1	Measurement of the transverse polarization of electrons emitted
2	in neutron decay – nTRV experiment
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10 Abstract

The two components of the transverse polarization of electrons ($\sigma_{T_1}, \sigma_{T_2}$) emitted in the 11 eta-decay of polarized, free neutrons have been measured. The T-odd, P-odd correlation 12 coefficient, R, quantifying σ_{T_2} , perpendicular to the neutron polarization and electron 13 momentum, was found to be $0.004\pm0.012\pm0.005$. This value is consistent with time-14 reversal invariance, and significantly improves limits on the relative strength of imag-15 inary scalar couplings in the weak interaction. The value obtained for the correlation 16 coefficient N, 0.067±0.011±0.004, associated with σ_{T_1} , agrees with the Standard Model 17 expectation, providing an important sensitivity test of the experimental setup. 18

19 15.1 Introduction

Nuclear and neutron beta decay have played a central role in the development of the weak in-20 teraction theory. Among the empirical foundations of the electroweak Standard Model (SM), 21 the assumptions of maximal parity violation, the vector and axial-vector character, and mass-22 less neutrinos are directly linked to nuclear and neutron beta decay experiments. Beta decay 23 theory was firmly established about four decades ago and became a part of the SM. It describes 24 the semi-leptonic and strangeness-conserving processes in the 1-st particle generation medi-25 ated by charged W-boson exchange. More recently, the neutrino masses have been shown to 26 be finite - beta decay experiments with increasing precision still confirm the first two assump-27 tions. Despite the great success of the SM, many open questions remain such as the origin 28 of parity violation, the hierarchy of fermion masses, the number of particle generations, the 29 mechanism of CP violation, and the unexplained large number of parameters of the theory. 30 A discovery of new CP- or T-violating phenomena, especially in systems built of light quarks, 31 with vanishingly small contributions of CKM matrix induced mechanism, different from those 32 reported for heavier systems in [1, 2], would be a major breakthrough. Nuclear beta decay 33 experiments study the light-quark systems and free neutron decay plays a particularly impor-34 tant role. It is free of complications connected with nuclear and atomic structure due to its 35 simplicity. In addition, final state interaction effects, which can mimic T violation, are minimal 36 and can be calculated with a relative precision better than 1% [3-5]. 37 The nTRV project at PSI, was the first experimental search for the real and imaginary parts 38 of the scalar and tensor couplings using the measurement of the transverse polarization of 39

electrons emitted in the free neutron decay. There are very few measurements of this observable in general [6,7], and only two in nuclear beta decays. One of them, for the ⁸Li system [8],

⁴² provides the most stringent limit on the tensor coupling constants of the weak interaction.

According to [9], the decay rate distribution from polarized neutrons as a function of electron energy (*E*) and momentum (**p**) is proportional to:

$$\omega(\mathbf{J}, \hat{\boldsymbol{\sigma}}, E, \mathbf{p}) \propto 1 + \frac{\langle \mathbf{J} \rangle}{J} \cdot \left(A \frac{\mathbf{p}}{E} + R \, \frac{\mathbf{p} \times \hat{\boldsymbol{\sigma}}}{E} + N \, \hat{\boldsymbol{\sigma}} \right) + \dots$$
(15.1)

where $\frac{\langle J \rangle}{J}$ $(J = |\mathbf{J}|)$ is the neutron polarization, $\hat{\boldsymbol{\sigma}}$ is the unit vector onto which the electron spin is projected, and *A* is the beta decay asymmetry parameter. *N* and *R* are correlation coefficients which, for neutron decay with usual SM assumptions: $C_V = C'_V = 1$, $C_A = C'_A = \lambda = -1.276$ [10] and allowing for a small admixture of scalar and tensor couplings C_S , C_T , C'_S , C'_T , can be expressed as:

$$N = -0.218 \cdot \operatorname{Re}(\mathfrak{S}) + 0.335 \cdot \operatorname{Re}(\mathfrak{T}) - \frac{m}{E} \cdot A, \qquad (15.2)$$

$$\mathbf{R} = -0.218 \cdot \text{Im}(\mathfrak{S}) + 0.335 \cdot \text{Im}(\mathfrak{T}) - \frac{m}{137 p} \cdot A, \qquad (15.3)$$

where $\mathfrak{S} \equiv (C_S + C'_S)/C_V$, $\mathfrak{T} \equiv (C_T + C'_T)/C_A$ and *m* is the electron mass. The *R* correlation coef-50 ficient vanishes in the lowest order SM calculations. It becomes finite if final state interactions 51 are included, $R_{FSI} \approx -\frac{m}{137p} \cdot A \approx 0.0006$, below the sensitivity of this experiment. A larger 52 value of R would provide evidence for the existence of exotic couplings, and a new source 53 of time reversal violation (TRV). Using Mott polarimetry, both transverse components of the 54 electron polarization can be measured simultaneously: σ_{T_2} perpendicular to the decay plane 55 defined by the neutron spin and electron momentum associated with R, and σ_{T_1} contained in 56 the decay plane and associated with N. The SM value of N is finite and well within reach of 57 this experiment. Its determination provides an important test of the experimental sensitivity. 58

59 15.2 Experiment

The experiment was performed at the FUNSPIN beam line [11] at the neutron source SINQ of the Paul Scherrer Institute, Villigen, Switzerland. A detailed description of the design, operation and performance of the Mott polarimeter can be found in [12]. Only a short overview is presented here. The final result comprises independent analyses of four data collection periods, featuring different basic conditions such as beam polarization, Mott foil thickness and acquired statistics.

The Mott polarimeter consisted of two identical modules, arranged symmetrically on either 66 side of the neutron beam (Figure 15.1). The whole structure was mounted inside a large-67 volume dipole magnet providing a homogeneous vertical spin-holding field of 0.5 mT within 68 the beam fiducial volume. An RF-spin flipper (not shown in Figure 15.1) was used to reverse 69 the orientation of the neutron beam polarization at regular time intervals, typically every 16 s. 70 Going outwards from the beam, each module consisted of a multi-wire proportional chamber 71 (MWPC) for electron tracking, a removable Mott scatterer (1-2 μ m Pb layer evaporated on a 72 2.5 μ m thick mylar foil) and a scintillator hodoscope to measure the electron energy. 73

A 1-cm-thick plastic scintillator, used for the electron energy reconstruction, had a resolution of 33 keV at 500 keV. The asymmetry of the light signal collected at the ends of the scintillator slab was used to determine the vertical hit position with a resolution of about 6 cm: the segmentation (10 cm) of the hodoscope in the horizontal direction provided a crude estimate of the z-coordinate. Matching the information from the precise track reconstruction in the MWPC with that from the scintillator hodoscope reduced background and random coincidences considerably.

A 1.3-m-long multi-slit collimator defined the beam cross section to 4×16 cm² at the entrance of the Mott polarimeter. To minimize neutron scattering and capture, the entire beam volume, from the collimator to the beam dump, was enclosed in a chamber lined with ⁶Li



Figure 15.1: Schematic top view of the experimental setup. A sample projection of an electron V-track event is indicated.

polymer and filled with pure helium at atmospheric pressure. The total flux of the collimated 84 beam was typically about 10¹⁰ neutrons/sec. Thorough investigations of the beam polarization 85 performed in a dedicated experiment [11] showed a substantial dependence on the position 86 in the beam fiducial volume. The average beam polarization necessary for the evaluation of 87 the N- and R-correlation coefficients was extracted from the observed decay asymmetry using 88 the precisely known [10] beta decay asymmetry parameter $A = -0.1196 \pm 0.0002$. This ap-89 proach automatically accounts for the proper integration over the position-dependent beam 90 density, its polarization and detector acceptance. For this purpose, single track events (only 91 one reconstructed track segment on the hit scintillator side) were recorded using a dedicated 92 prescaled trigger. The main event trigger was used to find V-track candidates: events with two 93 reconstructed segments on one side and one segment accompanied by a scintillator hit on the 94 opposite side, (see Figure 15.1). 95 The following asymmetries were analyzed to extract the beam polarization, *P*: 96

$$\mathcal{E}(\beta,\gamma) = \frac{N^+(\beta,\gamma) - N^-(\beta,\gamma)}{N^+(\beta,\gamma) + N^-(\beta,\gamma)} = P\beta A cos(\gamma),$$
(15.4)

where N^{\pm} are experimental, background-corrected counts of single tracks sorted in 4 bins of 97 the electron velocity β , and 15 bins of the electron emission angle γ with respect to the neutron 98 polarization direction. The sign in the superscripts reflects the beam polarization direction. 99 A comparison between the measured and MC simulated energy spectra for direct and Mott-100 scattered electrons is shown in Figure 15.2 a and b, respectively. Electronic thresholds are not 101 included in the simulation - this is why the measured and simulated distributions do not match 102

at the low energy side. 103

Another set of asymmetries was used to extract the N and R correlation coefficients : 104

$$\mathcal{A}(\alpha) = \frac{n^+(\alpha) - n^-(\alpha)}{n^+(\alpha) + n^-(\alpha)},\tag{15.5}$$

where n^{\pm} represent background-corrected experimental numbers of counts of V-track events, 105 sorted in 12 bins of α , the angle between electron scattering and neutron decay planes. In 106 the case of V-track events, beside the background discussed previously, events for which the 107 scattering took place in the surrounding of the Mott-target provide an additional source of 108 background. Figure 15.2 c shows the distribution of the reconstructed vertex positions in the 109 x-direction for data collected with and without the Mott foil. The distribution clearly peaks at 110 the foil position. The "foil-out" distribution has been scaled appropriately by a factor deduced 111 from the accumulated neutron beam. 112



Figure 15.2: Background-corrected experimental energy distributions (shaded areas) of (a) the single-track and (b) V-track events compared with simulations. (c) Background contribution (shaded) to vertex *x*-coordinate distribution of V-track events. The arrow indicates the Mott foil position.

113 It can be shown [12] that

$$\mathcal{A}(\alpha) - P\bar{\beta}A\bar{\mathcal{F}}(\alpha) = P\bar{S}(\alpha) \left[N\bar{\mathcal{G}}(\alpha) + R\bar{\beta}\bar{\mathcal{H}}(\alpha) \right], \tag{15.6}$$

where the kinematical factors $\overline{\mathcal{F}}(\alpha)$, $\overline{\mathcal{G}}(\alpha)$, and $\overline{\mathcal{H}}(\alpha)$ represent the average values of the quantities $\mathbf{\hat{J}} \cdot \mathbf{\hat{p}}$, $\mathbf{\hat{J}} \cdot \mathbf{\hat{\sigma}}$ and $\mathbf{\hat{J}} \cdot \mathbf{\hat{p}} \times \mathbf{\hat{\sigma}}$, respectively, \overline{S} is the effective analyzing power of the electron Mott scattering, known in the literature as "Sherman function", and the bar over a letter indicates event-by-event averaging. The term $P\bar{\beta}A\bar{\mathcal{F}}$ accounts for the β -decay-asymmetry-induced nonuniform illumination of the Mott foil. Since the $\bar{\beta}$ and $\bar{\mathcal{F}}$ are known precisely from eventby-event averaging, the uncertainty of this term is dominated by the error of the average beam polarization *P*.

Mean values of the effective analyzing powers as a function of electron energy, scattering and incidence angles were calculated using the Geant 4 simulation framework [13], following guidelines presented in [14, 15]. This approach accounts properly for the atomic structure, nuclear size effects as well as the effects introduced by multiple scattering in thick foils.

The systematic uncertainty is dominated by the effects introduced by the background subtraction procedure, connected with the choice of the geometrical cuts defining event classes "from-beam" and "off-beam". To estimate this effect, the cuts were varied in a range limited solely by the geometry of the apparatus. Because the radio–frequency of the spin flippers was a small source of noise in the readout electronics, tiny spin-flipper-correlated dead time variations were observed. The result was corrected for this effect.

The asymmetries as defined in (15.4) and (15.5) have been calculated for events with energies above the neutron β -decay end-point energy and for events originating outside of the beam fiducial volume: they were found to be consistent with zero within the statistical accuracy, which proves that the data were not biased e.g. with a spin-flipper-related false asymmetry.

¹³⁶ A fit of the experimental asymmetries A, corrected for the $P\bar{\beta}A\bar{F}$ term for the experimental ¹³⁷ data set of 2007 is shown in Figure 15.3.

From the approximate symmetry of the detector with respect to the transformation $\alpha \rightarrow -\alpha$, it follows that $\bar{\beta}, \bar{S}$ and the factors \bar{F}, \bar{H} are all symmetric, while $\bar{\mathcal{G}}$ is an antisymmetric function

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Figure 15.3: Left panel: experimental asymmetries \mathcal{A} corrected for the $P\bar{\beta}A\bar{F}$ term for the 2007 data set as a function of α (defined in text). The solid line illustrates a two-parameter (*N*, *R*) least-square fit to the data. The indicated errors are statistical. Right panel: geometrical factors $\bar{\mathcal{F}}(\alpha)$, $\bar{\mathcal{G}}(\alpha)$ and $\bar{\mathcal{H}}(\alpha)$ for the same data set

of α (see Figure 15.3). This allows the extraction of the *N* coefficient from the expression [12]:

$$N \approx \frac{(r-1)}{(r+1)} \cdot \frac{1 - \frac{1}{2} (P\bar{\beta}A\bar{F})^2}{P\bar{S}\bar{\mathcal{G}}}, \ r = \sqrt{\frac{n^+(\alpha)n^-(-\alpha)}{n^-(\alpha)n^+(-\alpha)}}$$
(15.7)

The advantage of this method is that the effect connected with the term $P\bar{\beta}A\bar{F}$ is suppressed by a factor of about 60 compared to (15.6). The good agreement between the *N* values obtained in both ways enhances confidence in the extracted *N* and *R* coefficient values.

The systematic uncertainties in the evaluation of the *R* and *N* coefficients are dominated by 145 effects introduced by the background subtraction procedure and the choice of specific values 146 of the cuts that determine whether an individual event is attributed to "signal" or to "back-147 ground". These effects were systematically studied for all data sets. Additional calibration 148 measurements were performed to determine the Mott-target mass distribution [16] that can 149 influence the electron depolarization leading to increased uncertainty of the effective Sherman 150 function. A detailed description of the data analysis process can be found in [17, 18] together 151 with the final result comprising all available experimental data. 152

$$R = 0.004 \pm 0.012_{\text{stat}} \pm 0.005_{\text{syst}}, \tag{15.8}$$

$$N = 0.067 \pm 0.022_{\text{stat}} \pm 0.004_{\text{syst}}.$$
 (15.9)

¹⁵³ This was the first determination of the *N* correlation coefficient in β -decay.

In Figure 15.4 the new results are included in exclusion plots containing all experimental 154 information available from nuclear and neutron beta decays as surveyed in [19]. The upper 155 plots contain the normalized scalar and tensor coupling constants \mathfrak{S} and \mathfrak{T} , while the lower 156 plots correspond to the helicity projection amplitudes in the leptoquark exchange model, as 157 defined in [20]. Although the achieved accuracy does not improve the already strong con-158 straints on the real part of the couplings (left panels), the result is consistent with the existing 159 data and increases confidence in the validity of the extraction of R. For the imaginary part 160 (right panels), the new experimental value of the R coefficient significantly constrains scalar 161 couplings beyond the limits from all previous measurements. The result is consistent with the 162 SM. 163



Figure 15.4: Experimental bounds on the scalar vs. tensor normalized couplings (upper) and leptoquark exchange helicity projection amplitudes (lower panels). The gray areas represent the information as defined in [19], while the lines represent the limits resulting from the present experiment. Solid, dashed and dotted lines correspond to 1-, 2- and 3- sigma confidence levels, respectively, in analogy to decreasing intensity of the grey areas.

164 15.3 Outlook – the BRAND project

The successful determination of two transverse components of the polarization of electrons 165 emitted in neutron decay in a pioneering and nearly optimal experiment led to the following 166 conclusions: (i) it seems quite possible to decrease the systematic uncertainty by an order of 167 magnitude using existing techniques, (ii) the transverse electron polarization can be studied 168 in a more systematic way by correlating it with the electron momentum, the neutron spin, and 169 also with the recoil proton momentum by constructing larger and higher acceptance detecting 170 systems like e.g. proposed by [21] and operating with the highest intensity polarized cold 171 neutron beam available. In this way, one can study seven correlation coefficients: H, L, N, R, 172 S, U and V where five of them (H, L, S, U, V) have never been experimentally studied: 173

$$\omega(E_{e}, \Omega_{e}, \Omega_{\bar{\nu}}) \propto 1 + a \frac{\mathbf{p}_{e} \cdot \mathbf{p}_{\bar{\nu}}}{E_{e}E_{\bar{\nu}}} + b \frac{m_{e}}{E_{e}} + \frac{\langle \mathbf{J} \rangle}{J} \cdot \left[A \frac{\mathbf{p}_{e}}{E_{e}} + B \frac{\mathbf{p}_{\bar{\nu}}}{E_{\bar{\nu}}} + D \frac{\mathbf{p}_{e} \times \mathbf{p}_{\bar{\nu}}}{E_{e}E_{\bar{\nu}}} \right] + \sigma_{\perp} \cdot \left[H \frac{\mathbf{p}_{\bar{\nu}}}{E_{\bar{\nu}}} + L \frac{\mathbf{p}_{e} \times \mathbf{p}_{\bar{\nu}}}{E_{e}E_{\bar{\nu}}} + N \frac{\langle \mathbf{J} \rangle}{J} + R \frac{\langle \mathbf{J} \rangle \times \mathbf{p}_{e}}{JE_{e}} + S \frac{\langle \mathbf{J} \rangle}{J} \frac{\mathbf{p}_{e} \cdot \mathbf{p}_{\bar{\nu}}}{E_{e}E_{\bar{\nu}}} + U \mathbf{p}_{\bar{\nu}} \frac{\langle \mathbf{J} \rangle \cdot \mathbf{p}_{e}}{JE_{e}E_{\bar{\nu}}} + V \frac{\mathbf{p}_{\bar{\nu}} \times \langle \mathbf{J} \rangle}{JE_{\bar{\nu}}} \right],$$
(15.10)

where σ_{\perp} represents a unit vector perpendicular to the electron momentum \mathbf{p}_e and $J = |\mathbf{J}|$. $\mathbf{p}_{\bar{\nu}}$ and $E_{\bar{\nu}}$ are the antineutrino momentum and energy, respectively.

The coefficients relating the transverse electron polarization to \mathbf{p}_e , $\mathbf{p}_{\bar{\nu}}$ and **J** have several interesting features. They vanish for the SM weak interaction, and reveal the variable size



Figure 15.5: Experimental bounds on the scalar vs. tensor couplings \mathfrak{S} , \mathfrak{T} from (15.2) (upper panels) and translated to EFT parameters ϵ_S , ϵ_T (lower panels). The gray areas represent the information deduced from presently available experiments, while the red lines represent the limits resulting from the correlation coefficients H, L, N, R, S, U and V measured with the anticipated accuracy of 5×10^{-4} . Solid, dashed and dotted lines correspond to 1-, 2- and 3- σ confidence levels, respectively, in analogy to decreasing intensity of the grey areas.

of the electromagnetic contributions. For H and N, the electromagnetic contributions are of 178 the order of 0.06, which can be used for an internal sensitivity check of the Mott polarimeter. 179 Finally, the dependence on the real and imaginary parts of the scalar and tensor couplings 180 alternates exclusively from one correlation coefficient to another with varying sensitivity. This 181 feature allows a complete set of constraints to be determined from the neutron decay alone. 182 The idea of implementing such a complex measurement was proposed in [22]. An updated 183 version of the measurement can be found in [23]. Presently, the first test run devoted to the 184 verification of the applied detectors and techniques has been completed on the PF1B cold 185 neutron beam at the Laue Langevin Institute in Grenoble, France (ILL). 186

187 15.4 EFT parameterization

To bridge the classical β -decay formalism with high-energy physics and permit sensitivity comparison of low-energy charged-current observables with measurements carried out at highenergy colliders, the model-independent effective field theory (EFT) framework is employed. The effective nucleon-level couplings C_i , C'_i ($i \in [V,A,S,T]$) can be generally expressed as combinations of the quark-level parameters ϵ_i , $\tilde{\epsilon}_i$ ($i \in [L,R,S,T]$) [24]. The imaginary parts of the scalar and tensor couplings parameterize CP-violating contributions. The high energy

BSM physics process that can be compared with β -decay experiments is the cross section for 194 electrons and missing transverse energy (MET) in $pp \rightarrow e\bar{\nu} + MET + \dots$ channel since it has 195 the same underlying partonic process as in β -decay ($\bar{u}d \rightarrow e \bar{\nu}$). With the anticipated accuracy 196 of about 5×10^{-4} for the transverse electron polarization related correlation coefficients one 197 would obtain significantly tighter bounds on the real and imaginary parts of scalar and tensor 198 coupling constants and, consequently, on ϵ_s and ϵ_T as shown in Figure 15.5. It should be 199 noted that such limits would be competitive to those extracted from the analysis of 20 fb^{-1} 200 CMS collaboration data collected at 8 TeV [25, 26] and even to the planned measurements at 201 14 TeV. 202

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