

Article Report: [scipost-2112.09117](#) (v1)

Date: 15/05/2022
Title: Machine Learning Kreuzer–Skarke Calabi–Yau Three-folds
Author(s): Per Berglund, Ben Campbell, Vishnu Jejjala
Arxiv: [2112.09117](#)

Summary This paper computes Hodge numbers and linear combinations thereof for Kreuzer-Skarke Calabi-Yau 3-folds using neural networks. This is an interesting prospect as it extends the range of applications of machine learning for computing properties of Calabi-Yau manifolds and string compactifications. In particular, the input data does not contain all the information defining the geometry. This submission does not meet the criteria of Physics, but does meet those of Physics Core, where it could be published. Before, several points need to be clarified, especially, the fact that neural networks do not seem to be needed to reach the conclusions of the paper.

Strengths

1. New application of machine learning techniques to Calabi-Yau manifolds.
2. Excellent introduction.

Limitations

1. Weak motivation for using neural networks compared to linear regression.
2. A better comparison with previous analytic formulas should be provided.
3. The authors do not provide the code.

Review

1. p. 5, §2: What dictated the choice of architecture? There seems to be some margin of improvement for some results, so why not consider more complicated architectures (convolutions, dropout, early stopping...)?
2. p. 5, §2: The structure of the predictions should be made clearer. It is said that the activation functions are ReLU, but the loss function is the logit cross-entropy. Does it mean that the last activation function is in fact a sigmoid/softmax? Is there a single network predicting all quantities at the same time (multi-tasking) – which seems to be the case from sec. 1, §1 –, or four independent networks? In both cases, if classification is used, how is the outputs represented (categorical or one-hot)?

Note that using a classification task means that one assumes knowledge of the boundary, so this assumption must be clearly stated.

3. p. 5, last §: The usual ML methodology requires setting aside a test set and performing training and hyperparameter tuning using only a training set (split as training and validation, or using cross-validation as in the paper), evaluating the performance on the test set at the end. Since the authors have used the same set for all steps, there is a risk of overfitting. Hence, the authors should perform again the analysis with a proper training/test split + k -fold cross-validation on the training set only (statistics on the predictions for the test set can still be obtained using the $k = 100$ cross-validated models).

Moreover, I don't understand why the authors are considering only a subset of 10^6 polytopes instead of using all the remaining polytopes as a test set.

(This would still allow studying general random data and data only on the boundary: in the second case, first exclude the data in the center, then do the same thing as above.)

4. p. 7, §2: I am surprised that the accuracy for all quantities but χ are so low (32% to 46%). The small error seems to indicate that many predictions are off by only a little, but could better architectures (see above) improve these results?.
5. p. 7, last §: I don't understand why the accuracy are higher in this paragraph compared to the previous paragraph? The change seems higher than the previously quoted deviation of the accuracy. Moreover, you could get the confusion matrix directly from the network trained in the previous paragraph. Is it because you trained a new single-tasking network to predict only χ ?
6. p. 9, §1: I don't think that 46% accuracy is a sufficiently high for "[suggesting] the existence of approximate analytic formulae", especially in the presence of possible overfitting (see previously).

I am also intrigued by the fact that the linear formulas in (4.2) and (4.3) are as precise as the results from the neural network (both accuracy and absolute errors are almost equal). Do they work both for the same polytopes or on different ones? In the first case, what is the use of neural networks if linear regression performs as good? It would have been more traditional from an ML perspective to first do a linear regression, and then try to beat it with neural networks.

Could you also comment by comparing with known analytic formulas, for example corollary 4.5.1 in [7]?

7. p. 9, §2: The same comment holds for (4.5): why bothers using neural networks if linear regression works better? There can be a case for $h^{1,1} + h^{2,1}$ since linear regression is significantly less performant in that case, but then using a neural network for only that quantity could give better performance than the ones obtained previously.
8. p. 11: Formula (5.9) was given in Theorem 4.5.3 from [7], and it seems that (5.12) follows quite directly from the identities (5.7) and (5.8) from [48], and (5.11) from [54]. This looks like a rewriting of known formulas in a slightly different form, and it does not seem that ML did really help. Finally, from the previous point, it is not clear what's the role of using neural networks if linear regression is sufficient.