + \frac{1}{2} \epsilon_1(\theta')^2 L''(\epsilon_0(\theta'))\right) \frac{d\theta'}{2\pi}, \end{aligned} \quad (124)$$

$$\begin{aligned} \epsilon_3(\theta) = & 2\text{Im}\left(\eta_3 \varphi(\theta - \eta_0) - \eta_2 \eta_1 \varphi'(\theta - \eta_0) + \frac{1}{6} \eta_1^3 \varphi''(\theta - \eta_0)\right) \\ & - \int_{-\infty}^{\infty} \varphi(\theta - \theta') \left(\epsilon_3(\theta') L'(\epsilon_0(\theta')) + \epsilon_2(\theta') \epsilon_1(\theta') L''(\epsilon_0(\theta')) + \frac{1}{6} \epsilon_1(\theta')^3 L'''(\epsilon_0(\theta'))\right) \frac{d\theta'}{2\pi}. \end{aligned} \quad (125)$$

559 For  $n \geq 1$  the TBA equations for  $\epsilon_n$  take the form

$$\epsilon_n(\theta) = g_n(\eta_0, \dots, \eta_{n-1}; \epsilon_0, \dots, \epsilon_{n-1}; \theta) + 2\text{Im}(\eta_n \varphi(\theta - \eta_0)) - \int_{-\infty}^{\infty} \varphi(\theta - \theta') \epsilon_n(\theta') L'(\epsilon_0(\theta')) \frac{d\theta'}{2\pi}, \quad (126)$$

560 where  $g_n$  contains all the dependence on the previously determined  $\eta_i$  and  $\epsilon_i$ . We will use  
561 this when we discuss how to numerically solve the TBA equations in appendix D.

562 We can similarly plug the expansions into the constraint equation (117) and obtain the  
563 system of constraints

$$2n\pi i = e^{\eta_0} - \log S(2i\text{Im}(\eta_0)) - \int_{-\infty}^{\infty} \varphi(\eta_0 - \theta') \log\left(1 + e^{-\epsilon_0(\theta')}\right) \frac{d\theta'}{2\pi}, \quad (127)$$

564

$$\begin{aligned} 0 = & \eta_1 e^{\eta_0} + C e^{5\eta_0} + 2\text{Im}(\eta_1 \varphi(2i\text{Im}(\eta_0))) \\ & - \int_{-\infty}^{\infty} \left(\eta_1 \varphi'(\eta_0 - \theta') L(\epsilon_0(\theta')) + \varphi(\eta_0 - \theta') \epsilon_1(\theta') L'(\epsilon_0(\theta'))\right) \frac{d\theta'}{2\pi}, \end{aligned} \quad (128)$$

565

$$\begin{aligned} 0 = & \left(\eta_2 + \frac{1}{2} \eta_1^2\right) e^{\eta_0} + 5C \eta_1 e^{5\eta_0} + 2\left(\text{Im}(\eta_2 \varphi(2i\text{Im}(\eta_0))) + i\text{Im}(\eta_1)^2 \varphi'(2i\text{Im}(\eta_0))\right) \\ & - \int_{-\infty}^{\infty} \left(\left(\eta_2 \varphi'(\eta_0 - \theta') + \frac{1}{2} \eta_1^2 \varphi''(\eta_0 - \theta')\right) L(\epsilon_0(\theta')) + \eta_1 \varphi'(\eta_0 - \theta') \epsilon_1(\theta') L'(\epsilon_0(\theta'))\right) \\ & + \varphi(\eta_0 - \theta') \left(\epsilon_2(\theta') L'(\epsilon_0(\theta')) + \frac{1}{2} \epsilon_1(\theta')^2 L''(\epsilon_0(\theta'))\right) \frac{d\theta'}{2\pi}, \end{aligned} \quad (129)$$

566

$$\begin{aligned}
0 = & \left( \eta_3 + \eta_2 \eta_1 + \frac{1}{6} \eta_1^3 \right) e^{\eta_0} + C \left( 5\eta_2 + \frac{25}{2} \eta_1^2 \right) e^{5\eta_0} \\
& + \left( 2\text{Im}(\eta_3) \varphi(2i\text{Im}(\eta_0)) + 4i\text{Im}(\eta_2) \text{Im}(\eta_1) \varphi'(2i\text{Im}(\eta_0)) - \frac{4}{3} \text{Im}(\eta_1)^3 \varphi''(2i\text{Im}(\eta_0)) \right) \\
& - \int_{-\infty}^{\infty} \left( \left( \eta_3 \varphi'(\eta_0 - \theta') + \eta_2 \eta_1 \varphi''(\eta_0 - \theta') + \frac{1}{6} \eta_1^3 \varphi'''(\eta_0 - \theta') \right) L(\epsilon_0(\theta')) \right. \\
& + \left( \eta_2 \varphi'(\eta_0 - \theta') + \frac{1}{2} \eta_1^2 \varphi''(\eta_0 - \theta') \right) \epsilon_1(\theta') L'(\epsilon_0(\theta')) \\
& + \eta_1 \varphi'(\eta_0 - \theta') \left( \epsilon_2(\theta') L'(\epsilon_0(\theta')) + \frac{1}{2} \epsilon_1(\theta')^2 L''(\epsilon_0(\theta')) \right) \\
& \left. + \varphi(\eta_0 - \theta') \left( \epsilon_3(\theta') L'(\epsilon_0(\theta')) + \epsilon_2(\theta') \epsilon_1(\theta') L''(\epsilon_0(\theta')) + \frac{1}{6} \epsilon_1^3(\theta') L'''(\epsilon_0(\theta')) \right) \right) \frac{d\theta'}{2\pi}.
\end{aligned}$$

567 For  $n \geq 1$ , the constraint equation determining  $\eta_n$  and  $\epsilon_n$  is given by

$$\begin{aligned}
0 = & h_n(\eta_0, \dots, \eta_{n-1}; \epsilon_0, \dots, \epsilon_{n-1}) + \eta_n e^{\eta_0} + 2\text{Im}(\eta_n) \varphi(2i\text{Im}(\eta_0)) \\
& - \int_{-\infty}^{\infty} \left( \eta_n \varphi'(\eta_0 - \theta) L(\epsilon_0(\theta)) + \epsilon_n(\theta) \varphi(\eta_0 - \theta) L'(\epsilon_0(\theta)) \right) \frac{d\theta}{2\pi},
\end{aligned} \tag{130}$$

568 where  $h_n$  contains all the dependence on the previously determined  $\eta_i$  and  $\epsilon_i$ . We will explain  
569 how to numerically solve the constraint equation in appendix D.

570 Finally if we expand the energy (116) we obtain the asymptotic expansion

$$\begin{aligned}
E(L) \sim & \frac{1}{L} \left( 2\text{Im}(e^{\eta_0}) - \int_{-\infty}^{\infty} e^{\theta} L(\epsilon_0(\theta)) \frac{d\theta}{2\pi} \right) \\
& + \frac{\alpha}{L^5} \left( 2\text{Im}(\eta_1 e^{\eta_0}) - \int_{-\infty}^{\infty} e^{\theta} \epsilon_1(\theta) L'(\epsilon_0(\theta)) \frac{d\theta}{2\pi} \right) \\
& + \frac{\alpha^2}{L^9} \left( 2\text{Im} \left( \left( \eta_2 + \frac{1}{2} \eta_1^2 \right) e^{\eta_0} \right) - \int_{-\infty}^{\infty} e^{\theta} \left( \epsilon_2(\theta) L'(\epsilon_0(\theta)) + \frac{1}{2} \epsilon_1(\theta)^2 L''(\epsilon_0(\theta)) \right) \frac{d\theta}{2\pi} \right) \\
& + \frac{\alpha^3}{L^{13}} \left( 2\text{Im} \left( \left( \eta_3 + \eta_2 \eta_1 + \frac{1}{6} \eta_1^3 \right) e^{\eta_0} \right) \right. \\
& \left. - \int_{-\infty}^{\infty} e^{\theta} \left( \epsilon_3(\theta) L'(\epsilon_0(\theta)) + \epsilon_2(\theta) \epsilon_1(\theta) L''(\epsilon_0(\theta)) + \frac{1}{6} \epsilon_1^3(\theta) L'''(\epsilon_0(\theta)) \right) \frac{d\theta}{2\pi} \right) + O(\alpha^4)
\end{aligned} \tag{131}$$

571 For levels 1,2 and 3 in the  $h = -1/5$  representation of the Lee-Yang model we have only one  
572 state. Hence using (57–61) we see that the coefficients in the expansion are related to the  
573 single eigenvalue of the charges  $I_{2n-1}$  and  $J_{2n-1}$  as follows

$$\mathcal{I}_1(L) = \frac{1}{L} \left( 2\text{Im}(e^{\eta_0}) - \int_{-\infty}^{\infty} e^{\theta} L(\epsilon_0(\theta)) \frac{d\theta}{2\pi} \right), \tag{132}$$

$$\mathcal{I}_5(L) = -\frac{1}{L^5} \left( 2\text{Im}(\eta_1 e^{\eta_0}) - \int_{-\infty}^{\infty} e^{\theta} \epsilon_1(\theta) L'(\epsilon_0(\theta)) \frac{d\theta}{2\pi} \right), \tag{133}$$

$$\beta_9 \mathcal{J}_9(L) = \frac{1}{L^9} \left( 2\text{Im} \left( \left( \eta_2 + \frac{1}{2} \eta_1^2 \right) e^{\eta_0} \right) - \int_{-\infty}^{\infty} e^{\theta} \left( \epsilon_2(\theta) L'(\epsilon_0(\theta)) + \frac{1}{2} \epsilon_1(\theta)^2 L''(\epsilon_0(\theta)) \right) \frac{d\theta}{2\pi} \right), \tag{134}$$

$$\begin{aligned}
\alpha_{13} \mathcal{I}_{13}(L) + \beta_{13} \mathcal{J}_{13}(L) = & \frac{1}{L^{13}} \left( 2\text{Im} \left( \left( \eta_3 + \eta_2 \eta_1 + \frac{1}{6} \eta_1^3 \right) e^{\eta_0} \right) \right. \\
& \left. - \int_{-\infty}^{\infty} e^{\theta} \left( \epsilon_3(\theta) L'(\epsilon_0(\theta)) + \epsilon_2(\theta) \epsilon_1(\theta) L''(\epsilon_0(\theta)) + \frac{1}{6} \epsilon_1^3(\theta) L'''(\epsilon_0(\theta)) \right) \frac{d\theta}{2\pi} \right).
\end{aligned} \tag{135}$$

574 Here the  $\mathcal{I}_{2n-1}(L)$  and  $\mathcal{J}_{2n-1}(L)$  are eigenvalues of the charges  $I_{2n-1}(L)$  and  $J_{2n-1}(L)$  in the  
 575 excited states. Again these relations only apply to the case where we have a single state at a  
 576 given level in the Virasoro representation.

577 For level 4 and higher we have multiple states in the  $h = -1/5$  representation and we  
 578 need to be more careful. The coefficients in the expansion (131) of the energy  $E(L)$  will no  
 579 longer be given by eigenvalues of the individual charges since the charges don't commute and  
 580 therefore can't be simultaneously diagonalised.

581 Recall that we want to reproduce the right hand side of (57)

$$\mathrm{Tr}\left(e^{-R(I_1(L)-\alpha I_5(L)+\beta_9\alpha^2 J_9(L)+\alpha_{13}\alpha^3 I_{13}(L)+\beta_{13}\alpha^3 J_{13}(L)+\dots)}\right), \quad (136)$$

582 where the trace is taken over the  $h = -1/5$  representation. We can split the trace up into  
 583 the sum of traces over level subspaces of the representation, i.e. spaces where the descendent  
 584 states have the same  $L_0$  eigenvalue. Let  $\mathcal{H}_N$  denote the subspace at level  $N$ . (We are only  
 585 working in the  $h = -1/5$  representation so won't add an additional label to  $\mathcal{H}$  to represent  
 586 this.) The trace (136) is given by

$$\mathrm{Tr}\left(e^{-R(I_1(L)-\alpha I_5(L)+\beta_9\alpha^2 J_9(L)+\alpha_{13}\alpha^3 I_{13}(L)+\beta_{13}\alpha^3 J_{13}(L)+\dots)}\right) = \sum_{N=0}^{\infty} \mathrm{Tr}_{\mathcal{H}_N}\left(e^{-\frac{R}{L}Q\left(\frac{\alpha}{L^4}\right)}\right), \quad (137)$$

587 where  $\frac{1}{L}Q\left(\frac{\alpha}{L^4}\right)$  is defined by its asymptotic expansion

$$\frac{1}{L}Q\left(\frac{\alpha}{L^4}\right) \sim I_1(L) - \alpha I_5(L) + \beta_9\alpha^2 J_9(L) + \alpha_{13}\alpha^3 I_{13}(L) + \beta_{13}\alpha^3 J_{13}(L) + \dots \quad (138)$$

588 If the space  $\mathcal{H}_N$  has dimension  $n$  then we will label the  $n$  eigenvalues of  $Q$  by  $q_i$ ,  $i = 1, \dots, n$   
 589 and we find

$$\mathrm{Tr}_{\mathcal{H}_N}\left(e^{-\frac{R}{L}Q\left(\frac{\alpha}{L^4}\right)}\right) = \sum_{i=1}^n e^{-\frac{R}{L}q_i\left(\frac{\alpha}{L^4}\right)} \quad (139)$$

590 It is the eigenvalues  $\frac{1}{L}q_i\left(\frac{\alpha}{L^4}\right)$  that will be found by solving the TBA equations (97) and plugging  
 591 the solutions into (98). In our numerical analysis we have only solved the one particle excited  
 592 state TBA equation (115) and hence have only found one of the eigenvalues  $q_i$  at each level.  
 593 The others can be obtained by solving the TBA equations (97) with more than one pole.

594 We will verify the above claims that the TBA is encoding the spectrum of the transformed  
 595 GGE with some numerical tests in the next section.

## 596 5.5 Numerical results

597 In the previous section we found asymptotic solutions to the TBA equations (95), (96) for the  
 598 ground state and (97), (98) for the excited states. The energy (96) and (98) are then given  
 599 as asymptotic expansions in  $\alpha$

$$E(L) \sim \mathcal{E}_0(L) + \alpha\mathcal{E}_1(L) + \alpha^2\mathcal{E}_2(L) + \alpha^3\mathcal{E}_3(L) + O(\alpha^4), \quad (140)$$

600 where the  $\mathcal{E}_k$  can be read off from (109) for the ground state and are given in (131) for  
 601 the excited states. As explained in section 5.4 the coefficients  $\epsilon_k$  are expected to be linear  
 602 combinations of the eigenvalues of the charges  $I_{2n-1}(L)$  and  $J_{2n-1}(L)$  for levels 0, 1, 2 and 3  
 603 where we have only one state. The exact relations are

$$\mathcal{E}_0(L) = \mathcal{I}_1(L), \quad (141)$$

$$\mathcal{E}_1(L) = -\mathcal{I}_5(L), \quad (142)$$

$$\mathcal{E}_2(L) = \beta_9\mathcal{J}_9(L), \quad (143)$$

$$\mathcal{E}_3(L) = \alpha_{13}\mathcal{I}_{13}(L) + \beta_{13}\mathcal{J}_{13}(L), \quad (144)$$



604 where the numerical constants  $\beta_9, \alpha_{13}$  and  $\beta_{13}$  are given in (59–61) and as before  $\mathcal{I}_{2n-1}(L)$   
 605 and  $\mathcal{J}_{2n-1}(L)$  are eigenvalues of  $I_{2n-1}(L)$  and  $J_{2n-1}(L)$ .

606 However for levels 4 and 5 in the  $h = -1/5$  representation we have two states. Hence we  
 607 need to find the eigenvalues of the operator  $Q$  defined in (138). We can find the elements of  
 608 the matrix  $Q$  up to  $O(\alpha^4)$  using (138) and the explicit expressions for the charges  $I_{2n-1}$  and  
 609  $J_{2n-1}$  given in appendix B.3. This then allows us to compute the eigenvalues up to  $O(\alpha^4)$ . For  
 610 level 4 the two eigenvalues of  $\frac{1}{L}Q\left(\frac{\alpha}{L^4}\right)$  are

$$\begin{aligned} \frac{1}{L}q_1\left(\frac{\alpha}{L^4}\right) &= \frac{239}{60} \frac{1}{L} + \left(\frac{29871991}{756000} + \frac{2\sqrt{5149}}{5}\right) \frac{\alpha}{L^4} + \left(\frac{65155161071}{21600000} + \frac{1581671\sqrt{5149}}{40650}\right) \left(\frac{\alpha}{L^4}\right)^2 \\ &\quad + \left(\frac{906057445994257}{2592000000} + \frac{400124699794729\sqrt{5149}}{83722740000}\right) \left(\frac{\alpha}{L^4}\right)^3 + O(\alpha^4), \end{aligned} \quad (145)$$

$$\begin{aligned} \frac{1}{L}q_2\left(\frac{\alpha}{L^4}\right) &= \frac{239}{60} \frac{1}{L} + \left(\frac{29871991}{756000} - \frac{2\sqrt{5149}}{5}\right) \frac{\alpha}{L^4} + \left(\frac{65155161071}{21600000} - \frac{1581671\sqrt{5149}}{40650}\right) \left(\frac{\alpha}{L^4}\right)^2 \\ &\quad + \left(\frac{906057445994257}{2592000000} - \frac{400124699794729\sqrt{5149}}{83722740000}\right) \left(\frac{\alpha}{L^4}\right)^3 + O(\alpha^4), \end{aligned} \quad (146)$$

611 and for level 5 the two eigenvalues are

$$\begin{aligned} \frac{1}{L}q_1\left(\frac{\alpha}{L^4}\right) &= \frac{299}{60} \frac{1}{L} + \left(\frac{99483211}{756000} + \frac{2\sqrt{36409}}{5}\right) \frac{\alpha}{L^4} + \left(\frac{511399295771}{21600000} + \frac{558565553\sqrt{36409}}{5461350}\right) \left(\frac{\alpha}{L^4}\right)^2 \\ &\quad + \left(\frac{16846422773011117}{2592000000} + \frac{2527183186828313923\sqrt{36409}}{79536916860000}\right) \left(\frac{\alpha}{L^4}\right)^3 + O(\alpha^4), \end{aligned} \quad (147)$$

$$\begin{aligned} \frac{1}{L}q_2\left(\frac{\alpha}{L^4}\right) &= \frac{299}{60} \frac{1}{L} + \left(\frac{99483211}{756000} - \frac{2\sqrt{36409}}{5}\right) \frac{\alpha}{L^4} + \left(\frac{511399295771}{21600000} - \frac{558565553\sqrt{36409}}{5461350}\right) \left(\frac{\alpha}{L^4}\right)^2 \\ &\quad + \left(\frac{16846422773011117}{2592000000} - \frac{2527183186828313923\sqrt{36409}}{79536916860000}\right) \left(\frac{\alpha}{L^4}\right)^3 + O(\alpha^4). \end{aligned} \quad (148)$$

612 In tables 1 – 4 we collect our numerical results and compare them to the expected analytic  
 613 values up to level 5<sup>2</sup>. In all cases we have good numerical agreement which supports our claim  
 614 that the TBA equations (97) and (98) give the spectrum of the transformed GGE.

615 We note that for levels 4 and 5 where we have two eigenvalues the TBA equations give the  
 616 eigenvalue corresponding to the positive square root. We believe that the other root can be  
 617 obtained by solving the TBA equation (97) with two poles but we have not verified this.

---

<sup>2</sup>In all of our numerical results we have not done a serious error analysis, even though errors do arise from discretising and introducing cut-offs to our integration range in the TBA. This is because our results were in such agreement with the known analytic values that we did not feel the need to perform such an analysis.

$\mathcal{E}_0(2\pi)$  numerical and analytic values

Level	Numerical value	Analytic value
0	-0.016666666666666666	$-\frac{1}{60} = -0.016666666666666666$
1	0.98333333333333341	$\frac{59}{60} = 0.9833333333333333$
2	1.9833333333333334	$\frac{119}{60} = 1.9833333333333333$
3	2.9833333333333334	$\frac{179}{60} = 2.9833333333333333$
4	3.9833333333333334	$\frac{239}{60} = 3.9833333333333333$
5	4.9833333333333334	$\frac{299}{60} = 4.9833333333333333$

Table 1: We list the numerical values of  $\mathcal{E}_0(2\pi)$  ( $L = 2\pi$ ) when the TBA equations are solved for levels 0 to 5. In the final column we list the analytic results that come from diagonalising the charges directly.

 $\mathcal{E}_1(2\pi)$  numerical and analytic values

Level	Numerical value	Analytic value
0	0.00011772486772486771	$\frac{89}{756000} = 0.00011772486772486772$
1	0.07821560846561151	$\frac{59131}{756000} = 0.07821560846560846$
2	2.1565489417989436	$\frac{1630351}{756000} = 2.156548941798942$
3	16.234882275132286	$\frac{12273571}{756000} = 16.234882275132275$
4	68.2158287299221	$\frac{29871991}{756000} + \frac{2\sqrt{5149}}{5} = 68.21582872992198$
5	207.91611903557663	$\frac{99483211}{756000} + \frac{2\sqrt{36409}}{5} = 207.91611903557674$

Table 2: We list the numerical values of  $\mathcal{E}_1(2\pi)$  ( $L = 2\pi$ ) when the TBA equations are solved for levels 0 to 5. In the final column we list the analytic results that come from diagonalising the charges directly.

 $\mathcal{E}_2(2\pi)$  numerical and analytic values

Level	Numerical value	Analytic value
0	-0.00008004629629629623	$-\frac{1729}{21600000} = -0.0000800462962962963$
1	0.023933842592585384	$\frac{516971}{21600000} = 0.023933842592592593$
2	11.574614398148247	$\frac{250011671}{21600000} = 11.574614398148148$
3	438.0852949537006	$\frac{9462642371}{21600000} = 438.0852949537037$
4	5808.453146384214	$\frac{65155161071}{21600000} + \frac{1581671\sqrt{5149}}{40650} = 5808.45314638423$
5	43191.34083200923	$\frac{511399295771}{21600000} + \frac{558565553\sqrt{36409}}{5461350} = 43191.34083200952$

Table 3: We list the numerical values of  $\mathcal{E}_2(2\pi)$  ( $L = 2\pi$ ) when the TBA equations are solved for levels 0 to 5. In the final column we list the analytic results that come from diagonalising the charges directly.

$\mathcal{E}_3(2\pi)$  numerical and analytic values

Level	Numerical value	Analytic value
0	-0.00041588850308641904	$-\frac{1077983}{2592000000} = -0.00041588850308641975$
1	0.01004061612654321	$\frac{26025277}{2592000000} = 0.01004061612654321$
2	86.7328804540904	$\frac{224811626137}{2592000000} = 86.73288045408951$
3	16554.39302029211	$\frac{42908986708597}{2592000000} = 16554.393020292053$
4	692495.4337312711	$\frac{906057445994257}{2592000000} + \frac{400124699794729\sqrt{5149}}{83722740000} = 692495.4337312633$
5	12562179.006709663	$\frac{16846422773011117}{2592000000} + \frac{2527183186828313923\sqrt{36409}}{79536916860000} = 12562179.006709557$

Table 4: We list the numerical values of  $\mathcal{E}_3(2\pi)$  ( $L = 2\pi$ ) when the TBA equations are solved for levels 0 to 5. In the final column we list the analytic results that come from diagonalising the charges directly.

## 618 5.6 Non-asymptotic solutions to the TBA

619 In section 5.4 we found the solutions to the TBA equations that reproduced the asymptotic  
620 results from section 4. Here we will show that there are additional solutions to the TBA equa-  
621 tions that one needs to consider when calculating the transformed GGE. We will again restrict  
622 to the  $h = -\frac{1}{5}$  sector in the transformed GGE. These additional solutions therefore correspond  
623 to states in the  $H_{D, -\frac{1}{5}}$  defect Hilbert space. (the defect Hilbert spaces were introduced in (12).)  
624 We will begin by recalling the one particle excited state TBA equations

$$\epsilon(\theta) = e^\theta + \frac{\alpha C}{L^4} e^{5\theta} + \log\left(\frac{S(\theta - \eta)}{S(\theta - \bar{\eta})}\right) - \int_{-\infty}^{\infty} \varphi(\theta - \theta') \log\left(1 + e^{-\epsilon(\theta')}\right) \frac{d\theta'}{2\pi}, \quad (149)$$

625

$$E(L) = \frac{i}{L} (e^{\bar{\eta}} - e^\eta) - \frac{1}{L} \int_{-\infty}^{\infty} e^\theta \log\left(1 + e^{-\epsilon(\theta)}\right) \frac{d\theta}{2\pi}, \quad (150)$$

626 and the constraint

$$2n\pi i = e^\eta + \frac{\alpha C}{L^4} e^{5\eta} - \log S(2i\text{Im}(\eta)) - \int_{-\infty}^{\infty} \varphi(\eta - \theta') \log\left(1 + e^{-\epsilon(\theta')}\right) \frac{d\theta'}{2\pi}. \quad (151)$$

627 In order to solve (149) and (151) to find solutions that were missed in the asymptotic analysis  
628 we will choose alternative expansions to (101) and (118) for  $\epsilon$  and  $\eta$ . We assume now  $\epsilon(\theta)$   
629 has the expansion

$$\epsilon(\theta) = \sum_{n=0}^{\infty} \epsilon_{\frac{n}{4}}(\theta) \left(\frac{\alpha}{L^4}\right)^{\frac{n}{4}}. \quad (152)$$

630 and  $\eta$  can be expanded as

$$\eta = -\frac{1}{4} \log\left(\frac{\alpha}{L^4}\right) + \sum_{n=0}^{\infty} \eta_{\frac{n}{4}} \left(\frac{\alpha}{L^4}\right)^{\frac{n}{4}}. \quad (153)$$

631 The leading order  $-\frac{1}{4} \log\left(\frac{\alpha}{L^4}\right)$  term for  $\eta$  can be determined as follows. Assume that as  
632  $\alpha \rightarrow 0$  the pseudo energy tends to a finite,  $\alpha$  independent function of  $\theta$ ,  $\epsilon(\theta) \rightarrow \epsilon_0(\theta)$ . We  
633 will further assume that in this limit  $e^\eta \rightarrow \left(\frac{\alpha}{L^4}\right)^\nu e^{\eta_0}$  for some  $\nu$  and  $\eta_0$  to be determined. We  
634 plug both of these limits into the constraint equation (151) to determine the power  $\nu$ .

635 We first note that if  $\text{Re}(\nu) \neq 0$  then in the limit  $\alpha \rightarrow 0$  the kernel  $\varphi(\eta - \theta')$  vanishes so we  
 636 drop the convolution term. If  $\text{Re}(\nu) = 0$  then  $\varphi(\eta - \theta')$  oscillates without decaying as  $\alpha \rightarrow 0$ ,  
 637 so we don't have a well defined limit. We now need to determine the behaviour of the driving  
 638 term  $\log S(2i\text{Im}(\eta))$  as  $\alpha \rightarrow 0$ . If  $\text{Im}(\nu) = 0$  then we have  $\log S(2i\text{Im}(\eta)) \rightarrow \log S(2i\text{Im}(\eta_0))$ .  
 639 However if  $\text{Im}(\nu) \neq 0$  then  $\log S(2i\text{Im}(\eta))$  oscillates without decaying as  $\alpha \rightarrow 0$  so we again  
 640 don't have a well defined limit. Hence we must have  $\nu \in \mathbb{R} \setminus \{0\}$ .

641 Using both of these limits in the constraint equation (151) gives the leading order terms

$$2n\pi i \approx \left(\frac{\alpha}{L^4}\right)^\nu e^{\eta_0} + \left(\frac{\alpha}{L^4}\right)^{5\nu+1} C e^{5\eta_0} - \log S(2i\text{Im}(\eta_0)). \quad (154)$$

642 If  $\nu > 0$  then both  $\alpha^\nu$  and  $\alpha^{5\nu+1}$  are subleading and we have

$$2n\pi i \approx -\log S(2i\text{Im}(\eta_0)). \quad (155)$$

643 However this equation has no solutions for finite  $\eta_0$ , hence  $\nu < 0$ . Now the  $\alpha^\nu$  term diverges  
 644 as  $\alpha \rightarrow 0$  and so the  $\alpha^{5\nu+1}$  term must also diverge at the same rate in order for them to cancel.  
 645 This fixes  $\nu = -\frac{1}{4}$  and hence we have the leading  $\eta$  behaviour from (153)

$$e^\eta \sim \left(\frac{\alpha}{L^4}\right)^{-\frac{1}{4}} e^{\eta_0} \Rightarrow \eta \sim -\frac{1}{4} \log\left(\frac{\alpha}{L^4}\right) + \eta_0. \quad (156)$$

646 As in section 5.4 we will expand the TBA equations as an asymptotic series in  $\alpha$  and solve  
 647 them term by term. First we need to expand the terms in the TBA equations. We start with  
 648  $\log\left(\frac{S(\theta-\eta)}{S(\theta-\bar{\eta})}\right)$

$$\log\left(\frac{S(\theta-\eta)}{S(\theta-\bar{\eta})}\right) = 4\sqrt{3} \text{Im}(e^{-\eta_0}) e^\theta \left(\frac{\alpha}{L^4}\right)^{\frac{1}{4}} - 4\sqrt{3} \text{Im}\left(\eta_{\frac{1}{4}} e^{-\eta_0}\right) e^\theta \left(\frac{\alpha}{L^4}\right)^{\frac{1}{2}} + O\left(\alpha^{\frac{3}{4}}\right). \quad (157)$$

649 Next we provide the expansion of  $S(2i\text{Im}(\eta))$

$$\begin{aligned} \log S(2i\text{Im}(\eta)) &= \log S(2i\text{Im}(\eta_0)) - 2\left(\frac{\alpha}{L^4}\right)^{\frac{1}{4}} \text{Im}\left(\eta_{\frac{1}{4}}\right) \varphi(2i\text{Im}(\eta_0)) \\ &\quad - 2\left(\frac{\alpha}{L^4}\right)^{\frac{1}{2}} \left(\text{Im}\left(\eta_{\frac{1}{2}}\right) \varphi(2i\text{Im}(\eta_0)) + i\text{Im}\left(\eta_{\frac{1}{4}}\right)^2 \varphi'(2i\text{Im}(\eta_0))\right) + O\left(\alpha^{\frac{3}{4}}\right), \end{aligned} \quad (158)$$

650 and finally  $\varphi(\eta - \theta')$

$$\varphi(\eta - \theta') = -2\sqrt{3} e^{-\eta_0} e^{\theta'} \left(\frac{\alpha}{L^4}\right)^{\frac{1}{4}} + 2\sqrt{3} \eta_{\frac{1}{4}} e^{-\eta_0} e^{\theta'} \left(\frac{\alpha}{L^4}\right)^{\frac{1}{2}} + O\left(\alpha^{\frac{3}{4}}\right). \quad (159)$$

651 If we plug (157) into the non-linear integral equation (149) then we get the series of equations

$$\epsilon_0(\theta) = e^\theta - \int_{-\infty}^{\infty} \varphi(\theta - \theta') L(\epsilon_0(\theta')) \frac{d\theta'}{2\pi}, \quad (160)$$

$$\epsilon_{\frac{1}{4}}(\theta) = 4\sqrt{3} \text{Im}(e^{-\eta_0}) e^\theta - \int_{-\infty}^{\infty} \varphi(\theta - \theta') \epsilon_{\frac{1}{4}}(\theta') L'(\epsilon_0(\theta')) \frac{d\theta'}{2\pi}, \quad (161)$$

$$\epsilon_{\frac{1}{2}}(\theta) = -4\sqrt{3} \text{Im}\left(\eta_{\frac{1}{4}} e^{-\eta_0}\right) e^\theta - \int_{-\infty}^{\infty} \varphi(\theta - \theta') \left(\epsilon_{\frac{1}{2}}(\theta') L'(\epsilon_0(\theta')) + \frac{1}{2} \epsilon_{\frac{1}{4}}(\theta')^2 L''(\epsilon_0(\theta'))\right) \frac{d\theta'}{2\pi}, \quad (162)$$

652 and if we plug (158) and (159) into the constraint (151) we have the series of equations

$$0 = e^{\eta_0} + C e^{5\eta_0}, \quad (163)$$

$$2n\pi i = (e^{\eta_0} + 5C e^{5\eta_0}) \eta_{\frac{1}{4}} - \log S(2i\text{Im}(\eta_0)), \quad (164)$$

$$0 = \frac{1}{2} (e^{\eta_0} + 25C e^{5\eta_0}) \eta_{\frac{1}{4}}^2 + (e^{\eta_0} + 5C e^{5\eta_0}) \eta_{\frac{1}{2}} + 2\text{Im}(\eta_{\frac{1}{4}}) \varphi(2i\text{Im}(\eta_0)) \\ + \int_{-\infty}^{\infty} 2\sqrt{3} e^{-\eta_0} e^{\theta} L(\epsilon_0(\theta)) \frac{d\theta}{2\pi}. \quad (165)$$

653 We note that (160) doesn't contain  $\eta_{\frac{n}{4}}$  and hence can be solved by itself to find  $\epsilon_0$ . Similarly  
654 (163) can be solved to find

$$\eta_0 = \frac{1}{4} \log(-1/C) + \frac{\pi i k}{2}, \quad k = 0, 1, 2, 3, \quad (166)$$

655 where we recall that  $C$  defined in (87) is negative so we can choose the branch cut such that  
656  $\log(-1/C) \in \mathbb{R}$ . (We are ignoring the solution  $e^{\eta_0} = 0$ .) We can then solve (164) to find four  
657 possible values for  $\eta_1$  and use all the previous solutions to solve (165) for  $\eta_{\frac{1}{2}}$ . While so far we  
658 have been able to solve each of the equations independently we note that equations coming  
659 from higher orders in  $\alpha$  will again have to be solved in tandem as we did for the asymptotic  
660 solutions in section 5.4.

661 We can continue to solve the series of equations coming from the integral equation (160)  
662 and the constraint (151) iteratively to find an asymptotic solution to  $\eta$  and  $\epsilon$ . There will be  
663 four possible solutions, which when added to the asymptotic solution gives us five in total for  
664 each  $n \in \mathbb{Z}$  in the constraint.

665 However we do not want to include all of these solutions in the transformed GGE (14).  
666 We only want solutions  $\epsilon(\theta)$  and  $\eta$  such that when they are plugged into the integral (150)  
667 for  $E(L)$  we have

$$\text{Re}(E(L) - E_0(L)) > 0, \quad (167)$$

668 where  $E_0(L)$  is the ground state energy. This is to ensure the convergence of the GGE (14)  
669 which is a sum over the exponentials  $e^{-R(E(L) - E_0(L))}$ .

670 Based on the results for free fermion GGEs [15–17] we conjecture that if we add these  
671 terms to the GGE then we will have the full modular transformation. This conjecture can also  
672 be extended to the case with a finite number of charges inserted as was done for free fermions  
673 in [16].

674 A non-trivial check of the conjecture would be to verify that with these additional terms  
675 inserted the expression for the transformed GGE is real. We believe that the individual energies  
676  $E(L)$  that come from solving (97) and plugging the solution into (98) will have branch points  
677 in  $\alpha$  on the negative real line. This is for both the asymptotic solutions from section 5.4 and  
678 the ones from this section. Hence the energies may individually be complex, but by including  
679 all of them in the transformed expression for the GGE we get a real quantity.

680 In order to verify this we would like to numerically determine the branch points of the  
681 energies. This could be done by solving the TBA equations (97) numerically for fixed values  
682 of  $\alpha$  and finding where the energies (98) become complex. This would give exact solutions  
683 for a given  $\alpha$  to the TBA equations rather than the power series solutions we have discussed  
684 so far. However, so far we have not been able to find a stable numerical algorithm to solve  
685 (97) for  $\alpha \neq 0$ . We leave it to future work to find the solutions to (95) for fixed values of  $\alpha$   
686 and determine their branch points.

687 We will end this section with a brief discussion on the large  $\alpha$  behaviour of the solutions  
688 to the TBA equations (149) and the constraint (151). Since the solutions only depend on the

689 combination  $\frac{\alpha}{L^4}$ , the large  $\alpha$  limit is equivalent to the small  $L$  limit. We will assume that the  
690 pseudo energy  $\epsilon(\theta)$  and the pole  $\eta$  have the leading behaviour

$$\epsilon(\theta) \sim \left(\frac{\alpha}{L^4}\right)^\mu \epsilon_0(\theta), \quad e^\eta \sim \left(\frac{\alpha}{L^4}\right)^\nu e^{\eta_0} \quad (168)$$

691 As was discussed above for the  $\alpha \rightarrow 0$  limit, the constraint equation (151) again only has a  
692 well defined limit if  $\nu \in \mathbb{R} \setminus \{0\}$ . Note that the value of  $\mu$  does not change the fact that the  
693 convolution term is suppressed in (151) as  $\alpha \rightarrow -\infty$ . Hence we have

$$2n\pi i \approx \left(\frac{\alpha}{L^4}\right)^\nu e^{\eta_0} + \left(\frac{\alpha}{L^4}\right)^{5\nu+1} C e^{5\eta_0} - \log S(2i\text{Im}(\eta_0)). \quad (169)$$

694 In the limit  $\alpha \rightarrow -\infty$  this equation only has solutions if  $\nu = -\frac{1}{5}$ . Then the  $\alpha^\nu$  term is subleading  
695 and the leading order constraint equation is

$$2n\pi i \approx C e^{5\eta_0} - \log S(2i\text{Im}(\eta_0)). \quad (170)$$

696 For  $\nu \in \mathbb{R} \setminus \{0\}$  the  $\log\left(\frac{S(\theta-\eta)}{S(\theta-\bar{\eta})}\right)$  term in (149) tends to 0 as  $\alpha \rightarrow -\infty$ . The integral in (149) is  
697 also subleading and hence we have the leading order behaviour

$$\epsilon(\theta) = \frac{\alpha}{L^4} C e^{5\theta}. \quad (171)$$

698 So as  $\alpha \rightarrow \infty$  we have

$$\epsilon(\theta) \sim \frac{\alpha}{L^4} C e^{5\theta}, \quad e^\eta \sim \left(\frac{\alpha}{L^4}\right)^{-\frac{1}{5}} e^{\eta_0}. \quad (172)$$

699 If we use these limits in the energy integral (150) then we find the leading order behaviour of  
700 the spectrum is

$$E(L) \sim (\alpha L)^{-\frac{1}{5}} \left( i(e^{\tilde{\eta}_0} - e^{\eta_0}) + \int_{-\infty}^{\infty} e^\theta \log\left(1 + e^{C e^{5\theta}}\right) \frac{d\theta}{2\pi} \right). \quad (173)$$

## 701 6 Conclusions and Outlook

702 Let us begin our conclusion with a brief summary of the results presented in this paper. We  
703 will just focus on the main example from the paper, the Lee-Yang model where the  $I_5(R)$  KdV  
704 charge was inserted into the characters, with chemical potential  $\alpha$ , to give us our GGE

$$\text{Tr}_{\mathcal{H}_i} \left( e^{-L(I_1(R) + \alpha I_5(R))} \right). \quad (174)$$

705 We expanded the GGE as an asymptotic series in  $\alpha$

$$\text{Tr}_{\mathcal{H}_i} \left( e^{-L(I_1(R) + \alpha I_5(R))} \right) = \sum_{n=1}^{\infty} \frac{(-\alpha L)^n}{n!} \text{Tr}_{\mathcal{H}_i} \left( I_5(R)^n e^{-L I_1(R)} \right), \quad (175)$$

706 and took the modular transform of each term. The expressions for the transformed correlators  
707 can be written as correlators of the original KdV charges as well as the correlators of the zero  
708 modes of the other quasi-primary fields present in the theory. For example

$$\text{Tr} \left( I_5(R)^2 e^{-L I_1(R)} \right) = \left(\frac{R}{L}\right)^2 \text{Tr} \left( I_5(L)^2 e^{-R I_1(L)} \right) - \frac{412776}{116875} \frac{R}{L^2} \text{Tr} \left( J_9(L) e^{-R I_1(L)} \right), \quad (176)$$

709 where  $J_9(L)$  is the zero mode on the cylinder of the quasi-primary field at level 10 in the  $h = 0$   
 710 representation. Once we have transformed each term we can then resum them into a GGE  
 711 with all charges from the quasi-primary fields present, not just the subset of the KdV charges

$$\mathrm{Tr}\left(e^{-L(\alpha I_5(R)+I_1(R))}\right) \sim \mathrm{Tr}\left(e^{-R(I_1(L)+\alpha_5 I_5(L)+\beta_9 J_9(L)+\alpha_{13} I_{13}(L)+\beta_{13} J_{13}(L)+\dots)}\right), \quad (177)$$

712 where the  $\alpha_{2n-1}$  and  $\beta_{2n-1}$  are given in (59–61). Based on the results for the free fermion  
 713 model [15–17] we assume that the expressions (177) only match asymptotically and that as  
 714 a GGE the right hand side is a formal expression that diverges.

715 In order to find a regularised expression for the right hand side of (177) we turned to the  
 716 TBA. If the transformed GGE is just given as a trace over the  $h = -1/5$  representation then the  
 717 TBA equations that give the ground state energy are

$$\epsilon(\theta) = e^\theta + \frac{\alpha C}{L^4} e^{5\theta} - \int_{-\infty}^{\infty} \varphi(\theta - \theta') \log(1 + e^{-\epsilon(\theta')}) \frac{d\theta'}{2\pi}, \quad (178)$$

$$E_0(L) = -\frac{1}{L} \int_{-\infty}^{\infty} e^\theta \log(1 + e^{-\epsilon(\theta)}) \frac{d\theta}{2\pi}, \quad (179)$$

718 and the TBA equations for the excited states are

$$\epsilon(\theta) = e^\theta + \frac{\alpha C}{L^4} e^{5\theta} + \sum_{i=1}^N \log\left(\frac{S(\theta - \theta_i)}{S(\theta - \bar{\theta}_i)}\right) - \int_{-\infty}^{\infty} \varphi(\theta - \theta') \log(1 + e^{-\epsilon(\theta')}) \frac{d\theta'}{2\pi}, \quad (180)$$

$$E(L) = \frac{i}{L} \sum_{i=1}^N (e^{\bar{\theta}_i} - e^{\theta_i}) - \frac{1}{L} \int_{-\infty}^{\infty} e^\theta \log(1 + e^{-\epsilon(\theta)}) \frac{d\theta}{2\pi}. \quad (181)$$

719 and the poles  $\theta_i$  satisfy the constraints

$$2n_i \pi i = e^{\theta_i} + \frac{\alpha C}{L^4} e^{5\theta_i} - \log S(\theta_i - \bar{\theta}_i) + \sum_{\substack{j=1 \\ j \neq i}}^N \log\left(\frac{S(\theta_i - \theta_j)}{S(\theta_i - \bar{\theta}_j)}\right) - \int_{-\infty}^{\infty} \varphi(\theta_i - \theta') \log(1 + e^{-\epsilon(\theta')}) \frac{d\theta'}{2\pi}, \quad (182)$$

720 where  $n_i \in \mathbb{Z}$ .

721 If we assume that both the pseudo energy  $\epsilon(\theta)$  and the poles  $\theta_i$  have asymptotic expansions  
 722 as a power series in  $\alpha$  then we can reproduce the spectrum of the GGE on the right hand side  
 723 of (177). We verified this for the case with one pole but conjecture that all the other states  
 724 can also be obtained this way.

725 We then found another set of solutions to the TBA equations which had the leading be-  
 726 haviour  $\alpha^{-1/4}$  as  $\alpha \rightarrow 0^+$ . When exponentiated these energies have a vanishing asymptotic  
 727 expansion and were hence missed in the original asymptotic analysis. However we conjecture  
 728 that they should be included in the full expression for the transformed GGE and they are the  
 729 only additional terms that we have to add to the asymptotic results. Hence the full spectrum  
 730 of the transformed GGE is contained in the above TBA equations.

731 It is also worth noting that these TBA equations can be written as the same Y system that  
 732 one has for the ordinary Lee-Yang model. The original derivation of Y systems from TBA  
 733 equations was given in [28], and in [29] Castro-Alvaredo showed that the same Y system also  
 734 encodes the TBA equations for GGEs. For the case of our Lee-Yang TBA equations (178) and  
 735 (180), we define

$$Y(\theta) = e^{\epsilon(\theta)}. \quad (183)$$

736 Then  $Y(\theta)$  satisfies the Y system

$$Y\left(\theta - \frac{i\pi}{3}\right) Y\left(\theta + \frac{i\pi}{3}\right) = 1 + Y(\theta). \quad (184)$$

737 As was noted in [28] the functions  $Y(\theta)$  are periodic  $Y(\theta) = Y(\theta + \frac{5\pi i}{3})$ . Hence we can  
 738 further define

$$t(\lambda) = Y\left(\frac{5}{3} \log \lambda\right), \quad (185)$$

739 which satisfies the T system

$$t\left(e^{\frac{i\pi}{5}} \lambda\right) t\left(e^{-\frac{i\pi}{5}} \lambda\right) = 1 + t(\lambda). \quad (186)$$

740 This is the same T system first derived in [3]. However our function  $t(\lambda)$  also has a dependence  
 741 on  $\alpha$  and hence has different analytic properties to the one defined in [3]. In [3] the asymptotic  
 742 expansion of  $t(\lambda)$  as  $\lambda \rightarrow \infty$  gave the eigenvalues of the KdV charges in the theory. It would  
 743 be interesting to understand if the asymptotic expansion of our function  $t(\lambda)$  as  $\lambda \rightarrow \infty$   
 744 again contains the eigenvalues of higher spin conserved charges that are present in the theory  
 745 represented by our transformed GGE.

746 While we have provided evidence for our conjecture that the spectrum for the transformed  
 747 GGE is fully encoded in the TBA equations (178) and (180) we have not provided a rigorous  
 748 proof of this statement. In [17] it was proven that for free fermions the full spectrum of the  
 749 transformed GGE is encoded in the TBA equations for that model. However the proof required  
 750 having the explicit expressions for the GGEs and then using Poisson summation to perform the  
 751 modular transformation. Here we do not have an explicit expression for the original GGE and  
 752 hence cannot attempt to use the same methods.

753 In section 3 we saw that when we found an asymptotic expression for the transformed GGE  
 754 we had not only KdV charges appearing in the expression, but the zero modes of the other  
 755 quasi-primary fields were also present. These are also conserved charges and so physically  
 756 they should also be inserted into the GGE if we want to consider the most general GGEs used  
 757 to describe a physical system. It would be interesting to study these GGEs and their modular  
 758 properties. We can repeat the analysis of section 3 to find an asymptotic expression for the  
 759 transformed GGE in terms of a new GGE. However we do not have TBA equations that encode  
 760 the spectrum of these charges that are not KdV charges, hence we can't reproduce the analysis  
 761 of section 5 even though we would again expect there to be terms missing from the asymptotic  
 762 results. We leave the study of these more general GGEs to future work.

763 Naturally we would like to extend these results to other models where there are interesting  
 764 GGEs to study. We can naturally extend the results of this paper to the case of minimal models  
 765 where again the KdV charges are inserted into the characters to give us our GGEs.

766 An interesting point to mention is that in a generic 2d CFT, there exist further infinite  
 767 sets of commuting conserved charges that are independent to the KdV hierarchy. In particular  
 768 there exist hierarchies that are related to the ZMS-Bullough-Dodd model, see for example [30],  
 769 and can be constructed by considering certain integrable perturbations of CFTs [31] (in fact  
 770 there are two sets of Bullough-Dodd charges which depend on the choice of the integrable  
 771 perturbation). In the case of the Lee-Yang Model that we have analysed in this paper, the KdV  
 772 hierarchy and the Bullough-Dodd hierarchies exactly coincide. It is then natural to ask about  
 773 GGEs with Bullough-Dodd charges inserted in them in a more general setting.

774 There is also the  $BO_2$  hierarchy that exists for CFTs that have a  $U(1)$  current. GGEs with  
 775 these charges inserted have been studied in [32, 33]. Studying their modular properties is an  
 776 open question that would be interesting to explore.

777 Finally we mention GGEs arising from  $W$  algebras. The  $W_3$  algebra contains a weight 3  
 778 primary field with zero mode  $W_0$ . This zero mode commutes with the stress tensor zero mode  
 779  $L_0$ , hence we can construct a GGE

$$\text{Tr}(e^{\alpha W_0} q^{L_0 - \frac{c}{24}}). \quad (187)$$

780 The modular properties of this GGE is still an open question. The first few terms in the asymp-  
 781 totic expansion and their modular transforms were calculated in [23, 34]. The additional



782 charges and their thermal correlators have recently been calculated in [35, 36]. Putting these  
 783 results together could allow us to find an asymptotic expression for the modular transform of  
 784 (187) similar to our results in section 3. If TBA equations for the additional charges are known  
 785 then we may hope to repeat the arguments of section 5 to find the full modular transform of  
 786 (187).

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 798 book by Matthew Headrick, which can be found at <https://sites.google.com/view/matthew-headrick/mathematica>.  
 799

## 800 A Modular forms

801 In this appendix we will list the relevant facts about modular forms that appear in this paper.  
 802 Proofs of the following statements can be found in [37] and most of the notation will be the  
 803 same.

804 The modular group will be denoted by

$$\Gamma_1 = \mathrm{SL}(2, \mathbb{Z}) / \{\pm I\}, \quad (188)$$

805 Consider a matrix

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma_1. \quad (189)$$

806 If a holomorphic function  $f(\tau)$ , defined in the upper half plane, has the following transforma-  
 807 tion property

$$f\left(\frac{a\tau + b}{c\tau + d}\right) = (c\tau + d)^k f(\tau), \quad (190)$$

808 then we say that the function is a holomorphic modular form of weight  $k$  on  $\Gamma_1$ . We will denote  
 809 the space of modular forms of weight  $k$  on  $\Gamma_1$  by  $M_k(\Gamma_1)$ .

810 The group  $\Gamma_1$  is finitely generated by the matrices

$$\pm \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}, \quad \pm \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}, \quad (191)$$

811 hence we only need to check that a function transforms as a modular form under

$$T : \tau \mapsto \tau + 1, \quad S : \tau \mapsto \frac{-1}{\tau}, \quad (192)$$

812 to verify it is an element of  $M_k(\Gamma_1)$ .

813 An important fact about the space  $M_k(\Gamma_1)$  is that it is finite dimensional. The space  $M_{2k}(\Gamma_1)$   
814 is generated by the Eisenstein series, which we now define.

815 The Eisenstein series  $E_{2k}(\tau)$  are elements of  $M_{2k}(\Gamma_1)$  for  $k = 2, 3, \dots$  and they are defined  
816 by

$$E_{2k}(\tau) = 1 + \frac{2}{\zeta(1-2k)} \sum_{n=0}^{\infty} \frac{n^{2k-1} q^n}{1-q^n}, \quad q = e^{2\pi i \tau}. \quad (193)$$

817 For  $k = 1$  the Eisenstein series  $E_2(\tau)$  is quasi-modular which means that under a modular  
818 transform we have the transformation property

$$E_2\left(\frac{a\tau + b}{c\tau + d}\right) = (c\tau + d)^2 E_2(\tau) - \frac{6i}{\pi} c(c\tau + d). \quad (194)$$

819 We also encounter quasi-modular forms. For our purpose we will define the space of quasi-  
820 modular forms of weight  $k$  and depth  $p$ , denoted by  $\tilde{M}_k^{(\leq p)}(\Gamma_1)$ , to be

$$\tilde{M}_k^{(\leq p)}(\Gamma_1) = \bigoplus_{r=0}^p M_{k-2r}(\Gamma_1) \cdot E_2^r, \quad (195)$$

821 where the coefficient of  $E_2^p$  is non-zero.

822 Finally we define the Serre derivative. The Serre derivative acting on a modular form  $f(\tau)$   
823 of weight  $k$  is defined to be

$$D_k f(\tau) = \frac{1}{2\pi i} \frac{d}{d\tau} f(\tau) - \frac{k}{12} E_2(\tau) f(\tau). \quad (196)$$

824 By using the transformation of  $\frac{d}{d\tau}$  under a modular transform we can see that  $D_k f(\tau)$  is a  
825 modular form of weight  $k + 2$ .

## 826 B Construction of Charges

827 In this appendix we will explain how to construct the charges used throughout this paper.  
828 These charges are the zero modes of quasi-primary fields on the cylinder so we will begin by  
829 explaining how we use the algorithm of Gaberdiel in [38] to map fields from the cylinder to  
830 the plane. We will then apply this map to the case of the quasi-primary field at level 6 that  
831 is linearly independent from the quasi-primary field that gives the KdV charge  $I_5$ . Finally we  
832 will discuss the charges in the Lee-Yang minimal model and give explicit expressions for all the  
833 charges used in this work.

### 834 B.1 Mapping between the cylinder and plane

835 To start we will explain how to map a field from the cylinder to the plane. Suppose that we  
836 have a field  $\phi^{\text{pl}}(z)$  defined on the complex plane  $z \in \mathbb{C}$ . We will assume that this field is a level  
837  $N$  descendent of a primary fields of weight  $h$ , hence the field  $\phi^{\text{pl}}$  has weight  $h + N$ . We want  
838 an expression for this field on the cylinder of circumference  $R$  with coordinate  $w \sim w + iR$ . We  
839 will denote the field on the cylinder by  $\phi^{\text{cyl}}(w)$  and we will find an expression for it in terms  
840 of fields on the plane, i.e. an expression of the form

$$\phi^{\text{cyl}}(w) = \Phi(z), \quad (197)$$

841 where  $\Phi(z)$  is constructed out of fields defined on the plane. The conformal map that we use  
842 to map between the cylinder and the plane is

$$z = e^{\frac{2\pi}{R} w}. \quad (198)$$

843 In order to find the expression  $\phi^{\text{cyl}}(w) = \Phi(z)$  we first find the asymptotic state associated to  
 844  $\phi^{\text{pl}}(z)$

$$|\phi\rangle = \lim_{z \rightarrow 0} \phi^{\text{pl}}(z)|0\rangle. \quad (199)$$

845 We then find an intermediate state  $|\Phi\rangle$  by acting on  $|\phi\rangle$  with Virasoro modes  $L_n$

$$|\Phi\rangle = z^{L_0} \prod_{n=1}^{\infty} e^{R_n L_n} |\phi\rangle, \quad (200)$$

846 where the product is written in ascending order of  $n$

$$\prod_{n=1}^{\infty} e^{R_n L_n} = e^{R_1 L_1} \times e^{R_2 L_2} \times e^{R_3 L_3} \times \dots \quad (201)$$

847 The algorithm for computing the  $R_n$  is given in [38], we have listed the relevant ones for our  
 848 calculations in table 5. We note that for  $n$  odd and greater than 1  $R_n = 0$  so we have not listed  
 849 them in table 5. (For a general conformal map  $z = f(w)$  the  $R_n$  will be functions of  $w$  and  
 850  $z^{L_0}$  becomes  $f'(z)$ .) Although we have an infinite product and the exponentials also contain  
 851 infinite products these expressions can truncate to a finite one since any operator of the form  
 852  $L_{n_1} \dots L_{n_i}$  with  $n_1 + \dots + n_i > N$  will annihilate  $|\phi\rangle$ .

853 The intermediate state  $|\Phi\rangle$  will be of the form

$$|\Phi\rangle = \sum_{m=0}^N z^{m+h} |\Phi_m\rangle, \quad (202)$$

854 so we can then use the state operator correspondence to find the fields  $\Phi_m(z)$  corresponding  
 855 to  $|\Phi_m\rangle$ . We then define the field

$$\Phi(z) = \sum_{m=0}^N z^{m+h} \Phi_m(z). \quad (203)$$

856 This field gives the an expression for the field  $\phi^{\text{cyl}}(w)$  on the cylinder in terms of fields on the  
 857 plane

$$\phi^{\text{cyl}}(w) = \Phi(z). \quad (204)$$

858 Our charges are the zero modes of fields on the cylinder. If we have a field  $\phi^{\text{cyl}}(w)$  on the  
 859 cylinder of circumference  $R$ , we integrate it on a spatial slice to obtain the associated charge  
 860  $\phi_0(R)$ . We can then use our map (204) to express this as an integral on the plane

$$\phi_0(R) = \int_0^{iR} \frac{dw}{2\pi i} \phi^{\text{cyl}}(w) = \frac{R}{2\pi} \oint \frac{dz}{2\pi i z} \Phi(z). \quad (205)$$

## 861 B.2 Example of a Weight 6 Field

862 As an explicit example we will apply the algorithm of the previous section to the weight 6  
 863 quasi-primary field

$$\phi^{\text{pl}}(z) = (T'T')(z) - \frac{4}{5}(T''T)(z) - \frac{1}{42}T^{(4)}(z), \quad (206)$$

864 which is defined on the plane. This field is linearly independent to the quasi-primary field that  
 865 gives the KdV charge  $I_5$ .

$n$	$R_n(w)$
0	$w$
1	$\frac{1}{2}\left(\frac{2\pi}{R}\right)^1$
2	$-\frac{1}{12}\left(\frac{2\pi}{R}\right)^2$
4	$-\frac{1}{48}\left(\frac{2\pi}{R}\right)^4$
6	$\frac{1}{12096}\left(\frac{2\pi}{R}\right)^6$
8	$-\frac{1}{138240}\left(\frac{2\pi}{R}\right)^8$
10	$\frac{1}{2280960}\left(\frac{2\pi}{R}\right)^{10}$
12	$-\frac{389}{13586227200}\left(\frac{2\pi}{R}\right)^{12}$
14	$\frac{1}{464486400}\left(\frac{2\pi}{R}\right)^{14}$

Table 5: Table of some of the  $R_n$ 's necessary for the map of a field from the cylinder to plane

866 First we need its associated asymptotic state which is

$$|\phi\rangle = \left( L_{-3}^2 - \frac{8}{5}L_{-4}L_{-2} - \frac{4}{7}L_{-6} \right) |0\rangle. \quad (207)$$

867 Next, acting on the state to generate the intermediate state  $|\Phi\rangle$  as in (200) yields

$$\begin{aligned} \left(\frac{2\pi}{R}\right)^6 & \left( z^6 \left( L_{-3}^2 - \frac{8}{5}L_{-4}L_{-2} - \frac{4}{7}L_{-6} \right) |0\rangle + z^4 \left( \frac{4}{5}L_{-2}^2 + \frac{14c-95}{210}L_{-4} \right) |0\rangle \right. \\ & \left. + z^3 \frac{70c+29}{420}L_{-3}|0\rangle + z^2 \frac{280c-163}{2100}L_{-2}|0\rangle + \frac{31c}{16800}|0\rangle \right). \end{aligned} \quad (208)$$

868 Finding the state defined in (203) gives

$$\begin{aligned} \Phi(z) = \left(\frac{2\pi}{R}\right)^6 & \left( z^6 \left( (T'T')(z) - \frac{4}{5}(T''T)(z) - \frac{1}{42}T^{(4)}(z) \right) + z^4 \left( \frac{4}{5}(TT)(z) + \frac{14c-95}{420}T''(z) \right) \right. \\ & \left. + z^3 \frac{70c+29}{420}T'(z) + z^2 \frac{280c-163}{2100}T(z) + \frac{31c}{16800} \right). \end{aligned} \quad (209)$$

869 The brackets denote normal ordering as defined in [39]. This then gives use the field  $\phi^{\text{cyl}}(w)$   
870 on the cylinder

$$\begin{aligned} \phi^{\text{cyl}}(w) = \left(\frac{2\pi}{R}\right)^6 & \left( z^6 \left( (T'T')(z) - \frac{4}{5}(T''T)(z) - \frac{1}{42}T^{(4)}(z) \right) + z^4 \left( \frac{4}{5}(TT)(z) + \frac{14c-95}{420}T''(z) \right) \right. \\ & \left. + z^3 \frac{70c+29}{420}T'(z) + z^2 \frac{280c-163}{2100}T(z) + \frac{31c}{16800} \right). \end{aligned} \quad (210)$$

871 We can then integrate this as in (205) to obtain the conserved quantity

$$J_5(R) = \phi_0(R) = \left(\frac{2\pi}{R}\right)^5 \left( -\frac{18}{5} \sum_{k=1}^{\infty} k^2 L_{-k} L_k - \frac{3}{100} L_0 + \frac{31c}{16800} \right). \quad (211)$$

### 872 B.3 Charges in the Lee-Yang Model

873 In this section we will present the charges relevant to the Lee-Yang model. These will include  
 874 the KdV charges which have been calculated previously (see for example [3]). However we  
 875 will find new simpler expressions for them by using a bases of states in the Lee-Yang theory  
 876 which already has null states removed. We will also calculate the charges associated with the  
 877 other quasi-primary fields in the theory. While these don't commute with the KdV charges they  
 878 still appear when we take the modular transform of a GGE as see in section 4.

879 There is natural basis of states in the vacuum module which avoids the null vectors in the  
 880 Lee-Yang model [40], that is the vacuum module is given by

$$\mathcal{H}_0 = \text{span} \{ L_{-n_1} \dots L_{-n_m} |0\rangle \mid m \geq 0, n_m > 1, n_i > n_{i+1} + 1 \}. \quad (212)$$

881 We can then use this bases of states when calculating the quasi-primary fields. For example at  
 882 level 4 we have a single state

$$L_{-4}|0\rangle. \quad (213)$$

883 If we act on this state with  $L_1$  we obtain

$$L_1 L_{-4}|0\rangle = 5L_{-3}|0\rangle \neq 0. \quad (214)$$

884 Hence we have no quasi-primary states at level 4. This means we have no  $I_3$  KdV charge as  
 885 has previously been pointed out in [14].

886 We can also find the quasi-primary state at level 6. A generic state at level 6 is

$$(aL_{-6} + bL_{-4}L_{-2})|0\rangle, \quad (215)$$

887 for constants  $a$  and  $b$ . When we act with  $L_1$  we obtain

$$(7aL_{-5} + 5bL_{-3}L_{-2})|0\rangle \quad (216)$$

888 However the term  $L_{-3}L_{-2}$  is not in  $\mathcal{H}_0$ . We can exchange it for terms in  $\mathcal{H}_0$  by using the null  
 889 states in the Lee-Yang model. In the vacuum sector there is a null state at level 4 given by

$$\left( L_{-4} - \frac{5}{3}L_{-2}^2 \right) |0\rangle = 0. \quad (217)$$

890 Hence we can act on this with  $L_{-1}$  to obtain the relation

$$L_{-3}L_{-2}|0\rangle = \frac{2}{5}L_{-5}|0\rangle. \quad (218)$$

891 Using this in (216) we obtain

$$L_1(aL_{-6} + bL_{-4}L_{-2})|0\rangle = (7a + 2b)L_{-5}|0\rangle \in \mathcal{H}_0. \quad (219)$$

892 Hence we have the quasi-primary state at level 6

$$\left( L_{-6} - \frac{7}{2}L_{-4}L_{-2} \right) |0\rangle. \quad (220)$$

893 This is proportional to the state which gives the KdV charge  $I_5$ . However if we map this state  
 894 to the cylinder then we get an expression for the zero mode which only contains quadratic  
 895 and linear terms in the Virasoro modes rather than the usual expression for  $I_5$  which contains  
 896 terms that are cubic (see for example the expression in [3]). Hence using the representation  
 897 (212) for the vacuum module leads to simpler expressions for the charges.

898 Using the representation (212) for the vacuum model we have calculated the zero modes  
899 of all the quasi-primary fields with even weight up to weight 14

$$\begin{aligned}
I_1(R) &= \left(\frac{2\pi}{R}\right)^1 \left(L_0 + \frac{11}{60}\right), \\
I_3(R) &= 0, \\
I_5(R) &= \left(\frac{2\pi}{R}\right)^5 \left(\frac{1}{5} \sum_{k=1}^{\infty} (k^2 + 6)L_{-k}L_k + \frac{3}{5}L_0^2 + \frac{73}{600}L_0 + \frac{341}{756000}\right), \\
I_7(R) &= \left(\frac{2\pi}{R}\right)^7 \left(\frac{1}{28} \sum_{k=1}^{\infty} (13k^4 + 82k^2 - 546)L_{-k}L_k - \frac{39}{4}L_0^2 + \frac{90137}{35280}L_0 - \frac{5863}{8467200}\right), \\
J_9(R) &= \left(\frac{2\pi}{R}\right)^9 \left(-\frac{55}{27216} \sum_{k=1}^{\infty} (17k^6 + 30054)L_{-k}L_k - \frac{275495}{9072}L_0^2 - \frac{7934443}{1306368}L_0 - \frac{5797}{78382080}\right), \\
J_{13}(R) &= \left(\frac{2\pi}{R}\right)^{13} \left(-\frac{23}{8895744} \sum_{k=1}^{\infty} (19k^{10} + 51294138)L_{-k}L_k - \frac{196627529}{2965248}L_0^2 - \frac{3864911011991}{291424573440}L_0 - \frac{1494977}{1589588582400}\right), \\
I_{13}(R) &= \left(\frac{2\pi}{R}\right)^{13} \left(-\frac{91}{211612500000} \sum_{k=1}^{\infty} (1631557057290 - 18646489477k^2 - 14982597630k^4 - 275953986k^6 \right. \\
&\quad \left. - 4754750k^8 + 546098k^{10})L_{-k}L_k - \frac{637}{937500}\mathcal{L}(5, 3, 0) - \frac{45227}{3375000}\mathcal{L}(4, 2, 0) - \frac{637}{8437500}\mathcal{L}(6, 2, 0) - \frac{4949056407113L_0^2}{14107500000} \right. \\
&\quad \left. - \frac{187569810221381L_0}{3047220000000} - \frac{825517}{1749600000000}\right).
\end{aligned} \tag{221}$$

900 where the  $\mathcal{L}(l, n, m)$  are defined by

$$\begin{aligned}
\mathcal{L}(l, n, m) &= (T^{(l)}(T^{(n)}T^{(m)}))_0 = \oint \frac{dz}{2\pi i} z^{l+n+m+5} (T^{(l)}(T^{(n)}T^{(m)}))(z), \\
&= \sum_{\substack{i \leq -2 \\ j \leq -2}} (-1)^{l+n+m} \prod_{a=2}^{l+1} (i+a) \prod_{b=2}^{n+1} (j+b) \prod_{c=2}^{m+1} (c-i-j) L_i L_j L_{-i-j} \\
&\quad + \sum_{\substack{i \leq -2 \\ j \geq -1}} (-1)^{l+n+m} \prod_{a=2}^{l+1} (i+a) \prod_{b=2}^{n+1} (j+b) \prod_{c=2}^{m+1} (c-i-j) L_i L_{-i-j} L_j \\
&\quad + \sum_{\substack{i \geq -1 \\ j \leq -2}} (-1)^{l+n+m} \prod_{a=2}^{l+1} (i+a) \prod_{b=2}^{n+1} (j+b) \prod_{c=2}^{m+1} (c-i-j) L_i L_{-i-j} L_i \\
&\quad + \sum_{\substack{i \geq -1 \\ j \geq -1}} (-1)^{l+n+m} \prod_{a=2}^{l+1} (i+a) \prod_{b=2}^{n+1} (j+b) \prod_{c=2}^{m+1} (c-i-j) L_{-i-j} L_j L_i.
\end{aligned} \tag{222}$$

901 The  $I_{2n-1}$  are the KdV charges coming from a weight  $2n$  quasi-primary field. They can be  
902 uniquely fixed (up to a factor) by imposing that they all commute [3].  $J_9$  is the zero mode of  
903 the unique quasi-primary field at level 10, it does not commute with the KdV charges.  $J_{13}$  is  
904 the zero mode of the quasi-primary field at level 14 that is linearly independent to the field  
905 that gives the KdV charge  $I_{13}$ , it also doesn't commute with the KdV charges.

## 906 C Eigenvalues and Thermal Correlation Functions of Charges

907 In this appendix we explain how to compute the thermal correlation functions of the charges  
 908 using the techniques of [14]. These thermal correlation functions are given by modular dif-  
 909 ferential operators acting on the characters of the theory.

### 910 C.1 Eigenvalues

911 First we will give an expression for the correlators in terms of  $k^{\text{th}}$  power sums (225) of the  
 912 eigenvalues. We will explain how to calculate these power sums using the characteristic equa-  
 913 tion of a matrix which avoids us having to explicitly find the eigenvalues we are summing  
 914 over.

915 Consider an operator  $\mathcal{O}$  with scaling dimension  $h_i$ . We want to calculate the thermal  
 916 correlator

$$\langle \mathcal{O}^k \rangle_i(\tau), \quad (223)$$

917 where  $\langle \dots \rangle_i$  is defined in (26).

918 In order to do this we need to find the sums of powers of the eigenvalues at each level.  
 919 Consider restricting the operator to the level  $N$  subspace and let us denote the dimension of  
 920 this subspace  $n$ . We can obtain the eigenvalues of  $\mathcal{O}$  in this subspace and label them  $\lambda_i$  for  
 921  $i = 1, \dots, n$ . Then the thermal correlator is

$$\langle \mathcal{O}^k \rangle_i(\tau) = q^{h_i - \frac{c}{24}} \sum_{N=0}^{\infty} p_k(\lambda_1, \dots, \lambda_n) q^N, \quad q = e^{2\pi i \tau}, \quad (224)$$

922 where  $p_k(\lambda_1, \dots, \lambda_n)$  is the  $k^{\text{th}}$  power sum

$$p_k(\lambda_1, \dots, \lambda_n) = \sum_{i=1}^n \lambda_i^k. \quad (225)$$

923 In order to calculate the correlator we need to know  $p_k(\lambda_1, \dots, \lambda_n)$ . We could find the eigen-  
 924 values at each level and then sum their powers. However for level subspaces with  $n > 4$  we  
 925 won't, in general, be able to find eigenvalues since they will be roots of polynomials of order  
 926 greater than 4.

927 Instead we can calculate  $p_k(\lambda_1, \dots, \lambda_n)$  using Newton's identities. These identities relate  
 928 the coefficients in the characteristic equation of  $\mathcal{O}$  restricted to a level subspace, to the power  
 929 sum of the eigenvalues. It is much easier to compute the characteristic polynomial for a matrix  
 930 than finding its eigenvalues, especially when  $n > 4$ .

931 We start by defining the coefficients  $x_i$  in the characteristic polynomial

$$\det(\lambda I - \mathcal{O}) = \prod_{i=1}^n (\lambda - \lambda_i) = \sum_{i=0}^n x_{n-i}(\lambda_1, \dots, \lambda_n) \lambda^i. \quad (226)$$

932 We then define the elementary symmetric polynomials  $e(\lambda_1, \dots, \lambda_n)$

$$\begin{aligned} e_0(\lambda_1, \dots, \lambda_n) &= 1 \\ e_1(\lambda_1, \dots, \lambda_n) &= \lambda_1 + \dots + \lambda_n \\ e_2(\lambda_1, \dots, \lambda_n) &= \sum_{1 \leq i < j \leq n} \lambda_i \lambda_j \\ &\vdots \\ e_n(\lambda_1, \dots, \lambda_n) &= \lambda_1 \dots \lambda_n \\ e_k(\lambda_1, \dots, \lambda_n) &= 0, \quad k > n. \end{aligned} \quad (227)$$

933 We have the relation

$$x_i(\lambda_1, \dots, \lambda_n) = (-1)^i e_i(\lambda_1, \dots, \lambda_n). \quad (228)$$

934 Newton's identity then states the following relation between the  $x_i$  and the  $p_k$

$$p_k(\lambda_1, \dots, \lambda_n) = -k x_k(\lambda_1, \dots, \lambda_n) - \sum_{i=1}^{k-1} x_{k-i}(\lambda_1, \dots, \lambda_n) p_i(\lambda_1, \dots, \lambda_n), \quad n \geq k \geq 1, \quad (229)$$

$$p_k(\lambda_1, \dots, \lambda_n) = - \sum_{i=k-n}^{k-1} x_{k-i}(\lambda_1, \dots, \lambda_n) p_i(\lambda_1, \dots, \lambda_n), \quad k > n \geq 1.$$

935 Hence we can use the coefficients of the characteristic polynomial to compute the thermal  
936 correlator of  $\mathcal{O}^k$ .

937 As an example we have tabulated in Tables 6 and 7 the necessary sums of eigenvalues of  
938 the composite operator  $I_5 J_{13}$  which we have calculated using this method. This can then be  
939 used to calculate the thermal correlator (248). In general however, the tables become rather  
940 large and are not very illuminating to have in the document.

Level $m$	$\Lambda_m = \sum \lambda_i$
0	$-\frac{509787157}{1201728968294400000}$
2	$-\frac{2569135573534504727}{13219018651238400000}$
3	$-\frac{5073183677278566332927}{13219018651238400000}$
4	$-\frac{981246408964814147312327}{13219018651238400000}$
5	$-\frac{56558651194472972584841327}{13219018651238400000}$
6	$-\frac{109719634725387940354134161}{944215617945600000}$
7	$-\frac{12470098331132900857673903327}{6609509325619200000}$

Table 6: Sums of powers of eigenvalues  $I_5 J_{13}$  in  $h = 0$  representation.

Level $m$	$\Lambda_m = \sum \lambda_i$
0	$-\frac{1141081727}{13219018651238400000}$
1	$-\frac{18487172153927}{13219018651238400000}$
2	$-\frac{614331959543590361}{1888431235891200000}$
3	$-\frac{6214449825743713137527}{13219018651238400000}$
4	$-\frac{548022831223321427788127}{6609509325619200000}$
5	$-\frac{2351735393047156019125379}{508423794278400000}$

Table 7: Sums of powers of eigenvalues  $I_5 J_{13}$  in  $h = -\frac{1}{5}$  representation.

## 941 C.2 Thermal Correlation Functions

942 Using the method presented in the previous section to calculate sums of eigenvalues of oper-  
943 ators, we can find the expression of thermal correlation functions in terms of modular differ-  
944 ential operators acting on the characters of the CFT as was done in [14].

945 We will do this explicitly for the charge  $J_5 = J_5(2\pi)$  which we defined in section B.2. We  
946 can calculate it's thermal correlator in a generic rational CFT as follows. We first note that in



947 the vacuum sector  $h_0 = 0$  we have

$$\langle J_5 \rangle_0 = \frac{31c}{16800} + 0q + O(q^2), \quad (230)$$

948 and in the other representations  $h_i$  with  $i \neq 0$  we have

$$\langle J_5 \rangle_{i \neq 0} = \frac{31c - 504h}{16800} + \frac{31c - 121464h - 504}{16800}q + O(q^2), \quad (231)$$

949 From [14] we know that the thermal correlator must be a weight 6 modular differential oper-  
950 ator acting on the characters. We only have a linear  $L_0$  term in (211), hence we must have a  
951 first order differential operator. Using the definition of the Serre derivative  $D$  and Eisenstein  
952 series  $E_{2k}$  in appendix A the only first order weight 6 differential operator we can construct is

$$\langle J_5 \rangle_i = (a E_4 D + b E_6) \chi_i, \quad (232)$$

953 where  $a, b$  are constants. Then by performing a  $q$ -series expansion of the above differential  
954 operator and comparing with the leading order terms in (230) and (231), we deduce

$$\langle J_5 \rangle_i = \left( -\frac{3}{100} E_4 D + \frac{c}{1680} E_6 \right) \chi_i. \quad (233)$$

955 If we restrict to the Lee-Yang model we can find simpler expressions for the correlation func-  
956 tions compared to those given in [14] for generic CFTs. This is because the characters satisfy  
957 a second order differential equation which can be used to reduce the order of differential  
958 operator acting on the characters.

959 We will demonstrate this for the case of the correlator  $\langle I_5 \rangle$  in the Lee-Yang model. (For  
960 the rest of this section we will drop the  $i$  subscript since the specific representation won't be  
961 important.) From [14],  $\langle I_5 \rangle$  in the Lee-Yang model is

$$\langle I_5 \rangle = \left( D^3 - \frac{1}{720} E_4 D + \frac{11}{9450} E_6 \right) \chi. \quad (234)$$

962 However we also have the modular differential equation satisfied by the two characters of  
963 Lee-Yang [21]

$$\left( D^2 - \frac{11}{3600} E_4 \right) \chi = 0. \quad (235)$$

964 Acting on this with the Serre derivative  $D_4$  and using  $D_4 E_4 = -\frac{1}{3} E_6$  we find

$$\left( D^3 - \frac{11}{3600} E_4 D + \frac{11}{10800} E_6 \right) \chi = 0. \quad (236)$$

965 Using this to eliminate the  $D^3$  term in (234) gives use the first order differential operator

$$\left( \frac{1}{600} E_4 D + \frac{11}{75600} E_6 \right) \chi = 0. \quad (237)$$

966 Using the differential equation (235) means that all thermal correlators will be first order  
967 differential operators acting on the characters.

968 For example if we want the thermal correlator  $\langle I_5^2 \rangle$  in the Lee-Yang model we know it must  
969 be a weight 12, depth 1, first order modular differential operator acting on the characters so  
970 we have the ansatz

$$\langle I_5^2 \rangle = (a_1 E_4 E_6 D + a_2 E_4^3 + a_3 E_6^2) \chi + E_2 (a_4 D + a_5 E_4 E_6) \chi, \quad (238)$$

971 which has five constants  $a_i$  that can be fixed by finding the 2<sup>nd</sup> power sum of the eigenvalues  
972 as detailed in section C.1.

973 In the following subsections we will give all the thermal correlation functions, in the Lee-  
974 Yang model, that are relevant to this work. They were all computed using the techniques  
975 detailed in section C.1 this section.

## 976 C.2.1 One Point Functions

$$\langle I_5 \rangle = \left( \frac{1}{600} E_4 D + \frac{11}{75600} E_6 \right) \chi, \quad (239)$$

$$\langle J_9 \rangle = \left( -\frac{187}{1306368} E_4^2 D - \frac{187}{3919104} E_4 E_6 \right) \chi, \quad (240)$$

$$\langle I_{13} \rangle = \left( -\frac{19747}{5832000000} E_4^2 E_6 - \frac{5341}{1188000000} E_4^3 D - \frac{889}{320760000} E_6^2 D \right) \chi, \quad (241)$$

$$\langle J_{13} \rangle = \left( -\frac{437}{582266880} E_4^2 E_6 - \frac{3059}{4625786880} E_4^3 D - \frac{10925}{29142457344} E_6^2 D \right) \chi. \quad (242)$$

## 980 C.2.2 Two Point Functions

$$\begin{aligned} \langle I_5^2 \rangle = & \left( \frac{977}{226800000} E_4 E_6 D + \frac{3937}{432000000} E_4^3 + \frac{5669}{1143072000} E_6^2 \right) \chi \\ & + E_2 \left( -\frac{91}{2160000} E_4^2 D - \frac{91}{6480000} E_4 E_6 \right) \chi, \end{aligned} \quad (243)$$

$$\begin{aligned} \langle I_5 J_9 \rangle = & \left( -\frac{6827183}{296284262400} E_6^2 E_4 - \frac{16388119}{940584960000} E_4^4 - \frac{93101129}{1283898470400} E_6 E_4^2 D \right) \chi \\ & + E_2 \left( \frac{76109}{1881169920} E_6 E_4^2 + \frac{28985}{1069915392} E_6^2 D + \frac{8789}{194088960} E_4^3 D \right) \chi \end{aligned} \quad (244)$$

## 982 C.2.3 Three Point Functions

$$\begin{aligned} \langle I_5^3 \rangle = & \left( \frac{236364271}{86416243200000} E_6^3 + \frac{494225369}{32659200000000} E_4^3 E_6 + \frac{1157429}{864000000000} E_4^4 D + \frac{21351661}{1143072000000} E_4 E_6^2 D \right) \chi \\ & + E_2 \left( -\frac{7974967}{5184000000000} E_4^4 - \frac{474617}{23328000000} E_4 E_6^2 - \frac{497867}{7776000000} E_4^2 E_6 D \right) \chi \\ & + E_2^2 \left( \frac{37037}{2073600000} E_4^2 E_6 + \frac{2303}{115200000} E_4^3 D + \frac{31}{2592000} E_6^2 D \right) \chi \end{aligned} \quad (245)$$

## 983 C.2.4 Four Point Functions

$$\begin{aligned} \langle I_5 J_9 I_5 J_9 + 2I_5^2 J_9^2 \rangle = & \left( \frac{2179392274819219829707613}{39221702969366937600000000} E_4^8 + \frac{3261128141852799871003297969}{7516682487267296123289600000} E_4^5 E_6^2 \right. \\ & + \frac{44439554924896114133249}{289103172587203697049600} E_4^2 E_6^4 + \frac{17972586716703024676379357}{80306436829778804736000000} E_4 E_6 D \\ & + \frac{13447381664688693623851}{48183862097867282841600} E_4^3 E_6^3 D + \frac{365114027354495}{34835065137266688} E_6^5 D \left. \right) \chi \\ & + E_2 \left( -\frac{18459713714614824862569887}{21305789363002540032000000} E_6^6 E_6 - \frac{2145587212938780676961401}{2087967357574248923136000} E_4^3 E_6^3 \right. \\ & - \frac{7991249766071003993}{225861853583752888320} E_6^5 - \frac{36766183126802406909223}{182100763786346496000000} E_4^7 D \\ & - \frac{189497908537579626909319}{167037388605939913850880} E_4^4 E_6^2 D - \frac{13629984197781552899}{66922030691482337280} E_4 E_6^4 \left. \right) \chi \\ & + E_2^2 \left( \frac{11365591839138152004301}{42718374662661734400000} E_4^7 + \frac{113298927841216325585509}{79541613621876149452800} E_4^4 E_6^2 + \right. \\ & \frac{3741640654381407688889}{15659755181806866923520} E_4 E_6^4 + \frac{86626897675944766897}{96624895070306304000} E_4^5 E_6 D \\ & + \frac{419839214238450958633}{652489799241952788480} E_4^2 E_6^3 D \left. \right) \chi \\ & + E_2^3 \left( -\frac{420831892527650263}{1095342940068249600} E_4^5 E_6 - \frac{17371382461645795}{67089755079180288} E_4^2 E_6^3 \right. \\ & - \frac{655898828464786511}{6102624951808819200} E_4^6 D - \frac{71634855640572155}{186892889149145088} E_4^3 E_6^2 D \\ & \left. - \frac{25790460875702875}{1144718946038513664} E_4^4 D \right) \chi \end{aligned} \quad (246)$$

$$\begin{aligned}
 \langle I_5^3 I_{13} \rangle = & \left( -\frac{3662789242251036625469}{57442867200000000000000000} E_4^8 - \frac{829919046794601603749}{29838378240000000000000000} E_4^6 E_6 D - \frac{43752429748829672779547}{87887586816000000000000000} E_4^5 E_6^2 \right. \\
 & - \frac{2347943315988952972079}{67673441848320000000000000} E_4^3 E_6^3 D - \frac{10253251682424762132791}{58137638678784000000000000} E_4^2 E_6^4 - \frac{1755294025516129867}{134634531677184000000000} E_6^5 D \Big) \chi \\
 & + E_2 \left( \frac{449152119628129528793}{17903026944000000000000000} E_4^7 D + \frac{113604175400969277559}{8056362124800000000000000} E_6^2 E_4^4 D + \frac{9522101518506256241}{3759635658240000000000000} E_6^4 E_4 D \right. \\
 & + \frac{3640771544831927121763}{36619827840000000000000000} E_6 E_4^6 + \frac{1554345511673424702041}{13183138022400000000000000} E_6^3 E_4^3 + \frac{157315328878419838243}{38758425785856000000000000} E_6^5 \Big) \chi \\
 & + E_2^2 \left( -\frac{99734751071982908147}{89515134720000000000000000} E_6 E_4^5 D - \frac{38670103939681218767}{48338172748800000000000000} E_6^3 E_4^2 D - \frac{52306420490432254657}{17132083200000000000000000} E_4^7 \right. \\
 & - \frac{1436431475895774703849}{87887586816000000000000000} E_6^2 E_4^4 - \frac{7227523086579850439}{26366276044800000000000000} E_6^4 E_4 \Big) \chi \\
 & + E_2^3 \left( \frac{3774988666431944689}{28267937280000000000000000} E_6^6 D + \frac{2992912386847019}{62817638400000000000000000} E_6^2 E_4^3 D + \frac{233020899293}{8314099200000000000000000} E_6^4 D \right. \\
 & \left. + \frac{377646503248171703}{85660416000000000000000000} E_6 E_4^5 + \frac{1017904924658167}{34264166400000000000000000} E_6^3 E_4^2 \right) \chi
 \end{aligned} \tag{247}$$

$$\begin{aligned}
 \langle I_5^3 J_{13} \rangle = & \left( -\frac{17873436145260800359}{339554462607360000000000000000} E_6 E_4^6 D - \frac{490391522408009449802489}{74751964190515003392000000000000} E_6^3 E_4^3 D - \frac{92716955395499288947}{3767498995201956170956800} E_6^5 D \right. \\
 & - \frac{612351928167536196113}{431641425346560000000000000000} E_4^8 - \frac{716957562395761874841373}{64720315316463206400000000000000} E_6^2 E_4^5 - \frac{3361152009340424011942883}{8562497716368082206720000000000000} E_4^6 E_4^2 \Big) \chi \\
 & + E_2 \left( \frac{4915080143718468157}{1034832647946240000000000000000} E_4^7 D + \frac{2260654561876999456183}{84752793866797056000000000000000} E_6^2 E_4^4 D + \frac{79483870998598392829}{1661154759789222297600000000000000} E_6^4 E_4 D \right. \\
 & + \frac{101454123296320814531}{458619014430720000000000000000} E_6 E_4^6 + \frac{12737016679505510738243}{48540236487347404800000000000000} E_6^3 E_4^3 + \frac{39680387596385790613}{4391024469932349849600000000000000} E_6^5 \Big) \chi \\
 & + E_2^2 \left( -\frac{1329564636918812861}{630601144842240000000000000000} E_6 E_4^5 D - \frac{1398099098325038689}{92457593309233152000000000000000} E_6^3 E_4^2 D - \frac{45313164080668629943}{667082202808320000000000000000} E_4^7 \right. \\
 & - \frac{480391290954347056469}{132082276156047360000000000000000} E_6^2 E_4^4 - \frac{96714650888817229}{158498731387256832000000000000000} E_6^4 E_4 \Big) \chi \\
 & + E_2^3 \left( \frac{309189907965822079}{12229840384819200000000000000000} E_4^6 D + \frac{145460634198308051}{161433893079613440000000000000000} E_6^2 E_4^3 D + \frac{159846756287}{30214899774259200000000000000000} E_6^4 D \right. \\
 & \left. + \frac{12584290522849297}{1282850390016000000000000000000000} E_6 E_4^5 + \frac{1492883098488757}{2257816686428160000000000000000000} E_6^3 E_4^2 \right) \chi
 \end{aligned} \tag{248}$$

984 C.2.5 Six Point Functions

$$\begin{aligned}
 \langle I_5^6 \rangle = & \left( \frac{4933344922206498844523471}{56435097600000000000000000000000} E_4^9 + \frac{77877392361154733121560441}{82959593472000000000000000000000} E_4^6 E_6^2 \right. \\
 & + \frac{162476124463643206566112771}{2822285369917440000000000000000000} E_4^3 E_6^4 + \frac{51469469692192836453088181}{373388354440077312000000000000000000} E_6^6 \\
 & + \frac{135302164964875254677089}{3292047360000000000000000000000000} E_4^7 E_6 D + \frac{1008801061084124465663}{1269789696000000000000000000000000} E_4^4 E_6^3 D \\
 & \left. + \frac{438784179523246262258293}{49389993973555200000000000000000} E_4 E_6^5 D \right) \chi \\
 & + E_2 \left( -\frac{1346076414093419404192429}{5079158784000000000000000000000000} E_4^7 E_6 - \frac{5499851662401108509876537}{1119954511872000000000000000000000} E_4^4 E_6^3 \right. \\
 & - \frac{104252410025005355760517}{20159181213696000000000000000000000} E_4 E_6^5 - \frac{476358679312745389693}{8957952000000000000000000000000000} E_4^8 D \\
 & - \frac{25610275729568078785301}{5925685248000000000000000000000000} E_4^5 E_6^2 D - \frac{108690825605598138285517}{6719727071232000000000000000000000} E_4^2 E_6^4 D \Big) \chi \\
 & + E_2^2 \left( \frac{277870344086154864737}{19906560000000000000000000000000000} E_4^8 + \frac{66428206941466921745437}{6094990540800000000000000000000000} E_4^5 E_6^2 \right. \\
 & + \frac{691953340111094055949}{17919272189952000000000000000000000} E_4^2 E_6^4 + \frac{682300288060138541261}{12093235200000000000000000000000000} E_4^6 E_6 D \\
 & + \frac{12506995040326554259}{177770557440000000000000000000000000} E_4^3 E_6^3 D + \frac{59178874407903767}{22399090237440000000000000000000000} E_6^5 D \Big) \chi \\
 & + E_2^3 \left( -\frac{2632129661468288897993}{3627970560000000000000000000000000000} E_6^6 E_6 - \frac{13111503925652433941}{152374763520000000000000000000000000} E_4^3 E_6^3 \right. \\
 & - \frac{3318117971641012397}{111995451187200000000000000000000000} E_6^5 - \frac{68392401230782519891}{403107840000000000000000000000000000} E_4^7 D \\
 & - \frac{13834166805095898541}{1451188224000000000000000000000000000000} E_4^4 E_6^2 D - \frac{25352801672559097}{1481421312000000000000000000000000000000} E_4 E_6^4 D \Big) \chi \\
 & + E_2^4 \left( \frac{43107759312689342117}{3869835264000000000000000000000000000000} E_4^7 + \frac{34617980883231658451}{5804752896000000000000000000000000000000} E_4^4 E_6^2 \right. \\
 & + \frac{120977896233403}{1209323520000000000000000000000000000000} E_4 E_6^4 + \frac{22495159155372463}{59719680000000000000000000000000000000000} E_4^5 E_6 D + \frac{653851361661301}{2418647040000000000000000000000000000000} E_4^2 E_6^3 D \Big) \chi \\
 & + E_2^5 \left( -\frac{19212872091349937}{199065600000000000000000000000000000000000} E_4^5 E_6 - \frac{58267207067069}{89579520000000000000000000000000000000000} E_4^2 E_6^3 \right. \\
 & - \frac{436874136548774617}{1612431360000000000000000000000000000000000} E_4^6 D - \frac{194747805703493}{201553920000000000000000000000000000000000} E_4^3 E_6^2 D - \frac{114470161877}{201553920000000000000000000000000000000000} E_4^4 D \Big) \chi
 \end{aligned} \tag{249}$$

### 985 C.3 Modular transform of correlators

986 What's actually important here is the modular transformation of the  $\langle I_5^n \rangle$  thermal correlators.  
 987 When performing the modular transformation of these quasi-modular forms, we pick up addi-  
 988 tional pieces which we can then rewrite in terms of other thermal correlators. For example, the  
 989 following transformation was derived by finding  $\langle I_5^3 \rangle$  as a modular differential operator acting  
 990 on the characters of the theory, (245), then taking the  $S : \tau \mapsto -\frac{1}{\tau}$  transformation and noticing  
 991 that the result can be written in terms of the other thermal correlation functions (241), (242),  
 992 (244)

$$\langle I_5^3 \rangle \left( -\frac{1}{\tau} \right) = \tau^{18} \langle I_5^3 \rangle (\tau) - \frac{6i}{\pi} \tau^{17} \left( \frac{103194}{116875} \langle I_5 J_9 \rangle (\tau) \right) - \frac{36}{\pi^2} \tau^{16} \left( -\frac{45}{16} \langle I_{13} \rangle (\tau) - \frac{31941}{2875} \langle J_{13} \rangle (\tau) \right). \quad (250)$$

993 This is crucial for the re-exponentiation of the GGE after we take the modular  $S$  transformation  
 994 of it.

## 995 D Numerical algorithm for TBA

996 In this appendix we will briefly outline our approach to solving the TBA equations numerically.  
 997 We do this by discretising the integrals into finite sums and then setting up iteration schemes.  
 998 Our iteration scheme for finding the pseudo energy  $\epsilon(\theta)$  is the same as the one used in equa-  
 999 tions (2.2) of [25] with  $a = 1$ . We will also explicitly give the iteration scheme we used to  
 1000 solve for the poles  $\eta$  in the excited states.

### 1001 D.1 Ground State

1002 Let us start with the ground state TBA equations (95) and (96). In order to solve the TBA  
 1003 equations we expanded  $\epsilon(\theta)$  as an asymptotic series in  $\alpha$  (101) and then solved the TBA  
 1004 equation (95) order by order in  $\alpha$ . The first equation to solve is the non linear integral equation  
 1005 for  $\epsilon_0(\theta)$

$$\epsilon_0(\theta) = e^\theta - \int_{-\infty}^{\infty} \varphi(\theta - \theta') \log \left( 1 + e^{-\epsilon_0(\theta')} \right) \frac{d\theta'}{2\pi}. \quad (251)$$

1006 We start by taking the finite set of real points  $\{-aN, -(N-1)a, \dots, aN\}$  where  $N \in \mathbb{N}$  and  
 1007  $a > 0$ . For our numerics we set  $N = 300$  and  $a = 0.1$ . We then discretise (251) so it becomes

$$\epsilon_0(ia) = e^{ia} - \frac{a}{2\pi} \sum_{j=-N}^N \varphi((i-j)a) \log \left( 1 + e^{-\epsilon_0(ja)} \right), \quad i = -N, \dots, N. \quad (252)$$

1008 This discrete equation can then be solved iteratively. We take the seed solution

$$\epsilon_0^{(0)}(ia) = e^{ia}, \quad (253)$$

1009 and then define  $\epsilon_0^{(k+1)}(ia)$ , for  $k \geq 0$ , by the recursion relation

$$\epsilon_0^{(k+1)}(ia) = e^{ia} - \frac{a}{2\pi} \sum_{j=-N}^N \varphi((i-j)a) \log \left( 1 + e^{-\epsilon_0^{(k)}(ja)} \right), \quad (254)$$

1010 We then evaluate a discrete version of the integral (110) giving the vacuum eigenvalue of  $I_1$   
 1011 using the solution  $\epsilon_0^{(k)}$

$$L \mathcal{I}_1^{\text{vac},(k)}(L) = -\frac{a}{2\pi} \sum_{i=-N}^N e^{ia} L(\epsilon_0^{(k)}(ia)). \quad (255)$$

1012 We terminate the algorithm when

$$\left| \frac{L\mathcal{I}_1^{\text{vac},(k+1)}(L) - L\mathcal{I}_1^{\text{vac},(k)}(L)}{L\mathcal{I}_1^{\text{vac},(k)}(L)} \right| < \delta, \quad (256)$$

1013 for some chosen  $\delta$ . We set  $\delta = 10^{-16}$  in our numerics and it typically took about 30 iterations  
1014 before the iteration scheme terminated.

1015 We now want to solve for the  $\epsilon_n(\theta)$ ,  $n \geq 1$ , in the expansion (101). As remarked in (108)  
1016 these all satisfy linear integral equations of the form

$$\epsilon_n(\theta) = f_n(\theta) - \int_{-\infty}^{\infty} \varphi(\theta - \theta') \epsilon_n(\theta') L'(\epsilon_0(\theta')) \frac{d\theta'}{2\pi}, \quad (257)$$

1017 where the  $f_n(\theta)$  is a known function of  $\epsilon_0, \dots, \epsilon_{n-1}$ . We again discretise the integral and set up  
1018 the iteration scheme for  $k \geq 0$

$$\epsilon_n^{(k+1)}(ia) = f_n(ia) - \frac{a}{2\pi} \sum_{j=-N}^N \varphi((i-j)a) \epsilon_n^{(k)}(ja) L'(\epsilon_0(ja)), \quad (258)$$

1019 with seed solution

$$\epsilon_n^{(0)}(ia) = f_n(ia). \quad (259)$$

1020 We can again plug the solutions into a discrete version of the integral at  $O(\alpha^n)$  in (109) so  
1021 terminate the algorithm and find the desired energies.

## 1022 D.2 One Particle Excited State

1023 We will now outline the numerical algorithm used to determine the excited states. We now  
1024 have two equations to solve in tandem, one coming from the TBA equation (115) and the  
1025 other coming from the constraint (117). We will start with the equations for  $\epsilon_0$  and  $\eta_0$ , (122)  
1026 and (127). The iteration scheme coming from (122) is

$$\epsilon_0^{(k+1)}(ia) = e^{ia} + \log \left( \frac{S(ia - \eta_0^{(k)})}{S(ia - \bar{\eta}_0^{(k)})} \right) - \frac{a}{2\pi} \sum_{j=-N}^N \varphi((i-j)a) L(\epsilon_0^{(k)}(ja)), \quad (260)$$

1027 for  $k \geq 0$  with the seed solution

$$\epsilon_0^{(0)}(ia) = e^{ia} + \log \left( \frac{S(ia - \eta_0^{(0)})}{S(ia - \bar{\eta}_0^{(0)})} \right). \quad (261)$$

1028 In order to set up the iteration scheme for  $\eta_0$  we first have to rearrange the constraint equation

$$2n\pi i = e^{\eta_0} - \log S(2i\text{Im}(\eta_0)) - \int_{-\infty}^{\infty} \varphi(\eta_0 - \theta') L(\epsilon_0(\theta')) \frac{d\theta'}{2\pi}. \quad (262)$$

1029 We first rearrange it to put the  $i\text{Im}(\eta_0)$  in  $\log S(2i\text{Im}(\eta_0))$  on the left hand side

$$i\text{Im}(\eta_0) = \frac{1}{2} S^{-1} \left( \exp \left( e^{\eta_0} - \int_{-\infty}^{\infty} \varphi(\eta_0 - \theta') L(\epsilon_0(\theta')) \frac{d\theta'}{2\pi} \right) \right). \quad (263)$$

1030  $S^{-1}$  is the inverse of the S matrix which is given by

$$S^{-1}(\theta) = i \arcsin \left( \frac{\theta + 1}{\theta - 1} \sin \left( \frac{\pi}{3} \right) \right). \quad (264)$$

1031 The branch cut of arcsin is fixed by demanding that  $\text{Im}(\eta_0) \in [0, 2\pi)$ .

1032 We can also extract the real part of  $\eta_0$  by taking the imaginary part of (262). Taking the  
1033 imaginary part gives

$$2n\pi = e^{\text{Re}(\eta_0)} \sin(\text{Im}(\eta_0)) - \pi s - \text{Im} \left( \int_{-\infty}^{\infty} \varphi(\eta_0 - \theta') L(\epsilon_0(\theta')) \frac{d\theta'}{2\pi} \right), \quad (265)$$

1034 where  $s = 0$  if  $S(2i\text{Im}(\eta_0)) > 0$  and  $s = 1$  if  $S(2i\text{Im}(\eta_0)) < 0$ . This can be rearranged to give

$$\text{Re}(\eta_0) = \log \left( \frac{(2n + s)\pi + \text{Im} \left( \int_{-\infty}^{\infty} \varphi(\eta_0 - \theta') L(\epsilon_0(\theta')) \frac{d\theta'}{2\pi} \right)}{\sin(\text{Im}(\eta_0))} \right). \quad (266)$$

1035 Adding together (263) and (266) gives us the new constraint equation

$$\begin{aligned} \eta_0 = \log & \left( \frac{(2n + s)\pi + \text{Im} \left( \int_{-\infty}^{\infty} \varphi(\eta_0 - \theta') L(\epsilon_0(\theta')) \frac{d\theta'}{2\pi} \right)}{\sin(\text{Im}(\eta_0))} \right) \\ & + \frac{1}{2} S^{-1} \left( \exp \left( e_0^\eta - \int_{-\infty}^{\infty} \varphi(\eta_0 - \theta') L(\epsilon_0(\theta')) \frac{d\theta'}{2\pi} \right) \right). \end{aligned} \quad (267)$$

1036 Through numerical experimentation we found that this appears to be best form of the con-  
1037 straint equation to turn into an iteration scheme. Our discrete iteration scheme is then

$$\begin{aligned} \eta_0^{(k+1)} = \log & \left( \frac{(2n + s)\pi + \text{Im} \left( \frac{a}{2\pi} \sum_{i=-N}^N \varphi(\eta_0^{(k)} - ia) L(\epsilon_0^{(k)}(ia)) \right)}{\sin(\text{Im}(\eta_0^{(k)}))} \right) \\ & + \frac{1}{2} S^{-1} \left( \exp \left( e^{\eta_0^{(k)}} - \frac{a}{2\pi} \sum_{i=-N}^N \varphi(\eta_0^{(k)} - ia) L(\epsilon_0^{(k)}(ia)) \right) \right). \end{aligned} \quad (268)$$

1038 We set the initial value of  $\eta_0^{(0)} = 2.2 + 0.5i$  and found that our scheme converges to the correct  
1039 solutions in about 30 iterations. We then solve (260) and (268) in tandem. The solutions can  
1040 then be plugged into a discretisation of the  $O(\alpha^0)$  integral in (131) to determine the excited  
1041 states.

1042 In order to solve for  $\epsilon_n$  and  $\eta_n$  for  $n \geq 1$  we have the TBA equation (126)

$$\epsilon_n(\theta) = g_n(\theta) + 2\text{Im}(\eta_n \varphi(\theta - \eta_0)) - \int_{-\infty}^{\infty} \varphi(\theta - \theta') \epsilon_n(\theta') L'(\epsilon_0(\theta')) \frac{d\theta'}{2\pi}, \quad (269)$$

1043 and the constraint (130)

$$0 = h_n + \eta_n e^{\eta_0} + 2\text{Im}(\eta_n) \varphi(2i\text{Im}(\eta_0)) - \int_{-\infty}^{\infty} (\eta_n \varphi'(\eta_0 - \theta) L(\epsilon_0(\theta)) + \epsilon_n(\theta) \varphi(\eta_0 - \theta) L'(\epsilon_0(\theta))) \frac{d\theta}{2\pi}, \quad (270)$$

1044 where  $g_n(\theta)$  and  $h_n$  depend on  $\epsilon_i$  and  $\eta_i$  for  $i = 1, \dots, n-1$  which have previously been  
1045 determined. As we did above we will rearrange the constraint equation before setting up an  
1046 iterative scheme. The imaginary part of  $\eta_n$  can be solved for to give

$$\text{Im}(\eta_n) = \frac{1}{2\varphi(2i\text{Im}(\eta_0))} \left( \int_{-\infty}^{\infty} (\eta_n \varphi'(\eta_0 - \theta) L(\epsilon_0(\theta)) + \epsilon_n(\theta) \varphi(\eta_0 - \theta) L'(\epsilon_0(\theta))) \frac{d\theta}{2\pi} - h_n - \eta_n e^{\eta_0} \right). \quad (271)$$

1047 To get the real part of  $\eta_n$  we take the imaginary part of (270) and rearrange

$$\operatorname{Re}(\eta_n) = \frac{\operatorname{Im}\left(\int_{-\infty}^{\infty} (\eta_n \varphi'(\eta_0 - \theta) L(\epsilon_0(\theta)) + \epsilon_n(\theta) \varphi(\eta_0 - \theta) L'(\epsilon_0(\theta))) \frac{d\theta}{2\pi} - h_n\right) - \operatorname{Im}(\eta_n) \operatorname{Re}(e^{\eta_0})}{\operatorname{Im}(e^{\eta_0})}. \quad (272)$$

1048 Taking the sum of these two expressions we find a new form of the constraint

$$\eta_n = \frac{\operatorname{Im}\left(\int_{-\infty}^{\infty} (\eta_n \varphi'(\eta_0 - \theta) L(\epsilon_0(\theta)) + \epsilon_n(\theta) \varphi(\eta_0 - \theta) L'(\epsilon_0(\theta))) \frac{d\theta}{2\pi} - h_n\right) - \operatorname{Im}(\eta_n) \operatorname{Re}(e^{\eta_0})}{\operatorname{Im}(e^{\eta_0})} + \frac{i}{2\varphi(2i\operatorname{Im}(\eta_0))} \left( \int_{-\infty}^{\infty} (\eta_n \varphi'(\eta_0 - \theta) L(\epsilon_0(\theta)) + \epsilon_n(\theta) \varphi(\eta_0 - \theta) L'(\epsilon_0(\theta))) \frac{d\theta}{2\pi} - h_n - \eta_n e^{\eta_0} \right). \quad (273)$$

1049 We take a discrete version of this constraint and a discrete version of (269) to obtain the two  
1050 recursive equations

$$\epsilon_n^{(k+1)}(ia) = g_n(ia) + 2\operatorname{Im}(\eta_n^{(k)} \varphi(ia - \eta_0)) - \frac{a}{2\pi} \sum_{j=-N}^N \varphi((i-j)a) \epsilon_n^{(k)}(ja) L'(\epsilon_0(ja)), \quad (274)$$

1051 with

$$\epsilon_n^{(0)}(ia) = g_n(ia) + 2\operatorname{Im}(\eta_n^{(0)} \varphi(ia - \eta_0)), \quad (275)$$

1052 and

$$\eta_n^{(k+1)} = \frac{\operatorname{Im}\left(\frac{a}{2\pi} \sum_{i=-N}^N (\eta_n^{(k)} \varphi'(\eta_0 - ia) L(\epsilon_0(ia)) + \epsilon_n^{(k)}(ia) \varphi(\eta_0 - ia) L'(\epsilon_0(ia))) - h_n\right) - \operatorname{Im}(\eta_n^{(k)}) \operatorname{Re}(e^{\eta_0})}{\operatorname{Im}(e^{\eta_0})} + \frac{i}{2\varphi(2i\operatorname{Im}(\eta_0))} \left( \frac{a}{2\pi} \sum_{i=-N}^N (\eta_n^{(k)} \varphi'(\eta_0 - ia) L(\epsilon_0(ia)) + \epsilon_n^{(k)}(ia) \varphi(\eta_0 - ia) L'(\epsilon_0(ia))) - h_n - \eta_n^{(k)} e^{\eta_0} \right). \quad (276)$$

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